

## ESTIMATED OF ANNUALIZED BURDEN HOURS

Type of respondent	Data collection type	Number of respondents	Number of responses per respondent	Average burden per response (in hours)	Total annual burden hours
PI .....	Web survey .....	156	1	30/60	78
	Telephone script to schedule interview.	34	1	5/60	3
	Telephone interview .....	34	1	1	34
	Telephone script to schedule site visit.	34	1	5/60	3
	Site visit interview .....	6	1	90/60	9
Project partner .....	Web survey .....	312	1	30/60	156
	Telephone script to schedule interview.	74	1	5/60	7
	Telephone interview .....	74	1	1	74
	Telephone script to schedule site visit.	74	1	5/60	7
	Site visit interview .....	6	1	90/60	9
Other key staff .....	Telephone script to schedule site visit.	90	1	5/60	8
	Site visit interview .....	90	1	90/60	135
Total .....		558			523

Dated: November 4, 2015.

**Lawrence A. Tabak,**  
Deputy Director, National Institutes of Health.  
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## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Substance Abuse and Mental Health Services Administration

#### Agency Information Collection Activities: Proposed Collection; Comment Request

In compliance with Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995 concerning opportunity for public comment on proposed collections of information, the Substance Abuse and Mental Health Services Administration (SAMHSA) will publish periodic summaries of proposed projects. To request more information on the proposed projects or to obtain a copy of the information collection plans, call the SAMHSA Reports Clearance Officer on (240) 276-1243.

Comments are invited on: (a) Whether the proposed collections of information are necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the

collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

#### Proposed Project: Now Is the Time (NITT)—Healthy Transitions (HT) Evaluation—New

SAMHSA is conducting a national evaluation of the Now is the Time (NITT) initiative, which includes separate programs—NITT Project AWARE (Advancing Wellness and Resilience in Education)—State Educational Agency (SEA), Healthy Transitions (HT), and two Minority Fellowship Programs (Youth and Addiction Counselors). These programs are united by their focus on capacity building, system change, and workforce development.

NITT-HT, which is the focus of this data collection, represents a response to the fourth component of President Obama's NITT Initiative: Increasing access to mental health services. The purpose of the NITT-HT program is to improve access to treatment and support services for youth/young adults 16–25 years that either have, or are at risk of developing a mental illness or substance use disorder, and are at high risk of suicide. NITT-HT grants were made to 17 state or local jurisdictions, each of which include 2–3 learning laboratories (n = 43), which are the local communities of practice responsible for implementing the NITT-HT approach. The NITT-HT program aims to increase awareness about early signs and symptoms of mental health conditions in the community; identify action strategies to use when a mental health

concern is detected; provide training to provider and community groups to improve services and supports for youth/young adults; enhance peer and family supports; and develop effective services and interventions for youth and young adults with a serious mental health condition and their families. The NITT-HT evaluation is designed to understand whether and how NITT-HT grantees reach these program goals by examining system- and grantee-level processes and system- and client-level outcomes. Data collection efforts that will support the evaluation are described below.

The *Community Support for Transition Inventory (CSTI)* will assess systems change for communities implementing comprehensive, community-based approaches to improve outcomes for emerging adults with serious mental health conditions. The *CSTI* is organized around seven themes: Community partnership, collaborative action, transition planning quality assurance and support, workforce, fiscal policies and sustainability, access to needed support and services, and accountability. The *CSTI* is a web-based survey to be completed by 1,075 community leaders (15–25 community leaders per 43 learning laboratories) once during Year 2 and once during Year 4 of the grant period. Community leaders include members of the local advisory or steering committee, staff of the NITT-HT program, staff of agencies providing portions of the services, and young adult and family members' advocates.

The *State Support for Transition Inventory (SSTI)* will assess state

support for systems change and is organized around six themes (partnership, collaborative action, workforce, fiscal policies & sustainability, access to needed supports & services, and accountability). The *SSTI* is a web-based survey to be completed by 425 state leadership members (20–25 state leaders per 17 grantees) once during Year 2 and once during Year 4 of the grant period. State leadership members include administrators or staff from state agencies responsible for aspects of services to youth/young adults (e.g., mental health, child welfare, education), youth/young adult and adult allies who are active in promoting, planning, or overseeing services at the state level, as well as other members of state-level advisory groups or governing bodies.

