

stakeholders relevant to the issues. The survey format will differ depending on the project, but may be conducted in one or more of the following ways, both in-person and virtually: Individual or group interviews, individual or group discussions, or written surveys. The survey requests information such as stakeholder understanding of the particular issue, stakeholder interests in the particular issue, appropriate stakeholders, methods of engagement with the issue, and other similar information that will allow FMCS to best create a successful process. A link to the survey is found here: [https://tags.fmcs.gov/4DAction/FC/DoAsynchTop?Fedreg\\*UPPJ\\*919/10300](https://tags.fmcs.gov/4DAction/FC/DoAsynchTop?Fedreg*UPPJ*919/10300). To log in, go to: <https://tags.fmcs.gov/>, use user name "Fedreg" and password "UPPJ." The collection of such information is critical for ensuring the appropriate process, stakeholders, and stakeholder input in the process. No other collections are being conducted that would provide this information to FMCS.

**Burden:** The current total annual burden estimate is that FMCS will receive information from approximately 15,000 respondents per year. Interviews and discussions would be approximately thirty minutes in duration. Written surveys would take approximately ten minutes to complete. FMCS expects the total burden to not exceed 2,535 hours per year.

## II. Request for Comments

FMCS solicits comments to:

i. Evaluate whether the proposed collections of information are necessary for the proper performance of the functions of the agency, including whether the information will have practical utility.

ii. Enhance the accuracy of the agency's estimates of the burden of the proposed collection of information.

iii. Enhance the quality, utility, and clarity of the information to be collected.

iv. Minimize the burden of the collections of information on those who are to respond, including the use of appropriate automated, electronic collection technologies or other forms of information technology.

## III. The Official Record

The official records are electronic records.

## List of Subjects

Information Collection Requests.

Dated: March 11, 2022.

**Sarah Cudahy,**

*Senior Advisor, Federal Mediation and Conciliation Service.*

[FR Doc. 2022-05543 Filed 3-15-22; 8:45 am]

**BILLING CODE 6732-01-P**

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Centers for Medicare & Medicaid Services

[CMS-2436-N]

RIN 0938-ZB62

### Medicaid Program; Final FY 2018, Final FY 2019, Preliminary FY 2020, and Preliminary FY 2021 Disproportionate Share Hospital Allotments, and Final FY 2018, Final FY 2019, Preliminary FY 2020, and Preliminary FY 2021 Institutions for Mental Diseases Disproportionate Share Hospital Limits

**AGENCY:** Centers for Medicare & Medicaid Services (CMS), Department of Health and Human Services (HHS).

**ACTION:** Notice.

**SUMMARY:** This notice announces the final Federal share (FS) disproportionate share hospital (DSH) allotments for Federal fiscal year (FY) 2018 and FY 2019, and the preliminary FS DSH allotments for FY 2020 and FY 2021. This notice also announces the final FY 2018 and FY 2019 and the preliminary FY 2020 and FY 2021 limitations on aggregate DSH payments that States may make to institutions for mental disease and other mental health facilities. In addition, this notice includes background information describing the methodology for determining the amounts of States' FY DSH allotments.

**DATES:** The allotments announced in this notice are effective April 15, 2022. The final allotments and limitations set forth in this notice are applicable for the fiscal years specified.

**FOR FURTHER INFORMATION CONTACT:** Stuart Goldstein, (410) 786-0694 and Richard Cuno, (410) 786-1111.

**SUPPLEMENTARY INFORMATION:**

#### I. Background

##### A. Fiscal Year DSH Allotments

A State's Federal fiscal year (FY) disproportionate share hospital (DSH) allotment represents the aggregate limit on the Federal share (FS) amount of the State's DSH payments to DSH hospitals in the State for the FY. The amount of such allotment is determined in accordance with the provisions of section 1923(f) of the Social Security

Act (the Act), with some State-specific exceptions as specified in section 1923(f) of the Act. Under such provisions, in general, a State's FY DSH allotment is calculated by increasing the amount of its DSH allotment for the preceding FY by the percentage change in the Consumer Price Index for all Urban Consumers (CPI-U) for the previous FY.

The Patient Protection and Affordable Care Act of 2010 (Pub. L. 111-148), as amended by the Health Care and Education Reconciliation Act of 2010 (Pub. L. 111-152) (collectively, the Affordable Care Act), amended Medicaid DSH provisions, adding section 1923(f)(7) of the Act. Section 1923(f)(7) of the Act would have required reductions to States' FY DSH allotments from FY 2014 through FY 2020, the calculation of which was described in the Disproportionate Share Hospital Payment Reduction final rule published in the September 18, 2013 **Federal Register** (78 FR 57293). Subsequent legislation, most recently the Consolidated Appropriations Act, 2021 (Pub. L. 116-260, enacted December 27, 2020), delayed the start of these reductions until FY 2024. The final rule delineating a revised methodology for the calculation of DSH allotment reductions beginning in 2020 (subsequently delayed by further statutory enactment) was published in the September 25, 2019 **Federal Register** (84 FR 50308).

Because there are no reductions to DSH allotments for FY 2018 through FY 2023 under section 1923(f)(7) of the Act, as amended, this notice contains only the State-specific final FY 2018 and FY 2019 DSH allotments and preliminary FY 2020 and FY 2021 DSH allotments, as calculated under the statute without application of the reductions that would have been imposed beginning as early as FY 2014 under prior versions of section 1923(f)(7) of the Act. This notice also provides information on the calculation of the FY DSH allotments, the calculation of the States' institution for mental diseases (IMD) DSH limits, and the amounts of States' final FY 2018 and FY 2019 IMD DSH limits and preliminary FY 2020 and FY 2021 IMD DSH limits.

##### B. Determination of Fiscal Year DSH Allotments

Generally, in accordance with the methodology specified under section 1923(f)(3) of the Act, a State's FY DSH allotment is calculated by increasing the amount of its DSH allotment for the preceding FY by the percentage change in the CPI-U for the previous FY. Also, in accordance with section 1923(f)(3) of

the Act, a State's DSH allotment for a FY is subject to the limitation that an increase to a State's DSH allotment for a FY cannot result in the DSH allotment exceeding the greater of the State's DSH allotment for the previous FY or 12 percent of the State's total medical assistance expenditures for the allotment year (this is referred to as the 12 percent limit).

Furthermore, under section 1923(h) of the Act, Federal financial participation (FFP) for DSH payments to IMDs and other mental health facilities is limited to State-specific aggregate amounts. Under this provision, the aggregate limit for DSH payments to IMDs and other mental health facilities is the lesser of a State's FY 1995 total computable (State and FS) IMD and other mental health facility DSH expenditures applicable to the State's FY 1995 DSH allotment (as reported on the Form CMS-64 as of January 1, 1997), or the amount equal to the product of the State's current year total computable DSH allotment and the applicable percentage specified in section 1923(h) of the Act.

### *C. Determination of Fiscal Year DSH Allotments for FY 2020 and FY 2021*

The Families First Coronavirus Response Act's (FFCRA) (Pub. L. 116-127, enacted March 18, 2020) temporary Federal medical assistance percentage (FMAP) increase of 6.2 percentage points went into effect on January 1, 2020 for eligible States, as provided in section 6008 of the FFCRA. As relevant to this notice, this FMAP increase applies to eligible Medicaid expenditures including DSH payments for FY 2020 (with the exception of the 1st quarter, from October 1, 2019 through December 31, 2019), and FY 2021, and all States currently are receiving the temporary FFCRA FMAP increase. For States that exhaust their entire DSH allotment, the FFCRA FMAP increase would effectively reduce the amount of total computable (TC) DSH payments that such States could pay to qualifying providers.

To avoid this reduction in TC DSH allotments, section 9819 of the American Rescue Plan Act of 2021 (ARP) (Pub. L. 117-2, enacted March 11, 2021) added section 1923(f)(3)(F) of the Act, adjusting FS DSH allotments during periods when and for States where the temporary 6.2 percentage point FMAP increase under section 6008 of the FFCRA is in effect. As directed by the ARP, we are required to recalculate FS DSH allotments to an amount that will allow States to make the same amount of TC DSH payments as they would have been otherwise able

to make in the absence of the FFCRA FMAP increase.

In accordance with section 1923(f)(3)(B) of the Act, a State's DSH allotment for a FY is subject to the limitation that an increase to a State's DSH allotment for a FY cannot result in the DSH allotment exceeding the greater of the State's DSH allotment for the previous FY or 12 percent of the State's total medical assistance expenditures for the allotment year. Because States incur medical assistance expenditures throughout the fiscal year, the calculations for the 12 percent limit under section 1923(f)(3)(B)(ii) of the Act were performed using a prorated FMAP for FY 2020. To arrive at the stated limits, we prorated each State's FY 2020 FMAP rate because the temporary 6.2 percentage point FMAP increase under section 6008 of the FFCRA does not apply to the 1st quarter of FY 2020. For the calculation of the 12 percent limit for FY 2021, we used the FFCRA FMAP rate (that is, the otherwise applicable FMAP rate plus the temporary 6.2 percentage point FFCRA FMAP increase), because the FFCRA FMAP rate applies to the entire FY for qualifying States, and medical assistance expenditures are made throughout the year.

Section 1923(f)(3)(F)(i) of the Act requires us to recalculate the annual DSH allotment, including the DSH allotment specified under paragraph (6)(A)(vi), to ensure that the total DSH payments (including both Federal and State shares) that a State may make related to a fiscal year is equal to the total DSH payments that the State could have made for such fiscal year without such FMAP increase. To meet the statutory requirement to enable States to make the same amount of TC DSH payments as if the FFCRA FMAP increase were not in effect, we have used the full (non-prorated) FFCRA-increased FMAP rate in the calculation of the increased FY 2020 and FY 2021 FS DSH allotments. We used the full FFCRA-increased FMAP rate rather than a prorated FMAP rate for the FY 2020 calculation, despite it not being applicable to the 1st quarter of FY 2020, to ensure this provision applies to all States consistent with the statutory requirement, including a State that made all DSH payments for FY 2020 in quarters other than the first fiscal quarter of that fiscal year.

While States have distinct payment methodologies that specify when DSH payments are made to providers, States may not claim TC DSH payments in excess of the amount they would have otherwise been able to claim without the application of the temporary 6.2

percentage point FFCRA FMAP rate increase. This is regardless of whether a portion of unspent FS DSH allotment as adjusted to account for section 1923(f)(3)(F) of the Act, as added by section 9819 of the ARP, remains. For example, if the State made all DSH payments for FY 2020 during the first quarter of that FY, then no increase to the State's DSH allotment is available for that year, since the temporary 6.2 percentage point FMAP increase under section 6008 of the FFCRA was not available for that quarter and section 1923(f)(3)(F) therefore has no effect. We will monitor both the FS and TC DSH allotments to ensure that States do not exceed statutory authority to claim DSH payments. Consistent with previous guidance by CMS during the public health emergency, States should follow existing Federal requirements regarding the applicability of a particular match rate available for a given quarter, including reporting prior period adjustments.

For calculation of the FY 2020 and FY 2021 IMD limits determined under section 1923(h) of the Act, we used the ARP-adjusted DSH allotments and the associated non-prorated FFCRA-increased FMAP rates for FY 2020 and FY 2021, to reflect the maximum DSH allotment amount and IMD limit that might be available to a State, for FY 2020, depending on the State's timing of DSH payments.

In general, we determine States' DSH allotments for a FY and the IMD DSH limits for the same FY using the most recent available estimates of or actual medical assistance expenditures, including DSH expenditures and the most recent available CPI-U data for the FY in accordance with the methodology prescribed in the statute. The indicated estimated or actual expenditures are obtained from States for each relevant FY from the most recent available quarterly Medicaid budget reports (Form CMS-37) or quarterly Medicaid expenditure reports (Form CMS-64), respectively, submitted by the States. For example, as part of the initial determination of a State's FY DSH allotment (referred to as the preliminary DSH allotments) that is determined before the beginning of the FY for which the DSH allotments and IMD DSH limits are being determined, we use estimated expenditures for the FY obtained from the August submission of the CMS-37 submitted by States prior to the beginning of the FY; such estimated expenditures are subject to update and revision during the FY before actual expenditure data become available. We also use the most recent available estimated CPI-U percentage change that

is available before the beginning of the FY for determining the States' preliminary FY DSH allotments; such estimated CPI-U percentage change is subject to update and revision during the FY before the actual CPI-U percentage change becomes available. In determining the final DSH allotments and IMD DSH limits for a FY we use the actual expenditures for the FY and actual CPI-U percentage change for the previous FY.

## II. Provisions of the Notice

### A. Calculation of the Final FY 2018 and FY 2019 FS State DSH Allotments and the Preliminary FY 2020 and FY 2021 FS State DSH Allotments

#### 1. Final FY 2018 FS State DSH Allotments

Addendum 1 to this notice provides the States' final FY 2018 DSH allotments determined in accordance with section 1923(f)(3) of the Act. As described in the background section, in general, the DSH allotment for a FY is calculated by increasing the FY DSH allotment for the preceding FY by the CPI-U increase for the previous fiscal year. For purposes of calculating the States' final FY 2018 DSH allotments, the preceding final fiscal year DSH allotments (for FY 2017) were published in the February 11, 2019 **Federal Register** (84 FR 3169). For purposes of calculating the States' final FY 2018 DSH allotments we are using the actual Medicaid expenditures for FY 2018. Finally, for purposes of calculating the States' final FY 2018 DSH allotments, the applicable historical percentage change in the CPI-U for the previous FY (FY 2017) was 2.1 percent; we note that this is lower than the estimated 2.4 percentage change in the CPI-U for FY 2017 that was available and used in the calculation of the preliminary FY 2018 DSH allotments which were published in the July 6, 2018 **Federal Register** (83 FR 31536).

#### 2. Final FY 2019 FS State DSH Allotments

Addendum 2 to this notice provides the States' final FY 2019 DSH allotments determined in accordance with section 1923(f)(3) of the Act. As described in the background section, in general, the DSH allotment for a FY is calculated by increasing the FY DSH allotment for the preceding FY by the CPI-U increase for the previous fiscal year. For purposes of calculating the States' final FY 2019 DSH allotments, the preceding final fiscal year DSH allotments (for FY 2018) are being published in this notice. For purposes of calculating the States' final FY 2019

DSH allotments we are using the actual Medicaid expenditures for FY 2019. Finally, for purposes of calculating the States' final FY 2019 DSH allotments, the applicable historical percentage change in the CPI-U for the previous FY (FY 2018) was 2.4 percent; we note that this is the same as the estimated 2.4 percentage change in the CPI-U for FY 2018 that was available and used in the calculation of the preliminary FY 2019 DSH allotments which were published in the February 11, 2019 **Federal Register** (84 FR 3169).

#### 3. Calculation of the Preliminary FY 2020 FS State DSH Allotments

Addendum 3 to this notice provides the preliminary FY 2020 DSH allotments determined in accordance with section 1923(f)(3) of the Act. The preliminary FY 2020 DSH allotments contained in this notice were determined based on the most recent available estimates from States of their FY 2020 total computable Medicaid expenditures and by increasing the preliminary FY 2019 DSH allotments. The applicable historical percentage change in the CPI-U for FY 2019 was 1.9 percent (we originally published the preliminary FY 2019 DSH allotments in the February 11, 2019 **Federal Register** (84 FR 3169)). We then used each State's FS DSH allotment divided by its respective regular FMAP rate in order to determine the TC amount of DSH payments each State would have otherwise been able to make without application of the FFCRA-increased FMAP rate. We then multiplied each State's TC DSH payment amount by its respective FFCRA-increased FMAP rate in order to calculate the increased FY 2020 DSH allotment.

We will publish States' final FY 2020 DSH allotments in a future notice based on the States' four quarterly Medicaid expenditure reports (Form CMS-64) for FY 2020 available following the end of FY 2020 utilizing the actual change in the CPI-U for FY 2019.

#### 4. Calculation of the Preliminary FY 2021 FS State DSH Allotments

Addendum 4 to this notice provides the preliminary FY 2021 DSH allotments determined in accordance with section 1923(f)(3) of the Act. The preliminary FY 2021 DSH allotments contained in this notice were determined based on the most recent available estimates from States of their FY 2021 total computable Medicaid expenditures and by increasing the preliminary FY 2020 DSH allotments calculated prior to the application of the ARP adjustment. The applicable historical percentage change in the CPI-

U for FY 2020 was 1.5 percent (we are publishing the preliminary FY 2020 DSH allotments in this notice). We then used each State's FS DSH allotment divided by its respective regular FMAP rate in order to determine the TC amount of DSH payments each State would have otherwise been able to make without application of the FFCRA-increased FMAP rate. We then multiplied each State's TC DSH payment amount by its respective FFCRA-increased FMAP rate in order to calculate the ARP-adjusted FY 2021 DSH allotment.

We will publish States' final FY 2021 DSH allotments in a future notice based on the States' four quarterly Medicaid expenditure reports (Form CMS-64) for FY 2021 available following the end of FY 2021.

### B. Calculation of the Final FY 2018 and FY 2019 and Preliminary FY 2020 and FY 2021 IMD DSH Limits

Section 1923(h) of the Act specifies the methodology to be used to establish the limits on the amount of DSH payments that a State can make to IMDs and other mental health facilities. FFP is not available for DSH payments to IMDs or other mental health facilities that exceed the IMD DSH limits. In this notice, we are publishing the final FY 2018 and FY 2019 and the preliminary FY 2020 and FY 2021 IMD DSH limits determined in accordance with the provisions discussed above.

Addendums 5 through 8 to this notice detail each State's final FY 2018 and FY 2019 and preliminary FY 2020 and FY 2021 IMD DSH limits, respectively, determined in accordance with section 1923(h) of the Act.

## III. Collection of Information Requirements

As it relates to the Paperwork Reduction Act of 1995 (PRA; 44 U.S.C. 3501 *et seq.*), this notice does not impose any new or revised "collection of information" requirements or burden. With respect to the PRA and this section of the preamble, collection of information is defined under 5 CFR 1320.3(c) of the PRA's implementing regulations. While discussed in sections I.B., I.C., II.A.3., II.A.4., and in Addendums 3 through 8 of this notice, the requirements and burden associated with form CMS-37 and form CMS-64 are unaffected by this notice. Both forms are approved by the Office of Management and Budget (OMB) under control number 0938-1265, which expires on April 30, 2024. Since this notice will not impose any new or revised collection of information requirements/burden, we are not

making any changes under that control number.

#### IV. Regulatory Impact Analysis

We have examined the impact of this notice as required by Executive Order 12866 on Regulatory Planning and Review (September 1993), the Regulatory Flexibility Act (RFA) (September 19, 1980, Pub. L. 96–354), section 1102(b) of the Act, section 202 of the Unfunded Mandates Reform Act of 1995 (Pub. L. 104–4; enacted on March 22, 1995) (UMRA '95), Executive Order 13132 on Federalism (August 4, 1999) and the Congressional Review Act (5 U.S.C. 804(2)).

Executive Order 12866 directs agencies to assess all costs and benefits of available regulatory alternatives and, if regulation is necessary, to select regulatory approaches that maximize net benefits (including potential economic, environmental, public health and safety effects, distributive impacts, and equity). A regulatory impact analysis (RIA) must be prepared for major rules with economically significant effects (\$100 million or more in any 1 year). This notice reaches the \$100 million economic threshold and thus has been designated a major rule under the Congressional Review Act by the Office of Information and Regulatory Affairs.

The final FY 2018 DSH allotments being published in this notice are \$36 million less than the preliminary FY 2018 DSH allotments published in the July 6, 2018 **Federal Register** (83 FR 31536). This is due to the actual percentage change in the CPI-U for FY 2017 used in the calculation of the final FY 2018 allotments (2.1 percent) being less than the estimated percentage change in the CPI-U for FY 2017 used in the calculation of the preliminary FY 2018 allotments (2.4 percent). The final FY 2018 IMD DSH limits being published in this notice are \$2.4 million less than the preliminary FY 2018 IMD DSH limits published in the July 6, 2018 **Federal Register** (83 FR 31536). Since the final FY 2018 DSH allotments were less than the preliminary FY 2018 DSH allotments, the associated FY 2018 IMD DSH limits also decreased.

