

Commission believes that these proposed changes will protect investors and the public interest by helping to ensure the clarity and accuracy of the Exchange's rules.

#### IV. Solicitation of Comments on Amendment No. 1

Interested persons are invited to submit written data, views, and arguments concerning whether Amendment No. 1 is consistent with the Act. Comments may be submitted by any of the following methods:

##### *Electronic Comments*

- Use the Commission's internet comment form (<http://www.sec.gov/rules/sro.shtml>); or
- Send an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File Number SR-MRX-2022-10 on the subject line.

##### *Paper Comments*

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549-1090.

All submissions should refer to File Number SR-MRX-2022-10. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's internet website (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for website viewing and printing in the Commission's Public Reference Room, 100 F Street NE, Washington, DC 20549 on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of the filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change. Persons submitting comments are cautioned that we do not redact or edit personal identifying information from comment submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-MRX-2022-10, and should be submitted on or before October 18, 2022.

#### V. Accelerated Approval of Proposed Rule Change, as Modified by Amendment No. 1

The Commission finds good cause to approve the proposed rule change, as modified by Amendment No. 1, prior to the thirtieth day after the date of publication of the notice of Amendment No. 1 in the **Federal Register**. As discussed more fully above, Amendment No. 1 revises MRX Options 3, Sections 7, 11, 12, and 13 to indicate that certain order types requiring stock-tied functionality will be implemented at a later date as part of the Exchange's technology migration. In addition, Amendment No. 1 corrects an error in the text of the proposed rules, replaces references to SR-MRX-2022-5P with references to SR-MRX-2022-16, and adds references to the "internal BBO" to the proposed Complex Preferred Order rules and to the Qualified Contingent Cross Order and Complex Qualified Contingent Cross Order rules. The Commission believes that Amendment No. 1 raises no novel regulatory issues. Amending MRX's rules to note the delayed implementation of certain order types will help to provide members with notice regarding the order types that will not be available immediately following MRX's migration to its new trading platform. Adding references to the internal BBO to the Qualified Contingent Cross Order and Complex Qualified Contingent Cross Order rules will help to effectively maintain the existing pricing requirements currently applicable to the option leg(s) of those orders, and adding a reference to the internal BBO to the Complex Preferred Order rules will provide consistency with MRX's single-leg Preferred Order rules. The correction in the proposed rule text will help to ensure the accuracy of the Exchange's rules and the addition of references to SR-MRX-2022-16 will help to ensure the completeness and accuracy of the proposal. Accordingly, the Commission finds good cause for approving the proposed rule change, as modified by Amendment No. 1, on an accelerated basis.

#### VI. Conclusion

*It is therefore ordered*, pursuant to Section 19(b)(2) of the Act,<sup>132</sup> that the proposed rule change (SR-MRX-2022-10), as modified by Amendment No. 1, is approved on an accelerated basis.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>133</sup>

**J. Matthew DeLesDernier**,  
*Deputy Secretary*.

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#### SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-95855]

#### Notice of Intention To Cancel Registrations of Certain Transfer Agents

Notice is hereby given that the Securities and Exchange Commission ("Commission") intends to issue an order, pursuant to Section 17A(c)(4)(B) of the Securities Exchange Act of 1934 ("Act"),<sup>1</sup> cancelling the registrations of the transfer agents whose names appear in the attached Appendix.

**FOR FURTHER INFORMATION CONTACT:** Moshe Rothman, Assistant Director, or Catherine Whiting, Senior Counsel, at (202) 551-4990, U.S. Securities and Exchange Commission, Division of Trading and Markets, 100 F Street NE, Washington, DC 20549 or by email at [tradingandmarkets@sec.gov](mailto:tradingandmarkets@sec.gov) with the phrase "Notice of Intention to Cancel Transfer Agent Registration" in the subject line.

