

All visitors must be escorted in areas other than the lower and first floor levels in the CMS Central Office.

V. Special Accommodations

Individuals requiring special accommodations must include the request for these services when registering for the meeting.

VI. Collection of Information Requirements

This document does not impose information collection requirements, that is, reporting, recordkeeping, or third-party disclosure requirements. Consequently, there is no need for review by the Office of Management and Budget under the authority of the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*).

Dated: July 17, 2017.

Patrick Conway,

Deputy Administrator for Innovation and Quality & Director, Centers for Medicare & Medicaid Services.

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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Medicare & Medicaid Services

[CMS-2405-N]

RIN 0938-ZB34

Medicaid Program; State Allotments for Payment of Medicare Part B Premiums for Qualifying Individuals (QIs): Federal Fiscal Year 2014 and Federal Fiscal Year 2015 through Calendar Year 2015

AGENCY: Centers for Medicare & Medicaid Services (CMS), HHS.

ACTION: Notice.

SUMMARY: This notice sets forth the states' final allotments available to pay the Medicare Part B premiums for Qualifying Individuals (QIs) for the

federal fiscal year (FY) 2014 and the preliminary QI allotments for federal FY 2015 which is extended through calendar year (CY) 2015 (December 2015) by the Medicare Access and CHIP Reauthorization Act of 2015 (MACRA). The amounts of these QI allotments were determined in accordance with the methodology set forth in regulations and reflect funding for the QI program made available under recent legislation.

DATES: The final QI allotments for payment of Medicare Part B premiums for FY 2014 are effective October 1, 2013. The preliminary QI allotments for FY 2015, extended through CY 2015 are effective October 1, 2014.

FOR FURTHER INFORMATION CONTACT: Jennifer Gibson, (410) 786-5404 or Toni Cincibus at (410) 786-2997.

SUPPLEMENTARY INFORMATION:

I. Background

A. QI Allotments for FY 2014

As amended by section 621 of the American Taxpayer Relief Act of 2012 (ATRA) (Pub. L. 112-240, enacted on January 2, 2013), section 1933(g)(2) of the Social Security Act (the Act) provided \$300 million in funding for the period October 1, 2013 through December 31, 2013, the first quarter of fiscal year (FY) 2014. Section 1201 of Division B of the legislation "Pathway for SGR Reform Act of 2013" (Pub. L. 113-67 enacted on December 26, 2013) provided an additional \$200 million and authority for the Qualifying Individual (QI) program for the period January 1, 2014 through March 31, 2014 (second quarter of FY 2014). In addition, section 201 of the Protecting Access to Medicare Act of 2014 (PAMA) (Pub. L. 113-93 enacted on April 1, 2014) revised the \$200 to \$485 million and extended the period for which such funds were available to the end of September 2014. Therefore, the total funding available for the QI program for FY 2014 is \$785 million (\$300 million for the first quarter of FY 2014, and \$485 million for the second through fourth quarters of FY 2014).

B. QI Allotments for FY 2015/CY 2015 and Thereafter

Section 201 of PAMA extended the authority and funding for the QI program for FY 2015 as follows: \$300 million for the period October 1, 2014 through December 31, 2014 (first quarter of FY 2015); and, \$250 million for the period January 1, 2015 through March 31, 2015 (second quarter FY 2015). Section 211 of the Medicare Access and CHIP Reauthorization Act of 2015 (MACRA) (Pub. L. 114-10, enacted on April 16, 2015) further extended the authority and funding for the QI program for FY 2015 as follows: \$535 million for the period April 1, 2015 through December 31, 2015, effectively changing QI to a calendar year basis from a fiscal year basis. Therefore, a total of \$1.085 billion is available for the QI program for FY/CY 2015.

Section 211 of MACRA also permanently extended the QI program while allocating \$980 million for CY 2016.

C. Methodology for Calculating the Fiscal Year/Calendar Year QI Allotments.

The amounts of the states' final FY 2014 and preliminary FY/CY 2015 QI allotments, contained in this notice, were determined in accordance with the methodology set forth in existing regulations at 42 CFR 433.10(c)(5) and reflect funding for the QI program made available under the legislation discussed above.

