

/1 Final CHIP Allotments for FY 2013 based on reported expenditures for quarters 1 through 4 of FY 2012.

/2 MA expenditures adjusted to only include amounts claimed on FY 2012 expenditure reports up to FY 2012 ten percent limit.

#### IV. Collection of Information Requirements

This document does not impose any information collection or recordkeeping requirements. Consequently, it is not subject to Office of Management and Budget review under the authority of the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*).

**Authority:** (Section 1102 of the Social Security Act (42 U.S.C. 1302))

(Catalog of Federal Domestic Assistance Program No. 93.778, Medical Assistance Program)

(Catalog of Federal Domestic Assistance Program No. 93.767, State Children's Health Insurance Program))

Dated: May 15, 2013.

##### Marilyn Tavenner,

*Administrator, Centers for Medicare & Medicaid Services.*

Dated: June 28, 2013.

##### Kathleen Sebelius,

*Secretary, Department of Health and Human Services.*

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#### DEPARTMENT OF HEALTH AND HUMAN SERVICES

##### Centers for Medicare & Medicaid Services

[CMS-2387-N]

RIN 0938-AR78

#### Medicaid Program; State Allotments for Payment of Medicare Part B Premiums for Qualifying Individuals (QIs): Federal Fiscal Years 2012 and 2013

**AGENCY:** Centers for Medicare & Medicaid Services (CMS), HHS.

**ACTION:** Notice.

**SUMMARY:** This notice sets forth the States' final allotments available to pay the Medicare Part B premiums for Qualifying Individuals (QIs) for the Federal fiscal year (FY) 2012 and the

preliminary QI allotments for FY 2013.

The amounts of these QI allotments were determined in accordance with the methodology set forth in regulations and reflect funding for the QI program made available under recent legislation.

**DATES:** The final QI allotments for payment of Medicare Part B premiums for FY 2012 are effective October 1, 2011. The preliminary QI allotments for FY 2013 are effective October 1, 2012.

**FOR FURTHER INFORMATION CONTACT:** Richard Strauss, (410) 786-2019.

**SUPPLEMENTARY INFORMATION:**

#### I. Background

##### A. QI Allotments for FY 2012

Section 110 of the Medicare and Medicaid Extenders Act of 2010 (Pub. L. 111-309, enacted on December 15, 2010) (MMEA) extended the authority and funding for the QI program for the first quarter of FY 2012 (that is, through December 31, 2011) by providing \$280 million available for the first quarter of FY 2012. Section 310 of the Temporary Payroll Tax Cut Continuation Act of 2011 (Pub. L. 112-78, enacted on December 23, 2011) (TPTCA) provided temporary continued authority and an additional \$150 million in funding for the QI program for the period January 1, 2012 through February 29, 2012. With the enactment of TPTCA, the QI program was authorized and funded at a total amount nationally of \$430 million (\$280 million plus \$150 million) for FY 2012 through February 29, 2012. Section 3101 of the Middle Class Tax Relief and Job Creation Act of 2012 (Pub. L. 112-96, enacted on February 22, 2012) (MCTRJCA) extended the authority and funding for the QI program for FY 2012; in particular, authority for the QI program was extended for all of FY 2012 and funding for the program for the period January 1, 2012 through September 30, 2012 was increased to \$450 million. Therefore, the total funding available for the QI program for FY 2012 is \$730 million (\$280 million plus \$450 million).

##### B. QI Allotments for FY 2013 and Thereafter

Section 3101 of the MCTRJCA extended the authority and funding for the QI program by providing \$280 million, available for the period October 1, 2012 through December 31, 2012, the first quarter of FY 2013. Most recently, section 621 of the American Taxpayer Relief Act of 2012 (Pub. L. 112-240, enacted on January 2, 2013) (ATRA), extended the authority for the QI program for all of FY 2013 and provided \$485 million in additional funding for the program for the period January 1, 2013 through September 30, 2013. Therefore the total funding available for the QI program for FY 2013 is \$765 million (\$280 million plus \$485 million).

Finally, section 621 of ATRA further extended the authority and funding for the QI program by providing \$300 million, available for the period October 1, 2013 through December 31, 2013, the first quarter of FY 2014.

