

*Burden Statement:* The Commission estimates that a respondent's burden for this information collection will be (i) 1,000 on-going annual burden hours per respondent registered SEF and (ii) 300 burden hours per respondent applicant for permanent SEF registration.<sup>5</sup>

**Annual Burden Hours for Registered SEFs**

Respondents/Affected Entities: .....	Registered SEFs.
Estimated number of respondents: .....	23. <sup>1</sup>
Estimated annual burden hours per respondent: .....	1,000 burden hours.
Estimated total annual burden on respondents: .....	23,000 hours. <sup>2</sup>
Frequency of collection: .....	Per trade day. <sup>3</sup>

**Burden Hours for Applicants for Permanent SEF Registration**

Respondents/Affected Entities: .....	Applicants for SEF Registration.
Estimated number of respondents: .....	4. <sup>4</sup>
Estimated annual burden hours per respondent: .....	300 burden hours.
Estimated total annual burden on respondents: .....	1,200 burden hours. <sup>5</sup>
Frequency of collection: .....	Initial registration.

<sup>1</sup> In the part 37 final rule release, the Commission estimated that there would be 35 SEFs. See 78 FR 33476, 33549 (June 4, 2013). The Commission, however, notes that 23 SEFs are currently registered with the Commission. In the 60-Day Renewal Notice, the Commission stated that there were 22 then-registered SEFs; however, since the publication of the 60-Day Renewal Notice, the Commission has granted permanent registration to an additional SEF. Accordingly, the revised aggregate burden hour estimate accounts for both the increased annual burden hours estimate to 1,000 hours per SEF as well as the revised number of SEFs to 23.

<sup>2</sup> 1,000 average annual burden hours per respondent SEF × 23 registered SEFs = 23,000 total burden hours for all registered SEFs.

<sup>3</sup> The Commission notes that registered SEFs also are required to provide four quarterly reports and one annual report as part of their annual information collection obligations.

<sup>4</sup> Based on the number of applicants that have applied for permanent SEF registration since the Commission first granted permanent registration status to SEFs on January 22, 2016, the Commission expects to receive four applications per year for permanent SEF registration.

<sup>5</sup> 300 average initial burden hours per respondent SEF applicant × 4 anticipated SEF applicants = 1,200 total burden hours incurred for all anticipated SEF applicants per year.

**Authority** 44 U.S.C. 3501 *et seq.*

Dated: September 20, 2016.

**Robert N. Sidman,**

*Deputy Secretary of the Commission.*

[FR Doc. 2016-22957 Filed 9-22-16; 8:45 am]

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**DEPARTMENT OF DEFENSE**

**Office of the Secretary**

[Docket ID: DOD-2016-OS-0093]

**Privacy Act of 1974; System of Records**

**AGENCY:** Office of the Secretary of Defense, DoD.

**ACTION:** Notice to alter a System of Records.

**SUMMARY:** Pursuant to the Privacy Act of 1974, 5 U.S.C. 552a, and Office of Management and Budget (OMB) Circular No. A-130, notice is hereby given that the Office of the Secretary of Defense (OSD) proposes to alter a system of records, DUSDI 01-DoD,

“Department of Defense (DoD) Insider Threat Management and Analysis Center (DITMAC) and DoD Component Insider Threat Records System,” last published at 81 FR 31614, May 19, 2016.

This system of records exists to: Analyze, monitor, and audit insider threat information for insider threat detection and mitigation within the DoD on threats that persons who have or had been granted eligibility for access to classified information or eligibility to hold sensitive positions may pose to DoD and U.S. Government installations, facilities, personnel, missions, or resources. The system of records will support the DITMAC and DoD Component insider threat programs, enable the identification of systemic insider threat issues and challenges, and provide a basis for the development and recommendation of solutions to deter, detect, and/or mitigate potential insider threats. It will assist in identifying best practices among other Federal Government insider threat programs, through the use of existing DoD

resources and functions and by leveraging existing authorities, policies, programs, systems, and architectures.

