

bears on continued their eligibility for access authorization, access to classified information, or a sensitive position).

9. *The estimated number of hours needed annually to comply with the information collection requirement or request:* 188.6.

10. *Abstract:* NRC collects information on individuals in order to determine their eligibility for an NRC access authorization for access to classified information. NRC-regulated facilities and other organization are required to provide information to the NRC when requested on the cleared individual and maintain records to ensure that only individuals with the adequate level of protection is provided access to NRC classified information and material.

Dated at Rockville, Maryland, this 20th day of August, 2019.

For the Nuclear Regulatory Commission.

**David C. Cullison,**

*NRC Clearance Officer, Office of the Chief Information Officer.*

[FR Doc. 2019-18227 Filed 8-22-19; 8:45 am]

**BILLING CODE 7590-01-P**

## OVERSEAS PRIVATE INVESTMENT CORPORATION

### Sunshine Act Meeting

**TIME AND DATE:** Wednesday, September 11, 2019, 2 p.m. (OPEN Portion); 2:15 p.m. (CLOSED Portion).

**PLACE:** Offices of the Corporation, Twelfth Floor Board Room, 1100 New York Avenue NW, Washington, DC.

**STATUS:** Meeting OPEN to the Public from 2 p.m. to 2:15 p.m. Closed portion will commence at 2:15 p.m. (approx.)

#### MATTERS TO BE CONSIDERED:

1. President's Report
2. Tributes
3. Minutes of the Open Session of the June 12, 2019, Board of Directors Meeting

#### FURTHER MATTERS TO BE CONSIDERED: (Closed to the Public 2:15 p.m.):

1. Finance Project—India
2. Insurance Project—Barbados
3. Finance Project—Senegal
4. Finance Project—Argentina
5. Finance Project—Argentina
6. Finance Project—Argentina
7. Finance Project—Argentina
8. Finance Project—Argentina
9. Minutes of the Closed Session of the June 12, 2019, Board of Directors Meeting
10. Reports
11. Pending Projects

**CONTACT PERSON FOR MORE INFORMATION:** Information on the meeting may be

obtained from Catherine F. I. Andrade at (202) 336-8768, or via email at [Catherine.Andrade@opic.gov](mailto:Catherine.Andrade@opic.gov).

Dated: August 20, 2019.

**Catherine Andrade,**

*Corporate Secretary, Overseas Private Investment Corporation.*

[FR Doc. 2019-18265 Filed 8-21-19; 11:15 am]

**BILLING CODE 3210-01-P**

## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-86706; File No. SR-FINRA-2019-019]

### Self-Regulatory Organizations; Financial Industry Regulatory Authority, Inc.; Order Approving Proposed Rule Change To Expand OTC Equity Trading Volume Data Published on FINRA's Website

August 19, 2019.

#### I. Introduction

On July 1, 2019, the Financial Industry Regulatory Authority, Inc. ("FINRA") filed with the Securities and Exchange Commission ("Commission"), pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act")<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> a proposed rule change to amend FINRA Rules 6110 and 6610 to expand the summary firm data relating to over-the-counter ("OTC") equity trading that FINRA publishes on its website. The proposed rule change was published for comment in the **Federal Register** on July 11, 2019.<sup>3</sup> The Commission received two comment letters in support of the proposed rule change.<sup>4</sup> This order approves the proposed rule change.

#### II. Description of the Proposal

Currently, FINRA publishes certain volume information for OTC transactions in NMS stocks<sup>5</sup> and OTC Equity Securities,<sup>6</sup> that are executed

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

<sup>3</sup> See Securities Exchange Act Release No. 86315 (July 5, 2019), 84 FR 33098 (July 11, 2019) ("Notice").

<sup>4</sup> See letters to Vanessa Countryman, Secretary, Commission from: Ray Ross, Chief Technology Officer, Clearpool Group ("Clearpool"), dated August 1, 2019 ("Clearpool Letter"); Stephen John Berger, Managing Director, Global Head of Government & Regulatory Policy, Citadel Securities ("Citadel"), dated August 1, 2019 ("Citadel Letter").

<sup>5</sup> "NMS stock" is defined in Rule 600(b)(47) of the Commission's Regulation NMS. See 17 CFR 242.600(b)(47).

<sup>6</sup> "OTC Equity Security" means any equity security that is not an NMS stock, other than a Restricted Equity Security. See FINRA Rule 6420(f). A "Restricted Equity Security" means any equity security that meets the definition of "restricted security" as contained in Securities Act Rule

outside of an alternative trading system ("ATS").<sup>7</sup> All published data is derived directly from OTC transactions reported to a FINRA equity trade reporting facility. FINRA does not charge a fee for this data.<sup>8</sup>

Currently, FINRA publishes weekly non-ATS OTC volume information (number of trades and shares) by firm and by security on a two-week or four-week delayed basis. Weekly security-specific information for transactions in NMS stocks in Tier 1 of the NMS Plan to Address Extraordinary Market Volatility ("Tier 1 NMS stocks") is published on a two-week delayed basis, while information on the remaining NMS stocks ("Tier 2 NMS stocks") and OTC Equity Securities is published on a four-week delayed basis. FINRA also publishes aggregate weekly non-ATS volume totals by firm and category of security (Tier 1 NMS stocks, Tier 2 NMS stocks, and OTC Equity Securities) on the same timeframes, as well as aggregate non-ATS volume totals by firm for all NMS stocks and OTC Equity Securities, for each calendar month on a one-month delayed basis.<sup>9</sup> All data is published by firm on an attributed basis<sup>10</sup> except that, for firms executing fewer than 200 non-ATS transactions per day on average during the reporting period,<sup>11</sup> FINRA combines and publishes the volume for these firms on an aggregate non-attributed basis identified in the published data as "*De Minimis* Firms."<sup>12</sup>

FINRA has proposed to expand, in two ways, the summary firm data relating to non-ATS OTC equity trading that FINRA publishes on its website. First, FINRA would publish new

144(a)(3). See FINRA Rule 6420(k); 17 CFR 230.144(a)(3).

<sup>7</sup> FINRA Rules 6110(b) and 6610(b) govern the publication of information for OTC transactions executed outside of an ATS ("non-ATS" volume data or information). FINRA Rules 6110(c) and 6610(c) separately govern the publication of trading information for OTC transactions executed on ATSS.

<sup>8</sup> OTC transaction volume data published pursuant to FINRA Rules 6110 and 6610 is available at <https://otctransparency.finra.org/otctransparency/>.

<sup>9</sup> Monthly aggregated data are categorized by NMS stocks and OTC Equity Securities, *i.e.*, there is no differentiation between Tier 1 NMS stocks and Tier 2 NMS stocks.

<sup>10</sup> Non-ATS data is published at the firm level, aggregating each market participant identifier ("MPID") used by a particular firm (but excluding any MPIDs used by a firm to report trades executed on its ATS).

<sup>11</sup> For a firm with multiple non-ATS MPIDs, the total volume across all its MPIDs is combined for purposes of determining whether the *de minimis* threshold has been met.

<sup>12</sup> There is no parallel *de minimis* exception for ATS transactions under FINRA Rules 6110(c) and 6610(c). Therefore, all ATS volume data is currently published on an attributed basis.