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SUPPLEMENTARY INFORMATION: Written comments and suggestions from the public and affected agencies concerning the proposed collection of information are encouraged. Your comments should address one or more of the following four points:

- Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the Bureau of Justice Statistics, including whether the information will have practical utility;
- Evaluate the accuracy of the agency’s estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;
- Evaluate whether and if so how the quality, utility, and clarity of the information to be collected can be enhanced; and
- Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, *e.g.*, permitting electronic submission of responses.

Abstract: Certain individuals who are deportable from the United States may be eligible to request that the Attorney General suspend their deportation and adjust their status under former section

244 of the Immigration and Nationality Act (INA). *See also* 8 CFR 1240.55 (2024). An applicant seeking suspension of deportation may file Form EOIR-40 based on the individual facts and circumstances in his/her case. To be granted such relief from deportation, the applicant must prove that s/he meets all the statutory prerequisites for such relief and that s/he is entitled to a favorable exercise of discretion. The form contains information, such as identifying characteristics, residence, employment history and family information, which is necessary for the Attorney General to determine the statutory eligibility of individual noncitizens, who have been determined to be deportable from the United States, for suspension of their deportation pursuant to former section 244 of the INA.

Overview of This Information Collection

1. *Type of Information Collection:* Renewal, with change, of a currently approved collection. EOIR is making a few non-substantive changes to the current Form EOIR-40, to include typographical and grammatical edits, adding appropriate spacing between words, and removing unnecessary spacing and symbols between words. EOIR is also making several minor but substantive changes to the current Form EOIR-40, to include removing the word “alien” from the document, and replacing it with the word “noncitizen”; clarifying the description of the dimension of an applicant’s facial image for passport photographs; modifying the sentence explaining the purpose and instructions of this form; adding “other”

as an alternate option for gender identity; changing the word “home” phone number to “cell” phone number; and including a privacy act statement.

2. *The Title of the Form/Collection:* Application for Suspension of Deportation.

3. *The agency form number, if any, and the applicable component of the Department sponsoring the collection:* The form number is EOIR-40; the sponsoring component is Executive Office for Immigration Review, United States Department of Justice.

4. *Affected public who will be asked or required to respond, as well as the obligation to respond:* Primary: Individual noncitizens determined to be deportable from the United States. Other: None. Abstract: This information collection is necessary to determine the statutory eligibility of individual noncitizens, who have been determined to be deportable from the United States, for suspension of their deportation pursuant to former section 244 of the INA and 8 CFR 1240.55 (2011).

5. *An estimate of the total number of respondents and the amount of time estimated for an average respondent to respond:* It is estimated that 147 respondents will complete the form annually with an average of 5 hour and 45 minutes per response.

6. *An estimate of the total annual burden (in hours) associated with the collection:* The estimated public burden associated with this collection is 845.25 hours. It is estimated that respondents will take 5 hour and 45 minutes to complete the form.

7. *An estimate of the total annual cost burden associated with the collection, if applicable:*

TOTAL BURDEN HOURS

Activity	Number of respondents	Frequency (annually)	Total annual responses	Time per response (hours)	Total annual burden (hours)
Title	147	1	147	5.75	845.25
<i>Unduplicated Totals</i>

If additional information is required contact: Darwin Arceo, Department Clearance Officer, United States Department of Justice, Justice Management Division, Policy and Planning Staff, Two Constitution Square, 145 N Street NE, 4W-218, Washington, DC.

Dated: November 15, 2024.
Darwin Arceo,
Department Clearance Officer for PRA, U.S. Department of Justice.
 [FR Doc. 2024-27075 Filed 11-19-24; 8:45 am]
BILLING CODE 4410-30-P

DEPARTMENT OF LABOR

Employee Benefits Security Administration

Retirement Savings Lost and Found

AGENCY: Employee Benefits Security Administration, Department of Labor.
ACTION: Announcement of voluntary information collection request.

SUMMARY: This notice announces that the Office of Management and Budget’s

Office of Information and Regulatory Affairs has approved under the Paperwork Reduction Act of 1995 an information collection request developed by the Department of Labor's Employee Benefits Security Administration (Department or EBSA). Accordingly, EBSA is now collecting information from retirement plan administrators (e.g., via their recordkeepers) in order to establish and maintain the Retirement Savings Lost and Found online searchable database described in section 523 of the Employee Retirement Income Security Act of 1974. This database will help connect missing participants and other individuals who have lost track of their retirement benefits with retirement plans that may be holding such benefits. This information collection request is voluntary. This notice also provides guidance and announces an enforcement policy, both to incentivize and encourage the voluntary submission of data.

DATES: Information may be submitted immediately.

ADDRESSES: Information may be submitted at <https://lostandfound-intake.dol.gov/>.

FOR FURTHER INFORMATION CONTACT: For questions regarding how to submit data in response to this information collection request: contact Division of IT Operations Support, Office of Program Planning, Evaluation and Management, Employee Benefits Security Administration, (202) 693-8610. For general questions regarding section 523 of the Employee Retirement Income Security Act, contact Stephen Sklenar, Office of Regulations and Interpretations, Employee Benefits Security Administration, (202) 693-8500. These are not toll-free numbers.

SUPPLEMENTARY INFORMATION:

I. Executive Summary

The Department of Labor's Employee Benefits Security Administration (Department or EBSA) is now collecting information from retirement plan administrators in order to establish and maintain the Retirement Savings Lost and Found online searchable database described in section 523 of the Employee Retirement Income Security Act of 1974 (ERISA). This database will help connect missing participants and other individuals who may have lost track of their retirement benefits with retirement plans that may be holding such benefits. This information collection request is voluntary.

The information being collected is basic information about individuals of a certain age who may be owed benefits

under ERISA retirement plans. Specifically, EBSA is requesting the name and social security number of any participant who separated from service, is owed a benefit from the plan, and is age 65 or older. EBSA also is asking for current contact information for the plan administrator so that individuals meeting these characteristics may contact the plan administrator and make an inquiry.

The scope of this information collection request is very narrow. It is much narrower than what was previously proposed by the Department and what is ultimately going to be necessary to establish and maintain the complete database contemplated by section 523 of ERISA.¹ The Department narrowed the scope of its proposal in response to public concerns that the proposal was overly broad and unnecessarily burdensome. As supported by commenters, the Department is starting its information collection efforts by focusing on information about individuals who are at or near normal retirement age and who are owed a benefit by the plan. This is because such individuals are more likely to benefit sooner from a functioning Retirement Savings Lost and Found database than other age cohorts. Future efforts through notice and comment, however, will be needed to gradually expand the database to fully implement section 523 of ERISA.

II. Summary of Burden

In summary, the final information collection request has a 3-year average hour burden of 26,017 hours with an equivalent cost of \$4,660,421 and a cost burden of \$0.²

A summary of paperwork burden estimates follows:

Type of Review: Revision.

Agency: Employee Benefits Security Administration, U.S. Department of Labor.

Title: Retirement Savings Lost and Found.

OMB Control Number: 1210-0172.

Affected Public: Businesses or other for-profits, Not-for-profit institutions.

¹ 89 FR 26932.

² The total burden for this information collection is estimated as an hour burden. The hour burden is measured as the time for recordkeepers to obtain authorization, the time for plan administrators to provide authorization to the recordkeepers, and the time for recordkeepers to provide data to the Retirement Savings Lost and Found database. Costs accounted for in the hour burden are not included in cost burden to avoid double counting, resulting in a cost burden of zero. The act of transmitting the data to the Retirement Savings Lost and Found is a cost burden but since that will occur electronically, the Department subsumed the minimal cost of that activity within the hour burden.