The *Collaborative Member Survey* is designed to assess specific team processes that contribute to collaboration outcomes at the systems level and will be administered to a subset of *CSTI* respondents who participate in a NITT–HT grantee’s Advisory Team. The *Collaborative Member Survey* emphasizes aspects of Advisory Teams’ climate (participatory decision-making, structure, management of conflict, reflexivity). A maximum of 1,075 respondents (15–25 advisory team members per 43 learning laboratories) are expected to complete the web-based survey once during Year 3 and once during Year 5 of the grant period.

The *Collaborative Self-Assessment* assesses collaborative functioning and accomplishments, and specific tasks completed by NITT–HT grantee stakeholders and the leadership team including progress in each of the primary “functions” for the NITT–HT grantees (i.e., specific, discrete achievements or steps toward strategic and fiscal planning, expansion of services, early identification outreach, and reduction of barriers to access). The web-based *Collaborative Self-Assessment Survey* will be completed by one advisory team member per learning laboratory (n = 43) once in Year 3 and once in Year 5 of the grant period.

The *Project Director Web Survey* will collect information on planning, coordination, leadership processes, fiscal planning, and sustainability. The brief *Project Director Web Survey* will be completed by all grantee project directors (n = 17) once during each of Years 2, 3, and 4 of the grant period. The web survey includes prompts designed to assist the project director in gathering and recalling information to be discussed during the subsequent *Project Director Telephone Interview*. Upon completion of the web survey, the

project director will be asked to schedule a telephone interview, which will focus on gathering more in depth information to complement information gathered via the web survey. The *Project Director Telephone Interview* includes information on state/local implementation, fiscal planning, coordination and organizational challenges, workforce development, quality assurance procedures, sustainability planning, and leadership and political issues. The telephone interview will also be completed by all grantee project directors (n = 17) once during each of Years 2, 3, and 4 of the grant period. The web survey and telephone interview are slightly different at each time point to reflect varying annual changes in program implementation emphasis.

The *Core Staff Web Survey* will be administered to core NITT–HT staff to assess characteristics of person-centered practice and barriers to this practice. “Core staff” are defined as staff members serving as primary providers of planning, case management and coordination services to youth/young adults (“life coaches,” “transition facilitators,” or “transition specialists”). A maximum of 430 core staff (no more than 10 core staff per 43 learning laboratories) are expected to complete the *Core Staff Survey* once during the grant period.

In the *Multi-Media Project*, youth/young adults will be invited to voluntarily provide information about their experiences working with or being served by NITT–HT grantee communities using multi-media outlets. Youth/young adult involvement is a priority both for the NITT–HT national evaluation and for NITT–HT grantees. Consequently, it will be important to offer youth/young adults opportunities to participate in national evaluation activities in developmentally-appropriate and engaging ways. These outlets could include videos, photos, blogs, or poems (at the choice of the participating youth/young adult). Youth/young adults will be given informational probes (e.g., what keeps you involved in NITT–HT activities?) in grantee Years 2, 3, and 4; an estimated 510 youth/young adults (30 youth/young adults per 17 grantees) will participate in the *Multi-Media Project*.

The *Supplemental Youth and Youth Adult Interview (SYAI)* will assess key client-level outcomes of interest for the NITT–HT program, including: School/home/daily living functioning, emotional/behavioral health, vocation and education status, housing stability, criminal or juvenile justice involvement, psychotic symptoms,

substance use/abuse, trauma symptoms, victimization experiences and propensity to commit violent acts. In addition to primary outcomes of interest, the *SYAI* also assesses intermediate outcomes thought to be critical in influencing change in behavioral health and functioning, including: Self-efficacy (mental health, school, career and social), and perceptions of social support, person-centered care, and service alliance. The *SYAI* includes standardized instruments as well as project-developed items and does not duplicate the client-level data collection required separately by SAMHSA (OMB No. 0930–0346). The *SYAI* will be conducted with 90 service recipient youth/young adults per NITT–HT grantee (n = 17), for a total of 1,530 youth/young adults, at program enrollment (Baseline) and 12- and 24-months after enrollment. These 90 cases will be evenly distributed across the grantee’s 2–3 learning laboratories. The *SYAI* is designed for administration as an audio computer-assisted self-interviewing (ACASI) survey. This mode was selected to offer participating youth/young adults maximum privacy while completing the interview and to present minimal survey administration burden to NITT–HT grantee staff.