II. Tables

The final QI allotments for FY 2014 and the preliminary QI allotments for FY/CY 2015 are shown by state in Table 1 and Table 2, respectively:

Table 1—Final Qualifying Individuals Allotments for October 1, 2013 through September 30, 2014.

Table 2—Preliminary Qualifying Individuals Allotments for October 1, 2014 through December 31, 2015.

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TABLE 1: Final Qualifying Individuals Allotments for October 1, 2013 through September 30, 2014

STATE	Initial QI Allotments for FY 2014			FY 2014 Estimated QI Expenditures /1	Need (Difference) If E>D, E-D	Pct of Tot. Need States F/(Tot. of F)	Reduction Pool for Non-Need States If D >= E, D - E	Pct of Tot. Non-Need States H/(Tot. of H)	Reduction Adj. For Non-Need States Col. I x	Increase Adj. For Need States Col. G x	Final FY 2014 QI Allotment /2
	Number of Individuals /3 (000s)	Percentage of Total Col B/Tot. Col B	Initial QI Allotment Col. C x \$785,000,000								
A	B	C	D	E	F	G	H	I	J	K	L
Alabama	33	2.13%	\$16,756,145	\$23,696,386	\$6,940,241	7.6813%	Need	Need	Need	\$6,940,241	\$23,696,386
Alaska	2	0.13%	\$1,015,524	\$322,358	NA	NA	\$693,166	0.4245%	\$383,589	NA	\$631,935
Arizona	29	1.88%	\$14,725,097	\$20,123,492	\$5,398,394	5.9748%	Need	Need	Need	\$5,398,394	\$20,123,492
Arkansas	25	1.62%	\$12,694,049	\$14,293,779	\$1,599,730	1.7705%	Need	Need	Need	\$1,599,730	\$14,293,779
California	130	8.41%	\$66,009,056	\$26,896,570	NA	NA	\$39,112,486	23.9554%	\$21,644,314	NA	\$44,364,741
Colorado	12	0.78%	\$6,093,144	\$6,886,161	\$793,017	0.8777%	Need	Need	Need	\$793,017	\$6,886,161
Connecticut	15	0.97%	\$7,616,429	\$4,579,305	NA	NA	\$3,037,125	1.8602%	\$1,680,703	NA	\$5,935,726
Delaware	5	0.32%	\$2,538,810	\$3,191,163	\$652,353	0.7220%	Need	Need	Need	\$652,353	\$3,191,163
District of Columbia	2	0.13%	\$1,015,524	\$0	NA	NA	\$1,015,524	0.6220%	\$661,977	NA	\$453,547
Florida	133	8.60%	\$67,532,342	\$75,214,664	\$7,682,322	8.5026%	Need	Need	Need	\$7,682,322	\$75,214,664
Georgia	46	2.98%	\$23,357,050	\$36,617,968	\$13,260,917	14.6768%	Need	Need	Need	\$13,260,917	\$36,617,968
Hawaii	6	0.39%	\$3,046,572	\$1,319,852	NA	NA	\$1,726,720	1.0576%	\$955,543	NA	\$2,091,029
Idaho	8	0.52%	\$4,062,096	\$3,013,777	NA	NA	\$1,048,319	0.6421%	\$580,125	NA	\$3,481,970
Illinois	63	4.08%	\$31,989,004	\$25,892,362	NA	NA	\$6,096,642	3.7340%	\$3,373,798	NA	\$28,615,206
Indiana	41	2.65%	\$20,818,241	\$4,642,454	NA	NA	\$16,175,786	9.9072%	\$8,951,459	NA	\$11,866,782
Iowa	17	1.10%	\$8,631,953	\$4,694,170	NA	NA	\$3,937,783	2.4118%	\$2,179,115	NA	\$6,452,838
Kansas	18	1.16%	\$9,139,715	\$5,469,486	NA	NA	\$3,670,229	2.2479%	\$2,031,055	NA	\$7,108,661
