

opportunity to make an oral presentation.⁴¹

Interested persons are invited to submit written data, views, and arguments regarding whether the proposed rule change should be approved or disapproved by January 4, 2022. Any person who wishes to file a rebuttal to any other person's submission must file that rebuttal by January 18, 2022. The Commission asks that commenters address the sufficiency of the Exchange's statements in support of the proposal, which are set forth in the Notice,⁴² in addition to any other comments they may wish to submit about the proposed rule change.

Comments may be submitted by any of the following methods:

Electronic Comments

- Use the Commission's internet comment form (<http://www.sec.gov/rules/sro.shtml>); or
- Send an email to rule-comments@sec.gov. Please include File Number SR-NYSE-2021-45 on the subject line.

Paper Comments

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549-1090.

All submissions should refer to File Number SR-NYSE-2021-45. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's internet website (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for website viewing and printing in the Commission's Public Reference Room, 100 F Street NE, Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of the

filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change. Persons submitting comments are cautioned that we do not redact or edit personal identifying information from comment submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-NYSE-2021-45 and should be submitted by January 4, 2022. Rebuttal comments should be submitted by January 18, 2022.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.⁴³

J. Matthew DeLesDernier,

Assistant Secretary.

[FR Doc. 2021-26970 Filed 12-13-21; 8:45 am]

BILLING CODE 8011-01-P

SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235-0006, SEC File No. 270-022]

Submission for OMB Review; Comment Request, Extension: Form 13F

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549-2736

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, *et seq.*), the Securities and Exchange Commission (the "Commission") is soliciting comments on the collection of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget for extension and approval.

Section 13(f)¹ of the Securities Exchange Act of 1934² (the "Exchange

⁴¹ Section 19(b)(2) of the Exchange Act, as amended by the Securities Act Amendments of 1975, Public Law 94-29 (June 4, 1975), grants the Commission flexibility to determine what type of proceeding—either oral or notice and opportunity for written comments—is appropriate for consideration of a particular proposal by a self-regulatory organization. See Securities Act Amendments of 1975, Senate Comm. on Banking, Housing & Urban Affairs, S. Rep. No. 75, 94th Cong., 1st Sess. 30 (1975).

⁴² See *supra* note 3.

⁴³ 17 CFR 200.30-3(a)(57).

Act") empowers the Commission to: (1) Adopt rules that create a reporting and disclosure system to collect specific information; and (2) disseminate such information to the public. Rule 13f-1³ under the Exchange Act requires institutional investment managers that exercise investment discretion over accounts that have in the aggregate a fair market value of at least \$100,000,000 of certain U.S. exchange-traded equity securities, as set forth in rule 13f-1(c), to file quarterly reports with the Commission on Form 13F.⁴

The information collection requirements apply to institutional investment managers that meet the \$100 million reporting threshold. Section 13(f)(6)(A) of the Exchange Act defines an "institutional investment manager" as any person, other than a natural person, investing in or buying and selling securities for its own account, and any person exercising investment discretion with respect to the account of any other person. Rule 13f-1(b) under the Exchange Act defines "investment discretion" for purposes of Form 13F reporting.

The reporting system required by Section 13(f) of the Exchange Act is intended, among other things, to create in the Commission a central repository of historical and current data about the investment activities of institutional investment managers, and to improve the body of factual data available to regulators and the public.

The currently approved burden estimates include a total hour burden of 472,521.6 hours, with an internal cost burden of \$31,186,425.60, to comply with Form 13F.⁵ Consistent with a recent rulemaking proposal that made adjustments to these estimates due primarily to the Commission's belief that the currently approved estimates do not appropriately reflect the information collection costs associated with Form 13F,⁶ the table below reflects the revised estimates.

³ 17 CFR 240.13f-1.

⁴ 17 CFR 249.325.

⁵ This estimate is based on the last time the rule's information collection was submitted for PRA renewal in 2018.

⁶ See Electronic Submission of Applications for Orders under the Advisers Act and the Investment Company Act, Confidential Treatment Requests for Filings on Form 13F, and Form ADV-NR; Amendments to Form 13F, Investment Company Release No. (Nov. 4, 2021).

³⁹ 15 U.S.C. 78f(b)(5).

⁴⁰ 17 CFR 240.19b-4.

