

information request collection title for reference.

**Information Collection Request Title:** Survey of Eligible Users of the National Practitioner Data Bank, OMB No. 0915-0366—Reinstatement With Change.

**Abstract:** HRSA plans to survey the users National Practitioner Data Bank (NPDB). The purpose of this survey is to assess the overall satisfaction of the eligible users of the NPDB. This survey will evaluate the effectiveness of the NPDB as a flagging system, source of information, and its use in decision making. Furthermore, this survey will collect information from organizations and individuals who query the NPDB to understand and improve their user experience. This survey is a reinstatement of the 2012 NPDB survey with some changes.

**Need and Proposed Use of the Information:** The survey will collect information regarding the participants' experiences of querying and reporting to the NPDB, perceptions of health care practitioners with reports, impact of NPDB reports on organizations' decision-making, and satisfaction with various NPDB products and services.

The survey will also be administered to health care practitioners that use the self-query service provided by the NPDB. The self-queriers will be asked about their experiences of querying, the impact of having reports in the NPDB on their careers and health care organizations' perceptions, and their satisfaction with various NPDB products and services. Understanding self-queriers' satisfaction and their use of the information is an important component of the survey.

Proposed changes to this ICR include the following:

1. In the proposed entity survey, there are 37 modules and 258 questions. From the previous 2012 survey, there are 15 deleted questions and 13 new questions in addition to proposed changes to 12 survey questions.

2. In the proposed self-query survey, there are 22 modules and 88 questions. From the previous 2012 survey, there are 5 deleted questions and 5 new questions in addition to proposed changes to two survey questions.

**Likely Respondents:** Eligible users of the NPDB will be asked to complete a web-based survey. Data gathered from

the survey will be compared with previous survey results. This survey will provide HRSA with the information necessary for research purposes and for improving the usability and effectiveness of the NPDB.

**Burden Statement:** Burden in this context means the time expended by persons to generate, maintain, retain, disclose or provide the information requested. This includes the time needed to review instructions, to develop, acquire, install and utilize technology and systems for the purpose of collecting, validating and verifying information, processing and maintaining information, and disclosing and providing information, to train personnel and to be able to respond to a collection of information, to search data sources, to complete and review the collection of information, and to transmit or otherwise disclose the information. The total annual burden hours estimated for this Information Collection Request are summarized in the table below.

TOTAL ESTIMATED ANNUALIZED BURDEN HOURS

Form name	Number of respondents	Number of responses per respondent	Total responses	Average burden per response (in hours)	Total burden hours
NPDB Users Entities Respondents .....	15,000	1	15,000	0.25	3,750
NPDB Self-Query Respondents .....	2,000	1	2,000	0.10	200
Total .....	17,000	.....	17,000	.....	3,950

HRSA specifically requests comments on (1) the necessity and utility of the proposed information collection for the proper performance of the agency's functions, (2) the accuracy of the estimated burden, (3) ways to enhance the quality, utility, and clarity of the information to be collected, and (4) the use of automated collection techniques or other forms of information technology to minimize the information collection burden.

**Maria G. Button,**

*Director, Executive Secretariat.*

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**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Health Resources and Services Administration**

**Agency Information Collection Activities: Proposed Collection: Public Comment Request; Information Collection Request Title: National Practitioner Data Bank for Adverse Information on Physicians and Other Health Care Practitioners—45 CFR Part 60 Regulations and Forms, OMB No. 0915-0126—Revision**

**AGENCY:** Health Resources and Services Administration (HRSA), Department of Health and Human Services.

**ACTION:** Notice.

**SUMMARY:** In compliance with the requirement for opportunity for public comment on proposed data collection projects of the Paperwork Reduction Act of 1995, HRSA announces plans to submit an Information Collection

Request (ICR), described below, to the Office of Management and Budget (OMB). Prior to submitting the ICR to OMB, HRSA seeks comments from the public regarding the burden estimate, below, or any other aspect of the ICR.