Estimated Number of Respondents: 150,920.

Estimated Number of Annual Responses: 150,940.

Frequency of Response: Annual.

Estimated Total Annual Burden Hours: 26,017.

Estimated Total Annual Burden Cost: \$0.

III. Background

A. Section 303 of SECURE 2.0

Section 303 of the SECURE 2.0 Act of 2022, which was enacted on December 29, 2022 (SECURE 2.0),³ amended part 5 of subtitle B of title I of ERISA to add Section 523, which requires the Department, not later than 2 years after the date of enactment and in consultation with the Secretary of the Treasury, to create an online searchable database, to be known as the Retirement Savings Lost and Found. Among other things, SECURE 2.0 requires that this database allow retirement savers who may have lost track of their retirement plan to search for the contact information of their plan administrator in order to make a claim for benefits that they may be owed under the plan.

Section 523(a) of ERISA expressly directs the Secretary to establish an online searchable database which, inter alia, allows an individual to locate information about a plan if the individual is or was a participant of that plan. Section 523(e) of ERISA expressly authorizes the Department to collect certain information for plan years beginning after December 31, 2023. For example, it authorizes the Department to collect the information described in sections 6057(b)(1) through (4) and 6057(a)(2)(A) and (B) of the Internal Revenue Code of 1986 (Code). It also authorizes the Department to collect the names and social security numbers of participants and former participants described in Code section 6057(a)(2)(C) (i.e., individuals who separated from service covered under their plans and who are entitled to deferred vested benefits) and identify those who were fully paid their deferred vested benefits. Finally, it authorizes the Department to collect the names and social security numbers of each participant or former participant in the plan with respect to whom vested benefits were distributed under section 401(a)(31)(B) of the Code or to whom a deferred annuity contract was distributed.

B. IRS Form 8955-SSA

Much of the foregoing information is currently reported to the Internal

³ Consolidated Appropriations Act, 2023, H.R. 2617.

Revenue Service (IRS) on Form 8955–SSA (Annual Registration Statement Identifying Separated Participants With Deferred Vested Benefits).⁴ The information reported on Forms 8955–SSA is generally provided by the IRS to the Social Security Administration (SSA). The SSA then provides the reported information to separated vested participants when they file for social security benefits. Pursuant to section 523(a) of ERISA, the Department consulted with the Secretary of the Treasury and IRS on the Retirement Savings Lost and Found online searchable database, and its ability to rely on the data reported on Form 8955–SSA to populate the database.

Initially, citing concerns under section 6103 of the Code,⁵ IRS indicated that it would not authorize the release of this data to the Department for the purpose of communicating either directly with participants and beneficiaries about retirement plans that may still owe them retirement benefits or indirectly through the Retirement Savings Lost and Found online searchable database. As explained below in section VII F of this notice, however, the Department believes the issues are now resolved and that it will be able to receive the information reported on Form 8955–SSA.

Nevertheless, the Department is moving forward with the voluntary information collection request because of the uncertainties associated with the Form 8955–SSA data, concerns about the completeness and accuracy of that data, and the importance of complying with the statutory deadline contained in section 523 of ERISA. Accordingly, the Department continues to request that plan administrators voluntarily furnish the information specified below directly to the Department.

C. Terminated Vested Participants Project

Separate from the database required by SECURE 2.0, the Department administers the Terminated Vested Participants Project (TVPP or missing participant program). The TVPP has three key objectives for defined benefit pension plans. First, to ensure these plans maintain adequate census and other records necessary to determine (a) the identity and address of participants and beneficiaries due benefits under the

plan, (b) the amount of benefits due under the plan, and (c) when participants and beneficiaries are eligible to commence benefits. Second, to ensure these plans have appropriate procedures for advising participants with vested accrued benefits of their eligibility to apply for benefits as they near normal retirement age and the date they must start required minimum distributions under federal tax law. Third, to ensure these plans implement appropriate search procedures for terminated participants and beneficiaries for whom they have incorrect or incomplete information. Since 2017, the Department has recovered more than \$7 billion for such “missing” participants and beneficiaries.

IV. April 2024 Proposed Information Collection Request

On April 16, 2024, the Department published for notice and comment in the **Federal Register** a proposed information collection request (April 2024 Proposed ICR) setting forth a proposed framework for a voluntary information collection. The April 2024 Proposed ICR contained three broad categories of information, as follows: (1) Information From Plans With Separated Vested Participants; (2) Information From Plans That Distributed Benefits Under Section 401(a)(31)(B) of the Internal Revenue Code; and (3) Information From Plans That Distributed Annuities.⁶ The specific information in each category of the April 2024 Proposed ICR is set forth below in Section IV. The Department received thirteen comment letters in response to the April 2024 Proposed ICR. The commenters’ concerns are addressed in Sections V–VII.

A. Plans With Separated Vested Participants

The April 2024 Proposed ICR, in relevant part, sought to collect the following information for any plan with a participant or former participant described in 26 U.S.C. 6057(a)(2)(C) (“separated vested participant”):

1. Name and plan number of plan as reflected on the most recent Form 5500 Annual Return/Report of Employee Benefit Plan or Form 5500–SF Short Form Annual Return/Report of Employee Benefit Plan (individually and collectively “Form 5500”). If the plan had names other than the name on the most recent Form 5500, provide the prior names and plan numbers and include the date of change.

2. Name, employer identification number (EIN), mailing address, and telephone number of the plan administrator as reflected on the most recent Form 5500. If the plan had plan administrators other than the plan administrator on the most recent Form 5500, provide the names and EINs of the prior plan administrators and include the date of change.

3. Name, EIN, mailing address, and telephone number of the plan sponsor as reflected on the most recent Form 5500, if different than the plan administrator. If the plan had plan sponsors other than the plan sponsor on the most recent Form 5500, provide the names and EINs of the prior plan sponsors and include the date of change.

4. Name, date of birth, mailing address, email address, telephone number, and social security number (SSN) of each separated vested participant.

5. Nature, form, and amount of benefit of each separated vested participant.

6. If the vested benefit of each such separated vested participant was fully paid in a form other than an annuity (*i.e.*, lump sum payout) to the separated vested participant, provide the date and the amount of the distribution.

7. If an annuity form of benefit, state whether the separated vested participant has begun receiving benefits, the date of the annuity commencement, and the monthly benefit.

8. Name, date of birth, mailing address, email address, telephone number, and SSN of any separated vested participant of normal retirement age or older who is owed a vested benefit, and who has been unresponsive to plan communications about their benefits or whose contact information as set forth in paragraph 4 above, the plan has reason to believe is no longer accurate.

9. Name, date of birth, mailing address, email address, telephone number, and SSN of any designated beneficiary of the separated vested participant.

10. With respect to any participant whose benefit was transferred to the plan in the manner described in Line 9 of the Form 8955–SSA, provide the name and plan number of the transferor plan. Include the date of transfer to the plan.

B. Plans That Distributed Benefits Under Section 401(a)(31)(B) of the Internal Revenue Code

The April 2024 Proposed ICR, in relevant part, sought to collect the following information for any plan that

⁴ The Form 8955–SSA is the designated successor to Schedule SSA (Form 5500). The Schedule SSA attachment to the Form 5500 was the vehicle the IRS used to collect this information until the Schedule SSA was replaced by the stand-alone IRS Form 8955–SSA.

