

to safety for the chromium-coated zirconium alloy fuel cladding concepts, as well as to provide guidance for NRC staff reviewing vendor applications. Chromium-coated zirconium alloy fuel cladding concepts are being pursued by several U.S. fuel vendors as part of the U.S. Department of Energy's accident tolerant fuel program.

**DATES:** This guidance is effective on February 10, 2020.

**ADDRESSES:** Please refer to Docket ID NRC-2019-0208 when contacting the NRC about the availability of information regarding this document. You may obtain publicly-available information related to this document using any of the following methods:

- *Federal Rulemaking Website:* Go to <https://www.regulations.gov> and search for Docket ID NRC-2019-0208. Address questions about NRC docket IDs in *Regulations.gov* to Jennifer Borges; telephone: 301-287-9127; email: [Jennifer.Borges@nrc.gov](mailto:Jennifer.Borges@nrc.gov). For technical questions, contact the individual(s) listed in the **FOR FURTHER INFORMATION CONTACT** section of this document.

- *NRC's Agencywide Documents Access and Management System (ADAMS):* You may obtain publicly-available documents online in the ADAMS Public Documents collection at <https://www.nrc.gov/reading-rm/adams.html>. To begin the search, select "Begin Web-based ADAMS Search." For problems with ADAMS, please contact the NRC's Public Document Room (PDR) reference staff at 1-800-397-4209, 301-415-4737, or by email to [pdr.resource@nrc.gov](mailto:pdr.resource@nrc.gov). The ISG, "Supplemental Guidance Regarding the Chromium-Coated Zirconium Alloy Fuel Cladding Accident Tolerant Fuel Concept," is available in ADAMS under Accession No. ML19343A121.

- *NRC's PDR:* You may examine and purchase copies of public documents at the NRC's PDR, Room O1-F21, One White Flint North, 11555 Rockville Pike, Rockville, Maryland 20852.

**FOR FURTHER INFORMATION CONTACT:** Tekia Govan, Office of Nuclear Reactor Regulation, telephone: 301-415-6197 email: [Tekia.Govan@nrc.gov](mailto:Tekia.Govan@nrc.gov) and Michael Orenak, Office of Nuclear Reactor, telephone: 301-415-3229, email: [Michael.Orenak@nrc.gov](mailto:Michael.Orenak@nrc.gov). Both are staff of the U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001.

#### SUPPLEMENTARY INFORMATION:

##### I. Background

On October 24, 2019, (84 FR 57058) the NRC requested public comments on draft ATF ISG-2019-01 (ADAMS Accession No. ML19276G621). The NRC

received comments from the Nuclear Energy Institute by letter dated November 25, 2019 (ADAMS Accession No. ML19344C125). No other comments were submitted. The NRC staff considered those comments in developing the final ATF-ISG 2019-01. Detailed responses to the comments can be found in Appendix E of the final ATF-ISG 2019-01.

This ISG is intended to provide guidance for NRC staff reviewing applications involving fuel products with chromium-coated zirconium alloy cladding. For coated claddings of this type, a phenomena identification and ranking table (PIRT) was generated for the NRC by Pacific Northwest National Laboratory; the guidance provided in this ISG extensively references the PIRT report, "Degradation and Failure Phenomena of Accident Tolerant Fuel Concepts: Chromium Coated Zirconium Alloy Cladding," issued June 2019. The suggested cladding properties specified acceptable fuel design limits and new failure mechanisms sections from the PIRT are replicated in Appendices B and C. These appendices supersede Sections 5.1 and 5.2 of the PIRT report.

This ISG is not intended as stand-alone review guidance, but instead supplements NUREG-0800, "Standard Review Plan," Section 4.2, "Fuel System Design," and discusses the potential impact of coated claddings on reviews performed under Standard Review Plan (SRP), Section 4.3, "Nuclear Design," Section 4.4, "Thermal and Hydraulic Design," and Chapter 15, "Transient and Accident Analysis." In addition to the guidance provided in this ISG, reviewers of coated cladding applications should familiarize themselves with the PIRT report and with the relevant sections of the SRP.

The PIRT report and this ISG focus primarily on metallic chromium coatings applied to a zirconium alloy base metal, with some additional discussion that is applicable to chromium-based ceramic coatings. Reviewers of submittals on ceramic chromium-coated zirconium alloy claddings should carefully read the PIRT to determine the applicability to the review.