This alteration reflects a change to the categories of individuals by removing the phrase: And who have exhibited actual, probable, or possible indications of insider threat behaviors or activities. Public Law 112-81, 10 U.S.C. 2224 note, Insider Threat Detection, requires the Department to detect and prevent insider threats in order to protect sensitive information and information systems. This authority requires the Department to employ anomaly detection techniques, which logically require ingestion of non-anomalous information in order to identify anomalous information. Accordingly, the individuals subject to the DoD Insider Threat program are those individuals who had or have been granted eligibility or access to classified information.

**DATES:** Comments will be accepted on or before October 24, 2016. This proposed action will be effective the day following the end of the comment

<sup>5</sup> The Commission notes that SEFs did not exist prior to either the Dodd-Frank Act or the Commission's original submission of this OMB Control Number, and so the Commission is revising its burden estimate now that it has had the opportunity to observe SEFs' operations and receive feedback from market participants. The Commission notes that while its revised estimate of 1,000 annual burden hours per respondent SEF is an increase from its original estimate of 308 burden hours per respondent SEF, the Commission's estimate does not represent any new information

collection burdens or adjustments to existing information collections. See 78 FR 33476, 33551 (June 4, 2013) (discussing the Commission's original PRA estimate).

The Commission further notes that the separate estimate of 300 burden hours for applicants for permanent SEF registration does not represent new information collection burdens or adjustments to existing information collections. Rather, while the Commission did consider the burden hours related to the SEF application process in its original

information collection submission for this OMB Control Number, the Commission did not explicitly distinguish the burden hours related to the registration process for SEF applicants from the Commission's estimate of the on-going annual burden hours for registered SEFs, but rather provided an aggregate number. See *id.* at 33549-51. For the sake of clarity, the Commission is explicitly distinguishing in this notice between the burden hours for registered SEFs and for applicants for SEF registration.

period unless comments are received which result in a contrary determination.

**ADDRESSES:** You may submit comments, identified by docket number and title, by any of the following methods:

\* *Federal Rulemaking Portal:* <http://www.regulations.gov>. Follow the instructions for submitting comments.

\* *Mail:* Department of Defense, Office of the Deputy Chief Management Officer, Directorate for Oversight and Compliance, 4800 Mark Center Drive, Mailbox #24, Alexandria, VA 22350-1700.

*Instructions:* All submissions received must include the agency name and docket number for this **Federal Register** document. The general policy for comments and other submissions from members of the public is to make these submissions available for public viewing on the Internet at <http://www.regulations.gov> as they are received without change, including any personal identifiers or contact information.

**FOR FURTHER INFORMATION CONTACT:**

Cindy Allard, Chief of the Defense Privacy, Civil Liberties, and Transparency Division, 703-571-0070.

**SUPPLEMENTARY INFORMATION:** The Office of the Secretary of Defense notices for systems of records subject to the Privacy Act of 1974 (5 U.S.C. 552a), as amended, have been published in the **Federal Register** and are available from the address in **FOR FURTHER INFORMATION CONTACT** or at the Defense Privacy, Civil Liberties, and Transparency Division Web site at <http://dpcl.dod.mil>.

The proposed system report, as required by 5 U.S.C. 552a(r) of the Privacy Act of 1974, as amended, was submitted on September 2, 2016, to the House Committee on Oversight and Government Reform, the Senate Committee on Governmental Affairs, and the Office of Management and Budget (OMB) pursuant to paragraph 4 of Appendix I to OMB Circular No. A-130, "Federal Agency Responsibilities for Maintaining Records About Individuals," revised November 28, 2000 (December 12, 2000, 65 FR 77677).

The Department received comments from five submitters related to the initial publication of the Privacy Act System of Records Notice for the Department of Defense (DoD) Insider Threat Management and Analysis Center (DITMAC) and DoD Component Insider Threat Records System. A response to the comments received has been posted to the electronic docket under docket ID DOD-2016-OS-0060 on <http://www.regulations.gov>.

