

internet website (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for website viewing and printing in the Commission's Public Reference Room, 100 F Street NE, Washington, DC 20549 on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of the filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change. Persons submitting comments are cautioned that we do not redact or edit personal identifying information from comment submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-PEARL-2020-30, and should be submitted on or before December 30, 2020.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>12</sup>

**J. Matthew DeLesDernier,**  
Assistant Secretary.

[FR Doc. 2020-26995 Filed 12-8-20; 8:45 am]

**BILLING CODE 8011-01-P**

## SECURITIES AND EXCHANGE COMMISSION

[SEC File No. 270-173, OMB Control No. 3235-0178]

### Submission for OMB Review; Comment Request

*Upon Written Request Copies Available From:* Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549-02736

*Extension:*  
Rule 31a-1

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520), the Securities and Exchange Commission ("Commission") has submitted to the Office of Management and Budget a request for extension of the previously approved collection of information discussed below.

Rule 31a-1 (17 CFR 270.31a-1) under the Investment Company Act of 1940 (the "Act") (15 U.S.C. 80a) is entitled "Records to be maintained by registered investment companies, certain majority-owned subsidiaries thereof, and other persons having transactions with registered investment companies." Rule 31a-1 requires registered investment companies ("funds"), and every underwriter, broker, dealer, or investment adviser that is a majority-owned subsidiary of a fund, to maintain and keep current accounts, books, and other documents which constitute the record forming the basis for financial statements required to be filed pursuant to section 31 of the Act (15 U.S.C. 80a-30) and of the auditor's certificates relating thereto. The rule lists specific records to be maintained by funds. The rule also requires certain underwriters, brokers, dealers, depositors, and investment advisers to maintain the records that they are required to maintain under federal securities laws. The Commission periodically inspects the operations of funds to insure their compliance with the provisions of the Act and the rules thereunder. The books and records required to be maintained by rule 31a-1 constitute a major focus of the Commission's inspection program.

There are approximately 3,964 investment companies registered with the Commission, all of which are required to comply with rule 31a-1. For purposes of determining the burden imposed by rule 31a-1, the Commission staff estimates that each fund is divided into approximately four series, on average, and that each series is required to comply with the recordkeeping requirements of rule 31a-1. Based on conversations with fund representatives, it is estimated that rule 31a-1 imposes an average burden of approximately 1,750 hours annually per series for a total of 7,000 annual hours per fund. The estimated total annual burden for all 3,964 funds subject to the rule therefore is approximately 27,748,000 hours. Based on conversations with fund representatives, however, the Commission staff estimates that even absent the requirements of rule 31a-1, 90 percent of the records created pursuant to the rule are the type that generally would be created as a matter of normal business practice and to prepare financial statements. Thus, the Commission staff estimates that the total annual burden associated with rule 31a-1 is 2,774,800 hours.

The estimate of average burden hours is made solely for the purposes of the Paperwork Reduction Act, and is not derived from a comprehensive or even

a representative survey or study. The collection of information required by rule 31a-1 is mandatory. Responses will not be kept confidential. The records required by rule 31a-1 are required to be preserved pursuant to rule 31a-2 under the Investment Company Act (17 CFR 270.31a-2). Rule 31a-2 requires that certain of these records be preserved permanently, and that others be preserved six years from the end of the fiscal year in which any transaction occurred. In both cases, the records should be kept in an easily accessible place for the first two years. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid control number.

The public may view background documentation for this information collection at the following website: [www.reginfo.gov](http://www.reginfo.gov). Find this particular information collection by selecting "Currently under 30-day Review—Open for Public Comments" or by using the search function. Written comments and recommendations for the proposed information collection should be sent within 30 days of publication of this notice to (i) [www.reginfo.gov/public/do/PRAMain](http://www.reginfo.gov/public/do/PRAMain) and (ii) David Bottom, Director/Chief Information Officer, Securities and Exchange Commission, c/o Cynthia Roscoe, 100 F Street NE, Washington, DC 20549, or by sending an email to: [PRA\\_Mailbox@sec.gov](mailto:PRA_Mailbox@sec.gov).

Dated: December 4, 2020.

**J. Matthew DeLesDernier,**  
Assistant Secretary.

[FR Doc. 2020-27029 Filed 12-8-20; 8:45 am]

**BILLING CODE 8011-01-P**

## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

[Docket No. 2120-0671]

#### Agency Information Collection Activities: Request for Renewal of a Previously Approved Information Collection(s): Safety Management Systems for Part 121 Certificate Holders

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice and request for comments.

<sup>12</sup> 17 CFR 200.30-3(a)(12).

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, FAA invites public comments about our intention to request the Office of Management and Budget (OMB) approval to renew an information collection used to support the analysis of safety data as part of Safety Management Systems required for part 121 certificate holders. The information to be collected will be used to identify hazards and show ongoing compliance with part 5, Safety Management Systems. All collected data and records are maintained by the certificate holders and not submitted to the FAA.