*Background:* Section 17A(c)(4)(B) of the Act provides that if the Commission finds that any transfer agent registered with the Commission is no longer in existence or has ceased to do business as a transfer agent, the Commission shall by order cancel that transfer agent's registration.

Although the Commission has made efforts to locate and to determine the status of each of the transfer agents listed in the Appendix, based on the facts it has, the Commission believes that each of those transfer agents is no longer in existence or has ceased doing business as a transfer agent. Accordingly, at any time after November 1, 2022, the Commission intends to issue an order cancelling the registrations of the transfer agents listed in the Appendix.

The representative of any transfer agent listed in the Appendix who believes the registration of the transfer agent should not be cancelled must notify the Commission by email within 30 days after the notice date. Email notifications must be sent to [tradingandmarkets@sec.gov](mailto:tradingandmarkets@sec.gov) with the phrase "Notice of Intention to Cancel

<sup>133</sup> 17 CFR 200.30-3(a)(12).

<sup>1</sup> 15 U.S.C. 78q-1(c)(4)(B).

<sup>132</sup> 15 U.S.C. 78s(b)(2).

Transfer Agent Registration” in the subject line.

For the Commission by the Division of Trading and Markets, pursuant to delegated authority.<sup>2</sup>

Dated: September 21, 2022.  
**J. Matthew DeLesDernier,**  
*Deputy Secretary.*

## APPENDIX

Transfer agent name	File No.
Advanced Fund Administration, LLC	084-06396
Ameritor Financial Corp	084-00018
Andesa Services, Inc	084-06233
Bank Of Commerce & Trust Co	084-06235
Colbent Corp	084-05927
Cronos Capital Corp	084-00977
Donald Rivers Goolsby Whfit	084-06560
Dynamic Transfer Services Corp	084-06394
Fidelity Transfer Services, Inc	084-06405
Financial Data Services Inc	084-01339
First National Bank In Sioux Falls	084-06228
Foresight Asset Management LLC	084-06051
Gartmore Investors Services, Inc	084-06229
Grohe Aktiengesellschaft	084-06022
Gulf Registrar And Transfer Corp	084-06136
Hartford Investor Services Co LLC	084-05882
Interstate Transfer Co	084-05573
M & K Produce Inc	084-06183
National Western Life Insurance Co	084-00693
Orbitex Fund Services Inc	084-01493
Orion Share Transfer LLC	084-06295
Patriot Stock Transfer LLC	084-06382
Portfolios Inc	084-05551
Preferred Partnership Services Inc	084-05747
Presidential Life Corp	084-00816
Pyxis Global Financial Services	084-06463
Republic Stock Transfer Inc	084-01124
Reserve Fund	084-00449
Reserve Management Corp	084-05838
Reserve Petroleum Co	084-00630
Reserve Short-Term Investment Trust	084-06156
Retirement System Consultants Inc	084-01972
SCC Transfer, LLC	084-06579
Seligman Common Stock Fund Inc	084-00503
Seligman Core Fixed Income Fund Inc	084-05921
Seligman High Income Fund Series	084-01266
Seligman New Jersey Municipal Fund Inc	084-01686
Seligman Pennsylvania Municipal Fund Series Inc	084-01486
Seligman Select Municipal Fund Inc	084-01896
Seligman Tax-Aware Fund, Inc	084-05894
Tass LLC	084-06115
The Provo Group, Inc	084-05890
Travelers Rest Resort Inc	084-06056
Truman Stock Transfer LLC	084-06320
Universal Stock Transfer Co., Inc	084-06308
Wall Street Transfer Agents Inc	084-06203
West Coast Stock Transfer, Inc	084-06138
American Heritage Stock Transfer, Inc	084-06137
Dominion Filing And Transfer Inc	084-06514
European Fund Services S.A	084-06182
Pioneer Global Investments Ltd	084-05682
Law Debenture Trust Co Of New York	084-06087

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<sup>2</sup> 17 CFR 200.30-3(a)(22).