The final FY 2019 DSH allotments being published in this notice are \$36 million less than the preliminary FY 2019 DSH allotments published in the February 11, 2019 **Federal Register** (84 FR 3169). The decrease in the final FY 2019 DSH allotments is a result of being calculated by multiplying the actual increase in the CPI-U for 2018 by the final FY 2018 DSH allotments, while the preliminary FY 2019 DSH allotments were calculated by multiplying the

estimated CPI-U for 2018 by the preliminary FY 2018 DSH allotments. Although the estimated and actual increase in the CPI-U remained the same at 2.4 percent, the preliminary FY 2018 DSH allotments were higher than the final FY 2018 DSH allotments and therefore the final FY 2019 DSH allotments are lower than the preliminary FY 2019 DSH allotments. The final FY 2019 IMD DSH limits being published in this notice are approximately \$2 million lower than the preliminary FY 2019 IMD DSH limits published in the February 11, 2019 **Federal Register** (84 FR 3169). The decreases in the IMD DSH limits are because the DSH allotment for a FY is a factor in the determination of the IMD DSH limit for the FY. Since the final FY 2019 DSH allotments were decreased as compared to the preliminary FY 2019 DSH allotments, the associated FY 2019 IMD DSH limits for some States were also decreased. This is a result of statutory provision, discussed above, that the aggregate limit for DSH payments to IMDs and other mental health facilities is the lesser of a State's FY 1995 total computable IMD and other mental health facility DSH expenditures applicable to the State's FY 1995 DSH allotment or the amount equal to the product of the State's current year total computable DSH allotment and the applicable percentage specified in section 1923(h) of the Act. As a result of the final FY 2019 DSH allotments decreasing from the preliminary FY 2019 DSH allotments, States that had applicable percentages of their current year's total computable DSH allotments lower than FY 1995 total computable IMD and other mental health facility DSH expenditures had their IMD limits decreased as a result.

The preliminary FY 2020 DSH allotments being published in this notice have been increased by approximately \$1.6 billion more than the preliminary FY 2019 DSH allotments published in the February 11, 2019 **Federal Register** (84 FR 3169). The increase in the DSH allotments is due to the application of the statutory formula for calculating DSH allotments under which the prior fiscal year allotments are increased by the percentage increase in the CPI-U for the prior fiscal year, and to the ARP adjustment, as discussed in more detail in the next paragraph. The preliminary FY 2020 IMD DSH limits being published in this notice are approximately \$246 million more than the preliminary FY 2019 IMD DSH limits published in the February 11, 2019 **Federal Register** (84 FR 3169). The

increases in the IMD DSH limits are because the DSH allotment for a FY is a factor in the determination of the IMD DSH limit for the FY. Since the preliminary FY 2020 DSH allotments are greater than the preliminary FY 2019 DSH allotments, the associated preliminary FY 2020 IMD DSH limits for some States also increased.

The preliminary FY 2020 DSH allotments (before application of the ARP adjustment) being published in this notice are approximately \$238 million more than the final FY 2019 DSH allotments being published in this notice. This increase is attributable to the application of the statutory formula for calculating DSH allotments under which the prior fiscal year allotments are increased by the percentage increase in the CPI-U for the prior fiscal year. The applicable historical percentage change in the CPI-U for FY 2019 was 1.9 percent. The preliminary FY 2020 DSH allotments were further increased by approximately \$1.4 billion in order to comply with the statutory provisions of the ARP requiring us to recalculate FS DSH allotments to an amount that will allow States to make the same amount of TC DSH payments as they would have been otherwise able to make in the absence of the FFCRA FMAP increase.

The preliminary FY 2021 DSH allotments (before application of the ARP adjustment) being published in this notice are approximately \$192 million more than the preliminary FY 2020 DSH allotments published in this notice. The increase in the DSH allotments is due to the application of the statutory formula for calculating DSH allotments under which the prior fiscal year allotments are increased by the percentage increase in the CPI-U for the prior fiscal year. The applicable historical percentage change in the CPI-U for FY 2020 was 1.5 percent. The preliminary FY 2020 DSH allotments were increased by approximately \$1.4 billion in order to comply with the statutory provisions of the ARP requiring us to recalculate FS DSH allotments to an amount that will allow States to make the same amount of TC DSH payments as they would have been otherwise able to make in the absence of the FFCRA FMAP increase. The preliminary FY 2021 DSH allotments were further increased by approximately \$1.4 billion in order to comply with the statutory provisions of the ARP requiring us to recalculate FS DSH allotments to an amount that will allow States to make the same amount of TC DSH payments as they would have been otherwise able to make in the absence of the FFCRA FMAP increase.

The preliminary FY 2021 IMD DSH limits being published in this notice are approximately \$16 million more than the preliminary FY 2020 IMD DSH limits published in this notice. The increases in the IMD DSH limits are because the DSH allotment for a FY is a factor in the determination of the IMD DSH limit for the FY. Since the preliminary FY 2021 DSH allotments are greater than the preliminary FY 2020 DSH allotments, the associated preliminary FY 2021 IMD DSH limits for some States also increased.

The RFA requires agencies to analyze options for regulatory relief of small businesses, if a rule has a significant impact on a substantial number of small entities. For purposes of the RFA, small entities include small businesses, nonprofit organizations, and small governmental jurisdictions. Most hospitals and most other providers and suppliers are small entities, either by nonprofit status or by having revenues of less than \$8.0 million to \$41.5 million in any one year. Individuals and States are not included in the definition of a small entity. We are not preparing an analysis for the RFA because the Secretary has determined that this notice will not have significant economic impact on a substantial number of small entities. Specifically, any impact on providers is due to the effect of the various controlling statutes; providers are not impacted as a result of the independent regulatory action in publishing this notice. The purpose of the notice is to announce the latest DSH allotments and IMD DSH limits, as required by the statute.

In addition, section 1102(b) of the Act requires us to prepare a regulatory impact analysis if a rule may have a significant impact on the operations of a substantial number of small rural hospitals. This analysis must conform to the provisions of section 604 of the RFA. For purposes of section 1102(b) of the Act, we define a small rural hospital as a hospital that is located outside of a Core-Based Statistical Area for Medicaid payment regulations and has fewer than 100 beds. We are not preparing analysis for section 1102(b) of the Act because the Secretary has determined that this notice will not have a significant impact on the operations of a substantial number of small rural hospitals.

The Medicaid statute specifies the methodology for determining the amounts of States' DSH allotments and IMD DSH limits; and as described

previously, the application of the methodology specified in statute results in the decreases or increases in States' DSH allotments and IMD DSH limits for the applicable FYs. The statute applicable to these allotments and limits does not apply to the determination of the amounts of DSH payments made to specific DSH hospitals; rather, these allotments and limits represent an overall limit on the total of such DSH payments. For this reason, we do not believe that this notice will have a significant economic impact on a substantial number of small entities.

Section 202 of the Unfunded Mandates Reform Act of 1995 also requires that agencies assess anticipated costs and benefits before issuing any rule whose mandates require spending in any 1 year of \$100 million in 1995 dollars, updated annually for inflation. In 2021, that threshold is approximately \$158 million. This notice will have no consequential effect on spending by State, local, or tribal governments, in the aggregate, or on the private sector.

Executive Order 13132 establishes certain requirements that an agency must meet when it issues a proposed rule (and subsequent final rule) that imposes substantial direct requirement costs on State and local governments, preempts State law, or otherwise has Federalism implications. Since this notice does not impose any costs on State or local governments or otherwise have Federalism implications, the requirements of E.O. 13132 are not applicable.

#### A. Alternatives Considered

Because the FFCRA temporary FMAP increase of 6.2 percentage points was not applicable to the 1st quarter of FY 2020, we considered utilizing prorated FMAP rates in the calculation of the ARP-adjusted FY 2020 DSH allotments. However, this could have been contrary to the statutory language at section 1923(f)(3)(F) of the Act requiring us to recalculate FS DSH allotments to an amount to allow for States to make the same amount of TC DSH payments as they would have been otherwise able to make in the absence of the FFCRA FMAP increase, depending on States' timing of their DSH payments to eligible providers. The methodologies for determining the States' fiscal year DSH allotments and IMD DSH limits, as reflected in this notice, were established in accordance with the methodologies and formula for determining States' allotments and limits as specified in statute. This notice does not put forward

any further discretionary administrative policies for determining such allotments and limits, or otherwise.

#### B. Accounting Statement

As required by OMB Circular A-4 (available at [https://obamawhitehouse.archives.gov/omb/circulars\\_a004\\_a-4/](https://obamawhitehouse.archives.gov/omb/circulars_a004_a-4/)), in Tables 1 and 2, we have prepared an accounting statement showing the classification of the estimated expenditures associated with the provisions of this notice. Table 1 provides our best estimate of the change (decrease) in the FS of States' Medicaid DSH payments resulting from the application of the provisions of the Medicaid statute relating to the calculation of States' FY DSH allotments and the increase in the FY DSH allotments from FY 2019 to FY 2020. Table 2 provides our best estimate of the change (decrease) in the FS of States' Medicaid DSH payments resulting from the application of the provisions of the Medicaid statute relating to the calculation of States' FY DSH allotments and the increase in the FY DSH allotments from FY 2020 to FY 2021.

TABLE 1—ACCOUNTING STATEMENT: CLASSIFICATION OF ESTIMATED EXPENDITURES, FROM THE FY 2019 TO FY 2020

[In millions]

Category	Transfers
Annualized Monetized Transfers. From Whom To Whom?	\$238. Federal Government to States.

TABLE 2—ACCOUNTING STATEMENT: CLASSIFICATION OF ESTIMATED EXPENDITURES, FROM THE FY 2020 TO FY 2021

[In millions]

Category	Transfers
Annualized Monetized Transfers. From Whom To Whom?	\$192. Federal Government to States.

#### C. Congressional Review Act

This document is subject to the Congressional Review Act provisions of the Small Business Regulatory Enforcement Fairness Act of 1996 (5 U.S.C. 801 *et seq.*) and has been transmitted to the Congress and the Comptroller General for review.

In accordance with the provisions of Executive Order 12866, this notice was reviewed by the Office of Management and Budget.

Chiquita Brooks-LaSure,  
Administrator of the Centers for  
Medicare & Medicaid Services,  
approved this document on February 1,  
2022.

Dated: March 9, 2022.  
**Xavier Becerra,**  
*Secretary, Department of Health and Human  
Services.*  
**BILLING CODE 4120-01-P**

**Key to ADDENDUM 1: Final DSH Allotments for FY 2018.**

Column	Description
Column A	State.
Column B	<b>FY 2018 FMAPs.</b> This column contains the States' FY 2018 Federal Medical Assistance Percentages.
Column C	<b>Prior FY (2017) DSH Allotments</b> This column contains the States' prior FY 2017 DSH Allotments.
Column D	<b>Prior FY (2017) DSH Allotments (Col C) x (100 percent + Percentage Increase in CPIU): 102.1 percent.</b> This column contains the amount in Column C increased by 1 plus the percentage increase in the CPI-U for the prior FY (102.1 percent).
Column E	<b>FY 2018 TC MAP Exp. Including DSH.</b> This column contains the amount of the States' FY 2018 total computable (TC) medical assistance expenditures including DSH expenditures.
Column F	<b>FY 2018 TC DSH Expenditures.</b> This column contains the amount of the States' FY 2018 total computable DSH expenditures.
Column G	<b>FY 2018 TC MAP Exp. Net of DSH.</b> This column contains the amount of the States' FY 2018 total computable medical assistance expenditures net of DSH expenditures, calculated as the amount in Column E minus the amount in Column F.
Column H	<b>12 percent Amount.</b> This column contains the amount of the "12 percent limit" in Federal share, determined in accordance with the provisions of section 1923(f)(3) of the Act.
Column I	<b>Greater of FY 2017 Allotment or 12 percent Limit.</b> This column contains the greater of the State's prior FY (FY 2017) DSH allotment or the amount of the 12 percent Limit, determined as the greater of the amount in Column C or Column H.
Column J	<b>FY 2018 DSH Allotment.</b> This column contains the States' final FY 2018 DSH allotments, determined as the lesser of the amount in Column I or Column D. For States with "na" in Columns I or D, refer to the footnotes in the addendum.

ADDENDUM 1: FINAL DSH ALLOTMENTS FOR FISCAL YEAR: 2018

A	B	C	D	E	F	G	H	I	J
STATE	FY 2018 FMAPs	Prior FY 2017 DSH Allotments	Prior FY 2017 DSH Allotment (Col C) x 100% + Pct Increase in CPIU: 102.1%	FY 2018 TC MAP Exp. Including DSH	FY 2018 TC DSH Expenditures	FY 2018 TC MAP EXP. Net OF DSH Col E - F	"12% Amount" = Col G x .12 / (1-.12) / Col B* (In FS)	Greater of Col H Or Col C (12% Limit, 2017 Allotment)	FY 2018 Allocation MIN Col I, Col D
ALABAMA	71.44%	\$337,526,148	\$344,614,197	\$5,546,416,592	\$483,800,080	\$5,062,616,512	\$730,161,488	\$730,161,488	\$344,614,197
ARIZONA	69.89%	\$111,136,659	\$113,470,529	\$12,132,120,126	\$145,392,453	\$11,986,727,673	\$1,736,574,325	\$1,736,574,325	\$113,470,529
CALIFORNIA	50.00%	\$1,203,294,436	\$1,228,563,619	\$82,452,158,773	\$590,799,895	\$81,861,358,878	\$12,925,477,718	\$12,925,477,718	\$1,228,563,619
COLORADO	50.00%	\$101,532,256	\$103,664,433	\$8,925,796,867	\$172,633,510	\$8,753,163,357	\$1,382,078,425	\$1,382,078,425	\$103,664,433
CONNECTICUT	50.00%	\$219,529,202	\$224,139,315	\$8,175,809,143	\$65,953,090	\$8,109,856,053	\$1,280,503,587	\$1,280,503,587	\$224,139,315
DISTRICT OF COLUMBIA	70.00%	\$67,230,818	\$68,642,665	\$2,804,976,949	\$45,672,974	\$2,759,303,975	\$399,623,334	\$399,623,334	\$68,642,665
FLORIDA	61.79%	\$219,529,202	\$224,139,315	\$22,893,250,365	\$354,298,478	\$22,538,951,887	\$3,356,533,851	\$3,356,533,851	\$224,139,315
GEORGIA	68.50%	\$294,992,365	\$301,187,205	\$10,839,404,783	\$440,929,969	\$10,398,474,814	\$1,512,840,053	\$1,512,840,053	\$301,187,205
ILLINOIS	50.74%	\$235,993,892	\$240,949,764	\$22,194,828,973	\$335,211,129	\$21,859,617,844	\$3,435,695,434	\$3,435,695,434	\$240,949,764
INDIANA	65.59%	\$234,621,836	\$239,548,895	\$11,241,808,216	\$70,804,957	\$11,171,003,259	\$1,640,692,899	\$1,640,692,899	\$239,548,895
KANSAS	54.74%	\$45,277,897	\$46,228,733	\$3,437,703,549	\$105,885,545	\$3,331,818,004	\$512,074,078	\$512,074,078	\$46,228,733
KENTUCKY	71.17%	\$159,158,672	\$162,501,004	\$9,801,380,491	\$219,723,609	\$9,581,656,882	\$1,382,984,324	\$1,382,984,324	\$162,501,004
LOUISIANA	63.69%	\$752,615,495	\$768,420,420	\$10,835,742,015	\$1,249,458,291	\$9,586,283,724	\$1,417,412,444	\$1,417,412,444	\$768,420,420
MAINE	64.34%	\$115,252,830	\$117,673,139	\$2,686,772,711	\$43,445,330	\$2,643,327,381	\$389,923,616	\$389,923,616	\$117,673,139
MARYLAND	50.00%	\$83,695,509	\$85,453,115	\$11,417,338,026	\$100,737,115	\$11,316,600,911	\$1,786,831,723	\$1,786,831,723	\$85,453,115
MASSACHUSETTS	50.00%	\$334,782,032	\$341,812,455	\$17,655,414,020	\$0	\$17,655,414,020	\$2,787,696,951	\$2,787,696,951	\$341,812,455
MICHIGAN	64.78%	\$290,876,193	\$296,984,593	\$16,286,594,101	\$602,706,754	\$15,683,887,347	\$2,309,970,949	\$2,309,970,949	\$296,984,593
MISSISSIPPI	75.65%	\$167,391,016	\$170,906,227	\$5,278,728,403	\$226,511,022	\$5,052,217,381	\$720,566,055	\$720,566,055	\$170,906,227
MISSOURI	64.61%	\$520,009,796	\$530,930,002	\$10,296,294,908	\$782,436,584	\$9,513,858,324	\$1,402,068,929	\$1,402,068,929	\$530,930,002
NEVADA	65.75%	\$50,766,127	\$51,832,216	\$3,922,474,284	\$79,057,657	\$3,843,416,627	\$564,177,808	\$564,177,808	\$51,832,216
NEW HAMPSHIRE	50.00%	\$175,731,503	\$179,421,865	\$2,150,375,296	\$227,711,926	\$1,922,663,370	\$303,578,427	\$303,578,427	\$179,421,865
NEW JERSEY	50.00%	\$706,609,619	\$721,448,421	\$14,843,185,053	\$781,281,583	\$14,061,903,470	\$2,220,300,548	\$2,220,300,548	\$721,448,421
NEW YORK	50.00%	\$1,763,093,901	\$1,800,118,873	\$73,030,082,745	\$4,061,662,631	\$68,968,420,114	\$10,889,750,544	\$10,889,750,544	\$1,800,118,873
NORTH CAROLINA	67.61%	\$323,805,572	\$330,605,489	\$13,339,097,405	\$536,974,390	\$12,802,123,015	\$1,867,760,914	\$1,867,760,914	\$330,605,489
OHIO	62.78%	\$445,918,692	\$455,282,985	\$21,743,887,373	\$93,432,758	\$21,650,454,615	\$3,212,009,943	\$3,212,009,943	\$455,282,985
PENNSYLVANIA	51.82%	\$616,053,822	\$628,990,952	\$29,863,557,849	\$942,990,144	\$28,920,567,705	\$4,516,314,872	\$4,516,314,872	\$628,990,952
RHODE ISLAND	51.45%	\$71,346,990	\$72,845,277	\$2,620,033,271	\$138,519,196	\$2,481,514,075	\$388,361,670	\$388,361,670	\$72,845,277
SOUTH CAROLINA	71.58%	\$359,479,068	\$367,028,128	\$6,006,492,924	\$526,108,667	\$5,480,384,257	\$790,102,528	\$790,102,528	\$367,028,128
TENNESSEE / I	na	\$53,100,000	na	na	na	na	na	na	\$53,100,000
TEXAS	56.88%	\$1,049,623,997	\$1,071,666,101	\$37,585,413,327	\$1,885,527,269	\$35,699,886,058	\$5,429,437,217	\$5,429,437,217	\$1,071,666,101



A	B	C	D	E	F	G	H	I	J
STATE	FY 2018 FMAPs	Prior FY 2017 DSH Allotments	Prior FY 2017 DSH Allotment (Col C) x 100% + Pet Increase in CPU: 102.1%	FY 2018 TC MAP Exp. Including DSH	FY 2018 TC DSH Expenditures	FY 2018 TC MAP EXP. Net Of DSH Col E - F	"12% Amount" =Col G x .12/(1-.12/Col B)* (In FS)	Greater of Col H Or Col C (12% Limit, 2017 Allotment)	FY 2018 Allotment MIN Col I, Col D
VERMONT	53.47%	\$24,697,037	\$25,215,675	\$1,595,969,592	\$27,448,780	\$1,568,520,812	\$242,687,652	\$242,687,652	\$25,215,675
VIRGINIA	50.00%	\$96,162,104	\$98,181,508	\$9,562,002,903	\$207,704,058	\$9,354,298,845	\$1,476,994,554	\$1,476,994,554	\$98,181,508
WASHINGTON	50.00%	\$203,064,512	\$207,328,867	\$12,093,602,904	\$342,498,885	\$11,751,104,019	\$1,855,437,477	\$1,855,437,477	\$207,328,867
WEST VIRGINIA	73.24%	\$74,091,106	\$75,647,019	\$3,854,175,868	\$71,874,400	\$3,782,301,468	\$542,813,376	\$542,813,376	\$75,647,019
TOTAL	0.00%	\$11,507,990,304	\$11,695,443,000	\$507,112,889,805	\$15,959,193,129	\$491,153,696,676	\$75,409,437,712	\$75,409,437,712	\$11,748,543,001
<b>LOW DSH STATES</b>									
ALASKA	50.00%	\$22,358,712	\$22,828,245	\$2,033,389,399	\$17,641,931	\$2,015,747,468	\$318,275,916.00	\$318,275,916	\$22,828,245
ARKANSAS	70.87%	\$47,350,016	\$48,344,366	\$6,308,079,740	\$44,512,042	\$6,263,567,698	\$904,839,224	\$904,839,224	\$48,344,366
DELAWARE	56.43%	\$9,937,205	\$10,145,886	\$2,237,920,184	\$14,364,693	\$2,223,555,491	\$338,893,278	\$338,893,278	\$10,145,886
HAWAII	54.78%	\$10,697,430	\$10,922,076	\$2,213,115,909	\$0	\$2,213,115,909	\$340,068,694.24	\$340,068,694	\$10,922,076
IDAHO	71.17%	\$18,042,558	\$18,421,452	\$1,901,290,685	\$25,162,099	\$1,876,128,586	\$270,794,128.37	\$270,794,128	\$18,421,452
IOWA	58.48%	\$43,226,550	\$44,134,308	\$4,828,425,247	\$82,663,801	\$4,745,761,446	\$716,520,127	\$716,520,127	\$44,134,308
MINNESOTA	50.00%	\$81,981,945	\$83,703,566	\$12,324,543,789	\$67,255,268	\$12,257,288,521	\$1,935,361,345	\$1,935,361,345	\$83,703,566
MONTANA	65.38%	\$12,459,133	\$12,720,775	\$1,830,172,657	\$841,464	\$1,829,331,193	\$268,868,505	\$268,868,505	\$12,720,775
NEBRASKA	52.55%	\$31,061,430	\$31,713,720	\$2,126,639,801	\$39,634,823	\$2,087,004,978	\$324,553,721	\$324,553,721	\$31,713,720
NEW MEXICO	72.16%	\$22,358,712	\$22,828,245	\$5,112,309,656	\$52,117,849	\$5,060,191,807	\$728,344,629	\$728,344,629	\$22,828,245
NORTH DAKOTA	50.00%	\$10,484,694	\$10,704,873	\$1,222,239,306	\$972,026	\$1,221,267,280	\$192,831,676	\$192,831,676	\$10,704,873
OKLAHOMA	58.57%	\$39,748,819	\$40,583,544	\$4,433,479,661	\$44,049,535	\$4,389,430,126	\$662,458,035	\$662,458,035	\$40,583,544
OREGON	63.62%	\$49,686,028	\$50,729,435	\$8,877,365,993	\$60,890,048	\$8,816,475,945	\$1,303,922,975	\$1,303,922,975	\$50,729,435
SOUTH DAKOTA	55.34%	\$12,123,113	\$12,377,698	\$865,504,172	\$1,667,785	\$863,836,387	\$132,361,898	\$132,361,898	\$12,377,698
UTAH	70.26%	\$21,533,602	\$21,985,808	\$2,421,929,601	\$24,699,656	\$2,397,229,945	\$346,919,415	\$346,919,415	\$21,985,808
WISCONSIN	58.77%	\$103,763,574	\$105,942,609	\$8,768,743,868	\$70,518,104	\$8,698,225,764	\$1,311,596,480	\$1,311,596,480	\$105,942,609
WYOMING	50.00%	\$248,430	\$253,647	\$595,439,375	\$496,860	\$594,942,515	\$93,938,292	\$93,938,292	\$253,647
TOTAL LOW DSH STATES	0.00%	\$537,061,951	\$548,340,252	\$68,100,589,043	\$547,487,984	\$67,553,101,059	\$10,190,548,341	\$10,190,548,341	\$548,340,253
TOTAL	0.00%	\$12,045,052,255	\$12,243,783,252	\$575,213,478,848	\$16,506,681,113	\$558,706,797,735	\$85,599,986,053	\$85,599,986,053	\$12,296,883,254

FOOTNOTES:  
/1 Tennessee's DSH allotment for FY 2018, determined under section 1923(f)(6)(A) of the Social Security Act, is \$53,100,000.