##### C. Methodology for Calculating the Fiscal Year QI Allotments.

The amounts of the states' final FY 2012 and preliminary FY 2013 QI allotments, contained in this notice, were determined in accordance with the methodology set forth in existing regulations at 42 CFR 433.10(c)(5) and reflect funding for the QI program made available under the legislation discussed above.

#### II. Charts

The final QI allotments for FY 2012 and the preliminary QI allotments for FY 2013 are shown by state in Chart 1 and Chart 2 below, respectively:

Chart 1—Final Qualifying Individuals Allotments for October 1, 2011 through September 30, 2012.

Chart 2—Preliminary Qualifying Individuals Allotments for October 1, 2012 through September 30, 2013.

TABLE 1—FINAL QUALIFYING INDIVIDUALS ALLOTMENTS FOR OCTOBER 1, 2011 THROUGH SEPTEMBER 30, 2012

State	Initial QI allotments for FY 2012				FY 2012 estimated QI expenditures/1	Need (difference) if E>D, E-D	Pct of Tot. need states F/(Tot. of F)	Reduction pool for non-need states If D >= E, D-E	Pct of Tot. non-need states H/(Tot. of H)	Reduction adj. for non-need states Col. I x \$82,487,822	Increase adj. for need states Col. G x \$82,487,822	Final FY 2012 QI allotment/2
	Number of individuals/3 (000s)	Percentage of total Col B/Tot. Col B	Initial QI allotment Col C x \$730,000,000	Col B								
Alabama	43	3.22	\$23,513,109	3.22	\$21,324,574	NA	NA	\$2,188,535	1,2003	\$990,139	NA	\$22,522,970
Alaska	2	0.15	1,093,633	0.15	283,429	NA	NA	810,204	0.4444	366,553	NA	727,080
Arizona	14	1.05	7,655,431	1.05	16,592,662	8,937,252	10.8346	Need	Need	8,937,252	8,937,252	16,592,662
Arkansas	21	1.57	11,483,146	1.57	12,996,962	1,513,816	1.8352	Need	Need	1,513,816	1,513,816	12,996,962
California	89	6.67	48,666,667	6.67	27,247,615	NA	NA	21,419,052	11.7477	9,690,422	NA	38,976,244
Colorado	16	1.20	8,749,064	1.20	5,418,415	NA	NA	3,330,649	1.8268	1,506,854	NA	7,242,209
Connecticut	15	1.12	8,202,247	1.12	2,292,128	NA	NA	5,910,119	3.2415	2,673,860	NA	5,528,387
Delaware	4	0.30	2,187,266	0.30	3,030,541	843,275	1.0223	Need	Need	843,275	843,275	3,030,541
District of Columbia	2	0.15	1,093,633	0.15	0	NA	NA	1,093,633	0.5998	494,782	NA	599,851
Florida	83	6.22	45,385,768	6.22	66,783,222	21,397,454	25.9401	Need	Need	21,397,454	21,397,454	66,783,222
Georgia	35	2.62	19,138,577	2.62	35,076,093	15,937,516	19.3211	Need	Need	15,937,516	15,937,516	35,076,093
Hawaii	5	0.37	2,734,082	0.37	1,308,325	NA	NA	1,425,758	0.7820	645,042	NA	2,089,040
Idaho	6	0.45	3,280,899	0.45	2,501,976	NA	NA	778,923	0.4272	352,401	NA	\$2,928,498
Illinois	64	4.79	34,996,255	4.79	23,748,898	NA	NA	11,247,356	6.1668	5,088,537	NA	29,907,718
Indiana	39	2.92	21,325,843	2.92	6,522,130	NA	NA	14,803,712	8.1194	6,697,506	NA	14,628,336
Iowa	16	1.20	8,749,064	1.20	4,338,994	NA	NA	4,410,070	2.4188	1,995,207	NA	6,753,857
Kansas	19	1.42	10,389,513	1.42	4,613,481	NA	NA	5,776,032	3.1660	2,613,196	NA	7,776,317
Kentucky	32	2.40	17,498,127	2.40	15,249,091	NA	NA	2,249,037	1.2335	1,017,511	NA	16,480,617
Louisiana	28	2.10%	15,310,861	2.10%	19,883,851	4,572,989	5.5438	Need	Need	4,572,989	4,572,989	19,883,851