#### *Grantee Visit In-Person Interviews and Focus Group Guides*

All NITT–HT grantees (n = 17) will be visited once during the 5-year grant period. Activities associated with the grantee visit (i.e., a pre-planning inventory, interviews, focus groups, and document review) are described below.

Prior to the grantee visit, the *Services & Supports Inventory* will be administered one time by telephone to a representative from each of the NITT–HT grantees (n = 17) to identify specific providers and other stakeholders to participate in the grantee visit. Respondents will also provide information about specific services, especially evidence-based and evidence-informed practices being provided to youth/young adults through NITT–HT associated behavioral health or other professional agencies, and provide a preliminary assessment of the frequency and quality of implementation of the practice(s).

During the one-time grantee visit, several in-person interviews and two client-oriented focus groups will be conducted with NITT–HT program staff. The *Core Staff In-Person Interview* will be conducted with core staff members (i.e., “transitions specialists,” “transition facilitators,” or “life coaches”) to examine their experiences providing person-centered planning

services to youth/young adults served within the NITT-HT grantee communities and ask about successes and challenges in creating and implementing youth/young adult service plans. A total of 215 core staff (five core staff per 43 learning laboratories) are expected to participate.

The *Youth Coordinator In-Person Interview* will be conducted with three staff members (one youth coordinator and up to two peer workers) to elicit staff experiences working with the NITT-HT grantee with a focus on the Youth Coordinator functions including participation in planning and coordination, outreach, mentoring, and other activities. A total of 129 staff members (three per 43 learning laboratories) are expected to participate.

The *Provider In-Person Interview* will be conducted with individuals who provide behavioral health services/treatment directly to youth/young adults served within the NITT-HT community, other than the transition facilitators. These individuals will likely come from NITT-HT partner organizations. Interviews will focus on two areas: (1) Perceptions of organizational support by the collaborative, and (2) implementation of evidence-based practices (e.g., general attitudes, types of practices being used, implementation supports). A total of 85 key provider informants (five key providers per 17 grantees) are expected to participate.

The *Stakeholder In-Person Interview* will be conducted with other key stakeholders (e.g., board members for agencies, leaders or liaisons for advocacy groups, leaders or advocates with religious or charitable organizations), as identified by grantee leadership. The interview will elicit experiences contributing to systems development, including history of involvement, their specific contributions to the systems development effort, and strategies, barriers and facilitators to making these contributions. A total of 51 community stakeholders (3 stakeholders per 17 grantees) are expected to participate.

Two *Young Adult Focus Groups* will be conducted during the grantee visit—one for youth/young adults directly involved in NITT-HT system change efforts, and one for youth/young adults who are recipients of NITT-HT services. The focus groups are designed to elicit perceptions based on youth/young adult lived experience about resources to support successful youth/young adult transition at NITT-HT sites, whether practices are well aligned to address needs and cultivate resources, and ideas about how to build on these achievements in the future. An information form will be completed by each participant to gather general background information (e.g., demographics, extent of experience with the mental health system and grantee community). A total of 860 youth/young

adult participants (20 participants per 43 learning laboratories) are expected to participate.

Two *Family/Adult Ally Focus Groups* will be conducted during the grantee visit—one focused at the client-level (for family members of youth/young adults service recipients), and one focused at the systems level (for family members involved in NITT-HT grantee planning and systems change efforts). The focus groups will gather information about family member perceived needs and resources to support youth/young adults at the NITT-HT sites. An information form will be completed by each participant to gather general background information (e.g., demographics, extent of experience with the mental health system and grantee community). A total of 860 family/adult allies (20 participants per 43 learning laboratories) are expected to participate.