**Key to ADDENDUM 2: Final DSH Allotments for FY 2019.**

Column	Description
Column A	<b>State.</b>
Column B	<b>FY 2019 FMAPs.</b> This column contains the States' FY 2019 Federal Medical Assistance Percentages.
Column C	<b>Prior FY (2018) DSH Allotments</b> This column contains the States' prior FY 2018 DSH Allotments.
Column D	<b>Prior FY (2018) DSH Allotments (Col C) x (100 percent + Percentage Increase in CPIU): 102.1 percent.</b> This column contains the amount in Column C increased by 1 plus the percentage increase in the CPI-U for the prior FY (102.4 percent).
Column E	<b>FY 2019 TC MAP Exp. Including DSH.</b> This column contains the amount of the States' FY 2019 total computable (TC) medical assistance expenditures including DSH expenditures.
Column F	<b>FY 2019 TC DSH Expenditures.</b> This column contains the amount of the States' FY 2019 total computable DSH expenditures.
Column G	<b>FY 2019 TC MAP Exp. Net of DSH.</b> This column contains the amount of the States' FY 2019 total computable medical assistance expenditures net of DSH expenditures, calculated as the amount in Column E minus the amount in Column F.
Column H	<b>12 percent Amount.</b> This column contains the amount of the "12 percent limit" in Federal share, determined in accordance with the provisions of section 1923(f)(3) of the Act.
Column I	<b>Greater of FY 2018 Allotment or 12 percent Limit.</b> This column contains the greater of the State's prior FY (FY 2017) DSH allotment or the amount of the 12 percent Limit, determined as the greater of the amount in Column C or Column H.
Column J	<b>FY 2019 DSH Allotment.</b> This column contains the States' final FY 2018 DSH allotments, determined as the lesser of the amount in Column I or Column D. For States with "na" in Columns I or D, refer to the footnotes in the addendum.

ADDENDUM 2: FINAL DSH ALLOTMENTS FOR FISCAL YEAR: 2019

A	B	C	D	E	F	G	H	I	J
STATE	FY 2019 FMAPs	Prior FY 2018 DSH Allotments	Prior FY 2018 DSH Allotment (Col C) x 100% + Pct Increase in CPU: 102.4%	FY 2019 TC MAP Exp. Including DSH	FY 2019 TC DSH Expenditures	FY 2019 TC MAP EXP. Net Of DSH Col E - F	"12% Amount" =Col G x .12/(1-.12/Col B)+ (In FS)	Greater of Col H Or Col C (12% Limit, 2018)	FY 2019 Allotment MIN Col I, Col D
ALABAMA	71.88%	\$3,444,614,197	\$3,552,884,938	\$5,880,233,770	\$489,518,808	\$5,390,714,962	\$776,522,227	\$776,522,227	\$352,884,938
ARIZONA	69.81%	\$113,470,529	\$116,193,822	\$13,167,873,159	\$163,891,951	\$13,003,981,208	\$1,884,396,322	\$1,884,396,322	\$116,193,822
CALIFORNIA	50.00%	\$1,228,563,619	\$1,258,049,146	\$87,855,979,661	\$636,488,112	\$87,219,491,549	\$13,771,498,666	\$13,771,498,666	\$1,258,049,146
COLORADO	50.00%	\$103,664,433	\$106,152,379	\$9,201,828,436	\$248,233,120	\$8,953,595,316	\$1,413,723,576	\$1,413,723,576	\$106,152,379
CONNECTICUT	50.00%	\$224,139,315	\$229,518,659	\$8,168,318,604	\$108,764,367	\$8,059,554,237	\$1,272,561,195	\$1,272,561,195	\$229,518,659
DISTRICT OF COLUMBIA	70.00%	\$68,642,665	\$70,290,089	\$2,892,033,951	\$88,375,348	\$2,803,658,603	\$406,047,108	\$406,047,108	\$70,290,089
FLORIDA	60.87%	\$224,139,315	\$229,518,659	\$24,384,268,451	\$358,327,977	\$24,025,940,474	\$3,591,059,538	\$3,591,059,538	\$229,518,659
GEORGIA	67.62%	\$301,187,205	\$308,415,698	\$10,851,623,393	\$455,848,516	\$10,395,774,877	\$1,516,639,260	\$1,516,639,260	\$308,415,698
ILLINOIS	50.31%	\$240,949,764	\$246,732,558	\$18,470,094,556	\$294,583,142	\$18,175,511,414	\$2,864,244,258	\$2,864,244,258	\$246,732,558
INDIANA	65.96%	\$239,548,895	\$245,298,068	\$12,439,243,969	\$267,372,265	\$12,171,871,704	\$1,785,448,460	\$1,785,448,460	\$245,298,068
KANSAS	57.10%	\$46,228,733	\$47,338,223	\$3,601,873,235	\$82,473,677	\$3,519,399,558	\$534,699,019	\$534,699,019	\$47,338,223
KENTUCKY	71.67%	\$162,501,004	\$166,401,028	\$10,207,733,005	\$252,851,013	\$9,954,881,992	\$1,434,824,318	\$1,434,824,318	\$166,401,028
LOUISIANA	65.00%	\$768,420,420	\$786,862,310	\$11,642,038,286	\$1,176,791,862	\$10,465,246,424	\$1,540,168,342	\$1,540,168,342	\$786,862,310
MAINE	64.52%	\$117,673,139	\$120,497,294	\$2,867,136,972	\$38,464,682	\$2,905,601,654	\$428,338,352	\$428,338,352	\$120,497,294
MARYLAND	50.00%	\$85,453,115	\$87,503,990	\$11,730,186,550	\$57,679,449	\$11,672,507,101	\$1,843,027,437	\$1,843,027,437	\$87,503,990
MASSACHUSETTS	50.00%	\$341,812,455	\$350,015,954	\$17,412,670,180	\$0	\$17,412,670,180	\$2,749,368,976	\$2,749,368,976	\$350,015,954
MICHIGAN	64.45%	\$296,984,593	\$304,112,223	\$18,257,869,906	\$321,231,576	\$17,936,638,330	\$2,644,841,961	\$2,644,841,961	\$304,112,223
MISSISSIPPI	76.39%	\$170,906,227	\$175,007,976	\$5,506,770,865	\$230,088,245	\$5,276,682,620	\$751,208,173	\$751,208,173	\$175,007,976
MISSOURI	65.40%	\$530,930,002	\$543,672,322	\$10,534,803,881	\$744,928,081	\$9,789,875,800	\$1,438,781,747	\$1,438,781,747	\$543,672,322
NEVADA	64.87%	\$51,832,216	\$53,076,189	\$3,978,540,873	\$101,825,697	\$3,876,715,176	\$570,794,452	\$570,794,452	\$53,076,189
NEW HAMPSHIRE	50.00%	\$179,421,865	\$183,727,990	\$1,985,132,112	\$269,915,034	\$1,715,217,078	\$270,823,749	\$270,823,749	\$183,727,990
NEW JERSEY	50.00%	\$721,448,421	\$738,763,183	\$15,908,523,928	\$1,122,906,714	\$14,785,617,214	\$2,334,571,139	\$2,334,571,139	\$738,763,183
NEW YORK	50.00%	\$1,800,118,873	\$1,843,321,726	\$58,094,211,692	\$3,540,716,183	\$54,553,495,509	\$8,613,709,817	\$8,613,709,817	\$1,843,321,726
NORTH CAROLINA	67.16%	\$330,605,489	\$338,540,021	\$13,595,881,059	\$474,088,974	\$13,121,792,085	\$1,917,170,899	\$1,917,170,899	\$338,540,021
OHIO	63.09%	\$455,282,985	\$466,209,777	\$23,465,691,647	\$1,408,672,852	\$22,057,018,795	\$3,268,531,570	\$3,268,531,570	\$466,209,777
PENNSYLVANIA	52.25%	\$628,990,952	\$644,086,735	\$32,079,703,325	\$1,103,593,026	\$30,976,109,699	\$4,825,346,778	\$4,825,346,778	\$644,086,735
RHODE ISLAND	52.57%	\$72,845,277	\$74,593,564	\$2,586,208,738	\$142,293,259	\$2,443,915,479	\$380,014,701	\$380,014,701	\$74,593,564
SOUTH CAROLINA	71.22%	\$367,028,128	\$375,836,803	\$6,305,731,666	\$558,921,658	\$5,746,810,008	\$829,357,262	\$829,357,262	\$375,836,803
TENNESSEE / I	na	\$53,100,000	na	na	na	na	na	na	\$53,100,000
TEXAS	58.19%	\$1,071,666,101	\$1,097,386,087	\$40,025,676,488	\$1,950,731,852	\$38,074,944,636	\$5,756,001,806	\$5,756,001,806	\$1,097,386,087
VERMONT	53.89%	\$25,215,675	\$25,820,851	\$1,637,796,926	\$22,704,470	\$1,615,092,456	\$249,331,103	\$249,331,103	\$25,820,851
VIRGINIA	50.00%	\$98,181,508	\$100,337,864	\$11,307,293,979	\$88,226,665	\$11,219,069,314	\$1,771,431,997	\$1,771,431,997	\$100,337,864
WASHINGTON	50.00%	\$207,328,867	\$212,304,760	\$13,128,258,799	\$246,326,095	\$12,881,932,704	\$2,033,989,374	\$2,033,989,374	\$212,304,760

A	B	C	D	E	F	G	II	I	J
STATE	FY 2019 FMAPs	Prior FY 2018 DSH Allotments	Prior FY 2018 DSH Allotment (Col C) x 100% + Pct Increase in CPIU; 102.4%	FY 2019 TC MAP Exp. Including DSH	FY 2019 TC DSH Expenditures	FY 2019 TC MAP EXP. Net Of DSH Col E - F	"12% Amount" =Col G x .12/(1-.12/Col B)* (In FS)	Greater of Col H Or Col C (12% Limit, 2018 Allotment)	FY 2019 Allotment
									MIN Col I, Col D
WEST VIRGINIA	74.34%	\$75,647,019	\$77,462,547	\$3,926,176,801	\$71,837,102	\$3,854,339,699	\$551,552,672	\$551,552,672	\$77,462,547
TOTAL	0.00%	\$11,748,543,001	\$11,976,133,633	\$513,097,410,863	\$17,041,743,008	\$496,055,667,855	\$76,020,028,233	\$76,020,028,233	\$12,029,233,633
<b>LOW DSH STATES</b>									
ALASKA	50.00%	\$22,828,245	\$23,376,123	\$2,096,340,139	\$24,998,019	\$2,071,342,120	\$327,054,018,95	\$327,054,019	\$23,376,123
ARKANSAS	70.51%	\$48,344,366	\$49,504,631	\$6,842,930,884	\$81,337,090	\$6,761,593,794	\$977,802,041	\$977,802,041	\$49,504,631
DELAWARE	57.55%	\$10,145,886	\$10,389,387	\$2,245,537,767	\$15,106,025	\$2,230,431,742	\$338,163,811	\$338,163,811	\$10,389,387
HAWAII	53.92%	\$10,922,076	\$11,184,206	\$2,178,370,796	\$36,167,969	\$2,142,202,827	\$330,651,459,25	\$330,651,459	\$11,184,206
IDAHO	71.13%	\$18,421,452	\$18,863,567	\$2,143,001,207	\$25,974,384	\$2,117,026,823	\$305,599,427,54	\$305,599,428	\$18,863,567
IOWA	59.93%	\$44,134,308	\$45,193,531	\$5,199,821,191	\$70,852,976	\$5,128,968,215	\$769,569,952	\$769,569,952	\$45,193,531
MINNESOTA	50.00%	\$83,703,566	\$85,712,452	\$12,720,672,282	\$62,173,140	\$12,658,499,142	\$1,998,710,391	\$1,998,710,391	\$85,712,452
MONTANA	65.54%	\$12,720,775	\$13,026,074	\$1,857,962,976	\$1,786,546	\$1,856,176,430	\$272,664,482	\$272,664,482	\$13,026,074
NEBRASKA	52.58%	\$31,713,720	\$32,474,849	\$2,141,794,131	\$60,291,712	\$2,081,502,419	\$323,643,363	\$323,643,363	\$32,474,849
NEW MEXICO	72.26%	\$22,828,245	\$23,376,123	\$5,262,891,223	\$32,254,964	\$5,230,636,259	\$752,669,982	\$752,669,982	\$23,376,123
NORTH DAKOTA	50.00%	\$10,704,873	\$10,961,790	\$1,163,970,291	\$2,253,205	\$1,161,717,086	\$183,429,014	\$183,429,014	\$10,961,790
OKLAHOMA	62.38%	\$40,583,544	\$41,557,549	\$4,760,177,632	\$44,094,185	\$4,716,083,447	\$700,728,747	\$700,728,747	\$41,557,549
OREGON	62.56%	\$50,729,435	\$51,946,941	\$9,426,870,932	\$49,331,187	\$9,377,539,745	\$1,392,386,598	\$1,392,386,598	\$51,946,941
SOUTH DAKOTA	56.71%	\$12,377,698	\$12,674,763	\$899,072,690	\$1,504,403	\$897,568,287	\$136,616,679	\$136,616,679	\$12,674,763
UTAH	69.71%	\$21,985,808	\$22,513,467	\$2,724,326,505	\$32,974,994	\$2,691,351,511	\$390,117,721	\$390,117,721	\$22,513,467
WISCONSIN	59.37%	\$105,942,609	\$108,485,232	\$9,132,546,898	\$71,517,607	\$9,061,029,291	\$1,362,769,624	\$1,362,769,624	\$108,485,232
WYOMING	50.00%	\$253,647	\$259,735	\$584,259,094	\$508,784	\$583,750,310	\$92,171,102	\$92,171,102	\$259,735
TOTAL LOW DSH STATES	0.00%	\$548,340,253	\$561,500,419	\$71,380,546,638	\$613,127,190	\$70,767,419,448	\$10,654,748,411	\$10,654,748,411	\$561,500,420
TOTAL	0.00%	\$12,296,883,254	\$12,537,634,052	\$584,477,957,501	\$17,654,870,198	\$566,823,087,303	\$86,674,776,644	\$86,674,776,644	\$12,590,703,453

FOOTNOTES:

/1 Tennessee's DSH allotment for FY 2019 is determined under section 1923(f)(6)(A) of the Social Security Act.

**Key to ADDENDUM 3: Preliminary DSH Allotments for FY 2020.**

<b>Column</b>	<b>Description</b>
	The Preliminary FY 2020 DSH Allotments for the NON-Low DSH States are presented in the top section of this addendum, and the Preliminary FY 2020 DSH Allotments for the Low-DSH States are presented in the bottom section of this addendum.
<b>Column A</b>	<b>State.</b>
<b>Column B1</b>	<b>FY 2020 FMAPs.</b> This column contains the States' regular FY 2020 Federal Medical Assistance Percentages.
<b>Column B2</b>	<b>FY 2020 FMAPs.</b> This column contains the States' FFCRA FY 2020 Federal Medical Assistance Percentages.
<b>Column B3</b>	<b>FY 2020 FMAPs.</b> This column contains the States' prorated FY 2020 Federal Medical Assistance Percentages.
<b>Column C</b>	<b>Prior FY (2019) DSH Allotments</b> This column contains the States' prior preliminary FY 2019 DSH Allotments.
<b>Column D</b>	<b>Prior FY (2019) DSH Allotments (Col C) x (100 percent + Percentage Increase in CPIU): 101.9 percent.</b> This column contains the amount in Column C increased by 1 plus the estimated percentage increase in the CPI-U for the prior FY (101.9 percent).
<b>Column E</b>	<b>FY 2020 TC MAP Exp. Including DSH.</b> This column contains the amount of the States' projected FY 2020 total computable (TC) medical assistance expenditures including DSH expenditures.
<b>Column F</b>	<b>FY 2020 TC DSH Expenditures.</b> This column contains the amount of the States' projected FY 2020 total computable DSH expenditures.
<b>Column G</b>	<b>FY 2020 TC MAP Exp. Net of DSH.</b> This column contains the amount of the States' projected FY 2020 total computable medical assistance expenditures net of DSH expenditures, calculated as the amount in Column E minus the amount in Column F.
<b>Column H</b>	<b>12 percent Amount.</b> This column contains the amount of the "12 percent limit" in Federal share, determined in accordance with the provisions of section 1923(f)(3) of the Act. This is calculated using the prorated FMAP rate in Column B3.
<b>Column I</b>	<b>Greater of FY 2019 Allotment or 12 percent Limit.</b> This column contains the greater of the State's preliminary prior FY (FY 2019) DSH allotment or the amount of the 12 percent Limit, determined as the greater of the amount in Column C or Column H.
<b>Column J</b>	<b>FS FY 2020 Unadjusted DSH Allotment.</b> This column contains the States' preliminary FY 2020 DSH allotments, determined as the lesser of the amount in Column I or Column D. For States with "na" in Columns I or D, refer to the footnotes in the addendum.
<b>Column K</b>	<b>FS FY 2020 ARP-adjusted DSH Allotment.</b> This column contains the States' preliminary FY 2020 ARP DSH allotments, determined by multiplying the FMAP in Column B2 by Column L.
<b>Column L</b>	<b>TC FY 2020 DSH Allotment.</b> This column contains the States' preliminary TC FY 2020 DSH allotments, determined by dividing Column B1 by Column J.