Maine	6	0.45	3,280,899	0.45	5,308,878	2,027,979	2.4585	Need	Need	2,027,979	2,027,979	5,308,878
Maryland	19	1.42	10,389,513	1.42	7,562,402	NA	NA	2,827,111	1.5506	1,279,044	NA	9,110,470
Massachusetts	34	2.55	18,591,760	2.55	10,276,939	NA	NA	8,314,821	4.5604%	3,761,797	NA	14,629,963
Michigan	39	2.92	21,325,843	2.92	16,321,547	NA	NA	5,004,296	2.7447	2,264,047	NA	19,061,796
Minnesota	21	1.57	11,483,146	1.57	5,943,209	NA	NA	5,539,937	3.0385	2,506,382	NA	8,976,764
Mississippi	19	1.42	10,389,513	1.42	14,400,900	4,011,386	4.8630	Need	Need	4,011,386	4,011,386	14,400,900
Missouri	35	2.62	19,138,577	2.62	4,570,341	NA	NA	14,568,235	7.9902	6,590,971	NA	12,947,606
Montana	7	0.52	3,827,715	0.52	1,425,081	NA	NA	2,402,634	1.3178	1,087,001	NA	2,740,714
Nebraska	7	0.52	3,827,715	0.52	2,313,332	NA	NA	1,514,383	0.8306	685,138	NA	3,142,577
Nevada	9	0.67	4,921,348	0.67	4,662,209	NA	NA	259,140	0.1421	117,240	NA	4,804,108
New Hampshire	5	0.37	2,734,082	0.37	2,212,296	NA	NA	521,787	0.2862	236,067	NA	2,498,015
New Jersey	29	2.17	15,857,678	2.17	9,166,126	NA	NA	6,691,552	3.6701	3,027,397	NA	12,830,281
New Mexico	10	0.75	5,468,165	0.75	4,058,656	NA	NA	1,409,509	0.7731	637,691	NA	4,830,474
New York	78	5.84	42,651,685	5.84	44,692,419	2,040,734	2.4740	Need	Need	2,040,734	2,040,734	44,692,419
North Carolina	47	3.52	25,700,375	3.52	28,365,556	2,665,181	3.2310	Need	Need	2,665,181	2,665,181	28,365,556
North Dakota	3	0.22	1,640,449	0.22	629,590	NA	NA	1,010,859	0.5544	457,334	NA	1,183,116
Ohio	58	4.34	31,715,356	4.34	24,831,767	NA	NA	6,883,589	3.7754	3,114,278	NA	28,601,078
Oklahoma	17	1.27	9,295,880	1.27	10,241,906	946,026	1.1469	Need	Need	946,026	946,026	10,241,906
Oregon	17	1.27	9,295,880	1.27	13,072,852	3,776,972	4.5788	Need	Need	3,776,972	3,776,972	13,072,852
Pennsylvania	66	4.94	36,089,888	4.94	32,079,327	NA	NA	4,010,560	2.1997	1,814,460	NA	34,275,427
Rhode Island	5	0.37	2,734,082	0.37	2,388,025	NA	NA	346,058	0.1898	156,564	NA	2,577,519
South Carolina	26	1.95	14,217,228	1.95	13,359,233	NA	NA	857,995	0.4706	388,175	NA	13,829,054
South Dakota	4	0.30	2,187,266	0.30	1,915,993	NA	NA	271,273	0.1488	122,729	NA	2,064,536
Tennessee	32	2.40	17,498,127	2.40	29,077,199	11,579,072	14.0373	Need	Need	11,579,072	11,579,072	29,077,199
Texas	105	7.87	57,415,730	7.87	34,868,400	NA	NA	22,547,330	12.3665	10,200,879	NA	47,214,851
Utah	5	0.37	2,734,082	0.37	2,429,445	NA	NA	304,638	0.1671	137,824	NA	2,596,258
Vermont	2	0.15	1,093,633	0.15	3,331,803	2,238,170	2.7133	Need	Need	2,238,170	2,238,170	3,331,803
Virginia	25	1.87	13,670,412	1.87	13,050,355	NA	NA	620,057	0.3401	280,526	NA	13,389,886
Washington	26	1.95	14,217,228	1.95	6,502,266	NA	NA	7,714,963	4.2314	3,490,409	NA	10,726,620
West Virginia	16	1.20	8,749,064	1.20	6,165,223	NA	NA	2,583,841	1.4172	1,168,983	NA	7,580,080
Wisconsin	27	2.02	14,764,045	2.02	4,954,841	NA	NA	9,809,204	5.3801	4,437,887	NA	10,326,158
Wyoming	3	0.22	1,640,449	0.22	771,814	NA	NA	868,636	0.4764	392,989	NA	1,247,461
Total	1,335	100.00	730,000,000	100.00	630,162,333	82,487,822	100.0000	182,325,489	100.0000	82,487,822	82,487,822	730,000,000