**DATES:** Written comments should be submitted by February 8, 2021.

**ADDRESSES:** Please send written comments:

*By Electronic Docket:*  
www.regulations.gov (Enter docket number into search field).

*By Mail:* Docket Management Facility, U.S. Department of Transportation, 1200 New Jersey Avenue SE, West Building, Room W12-140, Washington, DC 20590, between 9 a.m. and 5 p.m.

*By Fax:* 202-493-2251.

**FOR FURTHER INFORMATION CONTACT:**

Sean Denniston, Safety Management Program Office (AFS-910), by email at: sean.denniston@faa.gov or by phone: 202-267-1493.

**SUPPLEMENTARY INFORMATION:**

*Public Comments Invited:* You are asked to comment on any aspect of this

information collection, including (a) Whether the proposed collection of information is necessary for FAA's performance; (b) the accuracy of the estimated burden; (c) ways for the FAA to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection.

*OMB Control Number:* 2120-0763.

*Title:* Safety Management Systems for Part 121.

*Form Numbers:* None.

*Type of Review:* Renewal of an Information Collection with changes.

*Background:* The information collection involves the collection and analysis of safety data as part of a Safety Management System (SMS), as required for Part 121 certificate holders by 14 CFR Part 5, Safety Management Systems. The information to be collected will continue to be used to identify hazards and show compliance with Part 5.

The existing information collection included the submission of SMS Implementation Plans to the FAA by March 9, 2018. That portion of the information collection has been completed and only new applicants for a Part 121 certificate will be required to submit SMS Implementation Plans in

the future. While the burden for existing Part 121 certificate holders is significantly reduced, it is anticipated there will be some ongoing recordkeeping requirements for Part 5 compliance.

The FAA previously published a 60-Day **Federal Register** Notice on June 20, 2018 (83 FR 28758) and a 30-Day **Federal Register** Notice on September 17, 2018 (83 FR 46990). The FAA did not receive any comments on either notice. Since the 60-Day and 30-Day notices there have been changes to the original request. The current number of Part 121 certificate holders in 2020 is 68 compared to 90 in 2015. The 68 Part 121 certificate holders implemented a Safety Management System by the March 9, 2018 Part 5 deadline. The burden analysis has been revised reflecting Part 121 SMS implementation, revised industry numbers, and analysis of post-implementation recordkeeping.

*Respondents:* All 68 existing Part 121 certificate holders.

*Frequency:* Implementation plan collection: 1 future applicant for Part 121 certificate (anticipating no more than one new applicant a year). Recordkeeping requirement: Annual recordkeeping requirements for all 68 existing Part 121 certificates.

*Estimated Average Burden per Response:*

| Air carrier groups                  | Number of air carriers |
|-------------------------------------|------------------------|
| <b>Part 121 Certificate Holders</b> |                        |
| Large (50+ aircraft) .....          | 25                     |
| Medium (10-49 aircraft) .....       | 19                     |
| Small (<9 aircraft) .....           | 24                     |
| Number of Operators .....           | 68                     |

*Respondents:*

| Summary (annual numbers)                 | Reporting | Recordkeeping | Disclosure |
|--|-----------|---------------|------------|
| <b>Large and Medium Air Carrier</b>      |           |               |            |
| Number of Respondents .....              | .....     | 44            | N/A        |
| Number of Responses per respondent ..... | .....     | 1             | N/A        |
| Time per Response .....                  | .....     | 2,000         | N/A        |
| Total number of responses .....          | .....     | 44            | N/A        |
| Total burden (hours) .....               | .....     | 99,440        | N/A        |
| <b>Small Air Carrier</b>                 |           |               |            |
| Number of Respondents .....              | .....     | 24            | N/A        |
| Number of Responses per respondent ..... | .....     | 1             | N/A        |
| Time per Response .....                  | .....     | 1,000         | N/A        |
| Total number of responses .....          | .....     | 24            | N/A        |
| Total burden (hours) .....               | .....     | 24,000        | N/A        |

Estimated annual collection activity for one new medium Part 121 air carrier.

| Summary (annual numbers)                 | GAP analysis | Implementation plan | SMS   |
|--|--------------|---------------------|-------|
| <b>Medium Air Carrier</b>                |              |                     |       |
| Number of Respondents .....              | .....        | 1                   | ..... |
| Number of Responses per respondent ..... | .....        | 1                   | ..... |
| Time per Response .....                  | .....        | 2,732               | ..... |
| Total number of responses .....          | .....        | 1                   | ..... |
| Total burden (hours) .....               | .....        | 2,732               | ..... |

*Estimated Total Annual Burden:*  
 Total annual burden for existing Part 121 certificate holders 123,400 hours.  
 Total annual burden for new Part 121 certificate applicant 2,732 hours.

