

all participants who have submitted a timely request an opportunity to be heard.

Participants wishing to submit a written statement for the record must submit a copy of such statement to OPIC's Corporate Secretary no later than 5 p.m. Friday, February 24, 2017. Such statement must be typewritten, double-spaced, and may not exceed twenty-five (25) pages.

Upon receipt of the required notice, OPIC will prepare an agenda for the hearing identifying speakers, setting forth the subject on which each participant will speak, and the time allotted for each presentation. The agenda will be available at the hearing.

A written summary of the hearing will be compiled, and such summary will be made available, upon written request to OPIC's Corporate Secretary, at the cost of reproduction.

CONTACT PERSON FOR INFORMATION:

Information on the hearing may be obtained from Catherine F. I. Andrade at (202) 336-8768, or via email at catherine.andrade@opic.gov.

SUPPLEMENTARY INFORMATION: OPIC is a U.S. Government agency that provides, on a commercial basis, political risk insurance and financing in friendly developing countries and emerging

democracies for environmentally sound projects that confer positive developmental benefits upon the project country while creating employment in the U.S. OPIC is required by section 231A(c) of the Foreign Assistance Act of 1961, as amended (the "Act") to hold at least one public hearing each year.

Dated: January 9, 2017.

Catherine F.I. Andrade,

OPIC Corporate Secretary.

[FR Doc. 2017-00600 Filed 1-10-17; 11:15 am]

BILLING CODE 3210-01-P

OFFICE OF PERSONNEL MANAGEMENT

Excepted Service

AGENCY: U.S. Office of Personnel Management (OPM).

ACTION: Notice.

SUMMARY: This notice identifies Schedule A, B, and C appointing authorities applicable to a single agency that were established or revoked from July 1, 2016 to July 31, 2016.

FOR FURTHER INFORMATION CONTACT: Senior Executive Resources Services, Senior Executive Service and

Performance Management, Employee Services, 202-606-2246.

SUPPLEMENTARY INFORMATION: In accordance with 5 CFR 213.103, Schedule A, B, and C appointing authorities available for use by all agencies are codified in the Code of Federal Regulations (CFR). Schedule A, B, and C appointing authorities applicable to a single agency are not codified in the CFR, but the Office of Personnel Management (OPM) publishes a notice of agency-specific authorities established or revoked each month in the **Federal Register** at www.gpo.gov/fdsys/. OPM also publishes an annual notice of the consolidated listing of all Schedule A, B, and C appointing authorities, current as of June 30, in the **Federal Register**.

Schedule A

No schedule A authorities to report during July 2016.

Schedule B

No schedule B authorities to report during July 2016.

Schedule C

The following Schedule C appointing authorities were approved during July 2016.

| Agency name | Organization name | Position title | Authorization No. | Effective date | |
|--|---|---|---|----------------|------------|
| Department of Agriculture | Office of the Secretary | Advisor for Special Projects | DA160129 | 07/08/2016 | |
| | | Deputy White House Liaison | DA160156 | 07/21/2016 | |
| | | Chief of Staff | DA160151 | 07/08/2016 | |
| | Office of the Assistant Secretary for Administration. | Chief of Staff | DA160153 | 07/12/2016 | |
| | | Legislative Director | DA160128 | 07/18/2016 | |
| | Office of the Under Secretary for Research, Education, and Economics. | Chief of Staff | DA160157 | 07/22/2016 | |
| | | Special Advisor | DA160159 | 07/26/2016 | |
| | Department of Commerce | Office of the Under Secretary | Deputy Director, Office of Public Affairs. | DC160171 | 07/06/2016 |
| | | | Director of Congressional and Public Affairs. | DC160179 | 07/20/2016 |
| | | | Senior Advisor | DC160166 | 07/07/2016 |
| Economics and Statistics Administration. | | Special Assistant | DC160176 | 07/12/2016 | |
| | | Special Assistant | DC160180 | 07/15/2016 | |
| Bureau of Industry and Security | | Special Advisor | DC160177 | 07/19/2016 | |
| | | Special Assistant for Administration. | DC160181 | 07/21/2016 | |
| Office of the Assistant Secretary for Industry and Analysis. | | Associate Director | DC160188 | 07/27/2016 | |
| | | Special Advisor | DC160192 | 07/28/2016 | |
| | | Special Assistant | DC160189 | 07/27/2016 | |
| Office of the White House Liaison | Press Assistant | DC160197 | 07/28/2016 | | |
| | Office of the Chief Financial Officer and Assistant Secretary for Administration. | Special Assistant for Homeland Defense Integration and Defense Support. | DD160155 | 07/06/2016 | |
| | | Special Assistant for Asian and Pacific Security Affairs. | DD160161 | 07/18/2016 | |
| Office of the Executive Secretariat | Office of the Deputy Secretary | | | | |
| | | Office of Public Affairs | | | |
| Department of Defense | Office of the Under Secretary of Defense (Policy). | | | | |
| | | | | | |