This ISG does not apply to reviews of fuel products other than metallic or ceramic chromium-based coatings on a zirconium alloy substrate.

##### II. Backfit Discussion

This ISG intends to provide guidance for the NRC staff reviewing applications involving fuel products with chromium-coated zirconium alloy cladding.

Issuance of this ISG does not constitute

a backfit as defined in section 50.109(a)(1) of title 10 of the *Code of Federal Regulations* (10 CFR) and is not otherwise inconsistent with the issue finality provisions in 10 CFR part 52. As discussed in the "Backfitting" section of the final ATF-ISG-2020-01, the ISG positions do not constitute backfitting, inasmuch as the ISG is guidance directed to the NRC staff with respect to its regulatory responsibilities.

Applicants and potential applicants are not, with certain exceptions, the subject of either the Backfit Rule or any issue finality provisions under 10 CFR part 52. The NRC staff has no intention to impose the ISG positions on existing nuclear power plant licensees either now or in the future (absent a voluntary request for a change from the licensee).

##### III. Congressional Review Act

This ISG is a rule as defined in the Congressional Review Act (5 U.S.C. 801-808). However, the Office of Management and Budget has not found it to be a major rule as defined in the Congressional Review Act.

Dated at Rockville, Maryland, this 3rd day of January 2020.

For the Nuclear Regulatory Commission.

**Tekia V. Govan,**

*Project Manager, Oversight and Support Branch, Division of Reactor Oversight, Office of Nuclear Reactor Regulation.*

[FR Doc. 2020-00124 Filed 1-8-20; 8:45 am]

**BILLING CODE 7590-01-P**

## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-87886; File No. SR-LCH SA-2019-012]

### Self-Regulatory Organizations; LCH SA; Notice of Filing and Immediate Effectiveness of Proposed Rule Change Relating to the Amendments of the CDSClear Fee Grid

January 3, 2020.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act"),<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> notice is hereby given that on December 27, 2019, Banque Centrale de Compensation, which conducts business under the name LCH SA ("LCH SA"), filed with the Securities and Exchange Commission ("Commission") the proposed rule change described in Items I, II and III below, which Items have been prepared primarily by LCH SA. LCH SA filed the proposal pursuant

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

to Section 19(b)(3)(A) of the Act,<sup>3</sup> and Rule 19b-4(f)(2)<sup>4</sup> thereunder, so that the proposal was effective upon filing with the Commission. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

**I. Clearing Agency’s Statement of the Terms of Substance of the Proposed Rule Change, Security-Based Swap Submission, or Advance Notice**

The proposed rule change is to review and modify the current fee grid applied by LCH SA CDSClear.

The text of the proposed rule change has been annexed [sic] as Exhibit 5.

**II. Clearing Agency’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change**

In its filing with the Commission, LCH SA included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. LCH SA has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

*A. Clearing Agency’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change, Security-Based Swap Submission, or Advance Notice*

1. Purpose

The purpose of the proposed fee changes is for LCH SA CDSClear to (1) modify the annual fixed fee amount that covers all Index and Single Name CDS self-clearing activity for a General Clearing Member and its affiliates under the Unlimited Tariff, and (2) revise the CDSClear fee grid set up for the Options clearing service for both General and Select Members.

LCH SA is currently applying the below fee grid for CDSClear members:

**CURRENT SELF-CLEARING TARIFF FOR CORPORATES AND FINANCIALS INDEX AND SINGLE NAME CDS**

Membership	Annual fixed fee	Self-clearing/variable fees				
		EUR indices	EUR single names	USD indices	USD single names	
General Member—Unlimited Tariff.	€1,700,000 .....	No Variable Fee				Covers all self-clearing Corporate and Financials CDS Index and Single Name activity for a Clearing Member and its affiliates.
General Member—Introductory Tariff.	€200,000 if the total annual gross notional cleared is under €15 billion.	€3.5 Per million gross notional cleared.	€10 Per million gross notional cleared.	\$4.5 Per million gross notional cleared.	\$13 Per million gross notional cleared.	Cap on total annual self-clearing fees (fixed + variable) of EUR 1,700,000 after which all further trades cleared in the calendar year are subject to a fee holiday.
Select Member ..	€400,000 if the total annual gross notional cleared is over €15 billion.	€3.5 Per million gross notional cleared.	€10 Per million gross notional cleared.	\$4.5 Per million gross notional cleared.	\$13 Per million gross notional cleared.	
	€250,000 if the total annual gross notional cleared is under €25 billion. €450,000 if the total annual gross notional cleared is over €25 billion.	€4 Per million gross notional cleared. .....	€10 Per million gross notional cleared. .....	\$5 Per million gross notional cleared. .....	\$13 Per million gross notional cleared. .....	