Issued in Washington, DC.  
**Robert C. Carty,**  
*Deputy Executive Director, Flight Standards Service.*

[FR Doc. 2020-27000 Filed 12-8-20; 8:45 am]  
**BILLING CODE 4910-13-P**

**DEPARTMENT OF TRANSPORTATION**

**Federal Highway Administration**

**Notice of Intent To Prepare a Draft Environmental Impact Statement for the El Camino Real Roadway Renewal Project on State Route 82, in San Mateo County, California**

**AGENCY:** Federal Highway Administration (FHWA), U.S. Department of Transportation (DOT).  
**ACTION:** Notice of Intent (NOI) to prepare a Draft Environmental Impact Statement (Draft EIS) for the El Camino Real Roadway Renewal Project.

**SUMMARY:** The FHWA on behalf of the California Department of Transportation (Caltrans), is issuing this notice to advise the public that a Draft EIS will be prepared for the El Camino Real Roadway Renewal Project (Project), a proposed highway project on State Route 82 in San Mateo County, California.

**DATES:** This notice will be accompanied by a 30-day public scoping comment period from Monday, November 16, 2020, to December 17, 2020. The deadline for public comments is 5:00 p.m. (PST) on December 17, 2020. Because COVID-19 social distancing advisories are still in effect, no physical public meetings will be held during the public scoping comment period. However, Caltrans will be making project information available on the internet at [www.ECRalternatives.com](http://www.ECRalternatives.com) throughout the entire public comment period. A link to the above website is

accessible through the project website at [www.ElCaminoRealProject.com](http://www.ElCaminoRealProject.com) or <https://dot.ca.gov/caltrans-near-me/district-4/d4-projects/d4-san-mateo-82-el-camino-real-project>. Project materials will be posted on the [www.ECRalternatives.com](http://www.ECRalternatives.com) website and will include project background, project schedule, frequently asked questions, archival information from prior public outreach presentations, the El Camino Real Task Force effort 2017-2018, newly developed narrated presentation slides about the ECR Project's purpose and need, the alternatives being considered, tree survey information, and information regarding the Howard-Ralston Eucalyptus Tree Rows, a resource on the National Register of Historic Places, and other historic resources in the project area. A poster gallery will also be available that features project alternatives and key slide content.

The virtual public information tour will also include a virtual public forum for the public to share thoughts on the project material, the project alternatives under consideration, suggest other alternatives, and read what other members of the public are saying about the project. All comments offered through the virtual public forum will be moderated to maintain respectful discourse. Comments shared through the virtual public forum will become part of the public record.

In addition, the public can submit formal scoping comments through the [www.ECRalternatives.com](http://www.ECRalternatives.com) website via an electronic comment submission form, via email at [ECRproject@dot.ca.gov](mailto:ECRproject@dot.ca.gov), or via USPS at the contact information listed below. In addition to email notifications, Caltrans has mailed notification postcards via USPS to the public, based on information collected from early public outreach efforts, and to city, county and state officials with jurisdiction in the project area. Postcards provide contact information for requesting information in alternative formats or alternative language translation services.

More information can also be found at the project website at [www.ElCaminoRealProject.com](http://www.ElCaminoRealProject.com) or <https://dot.ca.gov/caltrans-near-me/district-4/d4-projects/d4-san-mateo-82-el-camino-real-project>.

**FOR FURTHER INFORMATION CONTACT:** Yolanda Rivas, Senior Environmental Planner, Caltrans District 4, P.O. Box 23660, MS-8B, Oakland, CA 94623-0660, telephone (510) 506-1461, or email [Yolanda.rivas@dot.ca.gov](mailto:Yolanda.rivas@dot.ca.gov). For FHWA, contact David Tedrick, telephone (916) 498-5024, or email [david.tedrick@dot.gov](mailto:david.tedrick@dot.gov).

**SUPPLEMENTARY INFORMATION:** Effective July 1, 2007, the FHWA assigned, and Caltrans assumed, environmental responsibilities for this project pursuant to 23 U.S.C. 327. Caltrans as the assigned National Environmental Policy Act (NEPA) agency, will prepare a Draft EIS on a proposal for 3.6-mile roadway rehabilitation project in San Mateo County, California. The project limits extend from East Santa Inez Avenue in the City of San Mateo to Millbrae Avenue in the City of Millbrae.

The project is needed to address the overall condition of the existing roadway by correcting the following deficiencies: The pavement is currently rated as poor, with moderate alligator cracking and very poor ride quality indicating roadway structural inadequacy; water ponding and frequent localized flooding occurs due to uneven roadway surfaces and inadequate or impacted drainage systems; pedestrian access is impaired due to lack of updated curb ramps and uneven sidewalks; pedestrian infrastructure is not compliant with state and federal Americans with Disabilities Act (ADA) requirements; existing sidewalks lack accessible pedestrian signals systems, countdown pedestrian systems, high-visibility striping, or current devices; and pavement markings.

The purpose of the project is to preserve and extend the life of the roadway and improve ride quality, improve drainage efficiency to reduce localized flooding, improve visibility for