⁵ See 26 U.S.C. 6103 (confidentiality and disclosure of returns and return information).

⁶ 89 FR 26932.

distributed benefits under section 401(a)(31)(B) of the Code:

1. Name of plan and plan number as reflected on the most recent Form 5500. If the plan had names other than the name on the most recent Form 5500, provide the prior names and plan numbers to include the date of change.

2. Name, EIN, mailing address, and telephone number of the plan administrator as reflected on the most recent Form 5500. If the plan had plan administrators other than the plan administrator on the most recent Form 5500, provide the names and EINs of the prior plan administrators and include the date of change.

3. Name, EIN, mailing address, and telephone number of the plan sponsor as reflected on the most recent Form 5500, if different than the plan administrator. If the plan had plan sponsors other than the plan sponsor on the most recent Form 5500, provide the names and EINs of the prior plan sponsors and include the date of change.

4. Name, date of birth, mailing address, email address, telephone number and SSN of each participant or former participant with respect to whom any amount of the vested benefit was distributed under section 401(a)(31)(B) of the Code.

5. With respect to such participant or former participant, the name of the designated trustee or issuer described in section 401(a)(31)(B) of the Code.

6. With respect to such participant or former participant, the address of the designated trustee or issuer described in section 401(a)(31)(B) of the Code.

7. With respect to such participant or former participant, the amount of the distribution.

8. With respect to such participant or former participant, the account number of the individual retirement plan to which the amount was distributed.

9. With respect to such participant or former participant, the name, date of birth, mailing address, email address, telephone number, and SSN of any designated beneficiary.

C. Plans That Distributed Annuities

The April 2024 Proposed ICR, in relevant part, sought to collect the following information for any plan that distributed benefits pursuant to an annuity contract described in 29 CFR 2510.3-3(d)(2)(ii):

1. Name and plan number of plan as reflected on the most recent Form 5500. If the plan had names other than the name on the most recent Form 5500, provide the prior names and plan numbers to include the date of change.

2. Name, EIN, mailing address, and telephone number of the current plan administrator as reflected on the most recent Form 5500. If the plan had plan administrators other than the plan administrator on the most recent Form 5500, provide the names and EINs of the prior plan administrators and include the date of change.

3. Name, EIN, mailing address, and telephone number of plan sponsor as reflected on the most recent Form 5500, if different than the plan administrator. If the plan had plan sponsors other than the plan sponsor on the most recent Form 5500, provide the names and EINs of the prior plan sponsors and include the date of change.

4. Name, date of birth, SSN, mailing address, email address, and telephone number of each participant or former participant with respect to whom an annuity contract, described in 29 CFR 2510.3-3(d)(2)(ii), was distributed.

5. With respect to such participant or former participant, the name of the issuer of the annuity contract.

6. With respect to such participant or former participant, the address of the issuer of the annuity contract.

7. With respect to such participant or former participant, the contract or certificate number.

8. With respect to such participant or former participant, the name, date of birth, mailing address, email address, telephone number, and SSN of any designated beneficiary.

D. Historical Data

With respect to all three categories of information described above (in sections A through C), the April 2024 Proposed ICR sought historic information, to the extent available, dating back to the date the plan first became subject to ERISA or as far back as possible, if shorter. The Proposed ICR sought this data in an effort to establish the most effective Retirement Savings Lost and Found online searchable database possible.

E. Public Comments on April 2024 Proposal

Nearly every commenter objected to the breadth of the April 2024 Proposed ICR. One commenter, for instance, asserted that there is very little information the Department needs to build the Retirement Savings Lost and Found database contemplated by section 523 of ERISA. This commenter is of the view that “the only information needed is the participant’s name, the plan name and the plan’s contact information, and any updates to the

latter two.”⁷ This commenter suggested that the Department reevaluate what actually is needed for the database to function and focus on collecting only that information.

A different commenter asserted that, in many respects, the April 2024 Proposed ICR goes beyond what the Department is expressly authorized to collect under section 523 of ERISA. This commenter also contended that the April 2024 Proposed ICR goes beyond “what is reasonably necessary to ensure the proper administration and maintenance of the [Retirement Savings Lost and Found], as envisioned by Congress.”⁸ This commenter suggested that, before moving on to additional services and functionality that might necessitate broader information requests, the Department should limit its information request to only those data elements that are necessary for participants to locate and receive information that is needed to access benefits owed them. In the commenter’s view, such information did not include, for example, information regarding (a) beneficiaries; (b) participant and beneficiary contact information such as phone numbers, email addresses, and physical addresses; and (c) account balances.

A third commenter stated that the April 2024 Proposed ICR asks plan administrators for an “overwhelming” amount of information beyond what is specifically authorized.⁹ Unauthorized and unnecessary information, according to this commenter, includes (a) plan sponsor information; (b) date of birth, mailing address, email address, and telephone number of the participant; (c) beneficiary information; and (d) historical information. This commenter believes that the April 2024 Proposed ICR unnecessarily complicates what was supposed to be a basic online database, raising serious privacy and administration concerns. Accordingly, the commenter urged the Department to narrow the April 2024 Proposed ICR and abandon the proposal.

A fourth commenter stated that the April 2024 Proposed ICR requests significantly more information about participants and their beneficiaries than is authorized by the statute’s limited list of data elements.¹⁰ This commenter also argued that the proposal requests data dating back to the date a plan became covered by ERISA, while the statute

⁷ Comment Letter of US Chamber of Commerce, page 3.

⁸ Comment Letter of SPARK, page 2.

⁹ Comment Letter of the ERISA Industry Committee, pp 2-3.

¹⁰ Comment Letter of the Investment Company Institute, page 5.

specifically authorizes only the collection of information with respect to plan years beginning in 2024. In the commenter's view, the April 2024 Proposed ICR impermissibly expanded both the data elements, and the time period covered. This commenter asserted that collecting and providing the additional data would be prohibitively expensive, placing an enormous cost and burden on plans and their recordkeepers—cost that may ultimately be passed on to plan participants. The commenter also expressed concern that the prospect of sharing participants' confidential and personal information raises significant data concerns, and could expose plans and their recordkeepers to liability, particularly in the event of any data breach.¹¹ This commenter urged the Department to collect no more than the information specified by section 523(e) of ERISA.

Another commenter expressed its concern with the April 2024 Proposed ICR's request for data on individuals that exceeds the data specifically described in the statute.¹² This commenter stated that the statute contemplates the name and taxpayer identifying number of terminated vested participants whose benefits were distributed during the plan year, together with certain limited additional information such as whether an annuity was distributed to such an individual and the name and address of the annuity issuer. This commenter asserted that the more personal information the Department requests beyond what is necessary, the greater the potential liability if such data is compromised, and the greater the possibility that plans will not provide any information. This commenter encourages the Department not to proceed with any voluntary information collection request, but instead proceed directly to the rulemaking process and limit the information required to what is required by the statute.

This commenter also strongly objected to the historical information requested by the April 2024 Proposed ICR. This commenter argued that the statute does not contemplate requiring administrators to report the plan-related information described in Internal Revenue Code section 6057(b)(1)–(4) or 6057(a)(2)(A)–(B) on a retroactive basis, let alone as far back as to the date a covered plan became subject to ERISA.

¹¹ See also Comment Letter of American Retirement Association (sharing this concern), page 2.

¹² Comment Letter of American Benefits Council, page 6.

This commenter submits that the re-creation of historical plan data by administrators would in many cases be exceedingly challenging and time-consuming, and for some plans it will be impossible to produce.