Kentucky	35	2.26%	\$17,771,669	\$14,902,094	NA	NA	\$2,869,575	1.7575%	\$1,587,983	NA	\$16,183,685
Louisiana	29	1.88%	\$14,725,097	\$21,902,176	\$7,177,079	7.9434%	Need	Need	Need	\$7,177,079	\$21,902,176
Maine	7	0.45%	\$3,554,334	\$6,773,918	\$3,219,584	3.5633%	Need	Need	Need	\$3,219,584	\$6,773,918
Maryland	22	1.42%	\$11,170,763	\$9,819,479	NA	NA	\$1,351,284	0.8276%	\$747,782	NA	\$10,422,981
Massachusetts	27	1.75%	\$13,709,573	\$10,467,761	NA	NA	\$3,241,812	1.9855%	\$1,793,974	NA	\$11,915,599
Michigan	37	2.39%	\$18,787,193	\$14,713,589	NA	NA	\$4,073,604	2.4950%	\$2,254,277	NA	\$16,532,916
Minnesota	18	1.16%	\$9,139,715	\$6,304,595	NA	NA	\$2,835,120	1.7364%	\$1,568,917	NA	\$7,570,799
Mississippi	20	1.29%	\$10,155,239	\$15,193,401	\$5,038,162	5.5761%	Need	Need	Need	\$5,038,162	\$15,193,401
Missouri	44	2.85%	\$22,341,527	\$12,771,470	NA	NA	\$9,570,056	5.8614%	\$5,295,938	NA	\$17,045,588
Montana	6	0.39%	\$3,046,572	\$1,733,158	NA	NA	\$1,313,414	0.8044%	\$726,825	NA	\$2,319,746
Nebraska	7	0.45%	\$3,554,334	\$2,112,476	NA	NA	\$1,441,858	0.8831%	\$797,904	NA	\$2,756,430
Nevada	7	0.45%	\$3,554,334	\$5,601,555	\$2,047,221	2.2658%	Need	Need	Need	\$2,047,221	\$5,601,555
New Hampshire	6	0.39%	\$3,046,572	\$2,390,776	NA	NA	\$655,796	0.4017%	\$362,908	NA	\$2,683,663
New Jersey	45	2.91%	\$22,849,288	\$8,711,840	NA	NA	\$14,137,448	8.6589%	\$7,823,470	NA	\$15,025,818
New Mexico	9	0.58%	\$4,569,858	\$4,121,311	NA	NA	\$448,546	0.2747%	\$248,219	NA	\$4,321,638
New York	102	6.60%	\$51,791,721	\$45,728,113	NA	NA	\$6,063,608	3.7138%	\$3,355,517	NA	\$48,436,203
North Carolina	48	3.10%	\$24,372,574	\$31,178,378	\$6,805,804	7.5325%	Need	Need	Need	\$6,805,804	\$31,178,378
North Dakota	4	0.26%	\$2,031,048	\$670,731	NA	NA	\$1,360,317	0.8332%	\$752,781	NA	\$1,278,267
Ohio	59	3.82%	\$29,957,956	\$28,395,381	NA	NA	\$1,562,575	0.9570%	\$864,708	NA	\$29,093,248
Oklahoma	23	1.49%	\$11,678,525	\$10,558,185	NA	NA	\$1,120,340	0.6862%	\$619,981	NA	\$11,058,544
Oregon	16	1.03%	\$8,124,191	\$14,973,321	\$6,849,130	7.5804%	Need	Need	Need	\$6,849,130	\$14,973,321
Pennsylvania	83	5.37%	\$42,144,243	\$33,422,399	NA	NA	\$8,721,844	5.3419%	\$4,826,549	NA	\$37,317,694
Rhode Island	5	0.32%	\$2,538,810	\$2,472,178	NA	NA	\$66,632	0.0408%	\$36,873	NA	\$2,501,937
South Carolina	33	2.13%	\$16,756,145	\$12,449,637	NA	NA	\$4,306,508	2.6376%	\$2,383,163	NA	\$14,372,982
South Dakota	3	0.19%	\$1,523,286	\$1,721,514	\$198,228	0.2194%	Need	Need	Need	\$198,228	\$1,721,514
Tennessee	43	2.78%	\$21,833,765	\$32,350,845	\$10,517,081	11.6400%	Need	Need	Need	\$10,517,081	\$32,350,845