| Agency name | Organization name | Position title | Authorization No. | Effective date |
|--|--|---|------------------------------|--------------------------|
| Department of the Air Force | Washington Headquarters Services. | Defense Fellow (2) | DD160157 | 07/12/2016 |
| | Office of the Secretary | Special Assistant and Speechwriter. | DD160158 DF160040 | 07/19/2016 07/13/2016 |
| Department of the Army | Office of the Secretary | Special Advisor for Digital Strategy and Engagement. | DW160051 | 07/01/2016 |
| | | Director of Strategic Communications for the Secretary of the Army. | DW160053 | 07/08/2016 |
| Department of Education | Office of the Assistant Secretary Army (Manpower and Reserve Affairs). | Special Advisor (Manpower and Reserve Affairs). | DW160050 | 07/08/2016 |
| | Office of the Assistant Secretary Army (Civil Works). | Special Assistant (Civil Works) (2) | DW160040 DW160041 | 07/12/2016 07/14/2016 |
| | Office of the General Counsel | Special Assistant | DW160046 | 07/19/2016 |
| | Office of Planning, Evaluation and Policy Development. | Senior Policy Advisor (2) | DB160105 DB160106 | 07/01/2016 07/01/2016 |
| | Office of the Secretary | Special Projects Manager | DB160109 | 07/01/2016 |
| | | Director of Scheduling and Advance. | DB160112 | 07/01/2016 |
| | | Special Assistant | DB160110 | 07/01/2016 |
| | Office of Career Technical and Adult Education. | Policy Analyst | DB160111 | 07/01/2016 |
| | Office of the Deputy Secretary | Engagement Manager | DB160102 | 07/05/2016 |
| | Office of Communications and Outreach. | Deputy Press | DB160118 | 07/21/2016 |
| | | Press Secretary and Strategic Communications Advisor. | DB160114 | 07/07/2016 |
| | Department of Energy | Office of Elementary and Secondary Education. | Confidential Assistant | DB160113 |
| Office of Special Education and Rehabilitative Services. | | Confidential Assistant | DB160116 | 07/07/2016 |
| Office of the Under Secretary | | Special Assistant | DB160117 | 07/15/2016 |
| Office of Innovation and Improvement. | | Confidential Assistant | DB160115 | 07/19/2016 |
| | | Senior Policy Advisor | DB160119 | 07/26/2016 |
| | | Chief of Staff | DB160120 | 07/29/2016 |
| Office of the Assistant Secretary for Congressional and Intergovernmental Affairs. | | Senior Advisor for Intergovernmental and External Affairs. | DE160141 | 07/07/2016 |
| Office of the Chief Information Officer. | | Deputy Chief of Staff | DE160138 | 07/13/2016 |
| Office of the Assistant Secretary for International Affairs. | | Senior Advisor | DE160143 | 07/27/2016 |
| Environmental Protection Agency .. | | Office of Public Affairs | Press Secretary | EP160047 |
| | | Deputy Associate Administrator for Public Affairs. | EP160048 | 07/01/2016 |
| Federal Energy Regulatory Commission. | Office of the Administrator | White House Liaison | EP160050 | 07/08/2016 |
| | Office of the General Counsel | Program Analyst | DR160005 | 07/1/2016 |
| Department of Health and Human Services. | Office of the Chairman | Confidential Assistant | DR160006 | 07/01/2016 |
| | Office of the Administration for Children and Families. | Confidential Assistant | DH160132 | 07/07/2016 |
| | Office of the Assistant Secretary for Public Affairs. | Senior Policy Advisor | DH160166 | 07/26/2016 |
| | | Deputy Press Secretary | DH160160 | 07/08/2016 |
| | | National Press Secretary for Health Care. | DH160157 | 07/12/2016 |
| | | Communications Director for Human Services. | DH160164 | 07/21/2016 |
| Department of Homeland Security | Office of the Chief of Staff | Special Assistant | DM160276 | 07/13/2016 |
| Department of Housing and Urban Development. | Office of the Secretary | Confidential Assistant | DM160273 | 07/20/2016 |
| | Office of Public Affairs | Director of Speechwriting | DU160040 | 07/20/2016 |
| Department of the Interior | Bureau of Land Management | Deputy Director of Speechwriting .. | DU160043 | 07/26/2016 |
| | | Special Advisor for Digital Strategy | DU160039 | 07/28/2016 |
| | | Advisor | D1160079 | 07/22/2016 |
| Department of Justice | Office of the Assistant Secretary—Policy, Management and Budget. | Senior Advisor | D1160082 | 07/29/2016 |
| Department of Labor | Office on Violence Against Women | Advisor | DJ160141 | 07/26/2016 |
| | Office of the Solicitor | Counselor | DL160104 | 07/06/2016 |
| | Office of the Secretary | Advisor | DL160103 | 07/20/2016 |
| Office of Management and Budget | Office of Legislative Affairs | Deputy | BO160045 | 07/12/2016 |
| Office of National Drug Control Policy. | Office of Legislative Affairs | Senior Legislative and Policy Advisor. | QQ160005 | 07/20/2016 |