V. September 2024 Revised Information Collection Request

A. Narrowed Scope of April 2024 Proposal

In response to the public comments received on the April 2024 Proposed ICR (discussed above in section IV E of this notice), the Department revised and republished the proposed ICR in September 2024 again soliciting public input (September 2024 Revised ICR).¹³ As compared to the April 2024 Proposed ICR, the September 2024 Revised ICR proposed to significantly narrow the scope of collection to capture only information on separated vested participants who have reached age 65 and who are owed a benefit, as well as basic contact information for the plan administrator. Information on separated vested participants under the second proposal in September included deceased participants who would have been age 65 or older if they had survived and whose beneficiary is entitled to a benefit; separated vested participants aged 65 or older whose benefits were conditionally forfeited under Treasury Regulation section 1.411(a)–4(b)(6); and separated vested participants aged 65 or older who are in pay status. The comment period for the September 2024 Revised ICR closed on October 15, 2024, and five comments on the September Revised ICR were received.

B. Public Comments on Narrower Scope

Overall, commenters supported the narrower scope of collection in the September 2024 Revised ICR. Commenters, however, continued to raise a number of concerns including with respect to cybersecurity risks. These comments and concerns are addressed below.

VI. Final Information Collection Request

A. Decision To Narrow Information Collection Request

The Department does not agree with the position of those commenters described in Section IV.E who found it inappropriate for the Department to collect all of the information listed in the April 2024 Proposed ICR. Nevertheless, the Department generally agrees to structure the final information

¹³ 89 FR 74291 (Sept. 12, 2024).

collection request to reduce cost and burden on responders in order to encourage participation. The Department has significantly narrowed the scope of the final information collection request in response to public comments. Generally speaking, this final information collection request is limited to the current contact information for the plan as well as the name and social security number of any participant who separated from service, is owed a benefit, and is age 65 or older. In this regard, the scope of the final information collection request is very similar to the scope of the September 2024 Revised ICR, with a few improvements made in response to public comments received on the September proposal.

Subsection VI B of this notice delineates the precise data points being sought under the final ICR. Unlike the April 2024 Proposed ICR, this final information collection request does not seek, among other things, data on: (a) historical practices dating back to the date the covered plan became subject to ERISA; (b) beneficiaries; (c) date of birth, mailing address, email address, and telephone number of each separated vested participant; (d) benefits distributed under section 401(a)(31)(B) of the Internal Revenue Code; and (e) benefits distributed pursuant to an annuity contract described in 29 CFR 2510.3–3(d)(2)(ii).

As supported by commenters, the Department is starting its information collection efforts by focusing on information about individuals who are at or near normal retirement age and who are owed a benefit by the plan. This is because such individuals are more likely to benefit sooner from a functioning database than other age cohorts. Future efforts, however, are needed to gradually expand the database to fully implement section 523 of ERISA. At this time, the Department is requesting only the following specific information.

B. Specific Information Requested—Limited to Plans With Separated Vested Participants Still Owed Benefits

For any plan with a participant or former participant described in 26 U.S.C. 6057(a)(2)(C)(i) and (ii) (“separated vested participant”), provide the following information with respect to that plan in accordance with filing instructions created by the Department:

1. Name and plan number of plan as reflected on the most recent Form 5500 Annual Return/Report of Employee Benefit Plan or Form 5500–SF Short Form Annual Return/Report of

Employee Benefit Plan (individually and collectively “Form 5500”).

2. Name, employer identification number (EIN), mailing address, and telephone number of the plan administrator as reflected on the most recent Form 5500.

3. Name, EIN, and telephone number of the plan sponsor as reflected on the most recent Form 5500.

4. Name and SSN of any separated vested participant aged 65 (or older) who is owed a vested benefit. This includes deceased participants who would have been age 65 or older if they had survived and whose beneficiary is entitled to a benefit; separated vested participants aged 65 or older whose benefits were conditionally forfeited under Treasury Regulation section 1.411(a)-4(b)(6); and separated vested participants aged 65 or older who are in pay status.

5. With respect to participants previously reported to the Retirement Savings Lost and Found as owed a benefit that has since been paid, indicate once their benefit has been paid and the date of the payment.

C. Scope—Beneficiaries

Commenters on the September 2024 Revised ICR objected to collecting information about beneficiaries. Commenters stated that information about beneficiaries is beyond the scope of section 523 of ERISA. The Department does not agree that information about beneficiaries is outside the scope of section 523 of ERISA. Regardless, the commenters misapprehended the scope of the September 2024 Revised ICR. Neither it nor the final ICR seeks to collect information about beneficiaries. The final ICR, however, does request data on deceased participants who still have benefits under the plan so that the deceased participant’s beneficiary or survivor may use the Retirement Savings Lost and Found to search for that benefit.

D. Scope—Participants in Pay Status

Commenters questioned why the September 2024 Revised ICR sought to collect information on separated vested participants aged 65 or older who are in pay status in defined benefit plans. Commenters asserted that individuals in pay status are not “missing” or “lost.” The final ICR seeks this information because these individuals are still owed a benefit under the plan. ERISA section 523 includes missing and lost individuals but is not limited to them.

E. Scope—Church Plans

One commenter on the September 2024 Revised ICR asked whether the Department intends the final ICR to cover non-electing church plans. The scope of the final ICR is coextensive with the scope of section 523 of ERISA. Section 523(a)(2) of ERISA generally limits the scope of section 523 to “a plan to which the vesting standards of section 203 apply.” Since non-electing church plans are not subject to section 203 of ERISA, the final ICR does not cover such plans.

F. Method of Transmitting Data

The April 2024 Proposed ICR solicited public comment on two electronic methods to submit data to Retirement Savings Lost and Found. Under the first method, plan administrators (or their authorized representatives, such as recordkeepers) would be able to electronically submit data as an attachment to the Form 5500 using EFAST2. The second method mentioned was the establishment of a portal for plan administrators (or their recordkeepers) to submit the information directly into the Retirement Savings Lost and Found database as an alternative to submitting the information as an attachment to the Form 5500 using EFAST2. Under either method, the April 2024 Proposed ICR indicated that the Department would provide a model format that plan administrators could use to submit the information.

Overall, commenters offered support for both methods of electronically submitting the data. While generally supportive of the use of EFAST2, commenters questioned the feasibility of being able to submit a Retirement Savings Lost and Found attachment in time to meet the plan’s deadline for filing the plan’s 2023 Form 5500. Some commenters, noting that the Form 5500 is filed annually, suggested that the Retirement Savings Lost and Found data should be furnished more frequently than annually in order to keep the Retirement Savings Lost and Found current, *e.g.*, at least quarterly. Some commenters raised privacy concerns with attaching certain Retirement Savings Lost and Found data (social security numbers) to the Form 5500 (which is a public filing). Other commenters expressed a preference for a direct upload portal for plan recordkeepers to submit information directly into the Retirement Savings Lost and Found database because this method would permit a single recordkeeper to submit bulk uploads on behalf of multiple plans simultaneously

and would allow frequent uploads on regular basis, *e.g.*, quarterly, monthly, or even more frequently, so that the Retirement Savings Lost and Found does not become stale.