STATE	Initial QI Allotments for FY 2014			FY 2014 Estimated QI Expenditures /1	Need (Difference) If E>D, E-D	Pct of Tot. Need States F/(Tot. of F)	Reduction Pool for Non-Need States If D >= E, D - E	Pct of Tot. Non-Need States H/(Tot. of H)	Reduction Adj. For Non-Need States Col. I x	Increase Adj. For Need States Col. G x	Final FY 2014 QI Allotment /2
	Number of Individuals /3 (000s)	Percentage of Total Col B/Tot. Col B	Initial QI Allotment Col C x								
A	B	C	D	E	F	G	H	I	J	K	L
Texas	108	6.99%	\$54,838,292	\$64,992,473	\$10,154,181	11.2384%	Need	Need	Need	\$10,154,181	\$64,992,473
Utah	7	0.45%	\$3,554,334	\$2,328,151	NA	NA	\$1,226,183	0.7510%	\$678,553	NA	\$2,875,781
Vermont	3	0.19%	\$1,523,286	\$3,542,578	\$2,019,292	2.2349%	Need	Need	Need	\$2,019,292	\$3,542,578
Virginia	33	2.13%	\$16,756,145	\$14,142,933	NA	NA	\$2,613,212	1.6005%	\$1,446,116	NA	\$15,310,029
Washington	26	1.68%	\$13,201,811	\$6,302,602	NA	NA	\$6,899,209	4.2256%	\$3,817,928	NA	\$9,383,883
West Virginia	20	1.29%	\$10,155,239	\$6,842,837	NA	NA	\$3,312,403	2.0288%	\$1,833,038	NA	\$8,322,201
Wisconsin	22	1.42%	\$11,170,763	\$4,758,159	NA	NA	\$6,412,604	3.9275%	\$3,548,647	NA	\$7,622,116
Wyoming	4	0.26%	\$2,031,048	\$876,335	NA	NA	\$1,154,713	0.7072%	\$639,003	NA	\$1,392,045
Total	1,546	100.00%	\$785,000,000	\$712,080,292	\$90,352,735	100.0000%	\$163,272,443	100.0000%	\$90,352,735	\$90,352,735	\$785,000,000

Footnotes:
/1 FY 2014 Estimates from July 2014 CMS Survey of States; Estimates Are For Months October 2013 Through September 2014
/2 For Need States, Final FY 2014 QI Allotment is equal to Initial QI Allotment in Column D increased by amount in Column K
For Non-Need States, Final FY 2014 QI Allotment is equal to Initial QI Allotment in Column D reduced by amount in Column J
/3 Three-year average (2011-2013) of number (000) of Medicare beneficiaries in State who are not enrolled in Medicaid but whose incomes are at least 120% but less than 135% of Federal poverty level
Source: Census Bureau Annual Social and Economic Supplement (ASEC) to the 2013 Current Population Survey (CPS) and American Community Survey (ACS)

TABLE 2 - Preliminary Qualifying Individuals Allotments for October 1, 2014 through December 31, 2015