| Agency name | Organization name | Position title | Authorization No. | Effective date |
|--|--|--|-------------------|----------------|
| Office of the United States Trade Representative. Department of State | Office of Public and Media Affairs | Deputy Press Secretary and Director of Press Operations. | TN160008 | 07/21/2016 |
| | Bureau of Economic and Business Affairs. | Special Assistant | DS160116 | 07/08/2016 |
| Department of Transportation | Bureau of Legislative Affairs | Legislative Management Officer | DS160119 | 07/08/2016 |
| | Office of the Global Women's Issues. | Senior Advisor | DS160120 | 07/20/2016 |
| | Office of Global Food Security | Staff Assistant | DS160127 | 07/29/2016 |
| | Office of the Chief of Protocol | Special Assistant | DS160121 | 07/21/2016 |
| | Office of the Assistant Secretary for Transportation Policy. | Protocol Officer (Visits) | DS160126 | 07/27/2016 |
| | | Associate Director for Public Engagement. | DT160071 | 07/20/2016 |
| Department of the Treasury | | Deputy Director for Public Engagement. | DT160072 | 07/20/2016 |
| | Office of the Administrator | Senior Advisor | DT160074 | 07/21/2016 |
| | Office of the Assistant Secretary (Public Affairs). | Spokesperson (2) | DY160102 | 07/05/2016 |
| | Office of the Secretary of the Treasury. | Director of Scheduling, Advance and Administration. | DY160107 | 07/21/2016 |
| Department of Veterans Affairs | | Senior Advisor | DY160103 | 07/07/2016 |
| | Office of the Under Secretary for International Affairs. | Special Assistant | DY160116 | 07/26/2016 |
| | Office of the Under Secretary for Domestic Finance. | Special Assistant | DY160109 | 07/18/2016 |
| | Office of the Assistant Secretary for Management. | Executive Assistant | DY160112 | 07/21/2016 |
| | Office of the Assistant Secretary (Economic Policy). | Special Assistant | DY160115 | 07/21/2016 |
| | Office of the Assistant Secretary (Economic Policy). | Senior Advisor | DY160108 | 07/27/2016 |
| | Office of the Secretary and Deputy | Director, Special Projects, Strategic Partnerships. | DV160060 | 07/06/2016 |
| Office of Intergovernmental Affairs | Special Assistant | DV160065 | 07/22/2016 | |
| Office of Public Affairs | Deputy Press Secretary | DV160066 | 07/22/2016 | |

The following Schedule C appointing authorities were revoked during July 2016.