The Department established a portal for plan administrators or their recordkeepers to submit the information directly into the Retirement Savings Lost and Found database, instead of submitting the information as an attachment to the Form 5500 using EFAST2 as was contemplated by the April 2024 Proposed ICR. The Department also created an upload template to assist filers.¹⁴ The template is a table, in Microsoft Excel/CSV format, designed to capture details on separated vested participants who have reached age 65 and who are owed a benefit, and basic information about their plans. The plan administrator or the plan’s recordkeeper may download, populate, and then upload the completed Excel/CSV file directly with EBSA through RSFL. This direct portal approach allows recordkeepers to file on behalf of multiple plans simultaneously. RSFL requires filers to have a free *Login.gov* account, and to create a user profile. The Department has also developed line-by-line instructions to guide filers through the process.¹⁵ The upload template and instructions are available at <https://lostandfound-intake.dol.gov/template.xlsx>.

Many commenters discussing the topic of transmitting data to the Retirement Savings Lost and Found also mentioned concerns about data security after the transfer. In response, the Department notes that the Retirement Savings Lost and Found is being developed in accordance with the U.S. Department of Commerce National Institute of Standards and Technology SP 800-53 Revision 5 security controls, including implementation of all applicable privacy controls.¹⁶ Retirement Savings Lost and Found administrators will use the Department’s login credential practices to access the Retirement Savings Lost and Found, including Multi-Factor Authentication login and Single Sign-On account access via Personal Identity

¹⁴ See appendix A.

¹⁵ See appendix B.

¹⁶ To include AC-03(14)—Individual Access; PL-08—Security and Privacy Architectures; PT-05(2)—Privacy Act Statements; RA-08—Privacy Impact Assessments; SC-07(24)—Personally Identifiable Information; SI-12—Information Management and Retention; SC-28—Protection of Information at Rest; SC-28(1)—Cryptographic Protection. Additional information on individual security and privacy controls is available on the NIST Cybersecurity and Privacy Reference Tool web page, https://csrc.nist.gov/projects/cprt/catalog#/cprt/framework/version/SP_800_53_5_1_1/home.

Verification certificate authentication. Public users (search users) will require *Login.gov* credentials to access the Retirement Savings Lost and Found. Top industry standards for data encryption will be used to encrypt data while at rest¹⁷ and in transit.¹⁸

VII. Miscellaneous

A. Fiduciary Duty To Mitigate Cybersecurity Risk

Several commenters raised concerns about data security and their potential exposure to liability under ERISA in the event of a future data breach involving the Retirement Savings Lost and Found. The commenters acknowledged that fiduciaries have an obligation under section 404 of ERISA to take prudent measures to protect participants' personal information and mitigate cybersecurity risks. The commenters stated that the April 2024 Proposed ICR and the September 2024 Revised ICR both had failed to provide sufficient information about the Retirement Savings Lost and Found's security features to enable plan fiduciaries to prudently conclude that furnishing the information described in the proposals would not constitute a breach of ERISA's fiduciary duties. The commenters concluded that this may prevent a significant number of plans from responding to the voluntary information collection request. The commenters requested a detailed description of the Retirement Savings Lost and Found's security protocols and asked that the Department agree to indemnify and otherwise make whole plans and their recordkeepers for losses that occur as result of a data security failure attributable to the Retirement Savings Lost and Found.

The Department agrees with these commenters that responsible plan fiduciaries have an obligation to ensure proper mitigation of cybersecurity risks, which includes using only services that follow strong cybersecurity practices. The Department had not finished constructing the security protocols at the time of publication of the September 2024 Revised ICR. A more fulsome description, however, now is contained in Section VI F, above, of this notice.

The Department has taken great care to ensure the security and confidentiality of participant data and reassure plan fiduciaries that if they

voluntarily furnish data in response to this information collection request and follow the instructions for transmitting the data to the Department, they will have satisfied their duty under section 404 of ERISA to ensure proper mitigation of cybersecurity risks. Accordingly, plan fiduciaries' submission of data to the Retirement Savings Lost and Found in accordance with the system's instructions on submissions will not violate fiduciaries' duties of prudence and loyalty, but rather would promote participant interests in securing promised benefits in accordance with those obligations. Such plan fiduciaries will not be subject to liability under ERISA for the Department's conduct in the event of a future security failure involving Retirement Savings Lost and Found. In light of this opinion, the Department need not address the commenters' request for indemnification.

B. State Privacy Laws

Several commenters requested that the Department address the interaction of state privacy laws with this voluntary information collection request. They raised concerns about potential liability under ERISA's fiduciary provisions and state law in connection with voluntarily furnishing certain personal information (e.g., name, social security number, telephone number, date of birth, mailing address) under this information collection request without first obtaining participant consent.

The Department notes that section 523(e) of ERISA explicitly authorizes the Department to collect, among other information, the "name and taxpayer identifying number" of affected participants or former participants. The Department also observes, however, that state privacy laws vary in their scope and application, it is unclear whether any apply in the specific circumstances at hand, and commenters acknowledged such laws often contain an exemption for information provided to government authorities to comply with a regulatory inquiry. No commenter suggested that the Department is not a government authority or that the Retirement Savings Lost and Found is not a proper regulatory function in light of section 523 of ERISA.

Further, the Department narrowed this voluntary information collection request to two pieces of sensitive data—the participant's name and social security number—both of which the plan has already reported to the federal government under section 6057 of the Code for purposes aligned with and integrated with the Retirement Savings Lost and Found. In any event, the

Department will not take enforcement action under ERISA against any plan fiduciary, or recordkeeper or other party acting on behalf of the plan, for responding to this information collection request without first obtaining participant consent to the extent required by state law provided that the plan fiduciary acts reasonably and in good faith in responding to this information collection request.¹⁹ The Department believes this enforcement policy, in combination with the narrower scope of the revised information collection request and participants' right to opt out of Retirement Savings Lost and Found, discussed in sections VI and VII of this notice, below, addresses the commenters' concerns. However, individuals with residual concerns or unique circumstances are encouraged to contact the Department directly for additional assistance.

C. User Authentication as Protection

Some commenters raised concerns that establishing a publicly accessible online searchable database storing sensitive personal information, such as social security numbers or information regarding retirement account balances, introduces the risk that this information will be disclosed to an unintended audience or possibly used for fraud. Generally, these commenters urged the Department to limit information returned from a search of the Retirement Savings Lost and Found to that related to the individual conducting the search and to prevent data "scraping," a process used by certain "property finders," "recovery agents," "heirfinders," and other data aggregators to mass collect information using other publicly accessible databases such as those used to search state unclaimed property funds. The Department understands these concerns and incorporated limitations to the search function to address this risk, such as requiring an authenticated account for each user searching the Retirement Savings Lost and Found and producing search results particularized to that account holder. Unlike other publicly available property search tools and engines, no general list of information will be accessible for the public to view. As such, the risk for unintended disclosure, or fraud using information collected from the Retirement Savings Lost and Found, is thoroughly mitigated.

¹⁹ However, no party acting on behalf of a plan may furnish information in response to this information collection request without the approval or consent of a responsible plan fiduciary.

¹⁷ While at rest, the Amazon RDS encrypted DB instances will use the industry standard AES-256 encryption algorithm to encrypt the data on the server that hosts the Amazon RDS DB instances.

¹⁸ In transit, data passed from the user to the application will be protected via standard HTTPS/SSL encryption and data from the database to the application will be protected by TLS encryption.

D. Use of Plan Assets To Pay Cost of Voluntary Reporting

Commenters requested clarification regarding whether, and the extent to which, plan assets may be used, consistent with ERISA's fiduciary duties, to pay the cost of voluntary reporting. Commenters mentioned that such cost includes the cost associated with collecting, formatting, and transmitting the data, and that the scope of the final ICR obviously will impact costs. In the Department's view, the reasonable cost of voluntarily reporting the data under the revised ICR is a permissible use of plan assets because the purpose of the reporting is to connect separated vested participants with benefits owed them under the plan.