**CURRENT OPTIONS TARIFF INCLUDING FEE REBATE: GENERAL MEMBER**

General Member	
Introductory Tariff .....	Cover only one legal entity (no affiliate coverage).
Clearing Fees .....	\$15 Per million of option notional on U.S. Indices. €15 Per million of option notional on European Indices.
Floor on clearing fees <sup>4</sup> .....	€150k Per calendar year (no pro-rating).
Cap on Clearing fees .....	€600k Per calendar year (no pro-rating).
Unlimited Tariff .....	Cover all affiliates of a given Clearing Member Group. Cover all clearing fees for Credit Option House activity for both iTraxx and CDX.NA underlying index families. Excludes any potential future EEP usage fees.
Fixed fee (annual) .....	€375k Per calendar year (no pro-rating).
Discounted Rates* .....	€50k After discount rate of 86.67% applied to the Fixed fee amount if Index Swaptions notional cleared per Clearing Member group strictly above €12 billion.
	€75k After discount rate of 80.00% applied to the Fixed fee amount if Index Swaptions notional cleared per Clearing Member group strictly above €6 billion but equal or below €12 billion.
	€125k After discount rate of 67.00% applied to the Fixed fee amount if Index Swaptions notional cleared per Clearing Member group strictly above €0 but equal or below €6 billion.
Onboarding Fees (both Introductory & Unlimited)	€30k One-off fee per Legal Entity under the Introductory tariff or per Clearing Member Group under Unlimited tariff waived until 20–Dec–19.

**\* Cumulative conditions for the Fee rebate:**

- (i) Application to the Unlimited Tariff only;
- (ii) application to all Clearing Members registering to the Index Swaptions clearing service (registration letter or application file signature date); and
- (iii) Valid for 2019 only; and

<sup>3</sup> 15 U.S.C. 78s(b)(3)(A).

<sup>4</sup> 17 CFR 240.19b-4(f)(2).

## CURRENT OPTIONS TARIFF INCLUDING FEE REBATE: GENERAL MEMBER—Continued

(iv) Index Swaptions notional cleared for the determination of the discount rate to be observed from the regulatory effective date of the rebate.

## CURRENT OPTIONS TARIFF INCLUDING FEE REBATE: SELECT MEMBER AND CLIENT

## Select Member

Introductory Tariff .....	Cover only one legal entity (no affiliate coverage).	
Clearing Fees .....	\$18 €18 €600k	Per million of option notional on U.S. Indices Per million of option notional on European Indices. Per calendar year (no pro-rating).
Cap on Clearing fees .....		
Unlimited Tariff .....	Covers all affiliates of a given Clearing Member Group. Covers all clearing fees for Credit Option House activity for both iTraxx and CDX.NA underlying index families. Excludes any potential future EEP usage fees.	
Fixed fee (annual) .....	€400k	Per calendar year (no pro-rating).
Discounted Rates * .....	€50k €75k €125k	After discount rate of 87.50% applied to the Fixed fee amount if Index Swaptions notional cleared per Clearing Member group strictly above €12 billion. After discount rate of 81.25% applied to the Fixed fee amount if Index Swaptions notional cleared per Clearing Member group strictly above €6 billion but equal or below €12 billion. After discount rate of 68.75% applied to the Fixed fee amount if Index Swaptions notional cleared per Clearing Member group per year strictly above €0 but equal or below €6 billion.
Onboarding Fees (both Introductory & Unlimited)	€30k	One-off fee per Legal Entity under the Introductory tariff or per Clearing Member Group under Unlimited tariff waived until 20–Dec–19.

\* Cumulative conditions for the Fee rebate:

- (v) Application to the Unlimited Tariff only;
- (vi) application to all Clearing Members registering to the Index Swaptions clearing service (registration letter or application file signature date); and
- (vii) Valid for 2019 only; and
- (viii) Index Swaptions notional cleared for the determination of the discount rate to be observed from the regulatory effective date of the rebate.

## Client

Clearing Fees .....	\$20 €20	Per million of option notional on U.S. Indices. Per million of option notional on European Indices.
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As specified in the new fee grid attached [sic] under Exhibit 5, LCH SA is proposing to amend the CDSClear fee grid from January 1st, 2020.