Footnotes:  
 /1 FY 2012 Estimates from July 2012 CMS Survey of States; Estimates Are For Months October 2011 Through September 2012.  
 /2 For Need States, Final FY 2012 QI Allotment is equal to Initial QI Allotment in Column D increased by amount in Column K.  
 /3 For Non-Need States, Final FY 2012 QI Allotment is equal to Initial QI Allotment in Column D reduced by amount in Column J.  
 Source: Census Bureau Annual Social and Economic Supplement (ASEC) to the 2011 Current Population Survey (CPS).  
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 /2 For Need States, Final FY 2012 QI Allotment is equal to Initial QI Allotment in Column D increased by amount in Column K.  
 /3 For Non-Need States, Final FY 2012 QI Allotment is equal to Initial QI

TABLE 2—PRELIMINARY QUALIFYING INDIVIDUALS ALLOTMENTS FOR OCTOBER 1, 2012 THROUGH SEPTEMBER 30, 2013

State	Initial QI allotments for FY 2013			FY 2013, estimated QI expenditures/1	Need (difference) if E>D, E-D	Pct of Tot. need states F/(Tot. of F)	Reduction pool for non-need states if D > = E, D-E	Pct of Tot. non-need states H/(Tot. of H)	Reduction adj. for non-need states Col. I x \$103,554,487	Increase adj. for need states Col. G x \$103,554,487	Preliminary FY 2013 QI allotment/2
	Number of individuals/3 (000s)	Percentage of total Col B/Tot. Col B	Initial QI allotment Col C x \$765,000,000								
Alabama	38	2.64	\$20,159,501	\$24,188,697	3,8909	NA	Need	Need	\$4,029,197	NA	\$24,188,697
Alaska	2	0.14	1,061,026	378,052	NA	NA	682,974	438,933	NA	NA	622,093
Arizona	21	1.46	11,140,777	20,302,279	9,161,503	8.8470	Need	Need	9,161,503	9,161,503	20,302,279
Arkansas	27	1.87	14,323,856	14,968,578	644,722	0.6226	Need	Need	644,722	644,722	14,968,578
California	107	7.42	56,764,910	30,667,238	NA	NA	26,097,672	16,772,428	NA	NA	39,992,482
Colorado	16	1.11	8,488,211	6,261,250	NA	NA	2,226,960	1,431,221	NA	NA	7,056,990
Connecticut	15	1.04	7,957,698	4,295,522	NA	NA	3,662,176	2,353,604	NA	NA	5,604,994
Delaware	4	0.28	2,122,053	3,516,899	1,394,847	1.3470	Need	Need	1,394,847	1,394,847	3,516,899
District of Columbia	2	0.14	1,061,026	0	NA	NA	1,061,026	681,899	NA	NA	379,127
Florida	96	6.66	50,929,265	75,922,288	24,993,023	24.1351	Need	Need	24,993,023	24,993,023	75,922,288
Georgia	45	3.12	23,873,093	37,187,452	13,314,359	12.8573	Need	Need	13,314,359	13,314,359	37,187,452
Hawaii	6	0.42	3,183,079	1,502,678	NA	NA	1,680,401	1,079,959	NA	NA	2,103,120
Idaho	7	0.49	3,713,592	2,746,709	NA	NA	966,883	621,396	NA	NA	3,092,197
Illinois	68	4.72	36,074,896	28,872,870	NA	NA	7,202,026	4,628,592	NA	NA	31,446,304
Indiana	43	2.98	22,812,067	6,951,308	NA	NA	15,860,759	10,193,378	NA	NA	12,616,688