STATE	Initial QI Allotments for FY/CY 2015		FY/CY 2015 Initial QI Allotment Col C x \$1,085,000,000	FY/CY 2015 Estimated OI Expenditures /1	Need (Difference) If E>D, E-D	Pct of Tot. Need States F/(Tot. of F)	Reduction Pool for Non-Need States If D >= E, D - E	Pct of Tot. Non-Need States H/(Tot. of H)	Reduction Adj. For Non-Need States Col. I x \$96,858,633	Increase Adj. For Need States Col. G x \$96,858,633	PRELIMINARY FY/CY 2015 QI Allotment /2
	Number of Individuals /3 (000s)	Percentage of Total Col B/Tot. Col B									
A	B	C	D	E	F	G	H	I	J	K	L
Alabama	28	1.86%	\$20,186,047	\$30,976,550	\$10,790,504	11.1405%	Need	Need	Need	\$10,790,504	\$30,976,550
Alaska	1	0.07%	\$720,930	\$434,555	NA	NA	\$286,375	0.1199%	\$116,153	NA	\$604,777
Arizona	35	2.33%	\$25,232,558	\$27,849,001	\$2,616,443	2.7013%	Need	Need	Need	\$2,616,443	\$27,849,001
Arkansas	25	1.66%	\$18,023,256	\$18,805,843	\$782,587	0.8080%	Need	Need	Need	\$782,587	\$18,805,843
California	134	8.90%	\$96,604,651	\$34,292,335	NA	NA	\$62,312,317	26.0934%	\$25,273,668	NA	\$71,330,983
Colorado	13	0.86%	\$9,372,093	\$9,694,438	\$322,345	0.3328%	Need	Need	Need	\$322,345	\$9,694,438
Connecticut	17	1.13%	\$12,255,814	\$7,212,468	NA	NA	\$5,043,346	2.1119%	\$2,045,564	NA	\$10,210,250
Delaware	5	0.33%	\$3,604,651	\$4,289,518	\$684,867	0.7071%	Need	Need	Need	\$684,867	\$4,289,518
District of Columbia	1	0.07%	\$720,930	\$0	NA	NA	\$720,930	0.3019%	\$292,407	NA	\$428,520
Florida	141	9.37%	\$101,651,163	\$98,272,103	NA	NA	\$3,379,059	1.4150%	\$1,370,535	NA	\$100,280,628
Georgia	46	3.06%	\$33,162,791	\$47,600,998	\$14,438,207	14.9065%	Need	Need	Need	\$14,438,207	\$47,600,998
Hawaii	6	0.40%	\$4,325,581	\$1,942,958	NA	NA	\$2,382,624	0.9977%	\$966,384	NA	\$3,359,197
Idaho	9	0.60%	\$6,488,372	\$4,367,060	NA	NA	\$2,121,312	0.8883%	\$860,397	NA	\$5,627,975
Illinois	50	3.32%	\$36,046,512	\$34,240,619	NA	NA	\$1,805,893	0.7562%	\$732,464	NA	\$35,314,048
Indiana	37	2.46%	\$26,674,419	\$4,958,221	NA	NA	\$21,716,197	9.0937%	\$8,808,017	NA	\$17,866,401
Iowa	17	1.13%	\$12,255,814	\$5,789,147	NA	NA	\$6,466,667	2.7079%	\$2,622,859	NA	\$9,632,955
Kansas	13	0.86%	\$9,372,093	\$6,513,947	NA	NA	\$2,858,146	1.1969%	\$1,159,255	NA	\$8,212,838
Kentucky	30	1.99%	\$21,627,907	\$18,955,745	NA	NA	\$2,672,162	1.1190%	\$1,083,820	NA	\$20,544,087
Louisiana	28	1.86%	\$20,186,047	\$28,700,745	\$8,514,698	8.7909%	Need	Need	Need	\$8,514,698	\$28,700,745
Maine	5	0.33%	\$3,604,651	\$7,505,280	\$3,900,629	4.0271%	Need	Need	Need	\$3,900,629	\$7,505,280
Maryland	19	1.26%	\$13,697,674	\$13,020,083	NA	NA	\$677,591	0.2837%	\$274,829	NA	\$13,422,846
Massachusetts	22	1.46%	\$15,860,465	\$12,832,837	NA	NA	\$3,027,629	1.2678%	\$1,227,996	NA	\$14,632,469
Michigan	41	2.72%	\$29,558,140	\$19,795,574	NA	NA	\$9,762,565	4.0881%	\$3,959,664	NA	\$25,598,476
Minnesota	19	1.26%	\$13,697,674	\$8,246,189	NA	NA	\$5,451,485	2.2828%	\$2,211,104	NA	\$11,486,570
Mississippi	18	1.20%	\$12,976,744	\$19,947,679	\$6,970,935	7.1970%	Need	Need	Need	\$6,970,935	\$19,947,679
Missouri	38	2.52%	\$27,395,349	\$19,813,722	NA	NA	\$7,581,627	3.1748%	\$3,075,083	NA	\$24,320,266
Montana	5	0.33%	\$3,604,651	\$2,260,805	NA	NA	\$1,343,846	0.5627%	\$545,060	NA	\$3,059,592
Nebraska	6	0.40%	\$4,325,581	\$2,593,233	NA	NA	\$1,732,348	0.7254%	\$702,635	NA	\$3,622,947
Nevada	8	0.53%	\$5,767,442	\$7,665,777	\$1,898,335	1.9599%	Need	Need	Need	\$1,898,335	\$7,665,777
New Hampshire	6	0.40%	\$4,325,581	\$3,345,263	NA	NA	\$980,318	0.4105%	\$397,614	NA	\$3,927,968
New Jersey	42	2.79%	\$30,279,070	\$9,663,178	NA	NA	\$20,615,892	8.6329%	\$8,361,737	NA	\$21,917,333
New Mexico	10	0.66%	\$7,209,302	\$4,978,869	NA	NA	\$2,230,434	0.9340%	\$904,656	NA	\$6,304,646
New York	100	6.64%	\$72,093,023	\$58,165,791	NA	NA	\$13,927,232	5.8320%	\$5,648,839	NA	\$66,444,184
North Carolina	51	3.39%	\$36,767,442	\$36,691,398	NA	NA	\$76,044	0.0318%	\$30,843	NA	\$36,736,599
North Dakota	3	0.20%	\$2,162,791	\$853,152	NA	NA	\$1,309,639	0.5484%	\$531,185	NA	\$1,631,605
Ohio	62	4.12%	\$44,697,674	\$34,993,773	NA	NA	\$9,703,901	4.0635%	\$3,935,870	NA	\$40,761,804
Oklahoma	23	1.53%	\$16,581,395	\$13,289,152	NA	NA	\$3,292,244	1.3786%	\$1,335,323	NA	\$15,246,072
Oregon	17	1.13%	\$12,255,814	\$20,047,859	\$7,792,045	8.0448%	Need	Need	Need	\$7,792,045	\$20,047,859
Pennsylvania	78	5.18%	\$56,232,558	\$45,312,919	NA	NA	\$10,919,639	4.5726%	\$4,428,969	NA	\$51,803,589
Rhode Island	6	0.40%	\$4,325,581	\$3,257,565	NA	NA	\$1,068,017	0.4472%	\$433,184	NA	\$3,892,397
South Carolina	31	2.06%	\$22,348,837	\$15,406,348	NA	NA	\$6,942,489	2.9072%	\$2,815,850	NA	\$19,532,987
South Dakota	3	0.20%	\$2,162,791	\$2,150,870	NA	NA	\$11,921	0.0050%	\$4,835	NA	\$2,157,956

