

dispose of records they no longer need to conduct agency business. We invite public comments on these records schedules, as required by 44 U.S.C. 3303a(a), and list the schedules at the end of this notice by agency and subdivision requesting disposition authority.

In addition, this notice lists the organizational unit(s) accumulating the records or states that the schedule has agency-wide applicability. It also provides the control number assigned to each schedule, which you will need if you submit comments on that schedule. We have uploaded the records schedules and accompanying appraisal memoranda to the *regulations.gov* docket for this notice as “other” documents. Each records schedule contains a full description of the records at the file unit level as well as their proposed disposition. The appraisal memorandum for the schedule includes information about the records.

We will post comments, including any personal information and attachments, to the public docket unchanged. Because comments are public, you are responsible for ensuring that you do not include any confidential or other information that you or a third party may not wish to be publicly posted. If you want to submit a comment with confidential information or cannot otherwise use the *regulations.gov* portal, you may contact [request.schedule@nara.gov](mailto:request.schedule@nara.gov) for instructions on submitting your comment.

We will consider all comments submitted by the posted deadline and consult as needed with the Federal agency seeking the disposition authority. After considering comments, we will post on *regulations.gov* a “Consolidated Reply” summarizing the comments, responding to them, and noting any changes we have made to the proposed records schedule. We will then send the schedule for final approval by the Archivist of the United States. You may elect at *regulations.gov* to receive updates on the docket, including an alert when we post the Consolidated Reply, whether or not you submit a comment. If you have a question, you can submit it as a comment, and can also submit any concerns or comments you would have to a possible response to the question. We will address these items in consolidated replies along with any other comments submitted on that schedule.

We will post schedules on our website in the Records Control Schedule (RCS) Repository, at <https://www.archives.gov/records-mgmt/rcs>,

after the Archivist approves them. The RCS contains all schedules approved since 1973.

### Background

Each year, Federal agencies create billions of records. To control this accumulation, agency records managers prepare schedules proposing retention periods for records and submit these schedules for NARA’s approval. Once approved by NARA, records schedules provide mandatory instructions on what happens to records when no longer needed for current Government business. The records schedules authorize agencies to preserve records of continuing value in the National Archives or to destroy, after a specified period, records lacking continuing administrative, legal, research, or other value. Some schedules are comprehensive and cover all the records of an agency or one of its major subdivisions. Most schedules, however, cover records of only one office or program or a few series of records. Many of these update previously approved schedules, and some include records proposed as permanent.

Agencies may not destroy Federal records without the approval of the Archivist of the United States. The Archivist grants this approval only after thorough consideration of the records’ administrative use by the agency of origin, the rights of the Government and of private people directly affected by the Government’s activities, and whether or not the records have historical or other value. Public review and comment on these records schedules is part of the Archivist’s consideration process.

### Schedules Pending

1. Department of Agriculture, Forest Service, Environmental Policies and Procedures (DAA-0095-2020-0001).
2. Department of Health and Human Services, Administration for Children and Families, Unaccompanied Alien Children and Refugee Programs (DAA-0292-2019-0009).
3. Department of Health and Human Services, Office of the Assistant Secretary for Preparedness and Response, Information Exchange System (DAA-0468-2019-0003).
4. Department of Health and Human Services, National Institutes of Health, Non Employee Fellowship Records (DAA-0443-2020-0001).
5. Department of Health and Human Services, National Institutes of Health, Visiting Fellow and Scientist Work Authorization (DAA-0443-2020-0002).
6. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, Certificates of Confidentiality (DAA-0511-2021-0001).
7. Department of Homeland Security, U.S. Citizenship and Immigration Services,

American Baptist Church Settlement Records (DAA-0566-2021-0001).

8. Department of Homeland Security, U.S. Customs and Border Protection, U.S. Citizen Encounter Photographs (DAA-0568-2019-0002).

9. Department of Homeland Security, U.S. Customs and Border Protection, Customs-Trade Partnership Against Terrorism Records (DAA-0568-2019-0009).

10. Department of Justice, Bureau of Prisons, Sex Offender Data System (DAA-0129-2019-0006).

11. Department of the Treasury, Internal Revenue Service, Qualified Intermediary Application and Account Management System (DAA-0058-2020-0003).

