

Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for website viewing and printing in the Commission's Public Reference Room, 100 F Street NE, Washington, DC 20549 on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of such filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change. Persons submitting comments are cautioned that we do not redact or edit personal identifying information from comment submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-NYSEArca-2018-37, and should be submitted on or before June 27, 2018.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>11</sup>

**Eduardo A. Aleman,**  
*Assistant Secretary.*

[FR Doc. 2018-12112 Filed 6-5-18; 8:45 am]

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-83355; File No. SR-NYSE-2017-53]

### Self-Regulatory Organizations; New York Stock Exchange LLC; Notice of Designation of a Longer Period for Commission Action on a Proposed Rule Change To Amend the Listed Company Manual for Special Purpose Acquisition Companies To Lower the Initial Holders Requirement From 300 to 150 Round Lot Holders and To Eliminate Completely the 300 Public Stockholders Continued Listing Requirement, To Require at Least \$5 Million in Net Tangible Assets for Initial and Continued Listing, and To Impose a 30-Day Deadline To Demonstrate Compliance With Certain Initial Listing Requirements Following a Business Combination

May 31, 2018.

On November 16, 2017, New York Stock Exchange LLC ("NYSE" or "Exchange") filed with the Securities and Exchange Commission ("Commission"), pursuant to Section

19(b)(1) of the Securities Exchange Act of 1934 ("Act")<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> a proposed rule change to amend the Listed Company Manual for Special Purpose Acquisition Companies ("SPACs")<sup>3</sup> to lower the initial holders requirement from 300 to 150 round lot holders and to eliminate the continued listing requirement of 300 public stockholders completely, to require at least \$5 million in net tangible assets for initial listing and continued listing, and to allow companies 30 days to demonstrate compliance with the applicable holder requirements of Section 102.01A in the Listed Company Manual following a business combination. Finally, NYSE proposes to eliminate certain alternative initial listing distribution criteria for SPACs that list in connection with a transfer or quotation. The proposed rule change was published for comment in the **Federal Register** on December 6, 2017.<sup>4</sup> In response, the Commission received two comments on the proposal.<sup>5</sup> On January 18, 2018, the Commission extended the time period within which to approve the proposed rule change, disapprove the proposed rule change, or institute proceedings to determine whether to approve or disapprove the proposed rule change to March 6, 2018.<sup>6</sup> The Commission issued an order instituting proceedings under Section 19(b)(2)(B) of the Act to determine whether to approve or disapprove the proposed rule change on March 5, 2018 ("OIP").<sup>7</sup> The Commission received one additional comment letter in response to the OIP.<sup>8</sup>

Section 19(b)(2) of the Act<sup>9</sup> provides that, after initiating disapproval proceedings, the Commission shall issue an order approving or disapproving the proposed rule change not later than 180

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

<sup>3</sup> The Commission notes that throughout this Notice we have used the term "SPAC" or "SPACs." These terms have the same meaning as an "Acquisition Company" or "AC" which is the term used by NYSE in its current proposed rule filing and rule text.

<sup>4</sup> See Securities Exchange Act Release No. 82180 (November 30, 2017), 82 FR 57632 (December 6, 2017) ("Notice").

<sup>5</sup> See Letters to Brent J. Fields, Secretary, Commission, from Michael Kitlas, dated November 30, 2017 ("Kitlas Letter") and Jeffrey P. Mahoney, General Counsel, Council of Institutional Investors, dated December 20, 2017 ("CII Letter").

<sup>6</sup> See Securities Exchange Act Release No. 82531 (January 18, 2018), 83 FR 3371 (January 24, 2018) ("Extension").

<sup>7</sup> See Securities Exchange Act Release No. 82804 (March 5, 2018), 83 FR 10530 (March 9, 2018).

<sup>8</sup> See Letters to Brent J. Fields, Secretary, Commission, from Jeffrey P. Mahoney, General Counsel, Council of Institutional Investors, dated March 26, 2018 ("CII Letter II").

<sup>9</sup> 15 U.S.C. 78s(b)(2).

days after the date of publication of notice of filing of the proposed rule change. The Commission may, however, extend the period for issuing an order approving or disapproving the proposed rule change by not more than 60 days if the Commission determines that a longer period is appropriate and publishes the reasons for such determination. The proposed rule change was published for notice and comment in the **Federal Register** on December 6, 2017. June 4, 2018 is 180 days from that date, and August 3, 2018 is 240 days from that date. The Commission finds it appropriate to designate a longer period within which to issue an order approving or disapproving the proposed rule change so that it has sufficient time to consider the proposed rule change and the comment letters. Accordingly, the Commission, pursuant to Section 19(b)(2) of the Act,<sup>10</sup> designates August 3, 2018, as the date by which the Commission shall either approve or disapprove the proposed rule change (File No. SR-NYSE-2017-53).

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>11</sup>

**Eduardo A. Aleman,**  
*Assistant Secretary.*

[FR Doc. 2018-12113 Filed 6-5-18; 8:45 am]

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-83361]

### Order Cancelling Registrations of Certain Transfer Agents

June 1, 2018.

On December 22, 2017, notice was published in the **Federal Register** that the Securities and Exchange Commission ("Commission") intended to issue an order, pursuant to Section 17A(c)(4)(B) of the Securities Exchange Act of 1934 ("Act"),<sup>1</sup> cancelling the registrations of certain transfer agents.<sup>2</sup> For the reasons discussed below, the Commission is cancelling the registration of the transfer agents identified in the attached Appendix.