*Grantee Visit Document Review.* Files or charts of a subset of youth/young adults participating in the SYAI will be reviewed during the grantee visit. This document review will be designed to ascertain types of standard documentation routinely completed for youth/young adult clients served as well as the consistency of completion of these documents. Information extracted from client charts will be programmatic only; there will be no identifying or personal information extracted from these client charts.

#### ANNUALIZED BURDEN HOURS FOR THE NITT-HEALTHY TRANSITIONS EVALUATION

Instrument/activity	Number of respondents	Responses per respondent	Total number of responses	Hours per response	Total burden hours
Community Support for Transition Inventory .....	1,075	1	1,075	0.4	430
State Support for Transition Inventory .....	425	1	425	0.32	136
Collaborative Member Survey .....	1,075	1	1,075	0.25	269
Collaborative Self-Assessment Survey .....	43	1	43	0.83	36
Project Director Web Survey .....	17	1	17	0.33	6
Project Director Telephone Interview .....	17	1	17	1.5	26
Core Staff Web Survey .....	430	1	430	0.33	142
Grantee Visits:					
Services & Supports Inventory .....	17	1	17	0.67	11
Core Staff In-Person Interview .....	215	1	215	0.33	71
Youth Coordinator In-Person Interview .....	129	1	129	1	129
Provider In-Person Interview .....	85	1	85	0.75	64
Stakeholder In-Person Interview .....	51	1	51	0.75	38
Young Adult Focus Group .....	860	1	860	1.75	1,505
Family/Adult Ally Focus Group .....	860	1	860	1.75	1,505
Document Review .....	43	1	43	0.25	11
Supplemental Youth & Young Adult Interview .....	1,530	1	1,530	0.67	1,025
Multi-Media Project Young Adult Probes .....	510	1	510	0.33	168
Total .....	* 5,522		7,382		5,572

\* This is an unduplicated count of total respondents.

Send comments to Summer King, SAMHSA Reports Clearance Officer, Room 2–1057, One Choke Cherry Road, Rockville, MD 20857 or email her a copy at [summer.king@samhsa.hhs.gov](mailto:summer.king@samhsa.hhs.gov). Written comments should be received by January 11, 2016.

**Summer King,**  
Statistician.

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## DEPARTMENT OF THE INTERIOR

### Bureau of Indian Affairs

[156A2100DD/AAKC001030/  
AOA501010.999900 253G]

### Navajo Nation Trust Leasing Act of 2000 Approval of Navajo Nation Regulations

**AGENCY:** Bureau of Indian Affairs, Interior.

**ACTION:** Notice.

**SUMMARY:** On May 16, 2014, the Bureau of Indian Affairs (BIA) approved the Navajo Nation General Leasing Regulations under the Navajo Nation Trust Leasing Act of 2000. With this approval, the Tribe is authorized to enter into leases without BIA approval.

**FOR FURTHER INFORMATION CONTACT:** Ms. Cynthia Morales, Office of Trust Services—Division of Realty, Bureau of Indian Affairs; Telephone (202) 768–4166; Email [cynthia.morales@bia.gov](mailto:cynthia.morales@bia.gov).

#### SUPPLEMENTARY INFORMATION:

#### I. Summary of the Navajo Nation Trust Leasing Act of 2000

The Navajo Nation Trust Leasing Act authorizes the Nation to issue leases for purposes authorized under 25 U.S.C. 415(a) without the approval of the Secretary, provided the lease is executed under tribal regulations approved by the Secretary. Congress enacted the Leasing Act in 2000, to “establish a streamlined process for the Navajo Nation to lease trust lands without having the approval of the Secretary of the Interior for individual leases,” and “[t]o maintain, strengthen, and protect the Navajo Nation’s leasing power over Navajo trust lands.” Public Law 106–568 § 1202, 114 Stat. 2933 (Dec. 27, 2000). See also S. Rpt. 106–511 (Oct. 31, 2000). The Navajo Nation Trust Leasing Act requires the Secretary to approve tribal regulations if the tribal regulations are consistent with the Department’s leasing regulations at 25 CFR part 162 and provide for an environmental review process that meets requirements set forth in the Act.

This notice announces that the Secretary, through the Assistant Secretary—Indian Affairs, has approved the tribal regulations for the Navajo Nation.