**DATES:** Comments on this ICR should be received no later than December 15, 2020.

**ADDRESSES:** Submit your comments to [paperwork@hrsa.gov](mailto:paperwork@hrsa.gov) or mail the HRSA Information Collection Clearance Officer, Room 14N136B, 5600 Fishers Lane, Rockville, Maryland 20857.

**FOR FURTHER INFORMATION CONTACT:** To request more information on the proposed project or to obtain a copy of the data collection plans and draft instruments, email [paperwork@hrsa.gov](mailto:paperwork@hrsa.gov) or call Lisa Wright-Solomon, the HRSA Information Collection Clearance Officer at (301) 443-1984.

**SUPPLEMENTARY INFORMATION:** When submitting comments or requesting

information, please include the ICR title for reference.

**Information Collection Request Title:** National Practitioner Data Bank for Adverse Information on Physicians and Other Health Care Practitioners—45 CFR part 60 Regulations and Forms, OMB No. 0915–0126—Revision.

**Abstract:** This is a request for OMB's approval for a revision to the information collection contained in regulations found at 45 CFR part 60 governing the National Practitioner Data Bank (NPDB) and the forms to be used in registering with, reporting information to, and requesting information from the NPDB.

Administrative forms are also included to aid in monitoring compliance with Federal reporting and querying requirements. Responsibility for NPDB implementation and operation resides in HRSA's Bureau of Health Workforce. The intent of the NPDB is to improve the quality of health care by encouraging entities such as hospitals, State licensing boards, professional societies, and other eligible entities<sup>1</sup> providing health care services to identify and discipline those who engage in unprofessional behavior, and to restrict the ability of incompetent health care practitioners, providers, or suppliers to move from state to state without disclosure or discovery of previous damaging or incompetent performance. It also serves as a fraud and abuse clearinghouse for the reporting and disclosing of certain final adverse actions (excluding settlements in which no findings of liability have been made) taken against health care practitioners, providers, or suppliers by

health plans, Federal agencies, and State agencies. Users of the NPDB include reporters (entities that are required to submit reports) and queriers (entities and individuals that are authorized to request for information).

The reporting forms, request for information forms (query forms), and administrative forms (used to monitor compliance) are accessed, completed, and submitted to the NPDB electronically through the NPDB website at <https://www.npdb.hrsa.gov/>. All reporting and querying is performed through the secure portal of this website.

This revision proposes changes to improve overall data integrity. In addition, this revision contains the four NPDB forms that were originally approved in the "National Practitioner Data Bank (NPDB) Attestation of Reports by Hospitals, Medical Malpractice Payers, Health Plans, and Certain Other Health Care Entities, OMB No. 0906–0028" which will be discontinued upon approval of this ICR.

**Need and Proposed Use of the Information:** The NPDB acts primarily as a flagging system; its principal purpose is to facilitate comprehensive review of practitioners' professional credentials and background. Information is collected from, and disseminated to, eligible entities (entities that are entitled to query and/or report to the NPDB as authorized in Title 45 CFR part 60 of the Code of Federal Regulations) on the following: (1) Medical malpractice payments, (2) licensure actions taken by Boards of Medical Examiners, (3) State licensure and certification actions, (4) Federal

licensure and certification actions, (5) negative actions or findings taken by peer review organizations or private accreditation entities, (6) adverse actions taken against clinical privileges, (7) Federal or State criminal convictions related to the delivery of a health care item or service, (8) civil judgments related to the delivery of a health care item or service, (9) exclusions from participation in Federal or State health care programs, and (10) other adjudicated actions or decisions. It is intended that NPDB information should be considered with other relevant information in evaluating credentials of health care practitioners, providers, and suppliers.

**Likely Respondents:** Eligible entities or individuals that are entitled to query and/or report to the NPDB as authorized in regulations found at 45 CFR part 60.

**Burden Statement:** Burden in this context means the time expended by persons to generate, maintain, retain, disclose, or provide the information requested. This includes the time needed to review instructions; to develop, acquire, install, and utilize technology and systems for the purpose of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; to train personnel and to be able to respond to a collection of information; to search data sources; to complete and review the collection of information; and to transmit or otherwise disclose the information. The total annual burden hours estimated for this ICR are summarized in the table below.