| Agency name | Organization name | Position title | Authorization No. | Vacate date |
|------------------------------------|---|---|-------------------|-------------|
| Department of Agriculture | Office of the Under Secretary for Rural Development. | Special Advisor | DA150192 | 07/09/2016 |
| | Office of the Under Secretary for Research, Education, and Economics. | Special Assistant | DA160004 | 07/27/2016 |
| | Natural Resources Conservation Service. | Senior Advisor | DA130121 | 07/29/2016 |
| Department of Commerce | Rural Housing Service | State Director—Kansas | DA130130 | 07/31/2016 |
| | Office of Public Affairs | Senior Public Affairs Coordinator .. | DC160008 | 07/08/2016 |
| | Office of the Deputy Secretary | Special Assistant | DC150104 | 07/08/2016 |
| | Office of the Under Secretary | Senior Advisor | DC160148 | 07/17/2016 |
| Office of the Secretary of Defense | Office of the Executive Secretariat | Associate Director | DC150121 | 07/22/2016 |
| | Office of the Chief of Staff | Confidential Assistant | DC150105 | 07/29/2016 |
| | Office of the Assistant Secretary of Defense (International Security Affairs). | Special Assistant to the Deputy Assistant Secretary of Defense for Middle East. | DD150126 | 07/09/2016 |
| | Office of the Assistant of Defense (Special Operations/Low Intensity Conflict and Interdependent Capabilities). | Chief of Staff to Deputy Assistant Secretary of Defense for Stability and Humanitarian Affairs. | DD150067 | 07/09/2016 |
| | Office of the Assistant Secretary of Defense (Global Strategic Affairs). | Special Assistant to the Deputy Assistant Secretary of Defense for Cyber Policy. | DD130028 | 07/10/2016 |
| | Office of the General Counsel | Special Counsel to the General Counsel. | DD150044 | 07/23/2016 |
| | Washington Headquarters Services. | Defense Fellow | DD150062 | 07/23/2016 |
| Department of Education | Office of the Assistant Secretary of Defense (Asian and Pacific Security Affairs). | Special Assistant to Assistant Secretary of Defense for Asian and Pacific Security Affairs. | DD150120 | 07/24/2016 |
| | Office of the Secretary | Director of Scheduling and Advance. | DB160037 | 07/01/2016 |

| Agency name | Organization name | Position title | Authorization No. | Vacate date |
|---|--|---|-------------------|-------------|
| | | Special Advisor to the Chief of Staff. | DB160038 | 07/09/2016 |
| | Office of Innovation and Improvement. | Strategic Advisor | DB160019 | 07/09/2016 |
| | Office of Communication and Outreach. | Press Secretary | DB150012 | 07/15/2016 |
| Department of Energy | Office of the Assistant Secretary for Congressional and Intergovernmental Affairs. | Legislative Affairs Specialist | DE150034 | 07/15/2016 |
| Environmental Protection Agency .. | Office of Public Affairs | Deputy Press Secretary | EP150048 | 07/09/2016 |
| Federal Energy Regulatory Commission. | Office of the Chairman | Program Analyst | DR150004 | 07/05/2016 |
| General Services Administration | Office of Citizen Services, Innovative Technologies and 18F. | Program Director, Presidential Innovation Fellows. | GS140040 | 07/05/2016 |
| | Public Building Service | Special Assistant | GS150008 | 07/05/2016 |
| Department of Health and Human Services. | Centers for Medicare and Medicaid Services. | Special Assistant | DH160004 | 07/01/2016 |
| | Office of the Assistant Secretary for Public Affairs. | Confidential Assistant | DH150173 | 07/09/2016 |
| | Office of Public Affairs | Special Assistant | DH110110 | 07/23/2016 |
| Department of Homeland Security | Office of the Assistant Secretary for Policy. | Special Assistant, Office of Policy | DM160248 | 07/01/2016 |
| | Office of the Under Secretary For National Protection and Programs Directorate. | Confidential Assistant | DM160243 | 07/01/2016 |
| | Federal Emergency Management Agency. | Special Assistant | DM150263 | 07/29/2016 |
| | Office of the Assistant Secretary for Public Affairs. | Confidential Assistant to the Assistant Secretary for Public Affairs. | DM150199 | 07/29/2016 |
| Department of Housing and Urban Development. | Office of Public Affairs | Deputy Assistant Secretary for Public Affairs. | DU150072 | 07/01/2016 |
| | Office of Policy Development and Research. | Financial Analyst For Housing Finance. | DU140027 | 07/15/2016 |
| Department of the Interior | United States Geological Survey ... | Confidential Assistant | DI140050 | 07/09/2016 |
| Department of Justice | Office of Public Affairs | Public Affairs Specialist | DJ150116 | 07/16/2016 |
| National Endowment for the Arts ... | Office of the Chairman | Director of Congressional Affairs ... | NA140003 | 07/07/2016 |
| National Endowment for the Humanities. | Office of the Chairman | White House Liaison and Chairman's Strategic Scheduler. | NH150004 | 07/16/2016 |
| Securities and Exchange Commission. | Office of the Chairman | Confidential Assistant | SE110005 | 07/24/2016 |
| Department of State | Bureau of Economic and Business Affairs. | Special Assistant | DS150077 | 07/09/2016 |
| | | Staff Assistant | DS120122 | 07/09/2016 |
| Department of Transportation | Office of the Assistant Secretary for Governmental Affairs. | Director of State and Local Governmental Affairs. | DT150037 | 07/15/2016 |
| | | Director of Governmental Affairs, Budget and Programs. | DT160018 | 07/23/2016 |
| | Office of the Assistant Secretary for Transportation Policy. | Associate Director for Public Engagement. | DT150045 | 07/23/2016 |
| Department of the Treasury | Office of the Secretary of the Treasury. | Special Assistant | DY140116 | 07/01/2016 |
| | Office of the Assistant Secretary (Public Affairs). | Spokesperson (2) | DY150111 | 07/02/2016 |
| | | | DY140113 | 07/09/2016 |
| | Office of the Secretary of the Treasury. | Director of Scheduling, Advance and Administration. | DY150103 | 07/09/2016 |
| | Office of the Under Secretary for Terrorism and Financial Intelligence. | Senior Advisor | DY140012 | 07/09/2016 |
| | Office of the Under Secretary for Domestic Finance. | Senior Advisor | DY140016 | 07/16/2016 |
| | United States Mint | Senior Advisor | DY160036 | 07/23/2016 |
| United States International Trade Commission. | Office of Commissioner Pinkert | Staff Assistant (Legal) | TC070012 | 07/20/2016 |