The proposed fee changes are driven by the will:

- For the Index and Single Names Unlimited Tariff: To reflect a transition to a more matured phase of development of the CDSClear service, and
- for the Options fee grid: to adjust the discount bands and rates following a beginning of uptake of the options service, and to ensure coherence in the maximum amount of fees payable between the Introductory and the Unlimited Tariffs.

(1) Change the Index and Single Names Unlimited Tariff for General Members From €1,700,000 per Year to €1,300,000 per Year for 2020

CDSClear currently offers an Unlimited Tariff for General Members<sup>5</sup> that covers all self-clearing Corporate and Financials CDS Index and Single Names activity for a Clearing Member group and its affiliates for an annual

fixed fee of €1,700,000 (no variable fees).

The proposed change consists in decreasing the annual fixed fee amount to €1,300,000 per year. This fixed fee will still cover all clearing fees for Corporate and Financials Index and Single Names CDS House activity for all affiliates of a given Clearing Member group.

(2) Change the Options Unlimited Tariff for Both General and Select Members

As specified in the new LCH CDSClear options fee grid attached [sic] below in Appendix, the annual fixed fee covering all clearing fees for Credit Index Options House activity for all affiliates of a given Clearing Member group remains set in 2020 to €375,000 for General Members and €400,000 for Select Members, but it is proposed to change the discount based notional bands and rates as follows:

General Member Discount Bands and Rates

- Change the bounds on the annual options notional cleared for the lowest band from *strictly above €0bn but equal to or below €6bn* into *strictly above €6bn but equal to or below €13.5bn*, and the corresponding discount rate from 66.67% (equivalent

to an annual fixed fee of €125,000) to 60% (equivalent to an annual fixed fee of €150,000);

- Change the bounds on the annual options notional cleared for the middle band from *strictly above €6bn but equal to or below €12bn* into *strictly above €13.5bn*, but keep the corresponding discount rate at 80% (equivalent to an annual fixed fee of €75,000); and
- Remove the highest band from the fee grid.

Select Member Discount Bands and Rates

- Change the bounds on the annual options notional cleared for the lowest band from *strictly above €0bn but equal to or below €6bn* into *strictly above €6bn but equal to or below €13.5bn*, and the corresponding discount rate from 68.75% (equivalent to an annual fixed fee of €125,000) to 62.5% (equivalent to an annual fixed fee of €150,000);
- Change the bounds on the annual options notional cleared for the middle band from *strictly above €6bn but equal to or below €12bn* into *strictly above €13.5bn*, but keep the corresponding discount rate at 81.25% (equivalent to an annual fixed fee of €75,000); and

<sup>5</sup> All capitalized terms not defined herein have the same definition as the CDSClearing Rule Book, Supplement or Procedures, as applicable.

— Remove the highest band from the fee grid.

(3) Change the Options Introductory Tariff for Both General and Select Members

The Options Introductory Tariff for both General and Select Members covers only the legal entity that is registering to the service and is based on variable fees with an annual floor (for General Members only) and cap on the total variable fees paid. The only change proposed to this Introductory Tariff is to align the annual cap with the fixed fee in the Unlimited Tariff as follows:

- For General Members, decrease the annual cap on variable fees from €600,000 to €375,000
- For Select Members, decrease the annual cap on variable fees from €600,000 to €400,000.

(4) Additional Changes to the Options Fee Grid for Both General and Select Members

The following conditions will be applicable for both General and Select Members:

- In-year switches between Introductory and Unlimited Tariff are not permitted, and
- The one-off onboarding fee will remain set to €30,000 but waived until 30 April 2020.

2. Statutory Basis

Section 17A(b)(3)(D) of the Act requires that the rules of a clearing agency provide for the equitable allocation of reasonable dues, fees, and other charges.<sup>6</sup>

LCH SA believes that its clearing fee change proposal is consistent with the requirements of Section 17A of the Act<sup>7</sup> and the regulations thereunder applicable to it, and in particular provides for the equitable allocation of reasonable fees, dues, and other charges among clearing members and market participants by ensuring that clearing members and clients pay reasonable fees and dues for the services provided by LCH SA, within the meaning of Section 17A(b)(3)(D) of the Act.