12. Federal Communications Commission, Enforcement Bureau, EEO Audits (DAA-0173-2020-0003).

13. General Services Administration, Agency-wide, Professional Services To and With Other Agencies (DAA-0269-2020-0005).

14. General Services Administration, Agency-wide, Employee Training Records (DAA-0269-2020-0010).

15. National Archives and Records Administration, Government-wide, GRS 4.2—Information Access and Protection Records (DAA-GRS-2020-0002).

16. National Archives and Records Administration, Government-wide, GRS 5.6—Security Management Records (DAA-GRS-2021-0001).

### Laurence Brewer,

*Chief Records Officer for the U.S. Government.*

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BILLING CODE 7515-01-P

## NATIONAL SCIENCE FOUNDATION

### Notice of Permit Applications Received Under the Antarctic Conservation Act of 1978

**AGENCY:** National Science Foundation.

**ACTION:** Notice of permit applications received.

**SUMMARY:** The National Science Foundation (NSF) is required to publish a notice of permit applications received to conduct activities regulated under the Antarctic Conservation Act of 1978. NSF has published regulations under the Antarctic Conservation Act in the Code of Federal Regulations. This is the required notice of permit applications received.

**DATES:** Interested parties are invited to submit written data, comments, or views with respect to this permit application by February 24, 2021. This application may be inspected by interested parties at the Permit Office, address below.

**ADDRESSES:** Comments should be addressed to Permit Office, Office of

Polar Programs, National Science Foundation, 2415 Eisenhower Avenue, Alexandria, Virginia 22314.

**FOR FURTHER INFORMATION CONTACT:** Nature McGinn, ACA Permit Officer, at the above address, 703-292-8030, or [ACApermits@nsf.gov](mailto:ACApermits@nsf.gov).

**SUPPLEMENTARY INFORMATION:** The National Science Foundation, as directed by the Antarctic Conservation Act of 1978 (Pub. L. 95-541, 45 CFR 670), as amended by the Antarctic Science, Tourism and Conservation Act of 1996, has developed regulations for the establishment of a permit system for various activities in Antarctica and designation of certain animals and certain geographic areas requiring special protection. The regulations establish such a permit system to designate Antarctic Specially Protected Areas.

#### Application Details

*Permit Application: 2021-008*

1. *Applicant:* Michael Gooseff, 4001 Discovery Dr., Boulder, CO 80303.

*Activity for Which Permit is Requested:* Enter Antarctic Specially Protected Areas. The applicant and agents would enter ASPA 131, Canada Glacier, Lake Fryxell, to continue operation of a previously installed, continuously recording stream gauge station, perform maintenance, conduct stream flow measurements and collect water quality samples near the stream gauge site. The applicant would collect water quality samples of the melt-water of the Canada Glacier and along the length of the stream to study in-stream biogeochemical processes. The applicant would collect a maximum of five moss samples per year using a 3 cm corer to a depth of about 3 cm and a maximum of five soil samples of approximately 200 g per year from which to extract nematodes. Photography, LIDAR, and other survey and monitoring techniques may be used to detect changes in the stream bed and algal mat distribution over time, and/or to monitor the change in the stream gauge system through time. The applicant and agents would also to enter ASPA 172, Lower Taylor Glacier and Blood Falls, to continue measurements of the Santa Fe Stream including: Stream-flow using velocity meters; pH, temperature, and conductivity via meters; and collection of water quality samples. The collection of water from the Blood Falls area would occur on the glacial moraine, not the glacier itself, and the sample would be small (<1 L) and comprised of both brine reservoir discharge (when present) and surface ice melt-water.

*Location:* ASPA 131, Canada Glacier, Lake Fryxell, Taylor Valley, Victoria Land; ASPA 172, Lower Taylor Glacier and Blood Falls, Taylor Valley, McMurdo Dry Valleys, Victoria Land; McMurdo Dry Valleys, Antarctica.

*Dates of Permitted Activities:* February 28, 2021–February 28, 2026.