ADDENDUM 3: PRELIMINARY DSH ALLOTMENTS FOR FISCAL YEAR: 2020

A	BI	B2	B3	C	D	E	F	G	H	I	J	K	L
STATE	FY 2020 FMAPs (Regulation) /1	FY 2020 FMAPs (FICRA) /2	FY 2020 FMAPs (Prorated) /3	Prior FY (2019) DSH Allotments	Prior FY (2019) DSH Allotment (Col C) x 100% + Per Increase in CPU: 101.9%	FY 2020 TC MAP Exp. Including DSH /4	FY 2020 TC DSH Expenditures /4	FY 2020 TC MAP EXP. Net Of DSH Col E - F	"12% Amount" = Col G x .12(= 12% of BS) (In FS)	Greater of Col H Or Col C (12% Limit, FY 2019 Allotment)	FY 2020 DSH Allotment MIN Col I, Col D	FY 2020 DSH FS Allotment ARP	FY 2020 DSH TC Allotment
ALABAMA	71.97%	78.17%	76.62%	\$352,884,938	\$359,889,752	\$6,612,088,000	\$497,380,000	\$6,124,088,000	\$870,933,635	\$870,933,635	\$39,509,752	\$390,567,332	\$499,638,994
ARIZONA	70.02%	76.22%	74.67%	\$116,193,822	\$118,401,505	\$13,344,289,000	\$147,080,000	\$13,397,209,000	\$1,915,496,466	\$1,915,496,466	\$118,401,505	\$128,885,500	\$169,096,694
CALIFORNIA	50.07%	56.20%	54.65%	\$1,358,042,146	\$1,281,952,080	\$101,180,276,000	\$0	\$101,180,276,000	\$15,557,801,876	\$15,557,801,876	\$1,281,952,080	\$1,440,914,138	\$2,563,904,160
COLORADO	50.09%	56.20%	54.65%	\$106,152,539	\$108,169,274	\$9,641,266,000	\$317,550,000	\$9,283,576,000	\$1,427,902,786	\$1,427,902,786	\$108,169,274	\$121,582,264	\$216,338,548
CONNECTICUT	50.00%	56.20%	54.65%	\$229,518,659	\$233,879,514	\$8,488,008,000	\$115,988,000	\$8,372,020,000	\$1,287,337,706	\$1,287,337,706	\$233,879,514	\$262,880,574	\$467,759,028
DISTRICT OF COLUMBIA	70.00%	76.20%	74.65%	\$70,290,089	\$71,625,601	\$2,842,645,000	\$48,060,000	\$2,894,585,000	\$413,881,763	\$413,881,763	\$71,625,601	\$77,969,583	\$102,322,247
FLORIDA	61.47%	67.67%	66.12%	\$329,518,659	\$333,879,514	\$7,020,716,000	\$374,481,000	\$26,646,235,000	\$3,906,538,932	\$3,906,538,932	\$33,879,514	\$257,469,118	\$380,477,491
GEORGIA	67.36%	73.80%	71.95%	\$308,415,698	\$314,275,596	\$11,492,574,000	\$440,981,000	\$11,051,593,000	\$1,591,650,608	\$1,591,650,608	\$314,275,596	\$343,228,177	\$466,977,111
ILLINOIS	50.14%	56.34%	54.79%	\$216,732,558	\$221,120,477	\$19,957,028,000	\$102,016,000	\$19,855,012,000	\$2,997,766,462	\$2,997,766,462	\$251,420,477	\$292,509,567	\$501,436,931
INDIANA	63.84%	72.04%	70.49%	\$245,298,068	\$249,598,731	\$15,805,501,000	\$863,300,000	\$14,942,201,000	\$2,160,935,029	\$2,160,935,029	\$249,598,731	\$273,496,765	\$379,648,703
KANSAS	59.16%	65.36%	63.81%	\$47,338,223	\$48,257,649	\$4,032,374,000	\$82,007,000	\$3,949,467,000	\$583,706,082	\$583,706,082	\$48,257,649	\$53,202,981	\$81,537,608
KENTUCKY	71.82%	78.02%	76.47%	\$166,401,028	\$169,862,648	\$11,630,388,000	\$337,440,000	\$11,393,148,000	\$1,621,654,774	\$1,621,654,774	\$169,862,648	\$184,204,471	\$236,093,913
LOUISIANA	66.86%	73.06%	71.51%	\$386,862,510	\$391,812,898	\$13,553,096,000	\$1,120,051,000	\$12,433,045,000	\$1,792,723,135	\$1,792,723,135	\$391,812,898	\$397,165,874	\$1,199,441,547
MAINE	63.84%	70.00%	68.45%	\$120,697,294	\$122,286,743	\$3,339,455,000	\$337,212,000	\$3,285,743,000	\$478,106,165	\$478,106,165	\$122,286,743	\$134,218,997	\$192,455,710
MARYLAND	50.00%	56.20%	54.65%	\$87,303,990	\$89,166,566	\$12,189,036,000	\$101,211,000	\$12,088,725,000	\$1,838,800,904	\$1,838,800,904	\$89,166,566	\$100,223,220	\$178,333,132
MASSACHUSETTS	50.00%	56.20%	54.65%	\$350,019,954	\$356,666,257	\$19,348,878,000	\$0	\$19,348,878,000	\$2,975,145,180	\$2,975,145,180	\$356,666,257	\$400,892,873	\$713,332,514
MICHIGAN	61.06%	70.26%	68.71%	\$301,112,223	\$309,890,155	\$20,629,908,000	\$416,032,000	\$20,183,876,000	\$2,931,581,101	\$2,931,581,101	\$309,890,155	\$339,882,365	\$483,750,164
MISSISSIPPI	76.98%	83.18%	81.03%	\$175,007,976	\$178,333,128	\$5,724,344,000	\$233,710,000	\$5,490,634,000	\$772,426,460	\$772,426,460	\$178,333,128	\$192,696,149	\$231,601,637
MISSOURI	65.65%	71.85%	70.30%	\$513,672,322	\$511,007,096	\$19,866,522,000	\$796,827,000	\$19,069,695,000	\$1,457,083,139	\$1,457,083,139	\$511,007,096	\$606,222,172	\$843,872,195
NEVADA	63.93%	70.13%	68.58%	\$33,073,189	\$34,080,637	\$1,094,108,000	\$76,830,000	\$1,017,279,000	\$584,316,000	\$584,316,000	\$34,080,637	\$50,329,823	\$84,599,776
NEW HAMPSHIRE	50.00%	56.20%	54.65%	\$183,727,990	\$187,218,822	\$2,348,220,000	\$254,218,000	\$2,299,972,000	\$352,728,450	\$352,728,450	\$187,218,822	\$210,433,956	\$374,437,644
NEW JERSEY	50.00%	56.20%	54.65%	\$38,763,183	\$39,790,683	\$1,630,602,000	\$748,690,000	\$1,534,912,000	\$2,388,697,606	\$2,388,697,606	\$39,790,683	\$44,146,844	\$1,505,599,166
NEW YORK	50.00%	56.20%	54.65%	\$1,843,321,726	\$1,878,344,839	\$85,670,762,000	\$6,653,800,000	\$79,016,962,000	\$12,149,900,042	\$12,149,900,042	\$1,878,344,839	\$2,111,559,599	\$3,756,689,678
NORTH CAROLINA	67.05%	73.25%	71.68%	\$338,540,021	\$344,972,281	\$15,044,922,000	\$318,138,000	\$14,526,784,000	\$2,093,726,294	\$2,093,726,294	\$344,972,281	\$376,880,802	\$514,663,560
OHIO	63.02%	69.22%	67.67%	\$466,209,717	\$475,067,763	\$24,415,845,000	\$540,434,000	\$23,865,411,000	\$3,481,314,091	\$3,481,314,091	\$475,067,763	\$521,895,626	\$753,836,501
PENNSYLVANIA	58.25%	58.45%	56.20%	\$644,065,735	\$656,324,383	\$6,638,894,000	\$950,029,000	\$5,688,865,000	\$5,336,008,245	\$5,336,008,245	\$656,324,383	\$734,904,023	\$1,256,123,221
RHODE ISLAND	58.95%	59.15%	57.60%	\$74,593,564	\$76,010,842	\$3,028,066,000	\$143,891,000	\$2,884,195,000	\$457,183,242	\$457,183,242	\$76,010,842	\$84,911,073	\$145,552,110
SOUTH CAROLINA	70.70%	76.90%	75.35%	\$375,834,805	\$382,977,702	\$6,048,872,000	\$350,501,000	\$5,944,311,000	\$848,456,623	\$848,456,623	\$382,977,702	\$416,562,734	\$511,094,062
TENNESSEE /5	65.21%	69.85%	68.30%	\$1,097,386,087	\$1,118,236,423	\$10,038,501,000	\$1,581,367,000	\$8,457,134,000	\$5,619,191,692	\$5,619,191,692	\$1,118,236,423	\$1,232,098,565	\$1,836,486,166
TEXAS	69.89%	67.06%	65.16%	\$28,820,851	\$26,311,417	\$1,684,745,000	\$22,704,000	\$1,662,041,000	\$250,903,510	\$250,903,510	\$26,311,417	\$29,440,243	\$48,851,554
VERMONT	53.86%	60.06%	58.51%	\$100,537,864	\$102,488,083	\$15,165,415,000	\$43,030,000	\$15,121,712,000	\$2,325,162,656	\$2,325,162,656	\$102,488,083	\$115,151,645	\$204,896,166
VIRGINIA	50.00%	56.20%	54.65%	\$21,304,760	\$21,972,463,550	\$12,972,463,550	\$897,444,000	\$12,565,019,550	\$1,931,688,356	\$1,931,688,356	\$21,304,760	\$243,164,530	\$427,677,100
WASHINGTON	50.00%	56.20%	54.65%	\$21,304,760	\$21,972,463,550	\$12,972,463,550	\$897,444,000	\$12,565,019,550	\$1,931,688,356	\$1,931,688,356	\$21,304,760	\$243,164,530	\$427,677,100

A	B1	B2	B3	C	D	E	F	G	H	I	J	K	L
STATE	FY 2020 FMAP's (Regular)/1	FY 2020 FMAP's (FFCRA)/2	FY 2020 FMAP's (Pro-rated)/3	Prior FY (2019) DSH Allowments	Prior FY (2019) DSH Allowment (Col C) x 100% + Pct Increase in CTR: 101.9%	FY 2020 TC MAP Exp. including DSH /4	FY 2020 TC DSH Expenditures /4	FY 2020 TC MAP EXP. Net Of DSH Col F - F	"12% Amount" = Col G x .12 / (.12/Col B3) (In PS)	Greater of Col H Or Col C (12% Limit, FY 2019 Allowment)	FY 2020 DSH Allocation MTN Col I, Col D	FY 2020 DSH PS Allocation ARP Col B3 x I.	FY 2020 DSH TC Allocation Col B3 x I.
WEST VIRGINIA	74.94%	81.14%	79.59%	\$77,462,547	\$78,934,335	\$4,402,339,000	\$73,034,000	\$4,389,305,000	\$620,230,422	\$620,230,422	\$78,934,335	\$85,464,798	\$105,330,044
<b>TOTAL</b>				<b>\$11,976,133,633</b>	<b>\$12,203,680,172</b>	<b>\$85,901,750,000</b>	<b>\$18,890,980,000</b>	<b>\$507,010,861,000</b>	<b>\$85,053,943,605</b>	<b>\$85,053,943,605</b>	<b>\$12,256,789,174</b>	<b>\$13,592,801,492</b>	<b>\$31,548,730,937</b>
<b>LOW DSH STATES</b>													
ALASKA	50.00%	56.20%	51.65%	\$23,376,123	\$23,820,269	\$2,578,787,000	\$8,191,000	\$2,593,876,000	\$383,466,326	\$383,466,326	\$23,820,269	\$26,773,982	\$47,640,538
ARKANSAS	71.42%	77.62%	76.07%	\$49,509,631	\$50,415,219	\$7,197,195,000	\$8,817,000	\$7,146,288,000	\$1,018,170,366	\$1,018,170,366	\$50,445,219	\$54,924,390	\$70,631,792
DELAWARE	57.86%	64.06%	62.51%	\$10,389,387	\$10,886,785	\$2,371,937,000	\$1,134,000	\$2,357,591,000	\$350,124,413	\$350,124,413	\$10,886,785	\$11,721,214	\$18,297,243
HAWAII	53.47%	59.67%	58.12%	\$11,184,286	\$11,398,705	\$2,207,058,000	\$0	\$2,207,058,000	\$333,757,701.15	\$333,757,701.15	\$11,398,706	\$12,718,187	\$21,314,206
IDAH0	70.34%	76.54%	74.99%	\$18,863,567	\$19,231,975	\$2,267,117,000	\$26,886,000	\$2,240,231,000	\$320,039,700.80	\$320,039,700.80	\$19,231,975	\$20,916,263	\$27,327,232
IOWA	61.20%	67.40%	65.85%	\$45,193,531	\$46,052,208	\$5,493,516,000	\$70,702,000	\$5,422,814,000	\$795,748,862	\$795,748,862	\$46,052,208	\$50,717,628	\$75,248,706
MINNESOTA	50.00%	56.20%	54.65%	\$87,340,989	\$14,354,071,060	\$71,920,000	\$71,920,000	\$14,283,151,000	\$2,156,059,080	\$2,156,059,080	\$87,340,989	\$98,171,272	\$174,081,978
MONTANA	64.78%	70.98%	69.43%	\$13,024,074	\$13,273,569	\$1,908,264,000	\$937,000	\$1,907,327,000	\$285,448,003	\$285,448,003	\$13,273,569	\$14,543,963	\$20,490,227
NEBRASKA	54.72%	60.92%	59.37%	\$32,474,849	\$2,423,339,000	\$43,305,000	\$43,305,000	\$2,382,034,000	\$358,255,500	\$358,255,500	\$33,091,871	\$36,841,315	\$60,474,910
NEW MEXICO	72.71%	78.91%	77.30%	\$23,376,123	\$23,820,269	\$5,616,604,000	\$32,444,000	\$5,584,160,000	\$793,114,226	\$793,114,226	\$23,820,269	\$25,851,429	\$32,760,651
NORTH DAKOTA	50.00%	56.25%	54.70%	\$10,961,790	\$11,170,064	\$1,218,056,000	\$2,255,000	\$1,215,811,000	\$156,898,909	\$156,898,909	\$11,170,064	\$12,553,768	\$22,317,810
OKLAHOMA	60.2%	72.22%	70.67%	\$41,557,549	\$42,347,142	\$5,120,851,060	\$43,975,000	\$5,076,876,000	\$733,832,269	\$733,832,269	\$42,347,142	\$46,324,002	\$64,142,899
OREGON	61.23%	67.43%	65.88%	\$51,946,041	\$52,033,933	\$10,932,876,000	\$37,888,000	\$10,894,988,000	\$1,598,578,640	\$1,598,578,640	\$52,033,933	\$58,293,894	\$86,450,977
SOUTH DAKOTA	57.62%	63.82%	62.27%	\$12,674,763	\$12,915,383	\$1,001,570,000	\$1,667,000	\$999,203,000	\$148,630,897	\$148,630,897	\$12,915,383	\$14,065,319	\$22,415,104
UTAH	68.19%	74.39%	72.84%	\$22,513,467	\$22,944,223	\$3,115,290,000	\$33,158,000	\$3,115,290,000	\$448,072,315	\$448,072,315	\$22,944,223	\$25,027,095	\$33,643,090
WISCONSIN	59.36%	65.56%	64.01%	\$108,483,232	\$110,546,451	\$9,516,747,000	\$102,783,000	\$9,413,964,000	\$1,320,310,944	\$1,320,310,944	\$110,546,451	\$122,092,745	\$186,230,544
WYOMING	50.00%	56.20%	51.65%	\$29,735	\$561,679	\$6,181,014,000	\$520,000	\$617,491,000	\$91,917,816	\$91,917,816	\$264,670	\$264,670	\$529,340
<b>TOTAL LOW DSH STATES</b>				<b>\$561,500,420</b>	<b>\$572,168,928</b>	<b>\$78,059,770,000</b>	<b>\$638,651,000</b>	<b>\$77,421,249,000</b>	<b>\$11,435,435,001</b>	<b>\$11,435,435,001</b>	<b>\$572,168,928</b>	<b>\$631,973,955</b>	<b>\$964,597,238</b>
<b>TOTAL</b>				<b>\$12,537,634,053</b>	<b>\$12,775,849,100</b>	<b>\$86,961,520,000</b>	<b>\$19,529,631,000</b>	<b>\$64,432,110,000</b>	<b>\$96,489,378,607</b>	<b>\$96,489,378,607</b>	<b>\$12,828,949,100</b>	<b>\$14,224,775,447</b>	<b>\$21,513,328,175</b>

FOOTNOTES:  
 /1 Regular FMAP as determined under section 1905(b) of the Act.  
 /2 Section 6008 of the Families First Coronavirus Response Act (FFCRA) provides a temporary point increase to each qualifying State and territory's State-specific FMAP as defined in section 1905(b) of the Act.  
 /3 Pro-rated to reflect the FFCRA FMAP rate going into effect beginning January 1, 2020.  
 /4 Expenditures based on the amounts reported by States on the Form CVRS-37.  
 /5 Tennessee's DSH allotment for FY 2020 determined under section 1923(0)(6)(A) of the Act.

**Key to ADDENDUM 4: Preliminary DSH Allotments for FY 2021.**

Column	Description
Column A	State.
Column B1	FY 2021 FMAPs.
Column B2	This column contains the States' regular FY 2021 Federal Medical Assistance Percentages.
Column C	This column contains the States' FY2020 Federal Medical Assistance Percentages.
Column D	Prior FY (2020) DSH Allotments. This column contains the States' prior preliminary FY 2020 DSH Allotments.
Column E	Prior FY (2020) DSH Allotments (Col C) x (100 percent + Percentage Increase in CPIU): 101.5percent. This column contains the amount in Column C increased by 1 plus the estimated percentage increase in the CPI-U for the prior FY (101.5 percent).
Column F	FY 2021 TC MAP Exp. Including DSH. This column contains the amount of the States' projected FY 2021 total computable (TC) medical assistance expenditures including DSH expenditures.
Column G	FY 2021 TC DSH Expenditures. This column contains the amount of the States' projected FY 2021 total computable DSH expenditures.
Column H	FY 2021 TC MAP Exp. Net of DSH. This column contains the amount of the States' projected FY 2021 total computable medical assistance expenditures net of DSH expenditures, calculated as the amount in Column E minus the amount in Column F.
Column I	12 percent Amount. This column contains the amount of the "12 percent limit" in Federal share, determined in accordance with the provisions of section 1923(f)(3) of the Act. This is calculated using the full FFCRA FMAP rate in Column B2.
Column J	Greater of FY 2020 Allotment or 12 percent Limit. This column contains the greater of the State's preliminary prior FY (FY 2020) DSH allotment or the amount of the 12 percent Limit, determined as the greater of the amount in Column C or Column H.
Column K	FS FY 2021 Unadjusted DSH Allotment. This column contains the States' preliminary FY 2021 DSH allotments, determined as the lesser of the amount in Column I or Column D. For States with "na" in Columns I or D, refer to the footnotes in the addendum.
Column L	FS FY 2021 ARP-adjusted DSH Allotment. This column contains the States' preliminary FY 2021 ARP DSH allotments, determined by multiplying the FMAP in Column B2 by Column L.
Column M	TC FY 2021 DSH Allotment. This column contains the States' preliminary TC FY 2021 DSH allotments, determined by dividing Column B1 by Column J.