With respect to the change of the Index and CDS Unlimited Tariff for General Members, LCH SA has determined in consultation with its clearing members that the reduction in the annual fixed fee for General Members covering their Index and Single Name CDS self-clearing activity is reasonable and appropriate as the CDS Clear business is now reaching a more mature stage in its development

and therefore requires a lower investment to develop new functionalities/services than in the past.

Regarding the proposed changes to the Options clearing service fee grid, after consultation with its Clearing Members, LCH SA has determined that both its General and Select Members still needed to be incentivized to further grow their usage of the Options clearing service, and thus to maintain a volume-based discount fee scheme for its Options Unlimited Tariff in which the cost of clearing options decreases as more volumes are cleared. In order to make the link between Unlimited Tariff and Introductory Tariff coherent between the Index & Single Names fee grid on one hand and the Option fee grid on the other hand, LCH SA has also set the cap for its Options Introductory Tariff at the same level than the fixed fee of the Option Unlimited Tariff, and thus decreased it from €600k to respectively €375k for General Members and €400k for Select Members. Additionally, both General and Select Clearing Members will not have the ability to switch from one type of Tariff to the other (Unlimited to Introductory or vice-versa) in-year.

Finally, the one-off Onboarding Fee to the Options clearing service will remain set to €30,000 but the waiving period will be extended until 30 April 2020.

For all the reasons stated above, LCH SA believes that the proposed fee rates are reasonable and have been set up at an appropriate level so that LCH SA can provide the CDS Clear services.

*B. Clearing Agency's Statement on Burden on Competition*

Section 17A(b)(3)(I) of the Act requires that the rules of a clearing agency not impose any burden on competition not necessary or appropriate in furtherance of the purposes of the Act.<sup>8</sup>

LCH SA does not believe that the proposed rule change would impose any burden on competition that are not necessary or appropriate in furtherance of the purposes of the Act because LCH SA is offering the possibility for CDS Clear members and clients to get a more attractive access to the clearing services. It does not affect the ability of such Clearing Members or other market participants generally to engage in cleared transactions or to access clearing services especially to the clearing of Index Swaptions that remains not mandatory.

Additionally, the proposed volume based discount scheme for the Options Unlimited Tariff will be available to all

CDS Clear Clearing Member Groups. Similarly, the proposed Index and Single Names Unlimited Tariff will be available to all General Members of the CDS Clear service. This annual fixed fee reduction does not impact any competition between General and Select Members as the choice of membership tier made by a Clearing Member is mainly driven by the material differences in the obligations of a General Member versus those of a Select Member (in terms of price contribution and auction bidding notably) which are reflected in the Tariffs available for each tier.

Further, as explained above, LCH SA believes that the fee rates have been set up at an appropriate level given the costs and expenses to LCH SA in offering the relevant clearing services.

*C. Clearing Agency's Statement on Comments on the Proposed Rule Change Received From Members, Participants or Others*

Written comments relating to the proposed rule change have not been solicited or received but a consultation has been conducted with and feedback sought from CDS Clear members. No comment or question has been received following this consultation. LCH SA will notify the Commission of any written comments received by LCH SA.

**III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action**

The foregoing proposed rule change has become effective upon filing pursuant to Section 19(b)(3)(A)<sup>9</sup> of the Act and Rule 19b-4(f)(2)<sup>10</sup> thereunder because it establishes a fee or other charge imposed by LCH SA on its Clearing Members. At any time within 60 days of the filing of the proposed rule change, the Commission summarily may temporarily suspend such proposed rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act.

**IV. Solicitation of Comments**

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

<sup>9</sup> 15 U.S.C. 78s(b)(3)(A).

<sup>10</sup> 17 CFR 240.19b-4(f)(2).

<sup>6</sup> 15 U.S.C. 78q-1(b)(3)(D).

<sup>7</sup> 15 U.S.C. 78q-1.

<sup>8</sup> 15 U.S.C. 78q-1(b)(3)(I).

• Use the Commission's internet comment form (<http://www.sec.gov/rules/sro.shtml>) or

• Send an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File Number SR-LCH SA-2019-012 on the subject line.

#### Paper Comments

• Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549-1090.