#### TOTAL ESTIMATED ANNUALIZED BURDEN HOURS

Regulation citation	Form name	Number of respondents	Number of responses per respondent	Total responses	Average burden per response (in hours)	Total burden hours (rounded up)
§ 60.6: Reporting errors, omissions, revisions or whether an action is on appeal..	Correction, Revision-to-Action, Void, Notice of Appeal (manual).	11,918	1	11,918	.25	2,980
	Correction, Revision-to-Action, Void, Notice of Appeal (automated).	18,301	1	18,301	.0003	5
§ 60.7: Reporting medical malpractice payments.	Medical Malpractice Payment (manual).	11,481	1	11,481	.75	8,611
	Medical Malpractice Payment (automated).	296	1	296	.0003	1

<sup>1</sup> "Other eligible entities" that participate in the NPDB are defined in the provisions of Title IV, Section 1921, Section 1128E, and implementing regulations. In addition, a few federal agencies also participate with the NPDB through federal memorandums of understanding. Eligible entities

are responsible for complying with all reporting and/or querying requirements that apply; some entities may qualify as more than one type of eligible entity. Each eligible entity must certify its eligibility in order to report to the NPDB, query the NPDB, or both. Information from the NPDB is

available only to those entities specified as eligible in the statutes and regulations. Not all entities have the same reporting requirements or level of query access.

## TOTAL ESTIMATED ANNUALIZED BURDEN HOURS—Continued

Regulation citation	Form name	Number of respondents	Number of responses per respondent	Total responses	Average burden per response (in hours)	Total burden hours (rounded up)
§ 60.8: Reporting licensure actions taken by Boards of Medical Examiners.	State Licensure or Certification (manual).	19,749	1	19,749	.75	14,812
§ 60.9: Reporting licensure and certification actions taken by States.	State Licensure or Certification (automated).	17,189	1	17,189	.0003	5
§ 60.10: Reporting Federal licensure and certification actions..	DEA/Federal Licensure .....	600	1	600	.75	450
§ 60.11: Reporting negative actions or findings taken by peer review organizations or private accreditation entities.	Peer Review Organization .....	10	1	10	.75	8
	Accreditation .....	10	1	10	.75	8
§ 60.12: Reporting adverse actions taken against clinical privileges.	Title IV Clinical Privileges Actions.	978	1	978	.75	734
	Professional Society .....	41	1	41	.75	31
§ 60.13: Reporting Federal or State criminal convictions related to the delivery of a health care item or service.	Criminal Conviction (Guilty Plea or Trial) (manual).	1,174	1	1,174	.75	881
	Criminal Conviction (Guilty Plea or Trial) (automated).	683	1	683	.0003	1
	Deferred Conviction or Pre-Trial Diversion.	70	1	70	.75	53
	Nolo Contendere (no contest plea).	127	1	127	.75	95
	Injunction .....	10	1	10	.75	8
	Civil Judgment .....	9	1	9	.75	7
§ 60.14: Reporting civil judgments related to the delivery of a health care item or service.						
§ 60.15: Reporting exclusions from participation in Federal or State health care programs.	Exclusion or Debarment (manual).	1,707	1	1,707	.75	1,280
	Exclusion or Debarment (automated).	2,506	1	2,506	.0003	1
§ 60.16: Reporting other adjudicated actions or decisions.	Government Administrative (manual).	1,750	1	1,750	.75	1,313
	Government Administrative (automated).	39	1	39	.0003	1
§ 60.17 Information which hospitals must request from the National Practitioner Data Bank.	Health Plan Action .....	488	1	488	.75	366
	One-Time Query for an Individual (manual).	1,958,176	1	1,958,176	.08	156,654
§ 60.18 Requesting Information from the NPDB.	One-Time Query for an Individual (automated).	3,349,778	1	3,349,778	.0003	1,005
	One-Time Query for an Organization (manual).	50,681	1	50,681	.08	4,054
	One-Time Query for an Organization (automated).	25,610	1	25,610	.0003	8
	Self-Query on an Individual .....	168,557	1	168,557	.42	70,794
	Self-Query on an Organization	1,059	1	1,059	.42	445
	Continuous Query (manual) .....	806,971	1	806,971	.08	64,558