ADDENDUM 4: PRELIMINARY DSH ALLOTMENTS FOR FISCAL YEAR: 2021

A	B1	B2	C	D	E	F	G	H	I	J	K	L
STATE	Regular FMAP (Regular)/I	FY 2021 FMAPs (FFCRA)/2	Prior FY (2020) DSH Allotments	Prior FY (2020) DSH Allotment (Col C) x 100% + Pct Increase in CPU; 101.5%	FY 2021 TC MAP Exp. Including DSH /3	FY 2021 TC DSH Expenditures /3	FY 2021 TC MAP EXP. Net Of DSH Col E - F	"12% Amount" =Col G x .12(1-.12% of BD) (In F5)	Greater of Col H Or Col C (12% Limit, FY 2020 Allotment)	FY 2021 DSH Allotment MIN Col I, Col D	FY 2021 DSH PS Allotment ARP	FY 2021 DSH TC Allotment
ALABAMA	72.58%	78.78%	\$359,889,752	\$364,983,598	\$6,867,572,000	\$93,820,000	\$6,463,752,000	\$906,847,000	\$906,847,000	\$364,983,598	\$963,161,688	\$602,870,761
ARIZONA	70.01%	76.21%	\$118,401,505	\$120,177,528	\$16,867,662,000	\$181,188,000	\$16,386,474,000	\$2,390,837,284	\$2,390,837,284	\$120,177,528	\$130,820,108	\$171,657,660
CALIFORNIA	50.00%	56.20%	\$1,281,952,080	\$1,301,181,361	\$1,243,753,344,000	SO	\$1,243,753,344,000	\$19,038,120,361	\$19,038,120,361	\$1,301,181,361	\$1,462,527,880	\$2,602,702,722
COLORADO	50.00%	56.20%	\$108,169,274	\$109,791,813	\$10,921,416,000	\$29,834,000	\$10,711,682,000	\$1,634,364,457	\$1,634,364,457	\$109,791,813	\$123,405,998	\$219,583,626
CONNECTICUT	50.00%	56.20%	\$243,879,514	\$247,387,707	\$8,301,064,000	\$113,798,000	\$8,385,266,000	\$1,340,448,731	\$1,340,448,731	\$247,387,707	\$266,823,783	\$474,775,414
DISTRICT OF COLUMBIA	70.00%	76.20%	\$71,625,601	\$72,699,985	\$2,804,296,000	\$78,992,000	\$2,825,298,000	\$402,406,930	\$402,406,930	\$72,699,985	\$79,139,137	\$103,857,121
FLORIDA	61.90%	68.10%	\$233,879,514	\$237,387,707	\$38,548,632,000	\$329,926,000	\$38,213,706,000	\$4,109,073,079	\$4,109,073,079	\$237,387,707	\$261,141,803	\$383,130,579
GEORGIA	67.00%	73.20%	\$314,275,896	\$318,989,730	\$12,172,165,000	\$438,154,000	\$11,734,011,000	\$1,684,040,422	\$1,684,040,422	\$318,989,730	\$348,494,971	\$475,890,989
ILLINOIS	50.00%	57.10%	\$251,120,477	\$255,191,784	\$20,031,981,000	\$412,416,000	\$19,889,568,000	\$2,975,393,375	\$2,975,393,375	\$255,191,784	\$286,239,480	\$500,768,807
INDIANA	63.88%	72.00%	\$249,898,731	\$253,708,112	\$15,479,996,000	\$313,700,000	\$15,166,296,000	\$2,183,468,104	\$2,183,468,104	\$253,708,112	\$277,602,848	\$385,598,925
KANSAS	50.68%	65.88%	\$48,237,649	\$48,991,214	\$4,398,757,000	\$81,538,000	\$4,317,219,000	\$633,448,525	\$633,448,525	\$48,991,214	\$54,047,667	\$82,039,568
KENTUCKY	72.00%	78.20%	\$169,562,648	\$172,106,088	\$12,383,957,000	\$237,440,000	\$12,146,517,000	\$1,707,423,315	\$1,707,423,315	\$172,106,088	\$186,916,060	\$288,770,351
LOUISIANA	67.42%	73.62%	\$801,812,898	\$813,840,091	\$16,186,646,000	\$427,001,000	\$15,759,645,000	\$2,259,445,112	\$2,259,445,112	\$813,840,091	\$888,681,511	\$1,207,119,684
MAINE	63.62%	69.82%	\$122,286,743	\$124,628,344	\$3,444,075,000	\$53,396,000	\$3,390,682,000	\$491,195,274	\$491,195,274	\$124,628,344	\$136,790,699	\$195,479,925
MARYLAND	50.00%	56.20%	\$80,166,566	\$80,504,064	\$12,101,040,000	\$77,761,000	\$12,023,279,000	\$1,831,450,533	\$1,831,450,533	\$80,504,064	\$101,726,658	\$151,008,128
MASSACHUSETTS	50.00%	56.20%	\$356,666,257	\$359,016,251	\$21,298,109,000	SO	\$21,298,109,000	\$3,249,648,124	\$3,249,648,124	\$359,016,251	\$406,906,566	\$74,032,502
MICHIGAN	61.08%	70.28%	\$309,890,335	\$314,538,710	\$20,773,999,000	\$30,104,000	\$20,643,895,000	\$2,962,178,271	\$2,962,178,271	\$314,538,710	\$344,471,066	\$490,851,168
MISSISSIPPI	77.00%	83.90%	\$178,333,128	\$181,008,125	\$6,067,710,000	\$233,898,000	\$5,833,812,000	\$813,398,425	\$813,398,425	\$181,008,125	\$195,440,357	\$272,777,939
MISSOURI	61.99%	71.10%	\$51,002,696	\$52,312,127	\$12,319,611,000	\$89,552,000	\$12,230,059,000	\$1,681,577,052	\$1,681,577,052	\$52,312,127	\$61,591,080	\$96,628,274
NEVADA	63.30%	69.50%	\$51,084,637	\$51,858,907	\$1,839,536,000	\$107,206,000	\$1,732,330,000	\$686,393,603	\$686,393,603	\$51,858,907	\$60,272,757	\$86,723,392
NEW HAMPSHIRE	50.00%	56.20%	\$187,218,822	\$190,027,104	\$2,570,307,000	\$299,917,000	\$2,270,390,000	\$350,987,055	\$350,987,055	\$190,027,104	\$213,590,465	\$300,654,208
NEW JERSEY	50.00%	56.20%	\$52,739,683	\$54,091,678	\$1,987,333,000	\$1,000,685,000	\$1,686,648,000	\$2,591,838,917	\$2,591,838,917	\$54,091,678	\$60,829,046	\$1,528,183,356
NEW YORK	50.00%	56.20%	\$1,878,344,839	\$1,906,520,012	\$82,386,449,000	\$3,236,002,000	\$79,150,447,000	\$2,076,710,737	\$2,076,710,737	\$1,906,520,012	\$2,142,928,493	\$3,813,040,024
NORTH CAROLINA	67.40%	73.60%	\$341,972,281	\$350,146,865	\$16,163,146,000	\$49,500,000	\$15,713,646,000	\$2,251,534,878	\$2,251,534,878	\$350,146,865	\$382,356,221	\$519,206,734
OHIO	63.63%	69.83%	\$455,067,763	\$462,193,779	\$30,933,000,000	\$440,309,000	\$30,492,691,000	\$4,413,032,315	\$4,413,032,315	\$462,193,779	\$520,177,928	\$727,808,862
PENNSYLVANIA	52.20%	58.40%	\$656,324,283	\$660,169,249	\$36,617,986,000	\$89,027,000	\$36,528,959,000	\$5,429,532,428	\$5,429,532,428	\$660,169,249	\$745,292,800	\$1,276,186,301
RHODE ISLAND	54.00%	60.20%	\$76,010,842	\$77,151,005	\$3,689,009,000	\$145,552,000	\$3,543,457,000	\$466,793,297	\$466,793,297	\$77,151,005	\$85,994,344	\$142,634,507
SOUTH CAROLINA	70.63%	76.83%	\$382,977,702	\$388,722,368	\$7,349,836,000	\$529,979,000	\$6,819,857,000	\$969,865,087	\$969,865,087	\$388,722,368	\$422,844,960	\$550,364,389
TENNESSEE/4	66.10%	72.30%	na	na	na	na	na	na	na	\$53,100,000	\$58,080,635	\$80,532,829
TEXAS	61.81%	68.01%	\$1,118,236,423	\$1,135,009,929	\$46,688,497,000	\$1,149,861,000	\$45,538,636,000	\$6,593,164,937	\$6,593,164,937	\$1,135,009,929	\$1,248,829,861	\$1,836,298,576
VERMONT	54.57%	60.77%	\$26,311,447	\$26,706,119	\$1,678,391,000	\$2,701,000	\$1,675,690,000	\$217,568,831	\$217,568,831	\$26,706,119	\$29,740,349	\$48,339,196
VIRGINIA	50.00%	56.20%	\$102,448,683	\$103,984,804	\$16,904,519,000	\$67,669,000	\$16,836,850,000	\$2,588,962,014	\$2,588,962,014	\$103,984,804	\$116,878,920	\$207,969,608
WASHINGTON	50.00%	56.20%	\$216,338,550	\$219,383,628	\$14,317,283,000	\$440,334,000	\$13,976,951,000	\$2,117,333,881	\$2,117,333,881	\$219,383,628	\$246,811,998	\$439,167,256

A	B1	B2	C	D	E	F	G	H	I	J	K	L
STATE	Regular FMAP (Regular)/1	FY 2021 FMAPs (FFCRA)/2	Prior FY (2020) DSH Allowances	Prior FY (2020) DSH Allowance (Col C) x 100% + Fed Increase in CPTI: 101.5%	FY 2021 TC/MAP Exp. Including DSH /3	FY 2021 TC DSH Expenditures /3	FY 2021 TC/MAP EXP. Net of DSH Col E - F	"12% Amount" = Col G x .12/(1-.12/Col B2)	Greater of Col H Or Col C (12% Limit, FY 2020 Allowment)	FY 2021 DSH Allowment	FY 2021 DSH FS Allowment ARP	FY 2021 DSH TC Allowment
								(In FS)		MIN Col L, Col D	Column B2 x L	Column J / B1
WEST VIRGINIA	74.92%	81.12%	\$78,934,335	\$80,118,350	\$4,283,028,000	\$73,018,000	\$4,189,180,000	\$89,887,887	\$89,887,887	\$80,118,350	\$86,742,250	\$106,538,712
<b>TOTAL</b>			<b>\$12,203,680,174</b>	<b>\$12,386,735,377</b>	<b>\$642,660,258,000</b>	<b>\$14,131,261,000</b>	<b>\$628,528,997,000</b>	<b>\$93,614,367,450</b>	<b>\$93,614,367,450</b>	<b>\$12,439,435,376</b>	<b>\$13,799,200,648</b>	<b>\$21,812,343,093</b>
<b>LOW DSH STATES</b>												
ALASKA	50.00%	56.20%	\$23,820,269	\$24,177,573	\$2,215,593,000	\$27,171,000	\$2,188,422,000	\$333,507,646.33	\$333,907,646	\$24,177,573	\$27,175,892	\$48,355,146
ARKANSAS	71.23%	77.43%	\$50,445,219	\$51,201,897	\$7,890,250,000	\$25,000,000	\$7,890,250,000	\$1,120,480,019	\$1,120,480,019	\$51,201,897	\$55,658,611	\$71,882,489
DELAWARE	57.4%	63.94%	\$10,586,785	\$10,745,587	\$2,594,287,000	\$18,315,000	\$2,575,972,000	\$380,533,653	\$380,533,653	\$10,745,587	\$11,899,426	\$18,610,200
HAWAII	53.02%	59.22%	\$11,596,706	\$11,567,657	\$2,481,890,000	\$0	\$2,481,890,000	\$373,513,407.37	\$373,513,407	\$11,567,657	\$12,920,344	\$21,817,535
IDAHO	70.41%	76.61%	\$19,221,975	\$19,510,305	\$2,899,439,000	\$25,091,000	\$2,874,348,000	\$408,983,996.81	\$408,983,997	\$19,510,305	\$21,238,298	\$27,709,565
IOWA	61.78%	67.9%	\$36,055,278	\$46,742,991	\$3,924,157,000	\$70,708,000	\$3,853,454,000	\$833,065,379	\$833,065,379	\$46,742,991	\$51,466,214	\$75,697,151
MINNESOTA	50.00%	56.20%	\$87,340,589	\$88,651,104	\$15,721,788,000	\$70,882,000	\$15,650,906,000	\$2,388,002,490	\$2,388,002,490	\$88,651,104	\$99,443,841	\$177,202,208
MONTANA	65.60%	71.80%	\$13,273,569	\$13,472,673	\$2,178,847,000	\$1,830,000	\$2,177,017,000	\$313,665,192	\$313,665,192	\$13,472,673	\$14,746,005	\$20,537,611
NEBRASKA	56.47%	62.67%	\$33,091,871	\$33,588,249	\$3,012,499,000	\$41,689,000	\$2,970,810,000	\$440,925,193	\$440,925,193	\$33,588,249	\$37,275,997	\$59,479,811
NEW MEXICO	73.40%	79.60%	\$23,820,269	\$24,177,573	\$7,111,378,000	\$32,746,000	\$7,078,618,000	\$1,000,087,573	\$1,000,087,573	\$24,177,573	\$26,218,152	\$32,912,569
NORTH DAKOTA	52.40%	58.60%	\$11,170,064	\$11,337,615	\$1,314,694,000	\$1,972,000	\$1,312,692,000	\$198,077,859	\$198,077,859	\$11,337,615	\$12,679,089	\$21,636,670
OKLAHOMA	67.90%	74.10%	\$12,347,112	\$12,982,319	\$637,105,000	\$69,369,000	\$637,706,000	\$93,549,739	\$93,549,739	\$12,982,319	\$14,901,904	\$23,181,634
OREGON	60.80%	67.00%	\$52,933,933	\$53,727,912	\$11,525,613,000	\$100,215,000	\$11,425,398,000	\$1,669,965,672	\$1,669,965,672	\$53,727,912	\$59,203,176	\$89,310,227
SOUTH DAKOTA	58.28%	64.48%	\$12,915,583	\$13,119,317	\$972,311,000	\$1,512,000	\$970,799,000	\$131,129,234	\$131,129,234	\$13,119,317	\$14,505,925	\$22,493,681
UTAH	67.52%	73.72%	\$22,941,223	\$23,285,311	\$3,671,282,000	\$32,072,000	\$3,642,210,000	\$522,042,232	\$522,042,232	\$23,285,311	\$25,423,509	\$34,086,583
WISCONSIN	59.37%	65.57%	\$110,546,651	\$112,201,618	\$9,611,111,000	\$10,412,000	\$9,598,699,000	\$1,631,172,986	\$1,631,172,986	\$112,201,618	\$125,922,162	\$198,992,164
WYOMING	50.00%	56.20%	\$261,670	\$268,610	\$622,790,000	\$10,000	\$622,320,000	\$84,953,079	\$84,953,079	\$268,610	\$301,951	\$537,280
<b>TOTAL LOW DSH STATES</b>			<b>\$572,068,926</b>	<b>\$580,751,460</b>	<b>\$86,379,901,000</b>	<b>\$61,625,000</b>	<b>\$86,763,650,000</b>	<b>\$12,575,054,950</b>	<b>\$12,575,054,950</b>	<b>\$580,751,461</b>	<b>\$641,138,198</b>	<b>\$973,979,623</b>
<b>TOTAL</b>			<b>\$12,775,849,100</b>	<b>\$12,967,486,837</b>	<b>\$729,040,159,000</b>	<b>\$14,747,572,000</b>	<b>\$714,292,647,000</b>	<b>\$106,189,422,400</b>	<b>\$106,189,422,400</b>	<b>\$13,020,586,837</b>	<b>\$14,433,338,845</b>	<b>\$22,786,327,716</b>

FOOTNOTES:  
 /1 Regular FMAP as determined under section 1905(b) of the Act.  
 /2 Section 6009 of the Families First Coronavirus Response Act (FFCRA) provides a temporary 6.2 percentage point increase to each qualifying State and territory's State-specific FMAP, as defined in section 1905(b) of the Act.  
 /3 Expenditures based on the amounts reported by States on the Form CMS-57.  
 /4 Tennessee's DSH allotment for FY 2021 determined under section 1922(0)(6)(C) of the Act.

**Key to ADDENDUM 5: Final IMD DSH Limits for FY 2018**

Column	Description
Column A	State.
Column B	<b>Inpatient Hospital Services FY 95 DSH Total Computable.</b> This column contains the States' total computable FY 1995 inpatient hospital DSH expenditures as reported on the Form CMS-64 as of January 1, 1997.
Column C	<b>IMD and Mental Health Services FY 95 DSH Total Computable</b> This column contains the total computable FY 1995 mental health facility DSH expenditures as reported on the Form CMS-64 as of January 1, 1997.
Column D	<b>Total Inpatient Hospital &amp; IMD &amp; Mental Health FY 95 DSH Total Computable, Col. B + C</b> This column contains the total computation of all inpatient hospital DSH expenditures and mental health facility DSH expenditures for FY 1995 as reported on the Form CMS-64 as of January 1, 1997 (representing the sum of Column B and Column C).
Column E	<b>Applicable Percentage, Col. C/D.</b> This column contains the "applicable percentage" representing the total Computable FY 1995 mental health facility DSH expenditures divided by total computable all inpatient hospital and mental health facility DSH expenditures for FY 1995 (the amount in Column C divided by the amount in Column D) Per section 1923(h)(2)(A)(ii)(III) of the Act, for FYs after FY 2002, the applicable percentage can be no greater than 33 percent.
Column F	<b>FY 2018 Federal Share DSH Allotment.</b> This column contains the States' final FY 2018 DSH allotments from Addendum I, Column J.
Column G	<b>FY 2018 FMAP.</b>
Column H	<b>FY 2018 DSH Allotments in Total Computable, Col. F/G.</b> This column contains States' FY 2018 total computable DSH allotment (determined as Column F/Column G).
Column I	<b>Applicable Percentage Applied to FY 2018 Allotments in TC, Col E x Col H.</b> This column contains the applicable percentage of FY 2018 total computable DSH allotment (calculated as the percentage in Column E multiplied by the amount in Column H).
Column J	<b>FY 2018 TC IMD DSH Limit, Lesser of Col. I or C</b> This column contains the total computable FY 2018 TC IMD DSH limit equal to the lesser of the amount in Column I or Column C.
Column K	<b>FY 2018 IMD DSH Limit in Federal Share, Col. G x J.</b> This column contains the FY 2018 Federal Share IMD DSH limit determined by converting the total computable FY 2018 IMD DSH limit from Column J into a federal share amount by multiplying it by the FY 2018 FMAP in Column G.

**ADDENDUM 5: FINAL IMD DSH LIMIT FOR FY: 2018**

A	B	C	D	E	F	G	H	I	J	K	L
STATE	Inpatient Hospital Services FY 95 DSH Total Computable	IMD And Mental Health Services FY 95 DSH Total Computable	Total Inpatient & IMD & Mental Health FY 95 DSH Total Computable Col B + C	Applicable Percent Col C/D	FY 2018 Allotment In FS	FY 2018 FMAPs	FY 2018 Allotments in TC Col F/G	Applicable Percentage Applied to FY 2018 Allotments in TC Col E x Col H	FY 2018 TC IMD Limit (Lesser Of Col I or Col C)	FY 2018 IMD Limit In FS Col G x J	MMA LOW DSH STATUS
ALABAMA	\$413,006,229	\$4,451,770	\$417,457,999	1.07%	\$344,614,197	71.44%	\$482,382,695	\$5,144,127	\$4,451,770	\$3,180,344	N/A
ARIZONA	\$93,916,100	\$28,474,900	\$122,391,000	23.27%	\$113,470,529	69.89%	\$162,355,886	\$37,772,938	\$28,474,900	\$19,901,108	N/A