E. Participants' Opt-Out Rights

Commenters requested clarification on how participants may exercise their statutory right to opt-out of Retirement Savings Lost and Found. Section 523(c)(2) of ERISA provides that in establishing the Retirement Savings Lost and Found, the Department, in consultation with the Secretary of the Treasury, shall take all necessary and proper precautions to "allow any individual to contact the Secretary to opt out of inclusion in the Retirement Savings Lost and Found." Commenters observed that the Proposed ICR did not describe an opt-out mechanism or procedure.

The Department considered a number of options to enable participants to exercise this statutory right in a convenient and easy-to-use mechanism for participants of all ages, backgrounds, and abilities. Initially, participants may opt out online by submitting a request at <https://www.dol.gov/agencies/ebsa/about-ebsa/ask-a-question/ask-ebsa>. Instructions will guide the participant. If a participant chooses to opt out of the Retirement Savings Lost and Found, the participant's data will be suppressed from appearing in searches of the Retirement Savings Lost and Found. The participant's decision will be documented and referred to database administrators for execution. The Department is also considering adding an online self-service opt out feature directly to the Retirement Savings Lost and Found, but this option is not available now.

F. Alternatives to the Voluntary Information Collection Request

Commenters recommended the Department consider alternative methods for implementing the Retirement Savings Lost and Found.

One commenter suggested that the Department consider hiring a private sector contractor to operate the Retirement Savings Lost and Found. Another commenter stated its concern that the voluntary ICR approach to populating the Retirement Savings Lost and Found with information will compromise the content and effectiveness of the Retirement Savings Lost and Found and, thus, the Department should abandon its current approach and instead proceed to formal notice and comment rulemaking.

The Department declines to abandon its current approach. The current approach gives the Department the best chance to comply with the Congressional directive in a timely manner. Under its current approach, the Department has substantially reduced the burden of responding to the ICR. In addition, the current approach does not foreclose the Department from making improvements to the Retirement Savings Lost and Found in the future. The Department will take the comments received on the ICR into consideration regarding any future improvements.

In response to commenters' requests that the Department continue to work with IRS, SSA, or both, to obtain Form 8955-SSA information directly from those agencies instead of requesting such information from plan administrators, the Department notes that it has continued its discussions with both agencies and believes it will be able to use the Form 8955-SSA data. The Department notes, however, that the Form 8955-SSA data may often be inaccurate, outdated, or incomplete.²⁰ For instance, current recordkeeper data can show if benefits have been paid out, whereas Form 8955-SSA data likely cannot. Access to payout data is critical to keep the Retirement Savings Lost and Found current and reduce the instances of "false positive" search results mentioned by the commenters. These commenters strongly encouraged the Department to make every possible effort to maintain as up-to-date information as possible so that the public has confidence in the integrity of Retirement Savings Lost and Found. To that end, even if Form 8955-SSA data is received, such data would stand to benefit if supplemented by current recordkeeper data. The minimal voluntary data collection requested here will enable plans and plan sponsors to ensure the accuracy of information contained in the database. Accurate data

will serve the interests of both plans and plan participants in obtaining benefits to which they are truly entitled and in avoiding search efforts and inquiries to plans and plan sponsors based on erroneous information. As noted above, the current approach also gives the Department the best chance to comply with the Congressional directive in a timely manner.

G. Multi-Vendor Plans

Commenters raised a concern with what they described as "multi-vendor" situations. Commenters explained that this occurs when a plan has more than one recordkeeper, such as in the case of ERISA-covered 403(b) plans. Commenters stated that the Department did not address this situation in the April 2024 Proposed ICR or the September 2024 Revised ICR. Commenters are concerned that the Retirement Savings Lost and Found may not be able to intake and integrate more than one upload template for a single plan. The Department understands this issue and confirms that the Retirement Savings Lost and Found is able to accommodate multiple filings from different recordkeepers for the same plan.

H. Failure To Reflect Payments

Commenters raised concerns about what they described as "false positives." They described this as the potential for Retirement Savings Lost and Found searches to indicate that participants are owed previously distributed benefits if the Retirement Savings Lost and Found is not updated to reflect distributions. These commenters requested that the Department establish a mechanism for filers to submit data indicating that a participant has been paid their benefits. Otherwise, RSFL would show false positives, leading to confusion. These commenters suggested that the Department remove participants from the Retirement Savings Lost and Found once benefits are paid.

The Department agrees with these comments on the need to reduce confusion and is taking the following actions. Two data elements are being added to the Retirement Savings Lost and Found upload template in Columns V and W. These modifications permit the filer to indicate (1) whether the present value of the total accrued benefit has been paid and (2) the date of payment. In addition, when searchers run queries, Retirement Savings Lost and Found results will display the payment information captured in these new fields, if applicable. This outcome will mitigate the concerns raised by the commenters.

²⁰ United States Government Accountability Office, Report GAO-14-92 (Nov. 2013), Private Pensions: Clarity of Required Reports and Disclosures Could be Improved.

I. Use of Information Collected

One commenter expressed concern that the Department would use information collected under the ICR to audit plans. Information collected under the ICR is considered information collected under section 523 of ERISA. Section 523(f) of ERISA imposes limits on how the Department uses information collected under section 523. The Department will respect the limitations in section 523(f) in connection with information obtained under the ICR.

J. Use of Other Authorities Under ERISA To Collect Information

Some commenters requested that the Department retract previously made statements that it has the authority to collect information through

investigations and under a general grant of rulemaking authority. The Department declines to retract the statements. See sections 504 and 505 of ERISA. Questions regarding the Department's investigative authority and its general rulemaking authority are beyond the scope of this ICR.

K. Fiduciary Duty—Guidance on Missing Participants

One commenter requested that the Department issue guidance regarding the steps a plan fiduciary must take under section 404 to search for "missing" participants. This commenter's request is outside the scope of the ICR. In this connection, however, the Department notes that it has given extensive guidance with respect to missing participants, which

can be found on its website.²¹ If there are questions regarding that guidance or additional guidance needed on issues related to missing participants, interested parties should contact the Department with their questions or requests.

Signed at Washington, DC, this 14th day of November, 2024.

Lisa M. Gomez,

Assistant Secretary, Employee Benefits Security Administration, U.S. Department of Labor.

BILLING CODE 4510-29-P

²¹ See Compliance Assistance Release 2021-01; Best Practices for Pension Plans; and EBSA Field Assistance Bulletin 2021-01 (<https://www.dol.gov/agencies/ebsa/employers-and-advisers/plan-administration-and-compliance/retirement/missing-participants-guidance>).