*Permit Application: 2021-009*

2. *Applicant:* Daniel Costa, Ecology and Evolutionary Biology Department, University of California Santa Cruz, 115 McAllister Way, Santa Cruz, CA 95062.

*Activity for Which Permit is Requested:* Take, Harmful Interference, Enter Antarctic Specially Protected Areas, Import into USA. The applicant proposes to study the foraging behavior, habitat utilization, and physiology of leopard seals, and potentially additional Antarctic seal species, near Cape Shirreff in the Antarctic Peninsula. Additional seal species could include: Crabeater seals, Weddell seals, Antarctic fur seals, Ross seals, and southern elephant seals. The applicant would capture and tag 10–15 seals of each species, in each field season. Seals would be sedated and anesthetized during tagging and biological sample collection procedures. The tags to be attached to the seals with marine epoxy include a combined time-depth recorder and GPS receiver and a separate VHF radio tag. Other procedures would include: Flipper tagging, dye marking, collecting blood samples, measuring blood volume, measuring girth and length, and determining body composition by morphometric measurements. These procedures are currently authorized under National Marine Fisheries Service Marine Mammal Protection Act Permit No. 19439.

*Location:* ASPA 149, Cape Shirreff, Livingston Island, South Shetland Islands, Antarctic Peninsula.

*Dates of Permitted Activities:* April 1, 2021–December 31, 2023.

**Erika N. Davis,**

*Program Specialist, Office of Polar Programs.*  
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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-90939; File No. SR-FINRA-2019-008]

### Self-Regulatory Organizations; Financial Industry Regulatory Authority, Inc.; Order Setting Aside Action by Delegated Authority and Approving a Proposed Rule Change, as Modified by Amendment No. 2, To Establish a Corporate Bond New Issue Reference Data Service

January 15, 2021.

#### I. Introduction

On March 27, 2019, Financial Industry Regulatory Authority, Inc. (“FINRA”) filed with the Securities and Exchange Commission (“Commission”), pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”) <sup>1</sup> and Rule 19b-4 thereunder, <sup>2</sup> a proposed rule change to establish a new issue reference data service for corporate bonds (“New Issue Reference Data Service”). <sup>3</sup> Pursuant to the proposal, FINRA would require that underwriters report to FINRA a number of data elements for new issues in corporate debt securities and FINRA would disseminate such data to the public upon receipt.

On December 4, 2019, the Commission, acting through authority delegated to the Division of Trading and Markets (“Division”), <sup>4</sup> approved the proposed rule change, as modified by Amendment No. 2 (“Approval Order”). <sup>5</sup>

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

<sup>3</sup> The Commission published notice of the proposed rule change in the *Federal Register* on April 8, 2019. See Exchange Act Release No. 85488 (Apr. 2, 2019), 84 FR 13977 (“Notice”). On May 22, 2019, the Commission designated a longer period within which to approve the proposed rule change, disapprove the proposed rule change, or institute proceedings to determine whether the proposed rule change should be disapproved. See Exchange Act Release No. 85911, 84 FR 24839 (May 29, 2019). On July 1, 2019, the Commission instituted proceedings under Section 19(b)(2)(B) of the Act, 15 U.S.C. 78s(b)(2)(B), to determine whether to approve or disapprove the proposed rule change. See Exchange Act Release No. 86256, 84 FR 32506 (Jul. 8, 2019). On October 3, 2019, FINRA filed Partial Amendment No. 1 to the proposed rule change, which was subsequently withdrawn on the same day due to a non-substantive administrative error. On October 3, 2019, FINRA filed partial Amendment No. 2 to the proposed rule change (“Amendment No. 2”). On October 4, 2019, the Commission issued a notice of filing of Amendment No. 2 to the proposed rule change and, pursuant to Section 19(b)(2) of the Act, the Commission designated a longer period for Commission action on proceedings to determine whether to disapprove the proposed rule change. See Exchange Act Release No. 87232, 84 FR 54712 (Oct. 10, 2019).

<sup>4</sup> 17 CFR 200.30-3(a)(12).

<sup>5</sup> See Exchange Act Release No. 87656, 84 FR 67491 (Dec. 10, 2019).