A	B	C	D	E	F	G	II	I	J	K	L
STATE	Inpatient Hospital Services FY 95 DSH Total Computable	IMD And Mental Health Services FY 95 DSH Total Computable	Total Inpatient & IMD & Mental Health FY 95 DSH Total Computable Col B + C	Applicable Percent Col C/D	FY 2018 Allocation In FS	FY 2018 FMAPs	FY 2018 Allotments in IC Col F/G	Applicable Percentage Applied to FY 2018 Allotments in IC Col F x Col H	FY 2018 TC IMD Limit (Lesser Of Col I or Col C)	FY 2018 IMD Limit In FS Col G x J	MMA LOW DSH STATUS
CALIFORNIA	\$2,189,879,543	\$1,555,919	\$2,191,435,462	0.07%	\$1,228,563,619	50.00%	\$2,457,127,238	\$1,744,560	\$1,555,919	\$777,960	N/A
COLORADO	\$173,900,441	\$594,776	\$174,495,217	0.34%	\$103,664,433	50.00%	\$207,328,866	\$706,691	\$594,776	\$297,388	N/A
CONNECTICUT	\$303,359,275	\$105,573,725	\$408,933,000	25.82%	\$224,139,315	50.00%	\$448,278,630	\$115,731,537	\$105,573,725	\$52,786,863	N/A
DISTRICT OF COLUMBIA	\$39,532,234	\$6,545,136	\$46,077,370	14.20%	\$68,642,665	70.00%	\$98,060,950	\$13,929,229	\$6,545,136	\$4,581,595	N/A
FLORIDA	\$184,468,014	\$149,714,986	\$334,183,000	33.00%	\$224,139,315	61.79%	\$362,743,672	\$119,705,412	\$119,705,412	\$73,965,974	N/A
GEORGIA	\$407,343,557	\$0	\$407,343,557	0.00%	\$301,187,205	68.50%	\$439,689,350	\$0	\$0	\$0	N/A
ILLINOIS	\$315,868,508	\$89,408,276	\$405,276,784	22.06%	\$240,949,764	50.74%	\$474,871,431	\$104,761,579	\$89,408,276	\$45,365,759	N/A
INDIANA	\$79,960,783	\$153,566,302	\$233,527,085	33.00%	\$239,548,895	65.59%	\$365,221,673	\$120,523,152	\$120,523,152	\$79,051,135	N/A
KANSAS	\$11,587,208	\$76,663,508	\$88,250,716	33.00%	\$46,228,733	54.74%	\$84,451,467	\$27,868,984	\$27,868,984	\$15,255,482	N/A
KENTUCKY	\$158,804,908	\$37,443,073	\$196,247,981	19.08%	\$162,501,004	71.17%	\$228,327,953	\$43,563,761	\$37,443,073	\$26,648,235	N/A
LOUISIANA	\$1,078,512,169	\$132,917,149	\$1,211,429,318	10.97%	\$768,420,420	63.69%	\$1,206,500,895	\$132,376,406	\$132,376,406	\$84,310,533	N/A
MAINE	\$99,957,958	\$60,958,342	\$160,916,300	33.00%	\$117,673,139	64.34%	\$182,892,662	\$60,354,579	\$60,354,579	\$38,832,136	N/A
MARYLAND	\$22,226,467	\$120,873,531	\$143,099,998	33.00%	\$85,453,115	50.00%	\$170,906,230	\$56,399,056	\$56,399,056	\$28,199,528	N/A
MASSACHUSETTS	\$469,653,946	\$105,635,054	\$575,289,000	18.36%	\$341,812,455	50.00%	\$683,624,910	\$125,527,786	\$105,635,054	\$52,817,527	N/A
MICHIGAN	\$133,258,800	\$304,765,552	\$438,024,352	33.00%	\$296,984,593	64.78%	\$458,451,054	\$151,288,848	\$151,288,848	\$98,004,916	N/A
MISSISSIPPI	\$182,608,033	\$0	\$182,608,033	0.00%	\$170,906,227	75.65%	\$225,917,022	\$0	\$0	\$0	N/A
MISSOURI	\$521,946,524	\$207,234,618	\$729,181,142	28.42%	\$530,930,002	64.61%	\$821,745,863	\$233,541,681	\$207,234,618	\$133,894,287	N/A
NEVADA	\$73,560,000	\$0	\$73,560,000	0.00%	\$51,832,216	65.75%	\$78,832,268	\$0	\$0	\$0	N/A
NEW HAMPSHIRE	\$92,673,916	\$94,753,948	\$187,427,864	33.00%	\$179,421,865	50.00%	\$338,843,730	\$118,418,431	\$94,753,948	\$47,376,974	N/A
NEW JERSEY	\$736,742,539	\$357,370,461	\$1,094,113,000	32.66%	\$721,448,421	50.00%	\$1,442,896,842	\$471,293,833	\$357,370,461	\$178,685,231	N/A
NEW YORK	\$2,418,869,368	\$605,000,000	\$3,023,869,368	20.01%	\$1,800,118,873	50.00%	\$3,600,237,746	\$720,316,777	\$605,000,000	\$302,500,000	N/A
NORTH CAROLINA	\$193,201,966	\$236,072,627	\$429,274,593	33.00%	\$330,605,489	67.61%	\$488,989,039	\$161,366,383	\$161,366,383	\$109,099,811	N/A
OHIO	\$535,731,956	\$93,432,758	\$629,164,714	14.85%	\$455,282,985	62.78%	\$725,203,863	\$107,694,846	\$93,432,758	\$58,657,085	N/A
PENNSYLVANIA	\$388,207,319	\$579,199,682	\$967,407,001	33.00%	\$628,990,952	51.82%	\$1,213,799,599	\$400,553,868	\$400,553,868	\$207,567,014	N/A
RHODE ISLAND	\$108,503,167	\$2,397,833	\$110,901,000	2.16%	\$72,845,277	51.45%	\$141,584,601	\$3,061,255	\$2,397,833	\$1,233,685	N/A
SOUTH CAROLINA	\$366,081,364	\$72,076,341	\$438,157,705	16.43%	\$367,028,128	71.58%	\$512,752,344	\$84,231,712	\$72,076,341	\$51,592,245	N/A
TENNESSEE	\$0	\$0	\$0	0.00%	\$53,100,000	65.82%	\$80,674,567	\$0	\$0	\$0	N/A
TEXAS	\$1,220,515,401	\$292,513,592	\$1,513,028,993	19.33%	\$1,071,666,101	56.88%	\$1,884,082,456	\$364,249,284	\$292,513,592	\$166,381,731	N/A
VERMONT	\$19,979,252	\$9,071,297	\$29,050,549	31.23%	\$25,215,675	53.47%	\$47,158,547	\$14,725,683	\$9,071,297	\$4,850,423	N/A
VIRGINIA	\$129,313,480	\$7,770,268	\$137,083,748	5.67%	\$98,181,508	50.00%	\$196,363,016	\$11,130,373	\$7,770,268	\$3,885,134	N/A
WASHINGTON	\$171,725,815	\$163,836,435	\$335,562,250	33.00%	\$207,328,867	50.00%	\$414,657,734	\$136,837,052	\$136,837,052	\$68,418,526	N/A
WEST VIRGINIA	\$66,962,606	\$18,887,045	\$85,849,651	22.00%	\$75,647,019	73.24%	\$103,286,481	\$22,723,172	\$18,887,045	\$13,832,872	N/A

A	B	C	D	E	F	G	H	I	J	K	L
STATE	Inpatient Hospital Services FY 95 DSH Total Computable	IMD And Mental Health Services FY 95 DSH Total Computable	Total Inpatient & IMD & Mental Health FY 95 DSH Total Computable Col B + C	Applicable Percent Col C/D	FY 2018 Allotment In FS	FY 2018 FMAPs	FY 2018 Allotments in TC Col F/G	Applicable Percentage Applied to FY 2018 Allotments in TC Col E x Col H	FY 2018 TC IMD Limit (Lesser Of Col I or Col C)	FY 2018 IMD Limit In FS Col G x J	MMA LOW DSH STATUS
TOTAL	\$13,402,460,846	\$4,118,758,904	\$17,521,219,750		\$11,748,543,001		\$20,850,241,279	\$3,967,542,995	\$3,507,464,529	\$1,971,951,501	
LOW DSH STATES											
ALASKA	\$2,506,827	\$17,611,765	\$20,118,592	33.00%	\$22,828,245	50.00%	\$45,656,490	\$15,066,642	\$15,066,642	\$7,533,321	LOW DSH
ARKANSAS	\$2,422,649	\$819,351	\$3,242,000	25.27%	\$48,344,366	70.87%	\$68,215,558	\$17,240,125	\$819,351	\$580,674	LOW DSH
DELAWARE	\$0	\$7,069,000	\$7,069,000	33.00%	\$10,145,886	56.43%	\$17,979,596	\$5,933,267	\$5,933,267	\$3,348,142	LOW DSH
HAWAII	\$0	\$0	\$0	0.00%	\$10,922,076	54.78%	\$19,938,072	\$0	\$0	\$0	LOW DSH
IDAHO	\$2,081,429	\$0	\$2,081,429	0.00%	\$18,421,452	71.17%	\$25,883,732	\$0	\$0	\$0	LOW DSH
IOWA	\$12,011,250	\$0	\$12,011,250	0.00%	\$44,134,308	58.48%	\$75,469,063	\$0	\$0	\$0	LOW DSH
MINNESOTA	\$24,240,000	\$5,257,214	\$29,497,214	17.82%	\$83,703,566	50.00%	\$167,407,132	\$29,836,551	\$5,257,214	\$2,628,607	LOW DSH
MONTANA	\$237,048	\$0	\$237,048	0.00%	\$12,720,775	65.38%	\$19,456,676	\$0	\$0	\$0	LOW DSH
NEBRASKA	\$6,449,102	\$1,811,337	\$8,260,439	21.93%	\$31,713,720	52.55%	\$60,349,610	\$13,233,374	\$1,811,337	\$951,858	LOW DSH
NEW MEXICO	\$6,490,015	\$254,786	\$6,744,801	3.78%	\$22,828,245	72.16%	\$31,635,595	\$1,195,040	\$254,786	\$183,854	LOW DSH
NORTH DAKOTA	\$214,523	\$988,478	\$1,203,001	33.00%	\$10,704,873	50.00%	\$21,409,746	\$7,065,216	\$988,478	\$494,239	LOW DSH
OKLAHOMA	\$20,019,969	\$3,273,248	\$23,293,217	14.05%	\$40,583,544	58.57%	\$69,290,668	\$9,736,978	\$3,273,248	\$1,917,141	LOW DSH
OREGON	\$11,437,908	\$19,975,092	\$31,413,000	33.00%	\$50,729,435	63.62%	\$79,738,188	\$26,313,602	\$19,975,092	\$12,708,154	LOW DSH
SOUTH DAKOTA	\$321,120	\$751,299	\$1,072,419	33.00%	\$12,377,698	55.34%	\$22,366,639	\$7,380,991	\$751,299	\$415,769	LOW DSH
UTAH	\$3,621,116	\$934,586	\$4,555,702	20.51%	\$21,985,808	70.26%	\$31,292,069	\$6,419,456	\$934,586	\$656,640	LOW DSH
WISCONSIN	\$6,609,524	\$4,492,011	\$11,101,535	33.00%	\$105,942,609	58.77%	\$180,266,478	\$59,487,938	\$4,492,011	\$2,639,955	LOW DSH
WYOMING	\$0	\$0	\$0	0.00%	\$253,647	50.00%	\$507,294	\$0	\$0	\$0	LOW DSH
TOTAL LOW DSH STATES	\$98,662,480	\$63,238,167	\$161,900,647		\$548,340,253		\$936,862,605	\$198,909,179	\$59,557,310	\$34,058,354	
TOTAL	\$13,501,123,326	\$4,181,997,071	\$17,683,120,397		\$12,296,883,254		\$21,787,103,884	\$4,166,452,175	\$3,567,021,839	\$2,006,009,855	

FOOTNOTES:

\* Tennessee's DSH allotment for FY 2018, determined under section 1923(f)(6)(A) of the Act, is \$53,100,000.

**Key to ADDENDUM 6: Final IMD DSH Limits for FY 2019**

<b>Column</b>	<b>Description</b>
Column A	<b>State.</b>
Column B	<b>Inpatient Hospital Services FY 95 DSH Total Computable.</b> This column contains the States' total computable FY 1995 inpatient hospital DSH expenditures as reported on the Form CMS-64 as of January 1, 1997.
Column C	<b>IMD and Mental Health Services FY 95 DSH Total Computable</b> This column contains the total computable FY 1995 mental health facility DSH expenditures as reported on the Form CMS-64 as of January 1, 1997.
Column D	<b>Total Inpatient Hospital &amp; IMD &amp; Mental Health FY 95 DSH Total Computable, Col. B + C</b> This column contains the total computation of all inpatient hospital DSH expenditures and mental health facility DSH expenditures for FY 1995 as reported on the Form CMS-64 as of January 1, 1997 (representing the sum of Column B and Column C).
Column E	<b>Applicable Percentage, Col. C/D.</b> This column contains the "applicable percentage" representing the total Computable FY 1995 mental health facility DSH expenditures divided by total computable all inpatient hospital and mental health facility DSH expenditures for FY 1995 (the amount in Column C divided by the amount in Column D) Per section 1923(h)(2)(A)(ii)(III) of the Act, for FY's after FY 2002, the applicable percentage can be no greater than 33 percent.
Column F	<b>FY 2019 Federal Share DSH Allotment.</b> This column contains the States' final FY 2019 DSH allotments from Addendum 2, Column J.
Column G	<b>FY 2019 FMAP.</b>
Column H	<b>FY 2019 DSH Allotments in Total Computable, Col. F/G.</b> This column contains States' FY 2019 total computable DSH allotment (determined as Column F/Column G).
Column I	<b>Applicable Percentage Applied to FY 2019 Allotments in TC, Col E x Col H.</b> This column contains the applicable percentage of FY 2019 total computable DSH allotment (calculated as the percentage in Column E multiplied by the amount in Column H).
Column J	<b>FY 2019 TC IMD DSH Limit, Lesser of Col. I or C.</b> This column contains the total computable FY 2019 TC IMD DSH Limit equal to the lesser of the amount in Column I or Column C.
Column K	<b>FY 2019 IMD DSH Limit in Federal Share, Col. G x J.</b> This column contains the FY 2019 Federal Share IMD DSH limit determined by converting the total computable FY 2019 IMD DSH limit from Column J into a Federal share amount by multiplying it by the FY 2019 FMAP in Column G.

The final FY 2019 IMD DSH Limits for the Non-Low DSH States are presented in the top section of this addendum and the final FY 2019 IMD DSH Limits for the Low-DSH States are presented in the bottom section of the addendum.

ADDENDUM 6: FINAL IMD DSH LIMIT FOR FISCAL YEAR: 2019

A	B	C	D	E	F	G	H	I	J	K	L
STATE	Inpatient Hospital Services FY 95 DSH Total Computable	IMD And Mental Health Services FY 95 DSH Total Computable	Total Inpatient & Mental Health DSH Total Computable	Applicable Percent	FY 2019 Allocation	FY 2019 F/M/APS	FY 2019 Allocations in TC	Applicable Percentage Applied to FY 2019 Allocations in TC	FY 2019 TC (IMD) Limit (Lesser Of Col I or Col C)	FY 2019 IMD Limit In FS	MMA LOW DSH STATUS
		Total Computable	Col B + C	Col C/D	In FS		Col F/G	Col E x Col H		Col G, J	
ALABAMA	\$413,006,229	\$4,451,770	\$417,457,999	1.07%	\$352,884,938	71.88%	\$491,936,196	\$5,235,341	\$4,451,770	\$3,199,932	N/A
ARIZONA	\$93,916,100	\$28,474,900	\$122,391,000	23.27%	\$116,193,822	69.81%	\$166,442,948	\$38,723,814	\$28,474,900	\$19,878,328	N/A
CALIFORNIA	\$2,189,879,543	\$1,555,919	\$2,191,435,462	0.07%	\$1,258,049,146	50.00%	\$2,516,098,292	\$1,786,430	\$1,555,919	\$777,960	N/A
COLORADO	\$173,900,441	\$594,776	\$174,495,217	0.34%	\$106,152,379	50.00%	\$212,304,758	\$723,652	\$594,776	\$297,388	N/A
CONNECTICUT	\$303,359,275	\$105,573,725	\$408,933,000	25.82%	\$229,518,659	50.00%	\$459,037,318	\$118,509,095	\$105,573,725	\$52,786,863	N/A
DISTRICT OF COLUMBIA	\$39,532,234	\$6,545,136	\$46,077,370	14.20%	\$70,290,089	70.00%	\$100,414,413	\$14,263,531	\$6,545,136	\$4,581,595	N/A
FLORIDA	\$184,468,014	\$149,714,286	\$334,183,000	33.00%	\$229,518,659	60.87%	\$377,053,675	\$124,431,013	\$124,431,013	\$75,741,157	N/A
GEORGIA	\$407,343,557	\$0	\$407,343,557	0.00%	\$308,415,638	67.62%	\$456,101,298	\$0	\$0	\$0	N/A
ILLINOIS	\$315,868,508	\$89,408,276	\$405,276,784	22.06%	\$246,732,558	50.31%	\$490,424,484	\$108,192,745	\$89,408,276	\$44,981,304	N/A
INDIANA	\$79,960,783	\$153,566,302	\$233,527,085	33.00%	\$245,298,068	65.96%	\$371,889,127	\$122,723,412	\$122,723,412	\$80,948,362	N/A
KANSAS	\$11,587,208	\$76,663,508	\$88,250,716	33.00%	\$47,338,223	57.10%	\$82,994,068	\$27,358,343	\$76,663,508	\$15,621,614	N/A
KENTUCKY	\$158,804,908	\$37,443,073	\$196,247,981	19.08%	\$166,401,028	71.67%	\$232,176,682	\$44,298,078	\$37,443,073	\$26,835,450	N/A
LOUISIANA	\$1,078,512,169	\$132,917,149	\$1,211,429,318	10.97%	\$786,862,510	63.00%	\$1,210,557,708	\$132,821,517	\$132,821,517	\$86,333,986	N/A
MAINE	\$99,957,958	\$60,958,542	\$160,916,300	33.00%	\$120,497,294	64.52%	\$186,759,600	\$61,630,668	\$60,958,542	\$39,330,322	N/A
MARYLAND	\$22,226,467	\$120,873,531	\$143,099,998	33.00%	\$87,503,950	50.00%	\$175,007,980	\$57,752,633	\$57,752,633	\$28,876,317	N/A
MASSACHUSETTS	\$469,653,946	\$105,635,054	\$575,289,000	18.36%	\$350,015,954	50.00%	\$700,031,908	\$128,540,453	\$105,635,054	\$52,817,527	N/A
MICHIGAN	\$133,258,800	\$304,765,552	\$438,024,352	33.00%	\$304,112,223	64.45%	\$471,857,600	\$155,713,008	\$155,713,008	\$100,357,034	N/A
MISSISSIPPI	\$182,608,033	\$0	\$182,608,033	0.00%	\$175,007,976	76.39%	\$223,098,018	\$0	\$0	\$0	N/A
MISSOURI	\$521,946,524	\$207,234,618	\$729,181,142	28.42%	\$543,672,322	65.40%	\$831,303,245	\$236,257,907	\$207,234,618	\$135,531,440	N/A
NEVADA	\$73,560,000	\$0	\$73,560,000	0.00%	\$53,076,189	64.87%	\$81,819,314	\$0	\$0	\$0	N/A
NEW HAMPSHIRE	\$92,675,916	\$94,753,948	\$187,429,864	33.00%	\$183,727,990	50.00%	\$367,455,980	\$121,260,473	\$94,753,948	\$47,376,974	N/A
NEW JERSEY	\$736,742,539	\$357,370,461	\$1,094,113,000	32.66%	\$738,763,183	50.00%	\$1,477,526,366	\$482,604,885	\$357,370,461	\$178,685,231	N/A
NEW YORK	\$2,418,869,368	\$605,000,000	\$3,023,869,368	20.01%	\$1,843,321,726	50.00%	\$3,686,643,452	\$737,604,379	\$605,000,000	\$302,500,000	N/A
NORTH CAROLINA	\$193,201,966	\$256,072,627	\$449,274,593	33.00%	\$338,540,021	67.10%	\$504,079,841	\$166,346,347	\$166,346,347	\$111,718,207	N/A
OHIO	\$333,731,936	\$93,432,758	\$629,164,714	14.83%	\$466,209,777	63.09%	\$738,959,862	\$109,737,651	\$93,432,758	\$58,946,727	N/A
PENNSYLVANIA	\$388,207,319	\$579,199,682	\$967,407,001	33.00%	\$644,086,735	52.25%	\$1,232,701,885	\$406,791,622	\$406,791,622	\$212,548,623	N/A
RHODE ISLAND	\$108,503,167	\$2,397,833	\$110,901,000	2.16%	\$74,593,564	52.57%	\$141,893,787	\$3,067,940	\$2,397,833	\$1,260,541	N/A
SOUTH CAROLINA	\$366,681,364	\$72,076,341	\$438,757,705	16.43%	\$375,836,803	71.22%	\$527,712,445	\$86,689,263	\$72,076,341	\$51,332,770	N/A
TENNESSEE*	\$0	\$0	\$0	0.00%	\$33,100,000	63.87%	\$80,613,329	\$0	\$0	\$0	N/A
TEXAS	\$1,220,513,401	\$292,513,592	\$1,513,026,993	19.33%	\$1,097,386,087	58.19%	\$1,883,867,137	\$364,594,316	\$292,513,592	\$170,213,659	N/A
VERMONT	\$19,979,232	\$9,071,297	\$29,050,549	31.23%	\$25,820,851	55.89%	\$47,913,993	\$14,961,578	\$9,071,297	\$4,888,522	N/A

A	B	C	D	E	F	G	H	I	J	K	L
STATE	Inpatient Hospital Services, FY 95 DSH Total Computable	IMD And Mental Health Services FY 95 DSH Total Computable	Total Inpatient & IMD Mental Health FY 95 DSH Total Computable	Applicable Percent	FY 2019 Allocation	FY 2019 FMAP %	FY 2019 Allotments in TC	Applicable Percentage Applied to FY 2019 Allotments in TC	FY 2019 TC IMD Limit (Lesser Of Col I or Col C)	FY 2019 IMD Limit In FS	MMA LOW DSH STATUS
			Col B + C	Col C/D	In FS		Col F/G	Col E x Col H	Col I or Col C	Col G x J	
VIRGINIA	\$129,313,480	\$7,770,268	\$137,083,748	5.67%	\$100,537,864	50.00%	\$201,075,728	\$11,397,302	\$7,770,268	\$3,885,134	N/A
WASHINGTON	\$171,725,815	\$163,836,435	\$335,562,250	33.00%	\$212,304,760	50.00%	\$424,609,520	\$140,121,142	\$140,121,142	\$70,060,571	N/A
WEST VIRGINIA	\$66,962,606	\$18,887,045	\$85,849,651	22.00%	\$77,462,547	74.34%	\$104,200,359	\$22,924,227	\$18,887,045	\$14,040,629	N/A
TOTAL	\$13,402,460,846	\$4,118,758,904	\$17,521,219,750		\$12,029,233,633		\$21,263,482,317	\$4,047,062,963	\$3,535,208,168	\$1,996,354,167	
LOW DSH STATES											
ALASKA	\$2,506,827	\$17,611,765	\$20,118,592	33.00%	\$23,376,123	50.00%	\$46,752,246	\$15,428,241	\$15,428,241	\$7,714,121	LOW DSH
ARKANSAS	\$2,422,649	\$819,351	\$3,242,000	25.27%	\$49,504,631	70.51%	\$70,209,376	\$17,744,023	\$819,351	\$577,724	LOW DSH
DELAWARE	\$0	\$7,069,000	\$7,069,000	33.00%	\$10,389,387	57.55%	\$18,052,801	\$5,957,424	\$5,957,424	\$3,428,498	LOW DSH
HAWAII	\$0	\$0	\$0	0.00%	\$11,184,206	53.92%	\$20,742,222	\$0	\$0	\$0	LOW DSH
IDAHO	\$2,081,429	\$0	\$2,081,429	0.00%	\$18,863,507	71.13%	\$26,519,847	\$0	\$0	\$0	LOW DSH
IOWA	\$12,011,250	\$0	\$12,011,250	0.00%	\$45,193,531	59.93%	\$75,410,531	\$0	\$0	\$0	LOW DSH
MINNESOTA	\$24,240,000	\$5,257,214	\$29,497,214	17.82%	\$85,712,452	50.00%	\$171,424,904	\$30,532,628	\$5,257,214	\$2,628,607	LOW DSH
MONTANA	\$237,048	\$0	\$237,048	0.00%	\$13,026,074	63.54%	\$19,874,998	\$0	\$0	\$0	LOW DSH
NEBRASKA	\$6,449,102	\$1,811,337	\$8,260,439	21.93%	\$32,474,849	52.58%	\$61,762,741	\$13,545,244	\$1,811,337	\$952,401	LOW DSH
NEW MEXICO	\$6,490,015	\$254,786	\$6,744,801	3.78%	\$23,376,123	72.26%	\$32,350,018	\$1,222,027	\$254,786	\$184,108	LOW DSH
NORTH DAKOTA	\$214,523	\$988,478	\$1,203,001	33.00%	\$10,961,790	50.00%	\$21,923,580	\$7,234,781	\$988,478	\$494,239	LOW DSH
OKLAHOMA	\$20,019,969	\$3,273,248	\$23,293,217	14.05%	\$41,557,549	62.38%	\$66,619,989	\$9,361,684	\$3,273,248	\$2,041,852	LOW DSH
OREGON	\$11,437,908	\$10,975,092	\$31,413,000	33.00%	\$51,946,941	62.56%	\$83,035,392	\$27,401,679	\$10,975,092	\$12,496,418	LOW DSH
SOUTH DAKOTA	\$321,120	\$751,299	\$1,072,419	33.00%	\$12,674,763	56.71%	\$22,350,138	\$7,375,545	\$751,299	\$426,062	LOW DSH
UTAH	\$3,621,116	\$934,586	\$4,555,702	20.51%	\$22,513,467	69.71%	\$32,295,893	\$6,625,387	\$934,586	\$651,500	LOW DSH
WISCONSIN	\$6,609,524	\$4,492,011	\$11,101,535	33.00%	\$108,485,232	59.37%	\$182,727,357	\$60,300,028	\$4,492,011	\$2,666,907	LOW DSH
WYOMING	\$0	\$0	\$0	0.00%	\$259,735	50.00%	\$519,470	\$0	\$0	\$0	LOW DSH
TOTAL LOW DSH STATES	\$98,662,480	\$63,238,167	\$161,900,647		\$561,500,420		\$982,571,501	\$202,746,693	\$59,943,068	\$34,262,437	
TOTAL	\$13,501,123,326	\$4,181,997,071	\$17,683,120,397		\$12,590,734,053		\$22,216,053,818	\$4,249,809,656	\$3,595,151,233	\$2,030,616,604	

FOOTNOTES:

\* Tennessee's DSH allotment for FY 2019, determined under section 1923(f)(6)(A) of the Act, is \$53,100,000.