TABLE—FORM 13F CURRENT AND REVISED BURDEN ESTIMATES

| | Initial hours | Annual hours | | Wage rate | Internal time cost | External costs ¹ |
|--|---------------|---------------------------------|---|--|-----------------------|-----------------------------|
| REVISIONS TO CURRENT PRA BURDEN ESTIMATES | | | | | | |
| Revised Burdens for 13F–HR Filings | | | | | | |
| Current estimated annual burden of Form 13F–HR per filer. | | 80.8 hours | × | \$66 ² | \$5,332.80. | |
| Revised current annual estimated burden per filer. | | 10 hours ³ | × | \$202.50 (blended rate for senior programmer and compliance clerk). ⁴ | \$2,025 | \$789. ⁶ |
| | | 1 hour ³ | | \$368 (compliance attorney rate) ⁵ | \$368. | |
| Total revised estimated burden per filer. | | 11 hours | | | \$2,393 | \$789. |
| Number of filers | | 5,466 filers ⁷ | | | 5,466 filers | 5,466 filers. |
| Revised current annual burden of Form 13F–HR filings. | | 60,126 hours | | | \$13,080,138 | \$4,312,674. |
| Revised Burdens for 13F–NT Filings | | | | | | |
| Current estimated annual burden of Form 13F–NT. | | 80.8 hours. | | | | |
| Revised current annual burden of Form 13F–NT per filer. | | 4 hours | × | \$71 (wage rate for compliance clerk) | \$284 | \$300. |
| Number of filers | | 1,535 filers ⁸ | | | 1,535 filers | 1,535 filers. |
| | | 6,140 hours | | | \$435,940 | \$460,500. |
| Revised Burdens for Form 13F Amendment Filings | | | | | | |
| Current estimated burden per amendment filing. | | 4 hours | | \$66.00 | \$264. | |
| Revised current estimated burden per amendment. | | 3.5 hours ⁹ | × | \$202.50 (blended rate for senior programmer and compliance clerk). | \$708.75 | \$300. |
| | | 0.5 hour ⁹ | | \$368 (compliance attorney rate) | \$184. | |
| Total revised estimates burden per amendment. | | 4 hours | | | \$892.75 | \$300. |
| Number of amendments | | 244 amendments ¹⁰ .. | | | 244 amendments | 244 amendments. |
| Revised current annual estimated burden of all amendments. | | 976 hours | | | \$217,831 | \$73,200. |
| TOTAL ESTIMATED FORM 13F BURDEN | | | | | | |
| Currently approved burden estimates | | 472,521.6 hours | | | \$31,186,425.60 | \$0. |
| Revised current burden estimates | | 67,242 hours | | | \$13,733,909 | \$4,846,374. |

Notes:

1. The external costs of complying with Form 13F can vary among filers. Some filers use third-party vendors for a range of services in connection with filing reports on Form 13F, while other filers use vendors for more limited purposes such as providing more user-friendly versions of the list of section 13(f) Securities. For purposes of the PRA, we estimate that each filer will spend an average of \$300 on vendor services each year in connection with the filer's four quarterly reports on Form 13F–HR or Form 13F–NT, as applicable, in addition to the estimated vendor costs associated with any amendments. In addition, some filers engage outside legal services in connection with the preparation of requests for confidential treatment or analyses regarding possible requests, or in connection with the form's disclosure requirements. For purposes of the PRA, we estimate that each manager filing reports on Form 13F–HR will incur \$489 for one hour of outside legal services each year.

2. \$66 was the estimated wage rate for a compliance clerk in 2018.

3. The estimate reduces the total burden hours associated with complying with the reporting requirements of Form 13F–HR from 80.8 to 11 hours. We believe that this reduction adequately reflects the reduction in the time managers spend complying with Form 13F–HR as a result of advances in technology that have occurred since Form 13F was adopted. The revised estimate also assumes that an in-house compliance attorney would spend 1 hour annually on the preparation of the filing, as well as determining whether a 13(f) Confidential Treatment Request should be filed. The remaining 10 hours would be divided equally between a senior programmer and compliance clerk.

4. The \$202.50 wage rate reflects current estimates of the blended hourly rate for an in-house senior programmer (\$334) and in-house compliance clerk (\$71). \$202.50 is based on the following calculation: $(\$334 + \$71)/2 = \$202.50$. The \$334 per hour figure for a senior programmer is based on salary information for the securities industry compiled by the Securities Industry and Financial Markets Association's Office Salaries in the Securities Industry 2013 ("SIFMA Report"), modified by Commission staff to account for an 1800-hour work-year and inflation, and multiplied by 5.35 to account for bonuses, firm size, employee benefits and overhead. The \$71 per hour figure for a compliance clerk is based on salary information from the SIFMA Report, modified by Commission staff to account for an 1800-hour work-year and inflation, and multiplied by 2.93 to account for bonuses, firm size, employee benefits and overhead.

5. The \$368 per hour figure for a compliance attorney is based on salary information for the securities industry compiled by the Securities Industry and Financial Markets Association's Office Salaries in the Securities Industry 2013 ("SIFMA Report"), modified by Commission staff to account for an 1800-hour work-year and inflation, and multiplied by 5.35 to account for bonuses, firm size, employee benefits and overhead.

6. \$789 includes an estimated \$300 paid to a third-party vendor in connection with the Form 13F–HR filing as well as an estimated \$489 for one hour of outside legal services. We estimate that Form 13F–HR filers will require some level of external legal counsel in connection with these filings.

7. This estimate is based on the number of 13F–HR filers as of December 2019.

8. This estimate is based on the number of Form 13F–NT filers as of December 2019.

9. The revised estimate assumes that an in-house compliance attorney would spend 0.5 hours annually on the preparation of the filing amendment, as well as determining whether a 13(f) Confidential Treatment Request should be filed. The remaining 3.5 hours would be divided equally between a senior programmer and compliance clerk.