#### II. Federal Preemption of State and Local Taxes

The Department’s regulations governing the surface leasing of trust and restricted Indian lands specify that, subject to applicable Federal law, permanent improvements on leased land, leasehold or possessory interests, and activities under the lease are not subject to State and local taxation and may be subject to taxation by the Indian tribe with jurisdiction. See 25 CFR 162.017. As explained further in the preamble to the final regulations, the Federal government has a strong interest in promoting economic development, self-determination, and tribal sovereignty. 77 FR 72,440, 72,447–48 (December 5, 2012). The principles supporting the Federal preemption of State law in the field of Indian leasing and the taxation of lease-related interests and activities applies with equal force to leases entered into under tribal leasing regulations approved by the Federal government pursuant to the Navajo Nation Trust Leasing Act.

Section 5 of the Indian Reorganization Act, 25 U.S.C. 465, preempts State and local taxation of permanent improvements on trust land. *Confederated Tribes of the Chehalis Reservation v. Thurston County*, 724 F.3d 1153, 1157 (9th Cir. 2013) (citing *Mescalero Apache Tribe v. Jones*, 411 U.S. 145 (1973)). In addition, as explained in the preamble to the revised leasing regulations at 25 CFR part 162, Federal courts have applied a balancing test to determine whether State and local taxation of non-Indians on the reservation is preempted. *White Mountain Apache Tribe v. Bracker*, 448 U.S. 136, 143 (1980). The *Bracker* balancing test, which is conducted against a backdrop of “traditional notions of Indian self-government,” requires a particularized examination of the relevant State, Federal, and tribal interests. We hereby adopt the *Bracker* analysis from the preamble to the surface leasing regulations, 77 FR at 72,447–48, as supplemented by the analysis below.

The strong Federal and tribal interests against State and local taxation of improvements, leaseholds, and activities on land leased under the Department’s leasing regulations apply equally to improvements, leaseholds, and activities on land leased pursuant to tribal leasing regulations approved under the Navajo Nation Trust Leasing

Act. The Navajo Nation Trust Leasing Act was intended to “revitalize the distressed Navajo Reservation by promoting political self-determination, and encouraging economic self-sufficiency, including economic development that increases productivity and the standard of living for members of the Navajo Nation.” Public Law 106–568 § 1202, 114 Stat. 2933 (Dec. 27, 2000). Moreover, the Navajo Nation Trust Leasing Act was the model for the HEARTH (Helping Expedite and Advance Responsible Tribal Homeownership) Act of 2012, for which Congress’s overarching intent was to “allow tribes to exercise greater control over their own land, support self-determination, and eliminate bureaucratic delays that stand in the way of homeownership and economic development in tribal communities.” 158 Cong. Rec. H. 2682 (May 15, 2012).

Assessment of State and local taxes would obstruct these express Federal policies supporting tribal economic development and self-determination, and also threaten substantial tribal interests in effective tribal government, economic self-sufficiency, and territorial autonomy. See *Michigan v. Bay Mills Indian Community*, 134 S. Ct. 2024, 2043 (2014) (Sotomayor, J., concurring) (determining that “[a] key goal of the Federal Government is to render Tribes more self-sufficient, and better positioned to fund their own sovereign functions, rather than relying on Federal funding”). The additional costs of State and local taxation have a chilling effect on potential lessees, as well as on a tribe that, as a result, might refrain from exercising its own sovereign right to impose a tribal tax to support its infrastructure needs. See *id.* at 2043–44 (finding that State and local taxes greatly discourage tribes from raising tax revenue from the same sources because the imposition of double taxation would impede tribal economic growth).

Just like BIA’s surface leasing regulations, tribal regulations under the Navajo Nation Trust Leasing Act pervasively cover all aspects of leasing. Furthermore, the Federal government remains involved in the tribal land leasing process by approving the tribal leasing regulations in the first instance. The Secretary also retains authority to take “all appropriate actions . . . in furtherance of the trust obligation of the United States to the Navajo Nation” and necessary actions remedy violations of tribal regulations, including cancelling the lease or rescinding approval of the tribal regulations and reassuming lease approval responsibilities. 25 U.S.C. 415(e). Moreover, the Secretary continues to review, approve, and