## TOTAL ESTIMATED ANNUALIZED BURDEN HOURS—Continued

Regulation citation	Form name	Number of respondents	Number of responses per respondent	Total responses	Average burden per response (in hours)	Total burden hours (rounded up)
§ 60.21: How to dispute the accuracy of NPDB information.	Continuous Query (automated)	619,001	1	619,001	.0003	186
	Subject Statement and Dispute	3,264	1	3,264	.75	2,448
Administrative .....	Request for Dispute Resolution	74	1	74	8	592
	Entity Registration (Initial) .....	3,484	1	3,484	1	3,484
	Entity Registration (Renewal & Update).	13,245	1	13,245	.25	3,311
	State Licensing Board Data Request.	60	1	60	10.5	630
	State Licensing Board Attestation.	325	1	325	1	325
	Authorized Agent Attestation ....	350	1	350	1	350
	Health Center Attestation .....	722	1	722	1	722
	Hospital Attestation .....	3,416	1	3,416	1	3,416
	Medical Malpractice Payer, Peer Review Organization, or Private Accreditation Organization Attestation.	274	1	274	1	274
	Other Eligible Entity Attestation	1,884	1	1,884	1	1,884
	Corrective Action Plan (Entity)	10	1	10	.08	1
	Reconciling Missing Actions ....	1,491	1	1,491	.08	119
	Agent Registration (Initial) .....	44	1	44	1	44
	Agent Registration (Renewal & Update).	304	1	304	.08	24
	Electronic Funds Transfer (EFT) Authorization.	644	1	644	.08	52
	Authorized Agent Designation ..	183	1	183	.25	46
	Account Discrepancy .....	85	1	85	.25	21
	New Administrator Request .....	600	1	600	.08	48
	Purchase Query Credits .....	1,786	1	1,786	.08	143
	Education Request .....	40	1	40	.08	3
Account Balance Transfer .....	10	1	10	.08	1	
Missing Report From Query Form.	10	1	10	.08	1	
Total .....	.....	7,101,274	.....	7,101,274	.....	347,294

HRSA specifically requests comments on (1) the necessity and utility of the proposed information collection for the proper performance of the agency's functions, (2) the accuracy of the estimated burden, (3) ways to enhance the quality, utility, and clarity of the information to be collected, and (4) the use of automated collection techniques or other forms of information technology to minimize the information collection burden.

**Maria G. Button,**

*Director, Executive Secretariat.*

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## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Indian Health Service

#### Urban Indian Education and Research Program

*Announcement Type:* Competing Supplement.

*Funding Announcement Number:* HHS-2020-IHS-UIHP3-0002.

*Assistance Listing (Catalog of Federal Domestic Assistance or CFDA) Number:* 93.193.

#### Key Dates

*Application Deadline Date:* November 6, 2020.

*Earliest Anticipated Start Date:* November 25, 2020.

#### I. Funding Opportunity Description

##### *Statutory Authority*

The Indian Health Service (IHS) is accepting applications for a competing supplement to current cooperative

agreements for the Urban Indian Education and Research Program. This program is authorized under: The Snyder Act, 25 U.S.C. 13; and the Public Health Service Act, 42 U.S.C. 241(a) Section 301(a). This supplement is authorized and funded by the Coronavirus Aid, Relief, and Economic Security Act (the CARES Act), Public Law (Pub. L.) 116-136. This program is described in the Assistance Listings located at <https://beta.sam.gov> (formerly known as Catalog of Federal Domestic Assistance) under 93.193.

##### *Background*

The Office of Urban Indian Health Programs (OUIHP) oversees the implementation of the Indian Health Care Improvement Act (IHCA) provisions for making health care services more accessible to Urban Indians. Pursuant to those authorities, the IHS enters into contracts and grants with Urban Indian Organizations (UIOs) for the provision of health care and