10. This estimate is based on the number of Form 13F amendments filed as of December 2019.

The estimate of average burden hours is made solely for the purposes of the Paperwork Reduction Act. The estimate is not derived from a comprehensive or even a representative survey or study of the costs of Commission rules. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid control number.

Written comments are invited on: (a) Whether the collections of information are necessary for the proper performance of the functions of the Commission, including whether the information has practical utility; (b) the accuracy of the Commission's estimate of the burdens of the collections of information; (c) ways to enhance the quality, utility, and clarity of the information collected; and (d) ways to minimize the burdens of the collections of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted in writing within 60 days of this publication.

Please direct your written comments to David Bottom, Director/Chief Information Officer, Securities and Exchange Commission, C/O John R. Pezzullo, 100 F Street NE, Washington, DC 20549; or send an email to: PRA_Mailbox@sec.gov.

Dated: December 8, 2021.

J. Matthew DeLesDernier,
Assistant Secretary.

[FR Doc. 2021-26967 Filed 12-13-21; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[Release No. PA-57A; File No. S7-14-21]

Privacy Act of 1974; System of Records; Correction

AGENCY: Securities and Exchange Commission.

ACTION: Notice; correction.

SUMMARY: The Securities and Exchange Commission published a document in the *Federal Register* on November 29, 2021, concerning a Privacy Act of 1974; System of Records. The document contained an incorrect effective date. Comments are due on December 29, 2021.

FOR FURTHER INFORMATION CONTACT: For general and privacy related questions

please contact: Ronnette McDaniel, Privacy and Information Assurance Branch Chief, 202-551-7200 or privacyhelp@sec.gov.

SUPPLEMENTARY INFORMATION:

Correction

In the *Federal Register* of November 29, 2021 in FR Doc. 2021-25871, on page 67755, in the first column, correct the **DATES** section to read:

DATES: The changes will become effective December 29, 2021, to permit public comment on the revised routine uses. The Commission will publish a new notice if the effective date is delayed to review comments or if changes are made based on comments received. To assure consideration, comments should be received on or before December 29, 2021.

Dated: December 9, 2021.

J. Matthew DeLesDernier,
Assistant Secretary.

[FR Doc. 2021-26991 Filed 12-13-21; 8:45 am]

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SMALL BUSINESS ADMINISTRATION

[Disaster Declaration #17165 and #17166; Pennsylvania Disaster Number PA-00113]

Presidential Declaration Amendment of a Major Disaster for the Commonwealth of Pennsylvania

AGENCY: U.S. Small Business Administration.

ACTION: Amendment 3.

SUMMARY: This is an amendment of the Presidential declaration of a major disaster for the Commonwealth of Pennsylvania (FEMA-4618-DR), dated 09/10/2021.

Incident: Remnants of Hurricane Ida.

Incident Period: 08/31/2021 through 09/05/2021.

DATES: Issued on 12/07/2021.

Physical Loan Application Deadline Date: 01/10/2022.

Economic Injury (EIDL) Loan Application Deadline Date: 06/10/2022.

ADDRESSES: Submit completed loan applications to: U.S. Small Business Administration, Processing and Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

FOR FURTHER INFORMATION CONTACT: A. Escobar, Office of Disaster Assistance, U.S. Small Business Administration, 409 3rd Street SW, Suite 6050, Washington, DC 20416, (202) 205-6734.

SUPPLEMENTARY INFORMATION: The notice of the President's major disaster

declaration for the Commonwealth of Pennsylvania, dated 09/10/2021, is hereby amended to extend the deadline for filing applications for physical damages as a result of this disaster to 01/10/2022.

All other information in the original declaration remains unchanged.

(Catalog of Federal Domestic Assistance Number 59008)

Barbara Carson,

Acting Associate Administrator for Disaster Assistance.

[FR Doc. 2021-27009 Filed 12-13-21; 8:45 am]

BILLING CODE 8026-03-P

DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

[Docket No. FAA-2021-1024]

Agency Information Collection Activities: Requests for Comments; Clearance of Renewed Approval of Information Collection: Certification of Airports

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Notice and request for comments.

SUMMARY: In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew an information collection.

DATES: Written comments should be submitted by February 14, 2022.

ADDRESSES: *Please send written comments:*

By Electronic Docket:

www.regulations.gov. Enter docket number: FAA-2021-1024 into search field.

By email: chel.schweitzer@faa.gov.

FOR FURTHER INFORMATION CONTACT: Chel Schweitzer by email at: chel.schweitzer@faa.gov; phone: 202-679-2677.

SUPPLEMENTARY INFORMATION: 14 CFR part 139 establishes certification requirements for airports serving scheduled passenger-carrying operations of an air carrier operating aircraft configured for more than 9 passenger seats, as determined by the regulations under which the operation is conducted or the aircraft type certificate issued by a competent civil aviation authority; and unscheduled