Authority: 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR, 1954–1958 Comp., p. 218. U.S. Office of Personnel Management.

Beth F. Cobert,

Acting Director.

[FR Doc. 2017–00576 Filed 1–11–17; 8:45 am]

BILLING CODE 6325–39–P

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–79752; File No. SR–FINRA–2017–001]

Self-Regulatory Organizations; Financial Industry Regulatory Authority, Inc.; Notice of Filing and Immediate Effectiveness of a Proposed Rule Change To Extend the Expiration Date of FINRA Rule 0180 (Application of Rules to Security-Based Swaps)

January 6, 2017.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”)¹ and Rule 19b–4 thereunder,² notice is hereby given that on January 5, 2017, Financial Industry Regulatory Authority, Inc. (“FINRA”) filed with the Securities and Exchange Commission (“SEC” or “Commission”) the proposed rule change as described in Items I, II, and III below, which Items have been prepared by FINRA. FINRA has designated the proposed rule change as constituting a “non-controversial” rule change under paragraph (f)(6) of Rule 19b–4 under the Act,³ which renders the proposal effective upon receipt of this filing by the Commission. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

FINRA is proposing to extend the expiration date of FINRA Rule 0180 (Application of Rules to Security-Based Swaps) to February 12, 2018. FINRA Rule 0180 temporarily limits, with certain exceptions, the application of FINRA rules with respect to security-based swaps.

The text of the proposed rule change is available on FINRA’s Web site at <http://www.finra.org>, at the principal office of FINRA and at the Commission’s Public Reference Room.

II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, FINRA included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. FINRA has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

On July 1, 2011, the SEC issued an Order granting temporary exemptive relief (the “Temporary Exemptions”) from compliance with certain provisions of the Exchange Act in connection with the revision, pursuant to Title VII of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the “Dodd-Frank Act”),⁴ of the Exchange Act definition of “security” to encompass security-based swaps.⁵ Consistent with the Commission’s action, on July 8, 2011, FINRA filed for immediate effectiveness FINRA Rule 0180,⁶ which, with certain exceptions, is intended to temporarily limit the application of FINRA rules⁷ with

⁴ Public Law 111–203, 124 Stat. 1376 (2010).

⁵ See Securities Exchange Act Release No. 64795 (July 1, 2011), 76 FR 39927 (July 7, 2011) (Order Granting Temporary Exemptions Under the Securities Exchange Act of 1934 in Connection With the Pending Revision of the Definition of “Security” To Encompass Security-Based Swaps, and Request for Comment) (the “Exemptive Release”). The term “security-based swap” is defined in Section 761 of the Dodd-Frank Act. See also Securities Exchange Act Release No. 67453 (July 18, 2012), 77 FR 48207 (August 13, 2012) (Further Definition of “Swap,” “Security-Based Swap,” and “Security-Based Swap Agreement”; Mixed Swaps; Security-Based Swap Agreement Recordkeeping).

