

among other conditions, the proposed rule requires that: (i) Before an individual's initial designation, the individual must have been registered for a total of five years within the most recent 10-year period, including for the most recent year with the member that initially designated the individual; (ii) the waiver request must be made within seven years of the individual's initial designation; and (ii) the individual cannot have any pending or adverse regulatory matters, or terminations, that are reportable on the Form U4 (Uniform Application for Securities Industry Registration or Transfer).<sup>140</sup> The Commission notes that the designated individual must also comply with the Regulatory Element of the CE requirements.<sup>141</sup> The Commission further notes that FINRA has committed to "engaging in an ongoing dialogue with industry participants to ensure that the waiver program is effective and efficient and, as needed, will provide guidance to firms."<sup>142</sup>

FINRA states that the proposed rule change will make the qualification and registration process more effective and efficient, without affecting the proficiency required to function as a representative or principal or reducing investor protection.<sup>143</sup> FINRA also states that the proposed rule change may enhance the pool of prospective securities industry professionals by familiarizing them with securities laws, rules, and regulations and appropriate conduct at an earlier stage of career development.<sup>144</sup>

The Exchange Act authorizes FINRA to prescribe standards of training, experience, and competence for persons associated with FINRA members.<sup>145</sup> In accordance with that provision, FINRA has proposed to revise its registration requirements, qualification examinations, and continuing education requirements which the Commission believes are designed to establish that persons associated with FINRA members have attained specified levels of competence and knowledge, consistent with the applicable registration category. The Commission believes that FINRA has adequately addressed all comments that are within the scope of the proposed rule change.<sup>146</sup> For the foregoing reasons, the Commission finds that the proposed

rule change is consistent with the Exchange Act.

#### V. Conclusion

*It is therefore ordered that*, pursuant to Section 19(b)(2) of the Exchange Act,<sup>147</sup> the proposed rule change (SR-FINRA-2017-007), be and hereby is, approved.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>148</sup>

**Eduardo A. Aleman,**

*Assistant Secretary.*

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**BILLING CODE 8011-01-P**

#### SMALL BUSINESS ADMINISTRATION

**[Disaster Declaration #15144 and #15145; Oklahoma Disaster Number OK-00115]**

#### Presidential Declaration of a Major Disaster for Public Assistance Only for the State of Oklahoma

**AGENCY:** U.S. Small Business Administration.

**ACTION:** Amendment 1.

**SUMMARY:** This is an amendment of the Presidential declaration of a major disaster for Public Assistance Only for the State of Oklahoma (FEMA-4315-DR); dated 05/26/2017.

*Incident:* Severe Storms, Tornadoes, and Flooding.

*Incident Period:* 04/28/2017 through 05/02/2017.

**DATES:** Effective 07/07/2017.

*Physical Loan Application Deadline Date:* 07/25/2017.

*Economic Injury (EIDL) Loan Application Deadline Date:* 02/26/2018.

**ADDRESSES:** Submit completed loan applications to: U.S. Small Business Administration, Processing and Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

**FOR FURTHER INFORMATION CONTACT:** A. Escobar, Office of Disaster Assistance, U.S. Small Business Administration, 409 3rd Street SW., Suite 6050, Washington, DC 20416, (202) 205-6734.

**SUPPLEMENTARY INFORMATION:** The notice of the President's major disaster declaration for Private Non-Profit organizations in the State of Oklahoma, dated 05/26/2017, is hereby amended to include the following areas as adversely affected by the disaster.

*Primary Counties:* Dewey, Pawnee, Rogers.

All other information in the original declaration remains unchanged.

<sup>147</sup> 15 U.S.C. 78s(b)(2).

<sup>148</sup> 17 CFR 200.30-3(a)(12).

(Catalog of Federal Domestic Assistance Number 59008)

**James E. Rivera,**

*Associate Administrator for Disaster Assistance.*

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#### SOCIAL SECURITY ADMINISTRATION

[Docket No: SSA-2017-0036]

#### Agency Information Collection Activities: Proposed Request and Comment Request

The Social Security Administration (SSA) publishes a list of information collection packages requiring clearance by the Office of Management and Budget (OMB) in compliance with Public Law 104-13, the Paperwork Reduction Act of 1995, effective October 1, 1995. This notice includes revisions and an extension of OMB-approved information collections.

SSA is soliciting comments on the accuracy of the agency's burden estimate; the need for the information; its practical utility; ways to enhance its quality, utility, and clarity; and ways to minimize burden on respondents, including the use of automated collection techniques or other forms of information technology. Mail, email, or fax your comments and recommendations on the information collection(s) to the OMB Desk Officer and SSA Reports Clearance Officer at the following addresses or fax numbers. (OMB), Office of Management and Budget, Attn: Desk Officer for SSA, Fax: 202-395-6974, Email address: [OIRA\\_Submission@omb.eop.gov](mailto:OIRA_Submission@omb.eop.gov). (SSA), Social Security Administration, OLCA, Attn: Reports Clearance Director, 3100 West High Rise, 6401 Security Blvd., Baltimore, MD 21235, Fax: 410-966-2830, Email address: [OR.Reports.Clearance@ssa.gov](mailto:OR.Reports.Clearance@ssa.gov).

Or you may submit your comments online through [www.regulations.gov](http://www.regulations.gov), referencing Docket ID Number [SSA-2017-0036].

I. The information collections below are pending at SSA. SSA will submit them to OMB within 60 days from the date of this notice. To be sure we consider your comments, we must receive them no later than September 11, 2017. Individuals can obtain copies of the collection instruments by writing to the above email address.

1. Statement of Marital Relationship (By One of the Parties)—20 CFR 404.726-0960-0038. SSA must obtain a signed statement from a spousal

<sup>140</sup> See FINRA Response Letter.

<sup>141</sup> See proposed Rules 1210.09 and 1240.

<sup>142</sup> FINRA Response Letter.

<sup>143</sup> See Notice, *supra* note 4.

<sup>144</sup> See *id.*

<sup>145</sup> 15 U.S.C. 78o-3(g)(3).

<sup>146</sup> See *supra* Section III.