**Key to ADDENDUM 7: Preliminary IMD DSH Limits for FY 2020**

<b>Column</b>	<b>Description</b>
Column A	<b>State.</b>
Column B	<b>Inpatient Hospital Services FY 95 DSH Total Computable.</b>
Column C	<b>IMD and Mental Health Services FY 95 DSH Total Computable</b> This column contains the total computable FY 1995 inpatient hospital DSH expenditures as reported on the Form CMS-64 as of January 1, 1997.
Column D	<b>Total Inpatient Hospital &amp; IMD &amp; Mental Health FY 95 DSH Total Computable, Col B + C</b> This column contains the total computable FY 1995 mental health facility DSH expenditures as reported on the Form CMS-64 as of January 1, 1997.
Column E	<b>Applicable Percentage, Col. C/D.</b> This column contains the total computation of all inpatient hospital DSH expenditures and mental health facility DSH expenditures for FY 1995 as reported on the Form CMS-64 as of January 1, 1997 (representing the sum of Column B and Column C).
Column F	<b>FY 2020 Federal Share DSH Allotment.</b> This column contains the "applicable percentage" representing the total Computable FY 1995 mental health facility DSH expenditures divided by total computable all inpatient hospital and mental health facility DSH expenditures for FY 1995 (the amount in Column C divided by the amount in Column D) Per section 1923(b)(2)(A)(ii)(III) of the Act, for FYs after FY 2002, the applicable percentage can be no greater than 33 percent.
Column G	<b>FY 2020 Federal Share DSH Allotment.</b> This column contains the States' preliminary FY 2020 DSH allotments from Addendum 3, Column J.
Column H	<b>FY 2020 FMAP.</b> This column contains the full FFCRA FMAP rate from Addendum 3, Column B2.
Column I	<b>FY 2020 DSH Allotments in Total Computable, Col. F/G.</b> This column contains States' FY 2020 total computable DSH allotment (determined as Column F/Column G).
Column J	<b>Applicable Percentage Applied to FY 2020 Allotments in TC, Col E x Col H.</b> This column contains the applicable percentage of FY 2020 total computable DSH allotment (calculated as the percentage in Column E multiplied by the amount in Column H).
Column K	<b>FY 2020 TC IMD DSH Limit. Lesser of Col. I or C.</b> This column contains the total computable FY 2020 TC IMD DSH limit equal to the lesser of the amount in Column I or Column C.
Column L	<b>FY 2020 IMD DSH Limit in Federal Share, Col. G x J.</b> This column contains the FY 2020 Federal Share IMD DSH limit determined by converting the total computable FY 2020 IMD DSH limit from Column J into a Federal share amount by multiplying it by the FY 2020 FMAP in Column G.

**ADDENDUM 7: PRELIMINARY IMD DSH LIMIT FOR FISCAL YEAR: 2020**

A	B	C	D	E	F	G	H	I	J	K	L
STATE	Inpatient Hospital Services FY 95 DSH Total Computable	IMD And Mental Health Services FY 95 DSH Total Computable	Total Inpatient & IMD & Mental Health FY 95 DSH Total Computable Col B + C	Applicable Percent Col C/D	FY 2020 Allocation In FS	FY 2020 EMAPs**	FY 2020 Allocations in TC Col E/G	Applicable Percentage Applied to FY 2020 Allocations in TC Col F x Col H	TC IMD Limit (Lesser Of Col I or Col C)	FY 2020 IMD Limit In FS	MMMA LOW DSH STATUS
ALABAMA	\$413,006,229	\$4,451,770	\$417,457,999	1.07%	\$390,567,332	78.17%	\$499,638,394	\$5,328,141	\$4,451,770	\$3,479,949	N/A
ARIZONA	\$93,916,100	\$28,474,900	\$122,391,000	23.27%	\$128,885,500	76.22%	\$169,095,694	\$39,341,222	\$28,474,900	\$21,705,569	N/A
CALIFORNIA	\$2,189,879,543	\$1,555,919	\$2,191,435,462	0.07%	\$1,440,914,138	56.20%	\$2,363,304,160	\$1,820,372	\$1,555,919	\$874,426	N/A
COLORADO	\$173,900,441	\$594,776	\$174,495,217	0.34%	\$121,582,264	56.20%	\$216,338,548	\$737,401	\$594,776	\$334,264	N/A
CONNECTICUT	\$303,359,275	\$105,573,725	\$408,933,000	25.82%	\$262,890,574	56.20%	\$467,759,028	\$120,760,768	\$105,573,725	\$59,352,433	N/A
DISTRICT OF COLUMBIA	\$39,532,231	\$6,515,136	\$46,047,367	14.20%	\$77,969,583	76.20%	\$102,322,287	\$1,453,1538	\$6,515,136	\$4,987,394	N/A
FLORIDA	\$184,468,014	\$149,714,986	\$334,183,000	33.00%	\$257,469,118	67.67%	\$380,477,491	\$125,557,572	\$257,469,118	\$84,964,809	N/A
GEORGIA	\$407,343,557	\$0	\$407,343,557	0.00%	\$343,228,177	73.50%	\$466,977,111	\$0	\$0	\$0	N/A
ILLINOIS	\$315,868,508	\$89,408,276	\$405,276,784	22.06%	\$282,509,567	56.34%	\$501,435,931	\$110,622,205	\$89,408,276	\$50,572,623	N/A
INDIANA	\$79,960,783	\$153,566,302	\$233,527,085	33.00%	\$273,496,765	72.04%	\$379,645,703	\$125,283,082	\$125,283,082	\$90,253,932	N/A
KANSAS	\$11,587,208	\$76,653,508	\$88,250,716	33.00%	\$53,292,981	65.36%	\$81,537,608	\$25,907,411	\$26,907,411	\$17,586,684	N/A
KENTUCKY	\$158,804,008	\$37,443,073	\$196,247,081	19.08%	\$184,200,471	78.02%	\$236,093,913	\$45,045,465	\$37,443,073	\$29,213,086	N/A
LOUISIANA	\$1,078,512,169	\$1,32,917,149	\$1,211,429,318	10.97%	\$876,165,874	73.06%	\$1,199,241,547	\$131,579,916	\$131,579,916	\$96,132,286	N/A
MAINE	\$99,957,958	\$60,958,342	\$160,916,300	33.00%	\$134,718,997	70.00%	\$192,453,710	\$63,510,384	\$60,958,342	\$42,670,839	N/A
MARYLAND	\$22,226,467	\$120,873,531	\$143,099,998	33.00%	\$100,223,220	56.20%	\$178,333,132	\$58,849,934	\$38,849,934	\$33,073,663	N/A
MASSACHUSETTS	\$469,653,946	\$105,633,054	\$575,286,000	18.36%	\$400,892,873	56.20%	\$713,332,514	\$130,982,721	\$105,633,054	\$59,366,900	N/A
MICHIGAN	\$133,258,800	\$304,765,552	\$438,024,352	33.00%	\$339,882,865	70.26%	\$483,750,164	\$159,637,554	\$159,637,554	\$112,161,346	N/A
MISSISSIPPI	\$182,608,033	\$0	\$182,608,033	0.00%	\$192,696,149	83.18%	\$231,561,637	\$0	\$0	\$0	N/A
MISSOURI	\$521,946,524	\$207,234,618	\$729,181,142	28.42%	\$606,322,172	71.85%	\$843,872,195	\$239,830,026	\$207,234,618	\$148,898,073	N/A
NEVADA	\$73,560,000	\$0	\$73,560,000	0.00%	\$59,329,823	70.13%	\$84,599,776	\$0	\$0	\$0	N/A
NEW HAMPSHIRE	\$92,075,916	\$94,753,948	\$187,429,864	33.00%	\$210,433,956	56.20%	\$374,437,644	\$123,564,423	\$94,753,948	\$53,251,719	N/A
NEW JERSEY	\$746,742,539	\$357,370,461	\$1,094,113,000	32.66%	\$846,146,844	56.20%	\$1,505,599,366	\$491,774,378	\$357,370,461	\$200,842,199	N/A
NEW YORK	\$2,418,869,368	\$605,000,000	\$3,023,869,368	20.01%	\$2,111,259,599	56.20%	\$3,756,083,678	\$751,618,863	\$605,000,000	\$340,010,000	N/A
NORTH CAROLINA	\$193,201,966	\$236,072,627	\$429,274,593	33.00%	\$376,890,802	73.23%	\$514,653,560	\$169,835,675	\$169,835,675	\$124,370,665	N/A
OHIO	\$353,731,956	\$93,432,758	\$629,164,714	14.89%	\$521,805,626	69.22%	\$753,836,501	\$111,946,875	\$93,432,758	\$64,674,155	N/A
PENNSYLVANIA	\$388,207,319	\$579,199,682	\$967,407,001	33.00%	\$754,204,023	58.45%	\$1,256,123,221	\$414,520,663	\$388,207,319	\$242,287,327	N/A
RHODE ISLAND	\$108,503,167	\$2,397,833	\$110,901,000	2.16%	\$84,911,073	59.15%	\$143,552,110	\$3,103,795	\$2,397,833	\$1,418,318	N/A
SOUTH CAROLINA	\$366,681,364	\$72,073,341	\$438,754,705	16.43%	\$416,562,734	76.90%	\$541,694,062	\$88,986,075	\$72,073,341	\$55,426,706	N/A
TENNESSEE*	\$0	\$0	\$0	0.00%	\$58,148,612	71.41%	\$81,429,229	\$0	\$0	\$0	N/A
TEXAS	\$1,220,315,401	\$292,513,592	\$1,513,028,993	19.33%	\$1,232,098,565	67.09%	\$1,836,486,160	\$355,047,501	\$292,513,592	\$196,247,369	N/A
VERMONT	\$19,979,252	\$9,071,297	\$29,050,549	31.23%	\$29,340,243	60.06%	\$48,851,551	\$15,251,310	\$9,071,297	\$5,448,221	N/A
VIRGINIA	\$129,313,480	\$7,770,268	\$137,083,748	5.67%	\$115,151,645	56.20%	\$201,896,166	\$11,614,055	\$7,770,268	\$4,366,891	N/A

A	B	C	D	E	F	G	H	I	J	K	L
STATE	Inpatient Inpatient Services FY 95 DSH Total Computable	IMD And Mental Health Services FY 95 DSH Total Computable	Total Inpatient & IMD & Mental Health FY 95 DSH Total Computable Col B + C	Applicable Percent Col C/D	FY 2020 Allotment In FS	FY 2020 FMAPs**	FY 2020 Allotments in TC Col E/G	Applicable Percentage Applied to FY 2020 Allotments in TC Col E x Col H	FY 2020 TC IMD Limit (Lesser Of Col I or Col C)	FY 2020 IMD Limit In FS Col G x J	MMA LOW DSH STATUS
WASHINGTON	\$171,725,815	\$163,836,435	\$335,562,250	33.00%	\$243,164,530	56.20%	\$432,677,100	\$142,783,443	\$142,783,443	\$80,244,295	N/A
WEST VIRGINIA	\$66,962,606	\$18,887,045	\$85,849,651	22.00%	\$85,464,798	81.14%	\$105,330,044	\$23,172,759	\$18,887,045	\$15,324,948	N/A
<b>TOTAL</b>	<b>\$13,402,460,846</b>	<b>\$4,118,758,904</b>	<b>\$17,521,219,750</b>		<b>\$13,592,801,492</b>		<b>\$21,548,730,937</b>	<b>\$4,103,551,554</b>	<b>\$3,556,104,381</b>	<b>\$2,239,319,089</b>	
<b>LOW DSH STATES</b>											
ALASKA	\$2,506,827	\$17,611,765	\$20,118,592	33.00%	\$26,773,982	56.20%	\$47,640,538	\$15,721,378	\$15,721,378	\$8,835,414	LOW DSH
ARKANSAS	\$2,422,649	\$819,351	\$3,242,000	25.27%	\$54,824,390	77.62%	\$70,631,782	\$17,850,778	\$819,351	\$635,980	LOW DSH
DELAWARE	\$0	\$7,062,000	\$7,069,000	33.00%	\$11,721,214	64.06%	\$18,297,245	\$6,038,050	\$6,038,050	\$3,868,001	LOW DSH
HAWAII	\$0	\$0	\$0	0.00%	\$12,718,187	59.67%	\$21,314,206	\$0	\$0	\$0	LOW DSH
IDAHO	\$2,081,429	\$0	\$2,081,429	0.00%	\$20,916,263	76.34%	\$27,327,232	\$0	\$0	\$0	LOW DSH
IOWA	\$12,011,250	\$0	\$12,011,250	0.00%	\$50,717,628	67.40%	\$75,248,706	\$0	\$0	\$0	LOW DSH
MINNESOTA	\$24,240,000	\$5,257,214	\$29,497,214	17.82%	\$98,171,272	56.20%	\$174,681,978	\$31,133,128	\$5,257,214	\$2,954,554	LOW DSH
MONTANA	\$237,048	\$0	\$237,048	0.00%	\$14,543,963	70.98%	\$20,490,227	\$0	\$0	\$0	LOW DSH
NEBRASKA	\$6,449,102	\$1,811,337	\$8,260,439	21.93%	\$36,841,315	60.92%	\$60,474,910	\$13,260,850	\$1,811,337	\$1,103,467	LOW DSH
NEW MEXICO	\$6,490,015	\$254,786	\$6,744,801	3.78%	\$25,851,429	78.91%	\$32,760,651	\$1,237,539	\$254,786	\$201,052	LOW DSH
NORTH DAKOTA	\$214,523	\$888,478	\$1,203,001	33.00%	\$12,553,768	56.25%	\$22,317,810	\$7,364,877	\$888,478	\$856,019	LOW DSH
OKLAHOMA	\$20,019,969	\$3,273,248	\$23,293,217	14.03%	\$46,324,002	72.22%	\$64,142,899	\$9,013,595	\$3,273,248	\$2,363,940	LOW DSH
OREGON	\$11,437,908	\$19,973,092	\$31,413,000	33.00%	\$58,293,894	67.43%	\$86,450,977	\$28,528,822	\$19,973,092	\$13,469,205	LOW DSH
SOUTH DAKOTA	\$321,120	\$751,299	\$1,072,419	33.00%	\$14,306,319	63.82%	\$22,415,104	\$7,396,984	\$751,299	\$479,479	LOW DSH
UTAH	\$3,621,116	\$934,586	\$4,555,702	20.51%	\$25,027,095	74.39%	\$33,643,090	\$6,901,760	\$934,586	\$695,239	LOW DSH
WISCONSIN	\$6,609,524	\$4,492,011	\$11,101,535	33.00%	\$122,092,745	65.36%	\$186,230,544	\$61,436,080	\$4,492,011	\$2,944,962	LOW DSH
WYOMING	\$0	\$0	\$0	0.00%	\$297,489	56.20%	\$529,340	\$0	\$0	\$0	LOW DSH
<b>TOTAL LOW DSH STATES</b>	<b>\$98,662,480</b>	<b>\$63,238,167</b>	<b>\$161,900,647</b>		<b>\$631,973,955</b>		<b>\$964,597,238</b>	<b>\$205,903,881</b>	<b>\$60,316,870</b>	<b>\$38,107,312</b>	
<b>TOTAL</b>	<b>\$13,501,123,326</b>	<b>\$4,181,997,071</b>	<b>\$17,683,120,397</b>		<b>\$14,224,775,447</b>		<b>\$22,513,328,175</b>	<b>\$4,309,455,435</b>	<b>\$3,616,421,251</b>	<b>\$2,277,426,401</b>	

FOOTNOTES:

\* Tennessee's DSH allotment for FY 2020 determined under section 192.30(6)(A) of the Act, is \$63,100,000.

\*\* Section 6008 of the Families First Coronavirus Response Act (FFCRA) provides a temporary 6.2 percentage point increase to each qualifying State and territory's State-specific FMAP as defined in section 1905(b) of the Act.

**Key to ADDENDUM 8: Preliminary IMD DSH Limits for FY 2021**

Column	Description
Column A	<b>State.</b>
Column B	<b>Inpatient Hospital Services FY 95 DSH Total Computable.</b> This column contains the States' total computable FY 1995 inpatient hospital DSH expenditures as reported on the Form CMS-64 as of January 1, 1997.
Column C	<b>IMD and Mental Health Services FY 95 DSH Total Computable</b> This column contains the total computable FY 1995 mental health facility DSH expenditures as reported on the Form CMS-64 as of January 1, 1997.
Column D	<b>Total Inpatient Hospital &amp; IMD &amp; Mental Health FY 95 DSH Total Computable, Col. B + C</b> This column contains the total computation of all inpatient hospital DSH expenditures and mental health facility DSH expenditures for FY 1995 as reported on the Form CMS-64 as of January 1, 1997 (representing the sum of Column B and Column C).
Column E	<b>Applicable Percentage, Col. C/D.</b> This column contains the "applicable percentage" representing the total Computable FY 1995 mental health facility DSH expenditures divided by total computable all inpatient hospital and mental health facility DSH expenditures for FY 1995 (the amount in Column C divided by the amount in Column D) Per section 1923(h)(2)(A)(ii)(III) of the Act. for FY's after FY 2002, the applicable percentage can be no greater than 33 percent.
Column F	<b>FY 2021 Federal Share DSH Allotment.</b> This column contains the States' preliminary FY 2021 DSH allotments from Addendum 4, Column J.
Column G	<b>FY 2021 FMAP.</b> This column contains the full FFCRA FMAP rate from Addendum 4, Column B2.
Column H	<b>FY 2021 DSH Allotments in Total Computable, Col. F/G.</b> This column contains States' FY 2021 total computable DSH allotment (determined as Column F/Column G).
Column I	<b>Applicable Percentage Applied to FY 2021 Allotments in TC, Col E x Col H.</b> This column contains the applicable percentage of FY 2021 total computable DSH allotment (calculated as the percentage in Column E multiplied by the amount in Column H).
Column J	<b>FY 2021 TC IMD DSH Limit, Lesser of Col. I or C.</b> This column contains the total computable FY 2021 TC IMD DSH limit equal to the lesser of the amount in Column I or Column C.
Column K	<b>FY 2021 IMD DSH Limit in Federal Share, Col. G x J.</b> This column contains the FY 2021 Federal Share IMD DSH limit determined by converting the total computable FY 2021 IMD DSH limit from Column J into a Federal share amount by multiplying it by the FY 2021 FMAP in Column G.