⁶ See Securities Exchange Act Release No. 64884 (July 14, 2011), 76 FR 42755 (July 19, 2011) (Notice of Filing and Immediate Effectiveness of Proposed Rule Change; File No. SR–FINRA–2011–033) (“FINRA Rule 0180 Notice of Filing”). See also Securities Exchange Act Release No. 76850 (January 7, 2016), 81 FR 1666 (January 13, 2016) (Notice of Filing and Immediate Effectiveness of Proposed Rule Change; File No. SR–FINRA–2016–001) (extending the expiration date of FINRA Rule 0180 to February 11, 2017).

⁷ The current FINRA rulebook consists of: (1) FINRA Rules; (2) NASD Rules; and (3) rules incorporated from NYSE (“Incorporated NYSE Rules”). While the NASD Rules generally apply to all FINRA members, the Incorporated NYSE Rules apply only to those members of FINRA that are also members of the NYSE. The FINRA Rules apply to all FINRA members, unless such rules have a more

respect to security-based swaps, thereby helping to avoid undue market disruptions resulting from the change to the definition of “security” under the Act.⁸

The Commission, noting the need to avoid a potential unnecessary disruption to the security-based swap market in the absence of an extension of the Temporary Exemptions, and the need for additional time to consider the potential impact of the revision of the Exchange Act definition of “security” in light of ongoing Commission rulemaking efforts under Title VII of the Dodd-Frank Act, issued an Order which extended and refined the applicable expiration dates for the previously granted Temporary Exemptions.⁹ The Commission previously noted that extending the Temporary Exemptions would facilitate a coordinated consideration of these issues with the

limited application by their terms. For more information about the rulebook consolidation process, see *Information Notice*, March 12, 2008 (Rulebook Consolidation Process).

⁸ In its Exemptive Release, the Commission noted that the relief is targeted and does not include, for instance, relief from the Act’s antifraud and anti-manipulation provisions. FINRA has noted that FINRA Rule 0180 is similarly targeted. For instance, paragraph (a) of FINRA Rule 0180 provides that FINRA rules shall not apply to members’ activities and positions with respect to security-based swaps, except for FINRA Rules 2010 (Standards of Commercial Honor and Principles of Trade), 2020 (Use of Manipulative, Deceptive or Other Fraudulent Devices), 3310 (Anti-Money Laundering Compliance Program) and 4240 (Margin Requirements for Credit Default Swaps). See also paragraphs (b) and (c) of FINRA Rule 0180 (addressing the applicability of additional rules) and FINRA Rule 0180 Notice of Filing.

⁹ See Securities Exchange Act Release No. 71485 (February 5, 2014), 79 FR 7731 (February 10, 2014) (Order Extending Temporary Exemptions Under the Securities Exchange Act of 1934 in Connection With the Revision of the Definition of “Security” to Encompass Security-Based Swaps, and Request for Comment) (“Temporary Exemptions Extension Release”) stating that, for those expiring Temporary Exemptions “that are not directly linked to pending security-based swap rulemakings, the Commission is extending the expiration date until the earlier of such time as the Commission issues an order or rule determining whether any continuing exemptive relief is appropriate for security-based swap activities with respect to any of these Exchange Act provisions or until three years following the effective date of this Order.” The Temporary Exemptions Extension Release further stated that for each expiring Temporary Exemption “that is related to pending security-based swap rulemakings, the Commission is extending the expiration date until the compliance date for the related security-based swap-specific rulemaking.” See also Securities Exchange Act Release No. 71482 (February 5, 2014), 79 FR 7570 (February 10, 2014) (Extension of Exemptions for Security-Based Swaps) (extending the expiration dates in interim final rules that provide exemptions under the Securities Act of 1933 (the “Securities Act”), the Exchange Act, and the Trust Indenture Act of 1939 for those security-based swaps that prior to July 16, 2011 were security-based swap agreements and are defined as “securities” under the Securities Act and the Exchange Act as of July 16, 2011 due solely to the provisions of Title VII of the Dodd-Frank Act).

¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b–4.

³ 17 CFR 240.19b–4(f)(6).