Although a change is being published to the categories of individuals, this resulted from further review of the published system of records notice and not the comments received.

Dated: September 19, 2016.

**Aaron Siegel,**

*Alternate OSD Federal Register Liaison Officer, Department of Defense.*

**DUSDI 01-DoD**

**SYSTEM NAME:**

Department of Defense (DoD) Insider Threat Management and Analysis Center (DITMAC) and DoD Component Insider Threat Records System (May 19, 2016, 81 FR 31614).

**CHANGES:**

1. In the **Federal Register** of May 19, 2016, in FR Doc. 2016-11703, on page 31615, in the first column, lines 5 through 8 of the first paragraph under the section title Categories of Individuals Covered by the System, remove the phrase ", and who have exhibited actual, probable, or possible indications of insider threat behaviors or activities".

2. In the **Federal Register** of May 19, 2016, in FR Doc. 2016-11703, on page 31615, in the second column, lines 6 through 8 of the second paragraph under the section title Categories of Individuals Covered by the System, remove the phrase ", and who have exhibited actual, probable, or possible indications of insider threat behaviors or activities".

3. In the **Federal Register** of May 19, 2016, in FR Doc. 2016-11703, on page 31615, in the second column, lines 10 through 12 of the third paragraph under the section title Categories of Individuals Covered by the System, remove the phrase ", who have exhibited actual, probable, or possible indications of insider threat behaviors or activities".

[FR Doc. 2016-22903 Filed 9-22-16; 8:45 am]

**BILLING CODE 5001-06-P**

**DEPARTMENT OF ENERGY**

**Environmental Management Site-Specific Advisory Board, Portsmouth**

**AGENCY:** Department of Energy (DOE).

**ACTION:** Notice of open meeting.

**SUMMARY:** This notice announces a meeting of the Environmental Management Site-Specific Advisory Board (EM SSAB), Portsmouth. The Federal Advisory Committee Act (Pub. L. 92-463, 86 Stat. 770) requires that public notice of this meeting be announced in the **Federal Register**.

**DATES:** Thursday, October 6, 2016, 6:00 p.m.

**ADDRESSES:** Ohio State University, Endeavor Center, 1862 Shyville Road, Piketon, Ohio 45661.

**FOR FURTHER INFORMATION CONTACT:** Greg Simonton, Alternate Deputy Designated Federal Officer, Department of Energy Portsmouth/Paducah Project Office, Post Office Box 700, Piketon, Ohio 45661, (740) 897-3737, [Greg.Simonton@lex.doe.gov](mailto:Greg.Simonton@lex.doe.gov).

**SUPPLEMENTARY INFORMATION:**

*Purpose of the Board:* The purpose of the Board is to make recommendations to DOE-EM and site management in the areas of environmental restoration, waste management and related activities.

**Tentative Agenda**

- Call to Order, Introductions, Review of Agenda
- Approval of May Minutes
- Deputy Designated Federal Officer's Comments
- Federal Coordinator's Comments
- Liaison's Comments
- Presentation
- Administrative Issues
- Draft Recommendation 16-02: Priorities for the President's Fiscal Year 2018 Budget Request
- Public Comments on Recommendation
- Board Comments on Recommendation
- Update on Annual Executive Planning and Leadership Training Session
- EM SSAB Chairs Meeting Update
- Election of Chair and Vice Chair
- Adoption of Fiscal Year 2017 Work Plan
- Subcommittee Updates
- Public Comments
- Final Comments from the Board
- Adjourn

*Public Participation:* The meeting is open to the public. The EM SSAB, Portsmouth, welcomes the attendance of the public at its advisory committee meetings and will make every effort to accommodate persons with physical disabilities or special needs. If you require special accommodations due to a disability, please contact Greg Simonton at least seven days in advance of the meeting at the phone number listed above. Written statements may be filed with the Board either before or after the meeting. Individuals who wish to make oral statements pertaining to agenda items should contact Greg Simonton at the address or telephone number listed above. Requests must be received five days prior to the meeting and reasonable provision will be made to include the presentation in the