STATE	Initial QI Allotments for FY/CY 2015			FY/CY 2015 Estimated QI Expenditures /1	Need (Difference) If E>D, E-D	Pct of Tot. Need States F/(Tot. of F)	Reduction Pool for Non-Need States If D >= E, D - E	Pct of Tot. Non-Need States II/(Tot. of II)	Reduction Adj. For Non-Need States Col. I x	Increase Adj. For Need States Col. G x	PRELIMINARY FY/CY 2015 QI Allotment /2
	Number of Individuals /3 (000s)	Percentage of Total Col B/Tot. Col B	Initial QI Allotment Col C x \$1,085,000,000								
A	B	C	D	E	F	G	H	I	J	K	L
Tennessee	45	2.99%	\$32,441,860	\$40,251,355	\$7,809,494	8.0628%	Need	Need	Need	\$7,809,494	\$40,251,355
Texas	100	6.64%	\$72,093,023	\$100,116,770	\$28,023,747	28.9326%	Need	Need	Need	\$28,023,747	\$100,116,770
Utah	7	0.47%	\$5,046,512	\$2,984,195	NA	NA	\$2,062,316	0.8636%	\$836,469	NA	\$4,210,043
Vermont	3	0.20%	\$2,162,791	\$4,476,588	\$2,313,797	2.3888%	Need	Need	Need	\$2,313,797	\$4,476,588
Virginia	34	2.26%	\$24,511,628	\$18,207,073	NA	NA	\$6,304,555	2.6400%	\$2,557,106	NA	\$21,954,522
Washington	23	1.53%	\$16,581,395	\$14,419,554	NA	NA	\$2,161,841	0.9053%	\$876,836	NA	\$15,704,560
West Virginia	19	1.26%	\$13,697,674	\$8,616,906	NA	NA	\$5,080,769	2.1276%	\$2,060,743	NA	\$11,636,932
Wisconsin	22	1.46%	\$15,860,465	\$6,234,417	NA	NA	\$9,626,048	4.0309%	\$3,904,293	NA	\$11,956,172
Wyoming	3	0.20%	\$2,162,791	\$1,012,914	NA	NA	\$1,149,876	0.4815%	\$466,386	NA	\$1,696,405
Total	1,505	100.00%	\$1,085,000,000	\$943,053,337	\$96,858,633	100.0000%	\$238,805,296	100.0000%	\$96,858,633	\$96,858,633	\$1,085,000,000