**ADDENDUM 8: PRELIMINARY IMD DSH LIMIT FOR FISCAL YEAR: 2021**

A	B	C	D	E	F	G	H	I	J	K	L
STATE	Inpatient Hospital Services FY 95 DSH Total Computable	IMD And Mental Health Services FY 95 DSH Total Computable	Total Inpatient & IMD & Mental Health FY 95 DSH Total Computable Col B + C	Applicable Percent Col C/D	FY 2021 Allocation In FS	FY 2021 FMAPs**	FY 2021 Allowments in TC Col F/G	Applicable Percentage Applied to FY 2021 Allowments in TC Col E x Col H	FY 2021 TC IMD Limit (Lesser Of Col I or Col C)	FY 2021 IMD Limit In FS	MMMA LOW DSH STATUS
ALABAMA	\$413,006,229	\$4,451,770	\$417,457,999	1.07%	\$396,161,585	78.78%	\$502,870,761	\$5,362,611	\$4,451,770	\$3,507,104	N/A
ARIZONA	\$95,916,100	\$28,474,900	\$122,391,000	23.27%	\$130,820,303	76.21%	\$171,657,660	\$39,937,044	\$28,474,900	\$21,700,721	N/A
CALIFORNIA	\$2,189,879,543	\$1,555,919	\$2,191,435,462	0.07%	\$1,462,527,850	56.20%	\$2,602,362,722	\$1,847,677	\$1,555,919	\$874,426	N/A
COLORADO	\$173,900,441	\$594,776	\$174,495,217	0.34%	\$123,405,998	56.20%	\$219,583,626	\$748,462	\$594,776	\$334,264	N/A
CONNECTICUT	\$303,359,275	\$105,573,725	\$408,933,000	25.82%	\$266,823,783	56.20%	\$174,775,014	\$122,572,179	\$105,573,725	\$59,352,433	N/A
DISTRICT OF COLUMBIA	\$39,532,234	\$6,545,136	\$46,077,370	14.20%	\$79,139,127	76.20%	\$103,857,121	\$14,752,556	\$6,545,136	\$4,987,394	N/A
FLORIDA	\$184,468,014	\$149,714,986	\$334,183,000	33.00%	\$261,141,803	68.16%	\$383,130,579	\$126,433,091	\$126,433,091	\$86,176,795	N/A
GEORGIA	\$407,343,557	\$0	\$407,343,557	0.00%	\$348,494,971	73.23%	\$475,890,989	\$0	\$0	\$0	N/A
ILLINOIS	\$515,868,508	\$89,408,276	\$605,276,784	25.06%	\$286,239,450	57.16%	\$500,768,807	\$110,474,810	\$89,408,276	\$51,105,771	N/A
INDIANA	\$79,960,783	\$153,566,302	\$233,527,085	33.00%	\$277,602,845	72.03%	\$385,398,925	\$127,181,645	\$127,181,645	\$91,608,939	N/A
KANSAS	\$11,587,208	\$76,663,508	\$88,250,716	33.00%	\$54,047,667	65.88%	\$82,039,568	\$27,073,057	\$27,073,057	\$17,855,730	N/A
KENTUCKY	\$158,804,908	\$37,443,073	\$196,247,981	19.08%	\$186,916,050	78.25%	\$238,870,351	\$45,575,195	\$37,443,073	\$29,299,205	N/A
LOUISIANA	\$1,078,512,169	\$132,917,149	\$1,211,429,318	10.97%	\$888,681,511	73.62%	\$1,207,119,684	\$132,444,299	\$132,444,299	\$97,585,093	N/A
MAINE	\$99,957,958	\$60,938,342	\$160,896,300	33.00%	\$136,760,699	69.83%	\$195,679,925	\$64,574,375	\$60,938,342	\$42,603,785	N/A
MARYLAND	\$22,226,467	\$120,873,531	\$143,099,998	33.00%	\$101,726,568	56.20%	\$181,008,128	\$59,732,682	\$59,732,682	\$33,569,767	N/A
MASSACHUSETTS	\$469,653,946	\$105,635,054	\$575,289,000	18.36%	\$406,906,266	56.20%	\$724,032,302	\$132,947,462	\$105,635,054	\$59,366,900	N/A
MICHIGAN	\$133,258,800	\$304,765,552	\$438,024,352	33.00%	\$344,971,606	70.28%	\$490,853,168	\$161,981,545	\$161,981,545	\$113,840,630	N/A
MISSISSIPPI	\$182,608,033	\$0	\$182,608,033	0.00%	\$195,440,357	83.96%	\$232,777,939	\$0	\$0	\$0	N/A
MISSOURI	\$521,946,524	\$207,234,618	\$729,181,142	28.42%	\$615,981,080	71.16%	\$865,628,274	\$246,013,143	\$207,234,618	\$147,468,154	N/A
NEVADA	\$73,560,000	\$0	\$73,560,000	0.00%	\$60,272,757	69.50%	\$86,723,392	\$0	\$0	\$0	N/A
NEW HAMPSHIRE	\$92,675,916	\$94,733,948	\$187,409,864	33.00%	\$213,590,465	56.20%	\$380,054,208	\$125,417,889	\$94,733,948	\$53,251,719	N/A
NEW JERSEY	\$736,742,559	\$357,370,461	\$1,094,113,020	32.66%	\$858,839,046	56.20%	\$1,528,183,356	\$499,150,993	\$357,370,461	\$200,842,199	N/A
NEW YORK	\$2,418,869,368	\$605,000,000	\$3,023,869,368	20.01%	\$2,142,928,493	56.20%	\$3,813,040,024	\$762,893,146	\$605,000,000	\$340,010,000	N/A
NORTH CAROLINA	\$193,201,966	\$236,072,627	\$429,274,593	33.00%	\$382,356,221	73.62%	\$519,505,734	\$171,456,892	\$171,456,892	\$126,177,553	N/A
OHIO	\$335,731,956	\$93,432,738	\$429,164,694	14.85%	\$529,177,928	69.83%	\$737,808,862	\$112,536,782	\$93,432,738	\$65,244,095	N/A
PENNSYLVANIA	\$388,207,319	\$579,199,682	\$967,407,001	33.00%	\$745,292,800	58.40%	\$1,276,186,301	\$421,141,479	\$421,141,479	\$245,946,624	N/A
RHODE ISLAND	\$108,503,167	\$2,397,833	\$110,901,000	2.16%	\$85,994,344	60.29%	\$142,634,307	\$3,083,955	\$2,397,833	\$1,445,654	N/A
SOUTH CAROLINA	\$366,681,364	\$72,076,341	\$438,757,705	16.43%	\$422,844,960	76.83%	\$530,364,389	\$90,410,381	\$72,076,341	\$55,376,253	N/A
TENNESSEE*	\$0	\$0	\$0	0.00%	\$58,080,635	72.37%	\$80,332,820	\$0	\$0	\$0	N/A
TEXAS	\$1,220,515,401	\$292,513,592	\$1,513,028,993	19.33%	\$1,248,859,861	68.01%	\$1,836,288,576	\$353,009,302	\$292,513,592	\$198,938,494	N/A
VERMONT	\$19,979,252	\$9,071,297	\$29,050,549	31.23%	\$29,740,349	60.77%	\$48,939,196	\$15,281,707	\$9,071,297	\$5,512,627	N/A
VIRGINIA	\$129,313,480	\$7,770,268	\$137,083,748	5.67%	\$116,878,920	56.20%	\$207,969,608	\$11,788,265	\$7,770,268	\$4,366,891	N/A

A	B	C	D	E	F	G	H	I	J	K	L
STATE	Inpatient Hospital Services FY 95 DSH Total Computable	IMD And Mental Health Services FY 95 DSH Total Computable	Total Inpatient & IMD & Mental Health FY 95 DSH Total Computable Col B + C	Applicable Percent Col C/D	FY 2021 Allocation In FS	FY 2021 FMAPs**	FY 2021 Allotments in TC Col F/G	Applicable Percentage Applied to FY 2021 Allotments in TC Col E x Col H	FY 2021 TC IMD Limit (Lesser Of Col I or Col C)	FY 2021 IMD Limit In FS Col G x J	MMA LOW DSH STATUS
WASHINGTON	\$171,725,815	\$163,836,435	\$335,562,250	33.00%	\$246,811,998	56.20%	\$439,167,256	\$144,925,194	\$144,925,194	\$81,447,959	N/A
WEST VIRGINIA	\$66,962,606	\$18,887,045	\$85,849,651	22.00%	\$86,742,350	81.19%	\$106,838,712	\$23,504,668	\$18,887,045	\$15,334,392	N/A
<b>TOTAL</b>	<b>\$13,402,460,846</b>	<b>\$4,118,756,904</b>	<b>\$17,521,219,750</b>		<b>\$13,792,200,648</b>		<b>\$21,812,343,093</b>	<b>\$4,156,232,490</b>	<b>\$3,573,499,018</b>	<b>\$2,255,011,971</b>	
<b>LOW DSH STATES</b>											
ALASKA	\$2,516,827	\$17,611,765	\$20,118,592	33.00%	\$27,175,592	56.20%	\$48,355,146	\$15,957,198	\$15,957,198	\$8,967,945	LOW DSH
ARKANSAS	\$2,422,649	\$819,351	\$3,242,000	25.27%	\$55,658,611	77.43%	\$71,882,489	\$18,166,869	\$819,351	\$63,442,331	LOW DSH
DELAWARE	\$0	\$7,069,000	\$7,069,000	33.00%	\$11,899,426	63.94%	\$18,610,300	\$6,141,399	\$6,141,399	\$3,926,810	LOW DSH
HAWAII	\$0	\$0	\$0	0.00%	\$12,920,344	59.22%	\$21,817,555	\$0	\$0	\$0	LOW DSH
IDAHO	\$2,081,429	\$0	\$2,081,429	0.00%	\$21,228,298	76.61%	\$27,709,865	\$0	\$0	\$0	LOW DSH
IOWA	\$12,011,250	\$0	\$12,011,250	0.00%	\$51,436,214	67.95%	\$75,692,151	\$0	\$0	\$0	LOW DSH
MINNESOTA	\$24,240,000	\$5,257,214	\$29,497,214	17.82%	\$99,643,841	56.20%	\$177,302,208	\$31,600,125	\$5,257,214	\$2,954,554	LOW DSH
MONTANA	\$237,048	\$0	\$237,048	0.00%	\$14,746,005	71.87%	\$20,537,611	\$0	\$0	\$0	LOW DSH
NEBRASKA	\$6,449,102	\$1,811,337	\$8,260,439	21.93%	\$37,275,997	62.67%	\$59,479,811	\$13,012,646	\$1,811,337	\$1,135,165	LOW DSH
NEW MEXICO	\$6,450,015	\$254,786	\$6,704,801	3.78%	\$26,218,152	79.66%	\$32,912,549	\$1,243,278	\$254,786	\$202,963	LOW DSH
NORTH DAKOTA	\$214,523	\$988,478	\$1,203,001	33.00%	\$12,679,089	58.60%	\$21,636,670	\$7,140,101	\$988,478	\$579,248	LOW DSH
OKLAHOMA	\$20,019,969	\$3,273,248	\$23,293,217	14.05%	\$46,901,904	74.15%	\$63,218,634	\$8,883,713	\$3,273,248	\$2,428,423	LOW DSH
OREGON	\$11,437,908	\$19,975,092	\$31,413,000	33.00%	\$59,203,176	67.04%	\$88,310,227	\$29,142,375	\$19,975,092	\$13,391,302	LOW DSH
SOUTH DAKOTA	\$321,120	\$751,299	\$1,072,419	33.00%	\$14,503,925	64.48%	\$22,493,681	\$7,422,915	\$751,299	\$484,438	LOW DSH
UTAH	\$3,621,116	\$934,586	\$4,555,702	20.51%	\$25,423,509	73.72%	\$34,486,383	\$7,074,799	\$934,586	\$688,977	LOW DSH
WISCONSIN	\$6,609,524	\$4,492,011	\$11,101,535	33.00%	\$123,922,162	65.57%	\$188,992,164	\$62,367,414	\$4,492,011	\$2,945,412	LOW DSH
WYOMING	\$0	\$0	\$0	0.00%	\$301,951	56.20%	\$537,280	\$0	\$0	\$0	LOW DSH
<b>TOTAL LOW DSH STATES</b>	<b>\$98,662,480</b>	<b>\$63,238,167</b>	<b>\$161,900,647</b>		<b>\$641,138,198</b>		<b>\$973,979,623</b>	<b>\$208,182,833</b>	<b>\$60,655,999</b>	<b>\$38,339,660</b>	
<b>TOTAL</b>	<b>\$13,501,123,326</b>	<b>\$4,181,997,071</b>	<b>\$17,683,120,397</b>		<b>\$14,433,338,845</b>		<b>\$22,786,322,716</b>	<b>\$4,364,415,323</b>	<b>\$3,634,155,018</b>	<b>\$2,293,351,631</b>	

FOOTNOTES:

\* Tennessee's DSH allotment for FY 2021 determined under section 192.30(6)(A) of the Act, is \$63,100,000.

\*\* Section 6008 of the Families First Coronavirus Response Act (FFCRA) provides a temporary 6.2 percentage point increase to each qualifying State and territory's State-specific FMAP as defined in section 1905(b) of the Act.

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## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Food and Drug Administration

[Docket No. FDA-2022-N-0284]

#### Over-the-Counter Monograph Drug User Fee Rates for Fiscal Year 2022

**AGENCY:** Food and Drug Administration, HHS.

**ACTION:** Notice.

**SUMMARY:** The Food and Drug Administration (FDA or the Agency) is announcing the fee rates under the over-the-counter (OTC) monograph drug user fee program (OMUFA) for fiscal year (FY) 2022. The Federal Food, Drug, and Cosmetic Act (FD&C Act) authorizes FDA to assess and collect user fees from qualifying manufacturers of OTC monograph drugs and submitters of OTC monograph order requests. This notice publishes the OMUFA fee rates for FY 2022.

**FOR FURTHER INFORMATION CONTACT:** David Haas, Office of Financial Management, Food and Drug Administration, 4041 Powder Mill Rd., Rm. 61075, Beltsville, MD 20705-4304, 240-402-9845.

#### SUPPLEMENTARY INFORMATION:

##### I. Background

Section 744M of the FD&C Act (21 U.S.C. 379j-72), as added by the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), authorizes FDA to assess and collect: (1) Facility fees from qualifying owners of OTC monograph drug facilities and (2) fees from submitters of qualifying OTC monograph order requests. These fees are to support FDA's OTC monograph drug activities, which are detailed in section 744L(6) of the FD&C Act (21 U.S.C. 379j-71(6)) and include various FDA activities associated with OTC monograph drugs and inspection of facilities associated with such products.

For OMUFA purposes:

- An OTC monograph drug is a nonprescription drug without an approved new drug application that is governed by the provisions of section 505G of the FD&C Act (21 U.S.C. 355h) (see section 744L(5) of the FD&C Act);

- An OTC monograph drug facility (MDF) is a foreign or domestic business or other entity that, in addition to meeting other criteria, is engaged in manufacturing or processing the finished dosage form of an OTC

monograph drug (see section 744L(10) of the FD&C Act);

- A contract manufacturing organization (CMO) facility is an OTC monograph drug facility where neither the owner nor any affiliate of the owner or facility sells the OTC monograph drug produced at such facility directly to wholesalers, retailers, or consumers in the United States (see section 744L(2) of the FD&C Act); and

- An OTC monograph order request (OMOR) is a request for an administrative order, with respect to an OTC monograph drug, which is submitted under section 505G(b)(5) of the FD&C Act (see section 744L(7) of the FD&C Act).

Under section 744M(a)(1)(A) of the FD&C Act, a facility fee for FY 2022 shall be assessed with respect to each facility that is identified as an OTC monograph drug facility during the fee-liable period from January 1, 2021, through December 31, 2021.<sup>1</sup> Consistent with the statute, FDA will assess and collect facility fees with respect to the two types of OTC monograph drug facilities—MDF and CMO facilities. A full facility fee will be assessed to each qualifying person that owns a facility identified as an MDF (see section 744M(a)(1)(A) of the FD&C Act), and a reduced facility fee of two-thirds will be assessed to each qualifying person that owns a facility identified as a CMO facility (see section 744M(a)(1)(B)(ii) of the FD&C Act). The facility fees for FY 2022 are due on June 1, 2022 (see section 744M(a)(1)(D)(ii) of the FD&C Act).<sup>2</sup>

As discussed in greater detail below:

- OTC monograph drug facilities are exempt from FY 2022 facility fees if they had ceased OTC monograph drug activities, and updated their registration with FDA to that effect, prior to December 31, 2020 (see section 744M(a)(1)(B)(i) of the FD&C Act).

- Entities that registered with FDA during the Coronavirus Disease 2019 (COVID-19) pandemic whose sole activity with respect to OTC monograph drugs during the pandemic consists (or had consisted) of manufacturing OTC

<sup>1</sup> Under section 744M(a)(1) of the FD&C Act, "Each person that owns a facility identified as an OTC monograph drug facility on December 31 of the fiscal year or at any time during the preceding 12-month period shall be assessed an annual fee for each such facility". For purposes of FY 2022 facility fees, that time period is January 1, 2021, through December 31, 2021.

<sup>2</sup> Assuming that, as we anticipate, the FY 2022 fee appropriation will occur prior to June 1, 2022. Under section 744M(a)(1)(D)(ii), the FY 2022 facility fees are due on the later of (1) the first business day of June 2022 (*i.e.*, June 1, 2022) or (2) the first business day after the enactment of an appropriations Act providing for the collection and obligation of FY 2022 OMUFA fees.

hand sanitizer products<sup>3</sup> are not identified as OTC monograph drug facilities subject to OMUFA facility fees.<sup>4</sup>

In addition to facility fees, the Agency is authorized to assess and collect fees from submitters of OMORs, except for OMORs that request certain safety-related changes (as discussed below). There are two levels of OMOR fees, based on whether the OMOR at issue is a Tier 1 or Tier 2 OMOR.<sup>5</sup>

For FY 2022, the OMUFA fee rates are: Tier 1 OMOR fees (\$507,021), Tier 2 OMOR fees (\$101,404), MDF facility fees (\$24,178), and CMO facility fees (\$16,119). These fees are effective for the period from October 1, 2021, through September 30, 2022.<sup>6</sup> This document is issued pursuant to sections 744M(a)(4) and 744M(c)(4)(B) of the FD&C Act and describes the calculations used to set the OMUFA facility fees and OMOR fees for FY 2022 in accordance with the directives in the statute.

##### II. Facility Fee Revenue Amount for FY 2022

###### A. Base Fee Revenue Amount

Under OMUFA, FDA sets annual facility fees to generate the total facility fee revenues for each fiscal year established by section 744M(b) of the FD&C Act. The yearly base revenue amount is the starting point for setting annual facility fee rates. The base revenue for FY 2022 is the dollar amount of the total revenue amount for the previous fiscal year, without certain adjustments made for that previous year, and is \$8,000,000 (see section 744M(b)(3)(B) of the FD&C Act).

###### B. Fee Revenue Adjustment for Inflation

Under OMUFA, the annual base revenue amount for facility fees is

<sup>3</sup> The term "hand sanitizer" commonly refers to consumer antiseptic rubs. However, because the Health and Human Services (HHS) notice published January 12, 2021, referred to "persons that entered the over-the-counter drug market to supply hand sanitizer products in response to the COVID-19 Public Health Emergency" (86 FR 2420, <https://www.federalregister.gov/documents/2021/01/12/2021-00237/notice-that-persons-that-entered-the-over-the-counter-drug-market-to-supply-hand-sanitizer-during>), we are using the same terminology—"hand sanitizer products"—to refer to OTC monograph drug products intended for use (without water) as antiseptic hand rubs or antiseptic hand wipes by consumers or healthcare personnel.

<sup>4</sup> See HHS **Federal Register** notice of January 12, 2021, 86 FR 2420, <https://www.federalregister.gov/documents/2021/01/12/2021-00237/notice-that-persons-that-entered-the-over-the-counter-drug-market-to-supply-hand-sanitizer-during>.

<sup>5</sup> Under OMUFA, a Tier 1 OMOR is defined as any OMOR that is not a Tier 2 OMOR (see section 744L(8) of the FD&C Act). Tier 2 OMORs are detailed in section 744L(9) of the FD&C Act.

<sup>6</sup> These OMUFA fees are for FY 2022, per section 744M(a) of the FD&C Act.