**FOR FURTHER INFORMATION CONTACT:** Moshe Rothman, Assistant Director, or Catherine Whiting, Special Counsel, at (202) 551-4990, U.S. Securities and Exchange Commission, Division of Trading and Markets, Room 7321 SP1,

<sup>10</sup> *Id.*

<sup>11</sup> 17 CFR 200.30-3(a)(31).

<sup>1</sup> 15 U.S.C. 78q-1(c)(4)(B).

<sup>2</sup> Securities Exchange Act Release No. 34-82342 (Dec. 18, 2017), 82 FR 60778.

<sup>11</sup> 17 CFR 200.30-3(a)(12).

100 F Street NE, Washington, DC 20549-7010 or by email to [tradingandmarkets@sec.gov](mailto:tradingandmarkets@sec.gov) with the phrase "Order Cancelling Transfer Agent Registration" in the subject line.

**Background**

Section 17A(c)(4)(B) of the Act provides that if the Commission finds that any transfer agent registered with the Commission is no longer in existence or has ceased to do business as a transfer agent, the Commission shall by order cancel that transfer agent's registration. On December 22, 2017, the Commission published notice of its intention to cancel the registration of certain transfer agents whom it believed were no longer in existence or had ceased doing business as transfer agents.<sup>3</sup>

In the notice, the Commission identified 38 such transfer agents and stated that at any time after January 31, 2018, the Commission intended to issue an order canceling the registrations of any or all of the identified transfer agents. One transfer agent contacted the Commission to object to the cancellation of its registration, stating that it had not ceased doing business as a transfer agent. The Commission has decided not to cancel the registration of that transfer agent. None of the remaining 37 identified transfer agents contacted the Commission to object to the cancellation of their registrations.

Accordingly, the Commission is cancelling the registrations of the 37 transfer agents identified in the Appendix attached to this Order.

**Order**

On the basis of the foregoing, the Commission finds that each of the transfer agents whose name appears in the attached Appendix either is no longer in existence or has ceased doing business as a transfer agent.

It is therefore ordered pursuant to Section 17A(c)(4)(B) of the Act that the registration as a transfer agent of each of the transfer agents whose name appears in the attached Appendix be and hereby is cancelled.

For the Commission by the Division of Trading and Markets pursuant to delegated authority.<sup>4</sup>

**Eduardo A. Aleman,**  
*Assistant Secretary.*

**Appendix**

Transfer agent name	File number
AG Transfer Agency LLC .....	084-06306
Allied Stock Transfer, Inc. ....	084-06171
AlphaMetrix, LLC .....	084-06327
Baron Capital Transfer & Registrar LLC .....	084-06440
Bluechip Equity Inc. DBA Bluechip Trust Company ..	084-06173
Cascade Stock Transfer, Inc.	084-06204
Centerline Affordable Housing Advisors LLC .....	084-01911
Chris Lotito .....	084-06197
Clayton Securities Services, Inc. ....	084-05425
Demiurgic, Inc. ....	084-06274
Elite Transfer Corp .....	084-06193
EnDevCo, Inc. ....	084-06084
First National Bank of Omaha .....	084-06174
First National Bank of Sioux Falls .....	084-06228
Fund Dynamics, LLC .....	084-06208
Hiko Bell Mining & Oil Company .....	084-05445
Holladay Stock Transfer, Inc.	084-01822
Integrity Stock Transfer .....	084-06113
Intercontinental Registrar & Transfer Agency, Inc. ....	084-01123
Investor Data Services .....	084-01425
Johnson, Lawrence & Associates .....	084-05831
Karrison Compagnie Inc. ....	084-06046
Life Sciences Research .....	084-06094
LM Anderson Securities, LLC	084-06257
Matrix Capital Group Inc. ....	084-06122
Premier Stock Transfer, LLC	084-06518
Progressive Transfer, Inc. ....	084-06268
Quads Trust Company .....	084-05621
Repository & Related Services, LLC .....	084-06500
Signal Stock Transfer, Inc. ...	084-06360
Standard Transfer & Trust Co., Inc. ....	084-05819
Superior Stock Transfer, Inc.	084-06121
Thermal Energy Storage Inc.	084-01300
U.S. Stock Transfer Corp. ....	084-06293
U.S. Trust & Transfer Co. ....	084-05663
Valley Forge Management Corp .....	084-00012
Wall Street Stock Transfer Corporation .....	084-06246

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**SECURITIES AND EXCHANGE COMMISSION**

[Release No. 34-83350; File No. SR-NYSE-2018-09]

**Self-Regulatory Organizations; NYSE National, Inc.; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Establish the NYSE National BBO, NYSE National Trades and NYSE National Integrated Feed Market Data Feeds**

May 31, 2018.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (the "Act")<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> notice is hereby given that on May 18, 2018, NYSE National, Inc. (the "Exchange" or "NYSE National") filed with the Securities and Exchange Commission (the "Commission") the proposed rule change as described in Items I and II below, which Items have been prepared by the self-regulatory organization. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

**I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change**

The Exchange proposes to establish the NYSE National BBO ("NYSE National BBO"), NYSE National Trades ("NYSE National Trades") and NYSE National Integrated Feed ("NYSE National Integrated Feed") market data feeds. The proposed rule change is available on the Exchange's website at [www.nyse.com](http://www.nyse.com), at the principal office of the Exchange, and at the Commission's Public Reference Room.

**II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change**

In its filing with the Commission, the self-regulatory organization included statements concerning the purpose of, and basis for, the proposed rule change and discussed any comments it received on the proposed rule change. The text of those statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant parts of such statements.

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

<sup>3</sup> *Id.*

<sup>4</sup> 17 CFR 200.30-3(a)(22).