Footnotes:
/1 FY/CY 2015 Estimates from July 2015 CMS Survey of States; Estimates Are For Months October 2014 Through December 2015
/2 For Need States, FY/CY 2015 QI Allotment is equal to Initial QI Allotment in Column D increased by amount in Column K
For Non-Need States, FY/CY 2015 QI Allotment is equal to Initial QI Allotment in Column D reduced by amount in Column J
/3 Three-year average (2012-2014) of number (000) of Medicare beneficiaries in State who are not enrolled in Medicaid but whose incomes are at least 120% but less than 135% of Federal poverty level
Source: Census Bureau Annual Social and Economic Supplement (ASEC) to the 2014 Current Population Survey (CPS) and American Community Survey (ACS)

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The following describes the information contained in the columns of Table 1 and Table 2:

Column A—State. Column A shows the name of each state. Columns B through D show the determination of an Initial QI Allotment for FY 2014 (Table 1) or FY/CY 2015 (Table 2) for each state, based only on the indicated Census Bureau data.

Column B—Number of Individuals. Column B contains the estimated average number of Medicare beneficiaries for each state that are not covered by Medicaid whose family income is at least 120 but less than 135 percent of the federal poverty level. With respect to the *final FY 2014 QI allotment (Table 1)*, Column B contains the number of such individuals for the years 2011 through 2013, as obtained from the Census Bureau's Annual Social and Economic Supplement to the 2013 Current Population Survey. With respect to the *preliminary FY/CY 2015 QI allotment (Table 2)*, Column B contains the number of such individuals for the years 2012 through 2014, as obtained from the Census Bureau's Annual Social and Economic Supplement to the 2014 Current Population Survey and the American Community Survey (ACS).

Column C—Percentage of Total. Column C provides the percentage of the total number of individuals for each state, that is, the Number of Individuals for the state in Column B divided by the sum total of the Number of Individuals for all states in Column B.

Column D—Initial QI Allotment. Column D contains each state's Initial QI Allotment for FY 2014 (Table 1) or FY/CY 2015 (Table 2), calculated as the state's Percentage of Total in Column C multiplied by the total amount available nationally for QI allotments for the fiscal year/calendar year. The total amount available nationally for QI allotments each fiscal year is \$785,000,000 for FY 2014 (Table 1) and \$1,085,000,000 for FY/CY 2015 (Table 2).

Columns E through L show the determination of the States' Final QI Allotments for FY 2014 (Table 1) or Preliminary QI Allotments for FY/CY 2015 (Table 2).

Column E—FY 2014 or FY/CY 2015 Estimated QI Expenditures. Column E contains the states' estimates of their total QI expenditures for FY 2014 (Table 1) or FY/CY 2015 (Table 2) based on information obtained from states in the summer of 2014 and as updated.