Appendix A

Table.-- Lost and Found Data Upload Template

(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)	(L)
Guidance	Name of Plan as shown on Form 5500	(no dashes or spaces)		Plan Sponsor Employer Identification Number (EIN) (no dashes or spaces)	(no dashes or spaces)		Plan Administrator Employer Identification Number (EIN) (no dashes or spaces)	(if applicable)	Plan Administrator phone number (no dashes or spaces)	Street Address (room, apt. suite no. and street, or P.O. box)	City of Plan Administrator identified in column G
Requested Data	Name of Plan	Plan Number	Plan Sponsor Name	Employer Identification Number (EIN)	Plan Sponsor Phone Number	Plan Administrator Name	EIN	In Care of Name	Phone Number	Street Address	City
Example	ABC Plan	123	ABC Inc.	123456789	202456789	Doug Douglas	987654321	Smith	1234567777	PO Box 982902	El Paso
	DEF Plan	123	DEF Inc.	123456788	410456789	Stephen Stevens	123456789	Franks	1234567888	PO Box 982901	El Paso
	XYZ Plan	789	XYZ Inc.	123456777	301456789	Tom Thomas	123456788	Doyle	1234567899	1 Corporate Way	Philadelphia
	XYZ Plan	789	XYZ Inc.	123456777	301456789	Tom Thomas	123456788	Doyle	1234567899	1 Corporate Way	Philadelphia

Table.-- Lost and Found Data Upload Template (continued)

(M)	(N)	(O)	(P)	(Q)	(R)	(S)	(T)	(U)	(V)	(W)
State (XX) of Plan Administrator identified in column G	Zip (XXXXXX) of Plan Administrator identified in column G	Foreign Province/State (if applicable) of Plan Administrator identified in column G	Foreign Country (if applicable) of Plan Administrator identified in column G	Foreign Postal Code (if applicable) of Plan Administrator (no dashes or spaces) identified in column G	Enter the social security number (or ITIN if applicable) (no dashes or spaces) of each participant who (1) is a separated vested participant (as described in 26 U.S.C. 6057(a)(2)(C) (i) and (ii)), (2) is owed a benefit, and (3) has reached age 65 (or older).	First Name of Participant	Middle Name of Participant	Last Name of Participant	Leave blank if participant is owed a benefit. Enter "Paid" if this column was blank on a previous filing but a benefit has since been paid.	Enter date as MM/DD/YYYY
State	Zip	Foreign Province (or State)	Foreign Country	Foreign Postal Code	Separated Vested Participant Social Security Number	First Name	Middle Name	Last Name	Benefit Payment	Date of Benefit Payment
TX	79998				123456789	Mary	X	Washington		

TX	79999				123456789	George	X	Washington		
PA	19380				123456789	John	X	Adams		
PA	19380					Benjamin	X	Franklin		

Paperwork Reduction Act Statement

According to the Paperwork Reduction Act of 1995 (Pub. L. 104-13) (PRA), no persons are required to respond to a collection of information unless such collection displays a valid Office of Management and Budget (OMB) control number. The Department notes that a Federal agency cannot conduct or sponsor a collection of information unless it is approved by OMB under the PRA, and displays a currently valid OMB control number, and the public is not required to respond to a collection of information unless it displays a currently valid OMB control number. See 44 U.S.C. 3507. Also, notwithstanding any other provisions of law, no person shall be subject to penalty for failing to comply with a collection of information if the collection of information does not display a currently valid OMB control number. See 44 U.S.C. 3512.

The public reporting burden for this collection of information is estimated to have a three-year average approximately 70 hours per recordkeeper or plan submitting data to the Retirement Savings Lost and Found and 10 minutes per plan to provide consent. Interested parties are encouraged to send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, Office of Research and Analysis, Attention: PRA Clearance Officer, 200 Constitution Avenue, N.W., Room N-5718, Washington, DC 20210 or email ebsa.opr@dol.gov and reference the OMB Control Number 1210-NEW.

OMB Control Number 1210-0172 (expires 11/30/2027).

Appendix B**FILING INSTRUCTIONS
For
Retirement Savings Lost and Found Database****Upload Template**

These instructions explain how to provide information to the Department of Labor Employee Benefits Security Administration (EBSA) for the Retirement Savings Lost and Found Database. Giving us this information is voluntary.

Who may file

Retirement plan administrators and authorized third parties, such as the plan's recordkeeper, may provide information for the Retirement Savings Lost and Found.²²

What to file

The filing includes basic information about the plan, the plan sponsor and administrator, and separated vested participants.

When to file

Plans should file at least annually. However, we strongly encourage you to update the information more frequently, such as quarterly, to ensure the Retirement Savings Lost and Found stays up to date.

How to file

Step 1: Use the Retirement Savings Lost and Found template

This Microsoft Excel/CSV template is a table designed by the Department to collect details on separated vested participants who have reached age 65 and are owed a benefit. It also gathers basic information about their plans.

Step 2: File directly with the Retirement Savings Lost and Found

After filling in the template, upload the completed Excel/CSV file directly with the Retirement Savings Lost and Found at <https://lostandfound-intake.dol.gov/>. This method allows recordkeepers to file on behalf of multiple plans simultaneously. Filers must have a Login.gov account and must create a user profile.

Other information

²² A plan administrator is defined in section 3(16) of the Employee Retirement Income Security Act (ERISA). A retirement plan is defined in section 3(2).

Before filing, recordkeepers must have authorization from a responsible fiduciary of each plan whose information is being submitted. Plan administrators and recordkeepers should maintain documentation of the authorization in their records.²³

Do not file the Retirement Savings Lost and Found template through the EFAST2 Form 5500 filing system along with or as part of the plan's annual return/report.

Using the Retirement Savings Lost and Found template

The instructions below describe how to use each column on the template. References to specific lines on the Form 5500 or Form 5500-SF indicate precisely what information the filer should include in the relevant column.

You should enter information on a separate row for each person meeting the criteria specified in Column R. If a person meets the Column R criteria for more than one plan, enter the information on a separate row for each plan.

Do not include spaces or dashes between numbers for any numerical information, such as plan and identification numbers, telephone numbers, addresses, and Social Security numbers.

Column B – Name of Plan

- Enter the plan's formal name.
 - Line 1a of the most recently filed Form 5500, Form 5500-SF, or Schedule DCG.

Column C – Plan Number

- Enter the three-digit plan or entity number the employer or plan administrator assigned to the plan.
 - Line 1b of the Form 5500, Form 5500-SF, or Schedule DCG.

Column D – Plan Sponsor Name

- Enter the plan sponsor's name.
 - Line 2a of the Form 5500, Form 5500-SF, or Schedule DCG.

Column E – Plan Sponsor EIN

- Enter the nine-digit employer identification number (EIN).
 - Line 2b of the Form 5500, Form 5500-SF, or Schedule DCG.

Column F – Plan Sponsor Phone Number

- Enter the plan sponsor's 10-digit telephone number.
 - Line 2c of the Form 5500, Form 5500-SF, or Schedule DCG.

²³ The documentation should be maintained for a period of time equal to or greater than the period of time described in ERISA section 107.

Column G – Plan Administrator

- Enter the plan administrator’s name.
 - Line 3a of the Form 5500 or Form 5500-SF or Line 2a if the “Same as Plan Sponsor” Line 3a Box is checked.

Column H – Plan Administrator EIN

- Enter the plan administrator’s nine-digit EIN.
 - Line 3b of Form 5500 or Form 5500-SF or Line 2b if the “Same as Plan Sponsor” Line 3a Box is checked.

Column I – In Care of Name (if any)

- Enter any “in care of” name used or associated with the plan administrator.
 - Line 3a of the Form 5500 or Form 5500-SF or Line 2a if the “Same as Plan Sponsor” Line 3a Box is checked.

Column J – Plan Administrator Phone Number

- Enter the plan administrator’s 10-digit telephone number.
 - Line 3c of the Form 5500 or Form 5500-SF or Line 2c if the “Same as Plan Sponsor” Line 3a Box is checked.

Column K – Plan Administrator Street Address

- Enter the street address where the plan administrator receives mail (e.g., building number and street name or P.O. Box).
 - Line 3a of the Form 5500 or Form 5500-SF or Line 2a if the “Same as Plan Sponsor” Line 3a Box is checked.