All submissions should refer to File Number SR-LCH SA-2019-012. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's internet website (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for website viewing and printing in the Commission's Public Reference Room, 100 F Street NE, Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of such filings will also be available for inspection and copying at the principal office of LCH SA and on LCH SA's website at <https://www.lch.com/resources/rules-and-regulations/proposed-rule-changes-0>. All comments received will be posted without change. Persons submitting comments are cautioned that we do not redact or edit personal identifying information from comment submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-LCH SA-2019-012 and should be submitted on or before January 30, 2020.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>11</sup>

**J. Matthew DeLesDernier,**

*Assistant Secretary.*

[FR Doc. 2020-00118 Filed 1-8-20; 8:45 am]

**BILLING CODE 8011-01-P**

<sup>11</sup> 17 CFR 200.30-3(a)(12).

## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-87885; SR-NYSEArca-2019-78]

### Self-Regulatory Organizations; NYSE Arca, Inc.; Notice of Withdrawal of a Proposed Rule Change, as Modified by Amendment No. 1, To List and Trade Shares of the Innovator PTAM Core Bond Fund ETF Under NYSE Arca Rule 8.600-E

January 3, 2020.

On October 30, 2019, NYSE Arca, Inc. ("Exchange") filed with the Securities and Exchange Commission ("Commission"), pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act")<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> a proposed rule change to list and trade shares of the Innovator PTAM Core Bond ETF, and on November 8, 2019, the Exchange filed Amendment No. 1 to the proposed rule change, which amended and replaced the proposed rule change in its entirety. The proposed rule change was published for comment in the **Federal Register** on November 19, 2019.<sup>3</sup> The Commission did not receive any comment letters on the proposed rule change.

On December 18, 2019, the Exchange withdrew the proposed rule change (SR-NYSEArca-2019-78), as modified by Amendment No. 1.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>4</sup>

**J. Matthew DeLesDernier,**

*Assistant Secretary.*

[FR Doc. 2020-00117 Filed 1-8-20; 8:45 am]

**BILLING CODE 8011-01-P**

## SMALL BUSINESS ADMINISTRATION

### Reporting and Recordkeeping Requirements Under OMB Review

**AGENCY:** Small Business Administration.

**ACTION:** 30-Day notice.

**SUMMARY:** The Small Business Administration (SBA) is publishing this notice to comply with requirements of the Paperwork Reduction Act (PRA) requires agencies to submit proposed reporting and recordkeeping requirements to OMB for review and approval, and to publish a notice in the **Federal Register** notifying the public

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

<sup>3</sup> See Securities Exchange Act Release No. 87514 (November 13, 2019), 84 FR 63929.

<sup>4</sup> 17 CFR 200.30-3(a)(12).

that the agency has made such a submission. This notice also allows an additional 30 days for public comments.

**DATES:** Submit comments on or before February 10, 2020.

**ADDRESSES:** Comments should refer to the information collection by name and/or OMB Control Number and should be sent to: *Agency Clearance Officer*, Curtis Rich, Small Business Administration, 409 3rd Street SW, 5th Floor, Washington, DC 20416; and *SBA Desk Officer*, Office of Information and Regulatory Affairs, Office of Management and Budget, New Executive Office Building, Washington, DC 20503.

**FOR FURTHER INFORMATION CONTACT:** Curtis Rich, Agency Clearance Officer, (202) 205-7030 [curtis.rich@sba.gov](mailto:curtis.rich@sba.gov).

*Copies:* A copy of the Form OMB 83-1, supporting statement, and other documents submitted to OMB for review may be obtained from the Agency Clearance Officer.

**SUPPLEMENTARY INFORMATION:** Small Business Administration (SBA) regulations require that we determine that a participating Certified Development Company's Non-Bank Lender Institutions or Microlender's management, ownership, etc. is of "good character". To do so requires the information requested on the Form 1081. This form also provides data used to determine the qualifications and capabilities of the lenders key personnel.

#### Solicitation of Public Comments

*Title:* Statement of Personal History.

*Description of Respondents:* Small

Business Lending Companies.

*Form Number:* 1081.

*Estimated Annual Responses:* 151.

*Estimated Annual Hour Burden:* 108.

**Curtis Rich,**

*Management Analyst.*

[FR Doc. 2020-00175 Filed 1-8-20; 8:45 am]

**BILLING CODE 8025-01-P**

## SUSQUEHANNA RIVER BASIN COMMISSION

### Public Hearing

**AGENCY:** Susquehanna River Basin Commission.

**ACTION:** Notice.

**SUMMARY:** The Susquehanna River Basin Commission will hold a public hearing on February 6, 2020, in Harrisburg, Pennsylvania. At this public hearing, the Commission will hear testimony on the projects listed in the Supplementary Information section of this notice. The