Column F—Need (Difference). Column F contains the additional amount of QI allotment needed for those

states whose estimated expenditures in Column E exceeded their Initial QI allotments in Column D for FY 2014 (Table 1) or for FY/CY 2015 (Table 2). For such states, Column F shows the amount in Column E minus the amount in Column D. For other "Non-Need" States, Column F shows "NA."

Column G—Percent of Total Need States. For states whose projected QI expenditures in Column E are greater than their initial QI allotment in Column D for FY 2014 (Table 1) or FY/CY 2015 (Table 2), respectively, Column G shows the percentage of total need, determined as the amount for each Need State in Column F divided by the sum of the amounts for all states in Column F. For Non-Need States, the entry in Column G is "NA."

Column H—Reduction Pool for Non-Need States. Column H shows the amount of the pool of surplus QI allotments for FY 2014 (Table 1) or FY/CY 2015 (Table 2), respectively, for those states that project QI expenditures for the fiscal year/calendar year that are less than the Initial QI allotment for the fiscal year/calendar year (referred to as Non-Need States). For states for which the estimates in Column E of QI expenditures for FY 2014 or FY/CY 2015, respectively, are equal to or less than their Initial QI allotments in Column D for FY 2014 or FY/CY 2015, respectively, Column H shows the amount in Column D minus the amount in Column E. For the states with a need, Column H shows "Need". The reduction pool of excess QI allotments is equal to the sum of the amounts in Column H.

Column I—Percent of Total Non-Need States. For states whose projected QI expenditures in Column E are less than their Initial QI allotment in Column D for FY 2014 (Table 1) or FY/CY 2015 (Table 2), Column I shows the percentage of the total reduction pool in Column H, determined as the amount for each Non-Need State in Column H divided by the sum of the amounts for all States in Column H. For Need States, the entry in Column I is "Need."

Column J—Reduction Adjustment for Non-Need States. Column J shows the amount of adjustment needed to reduce the Initial QI allotments in Column D for FY 2014 (Table 1) or FY/CY 2015 (Table 2) for Non-Need States in order to address the total need shown in Column F. The amount in Column J is determined as the percentage in Column I for Non-Need States multiplied by the lesser of the total need in Column F (equal to the sum of Needs in Column F) or the total Reduction Pool in Column H (equal to the sum of the Non-

Need amounts in Column H). For Need States, the entry in Column J is "Need."

Column K—Increase Adjustment for Need States. Column K shows the amount of adjustment to increase the Initial QI Allotment in Column D for FY 2014 (Table 1) or FY/CY 2015 (Table 2) for Need States in order to address the total need shown for the fiscal year in Column F. The amount in Column K is determined as the percentage in Column G for Need States multiplied by the lesser of the total need in Column F (equal to the sum of Needs in Column F) or the total Reduction Pool in Column H (equal to the sum of the Non-Need amounts in Column H). For Non-Need States, the entry in Column K is "NA."

Column L—Final FY 2014 QI Allotment (Table 1) or Preliminary FY/CY 2015 QI Allotment (Table 2). Column L contains the Final QI Allotment for each state for FY 2014 (Table 1) or the Preliminary QI Allotment for FY/CY 2015 (Table 2). For states that need additional QI allotment amounts for the fiscal year based on Estimated QI Expenditures in Column E as compared to their Initial QI allotments in Column D for the fiscal year (states with a projected need amount are shown in Column F), Column L is equal to the Initial QI allotment in Column D for FY 2014 (Table 1) or FY/CY 2015 (Table 2) plus the amount determined in Column K for Need States. For Non-Need States (states with a projected surplus in Column H), Column L is equal to the QI Allotment in Column D reduced by the Reduction Adjustment amount in Column J.

III. Collection of Information Requirements

This notice does not impose any information collection or recordkeeping requirements. Consequently, it does not need Office of Management and Budget review under the authority of the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*).

Dated: June 7, 2017.

Seema Verma,

Administrator, Centers for Medicare & Medicaid Services.

Dated: July 17, 2017.

Thomas E. Price,

Secretary, Department of Health and Human Services.

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