Column L – Plan Administrator City

- Enter the name of the city or town where the plan administrator is located.
 - Line 3a of the Form 5500 or Form 5500-SF or Line 2a if the “Same as Plan Sponsor” Line 3a Box is checked.

Column M – Plan Administrator State

- Enter a two-letter abbreviation for the state or U.S. territory where the plan administrator is located.
 - Line 3a of the Form 5500 or Form 5500-SF or Line 2a if the “Same as Plan Sponsor” Line 3a Box is checked.
- If you are using a foreign address, leave this column blank and skip ahead to Column O.

Column N – Plan Administrator Zip Code

- Enter the plan administrator’s zip code.
 - Line 3a of the Form 5500 or Form 5500-SF or Line 2a if the “Same as Plan Sponsor” Line 3a Box is checked.

Column O – Plan Administrator Foreign Province/State (if applicable)

- Enter the plan administrator’s foreign province or state.
 - Line 3a for the Form 5500 or Form 5500-SF or Line 2a if the “Same as Plan Sponsor” Line 3a Box is checked.
- If you are using a foreign address, leave columns M and N blank.

Column P – Plan Administrator Foreign County Name (if applicable)

- Enter the plan administrator’s foreign country.
 - Line 3a of the Form 5500 or Form 5500-SF or Line 2a if the “Same as Plan Sponsor” Line 3a Box is checked.

Column Q – Plan Administrator Foreign Postal (Routing) Code (if applicable)

- Enter the plan administrator’s foreign country postal or routing code.
 - Line 3a of the Form 5500 or Form 5500-SF or Line 2a if the “Same as Plan Sponsor” Line 3a Box is checked.

Column R – Separated Vested Participant Social Security Number

- Enter the Social Security number or IRS Individual Taxpayer Identification Number (ITIN) of each participant who:
 - is a separated vested participant,²⁴
 - is owed a benefit from the plan, and
 - has reached age 65 or older.
- You should include:
 - deceased participants who would have been age 65 or older if they had survived and whose beneficiary is entitled to a benefit,
 - separated vested participants aged 65 or older whose benefits were conditionally forfeited under Treasury Regulation section 1.411(a)-4(b)(6), and
 - separated vested participants aged 65 or older who are in pay status.

Column S – First Name of Participant

- Enter the first name of the participant whose social security number or ITIN is listed in Column R.

Column T – Middle Name of Participant (if applicable)

- Enter the middle name of the participant referenced in Column R.

Column U – Last Name of Participant

- Enter the last name of the participant referenced in Column R.

²⁴ Separated vested participants are described in 26 U.S.C. 6057(a)(2)(C)(i) and (ii). This includes persons previously reported on Form 8955-SSA or former Schedule SSA of Form 5500.

Column V – Benefit Payment

- Leave blank if participant referenced in R is owed a benefit from the plan. Enter “Paid” if, in any prior filing, column V was blank, but since the benefits were fully paid.

Column W – Date of Benefit Payment

- If “Paid” is entered in Column V, enter the date of payment in the MM/DD/YYYY format.

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BILLING CODE 4510–29–C

DEPARTMENT OF LABOR

Agency Information Collection Activities; Submission for OMB Review; Comment Request, Strengthening Community Colleges Training Grant Program Round 4 (SCC4) Evaluation, New Collection

AGENCY: Office of Employment and Training Administration, Chief Evaluation Office, Department of Labor.
ACTION: Notice of information collection; request for comment.

SUMMARY: The Department of Labor (DOL), as part of its continuing effort to reduce paperwork and respondent burden, conducts a preclearance consultation program to provide the general public and federal agencies with an opportunity to comment on proposed and/or continuing collections of information in accordance with the Paperwork Reduction Act of 1995 (PRA95). This program helps to ensure that requested data can be provided in the desired format, reporting burden (time and financial resources) is minimized, collection instruments are clearly understood, and the impact of collection requirements on respondents is properly assessed. Currently, the Department of Labor is soliciting comments concerning the collection of data for an evaluation of the fourth round of the Strengthening Community Colleges Training Grants Program. A copy of the proposed Information Collection Request (ICR) can be obtained by contacting the office listed below in the addressee section of this notice.

DATES: Written comments must be submitted to the office listed in the addressee section below on or before January 21, 2025.

ADDRESSES: You may submit comments by either one of the following methods:
Email: ChiefEvaluationOffice@dol.gov; *Mail or Courier:* Suchitra Akmanchi, Chief Evaluation Office,

OASP, U.S. Department of Labor, Room S–2312, 200 Constitution Avenue NW, Washington, DC 20210. *Instructions:* Please submit one copy of your comments by only one method. All submissions received must include the agency name and OMB Control Number identified above for this information collection. Comments, including any personal information provided, become a matter of public record. They will also be summarized and/or included in the request for OMB approval of the information collection request.

FOR FURTHER INFORMATION CONTACT: Suchitra Akmanchi by email at ChiefEvaluationOffice@dol.gov or by phone at (202) 693 8935.

SUPPLEMENTARY INFORMATION:

I. Background: The Chief Evaluation Office (CEO) and the Employment and Training Administration (ETA) in the U.S. Department of Labor (DOL) are partnering to commission an evaluation of the fourth round of the Strengthening Community Colleges Training Grants Program (SCC4). The program, which awarded grants to sixteen community college grantees, aims to enable community colleges to adopt and enhance evidence-based career pathways programs that lead to equitable access to employment opportunities in good jobs aligned with locally in-demand industries. The SCC4 evaluation will shed light on which programs are effective for which types of students, why they are effective, and the core components that support success. In addition, SCC4 will build on existing evidence regarding successful programs in community college settings and advance the understanding of how career pathways programs promote equitable outcomes. The SCC4 Evaluation includes two components: (1) an impact study to measure the effects of SCC4 grant program strategies on participant outcomes and (2) an implementation study to understand program implementation. The impact study will include both a quasi-experimental design (QED) and a randomized controlled trial (RCT) each with a subset of grantees. Impact study

grantees were identified during an evaluability assessment in summer 2024, during which they were assessed on a series of criteria including the potential number of enrolled students, interest in and feasibility of random assignment of students to treatment and control service groups, and a notable difference in the services available to treatment and control group students. Five community college sites from four grantees will be included in the RCT portion of the study. Twelve grantees will be included in the QED study. The implementation study will include all sixteen grantees and examine the context of SCC4 programs, including how grantees integrate employer perspectives and student voice to shape programming. The evaluation will occur over four years (2024 to 2028). The evaluation will rely on rigorous study procedures and high-quality data. To facilitate these studies, the evaluation will use several instruments, which will be used during site visits, phone calls, and surveys with SCC4-involved staff and participating students.

This **Federal Register** Notice provides the opportunity to comment on the following proposed data collection instruments that the evaluation will use:
Impact Study:

1. *Participant baseline survey and consent form.* Survey of 6,000 impact study participants to collect basic demographic information, interest in receiving support services, and current employment and earnings information.
2. *Participant follow-up survey.* Follow-up survey of 1,800 impact study participants focused on job quality, job characteristics, and overall well-being.
3. *Service receipt logs.* As part of the impact study data, grantee staff will track information frequency and type of the services they provide to participants. Fifteen grantee staff across the five participating impact study sites, will be responsible for inputting information into these logs.

Implementation Study:

The implementation study will use the following data collections to understand the education and economic