Iowa	16	1.11	8,488,211	4,940,141	NA	NA	3,548,070	2,280,271	NA	NA	6,207,940
Kansas	18	1.25	9,549,237	5,443,274	NA	NA	4,105,963	2,638,817	NA	NA	6,910,420
Kentucky	34	2.36	18,037,448	18,127,195	89,747	0.0867	Need	Need	89,747	89,747	18,127,195
Louisiana	29	2.01	15,384,882	22,144,578	6,759,696	6.5277	Need	Need	6,759,696	6,759,696	22,144,578
Maine	7	0.49	3,713,592	7,045,460	3,331,867	3.2175	Need	Need	3,331,867	3,331,867	7,045,460
Maryland	24	1.66	12,732,316	8,602,875	NA	NA	4,129,441	2,653,906	NA	NA	10,078,411
Massachusetts	28	1.94	14,854,369	10,893,600	NA	NA	3,960,769	2,545,503	NA	NA	12,308,866
Michigan	38	2.64	20,159,501	20,967,835	808,334	0.7806	Need	Need	808,334	808,334	20,967,835
Minnesota	22	1.53	11,671,290	16,529,838	6,980,601	6.7410	Need	Need	6,980,601	6,980,601	16,529,838
Mississippi	18	1.25	9,549,237	16,529,838	6,980,601	6.7410	Need	Need	6,980,601	6,980,601	16,529,838
Missouri	39	2.70	20,690,014	4,700,909	NA	NA	15,989,105	10,275,864	NA	NA	10,414,150
Montana	6	0.42	3,183,079	1,512,121	NA	NA	1,670,958	1,073,890	NA	NA	2,109,189
Nebraska	6	0.42	3,183,079	2,506,770	NA	NA	676,309	434,650	NA	NA	2,748,429
Nevada	10	0.69	5,305,132	5,177,080	NA	NA	128,052	82,296	NA	NA	5,222,836
New Hampshire	6	0.42	3,183,079	2,861,766	NA	NA	321,313	206,501	NA	NA	2,976,578
New Jersey	40	2.77	21,220,527	9,901,642	NA	NA	11,318,885	7,274,411	NA	NA	13,946,116
New Mexico	9	0.62	4,774,619	4,787,611	12,983	0.0125	Need	Need	12,983	12,983	4,787,611
New York	85	5.89	45,093,620	50,481,630	5,388,010	5.2031	Need	Need	5,388,010	5,388,010	50,481,630
North Carolina	38	2.64	20,159,501	28,897,791	8,738,290	8.4383	Need	Need	8,738,290	8,738,290	28,897,791
North Dakota	3	0.21	1,591,540	692,912	NA	NA	898,628	577,529	NA	NA	1,014,010
Ohio	54	3.74	28,647,712	28,435,844	NA	NA	211,867	136,163	NA	NA	28,511,549
Oklahoma	27	1.87	14,323,856	11,348,409	NA	NA	2,975,446	1,912,257	NA	NA	12,411,598
Oregon	17	1.18	9,018,724	16,832,070	7,813,346	7.5452	Need	Need	7,813,346	7,813,346	16,832,070
Pennsylvania	78	5.41	41,380,028	34,628,979	NA	NA	6,751,049	4,338,758	NA	NA	37,041,270
Rhode Island	6	0.42	3,183,079	2,625,070	NA	NA	558,009	358,621	NA	NA	2,824,458
South Carolina	33	2.29	17,506,935	13,456,800	NA	NA	4,050,135	2,51336	NA	NA	14,903,998
South Dakota	4	0.28	2,122,053	2,344,945	222,892	0.2152	Need	Need	222,892	222,892	2,344,945
Tennessee	48	3.33	25,464,632	30,163,578	4,698,945	4.5377	Need	Need	4,698,945	4,698,945	30,163,578
Texas	106	7.35	56,234,397	35,884,800	NA	NA	20,349,597	13,078,261	NA	NA	43,156,136
Utah	6	0.42	3,183,079	2,680,802	NA	NA	502,277	322,803	NA	NA	2,860,276
Vermont	2	0.14	1,061,026	4,047,877	2,986,851	2.8843	Need	Need	2,986,851	2,986,851	4,047,877
Virginia	23	1.60	12,201,803	14,387,068	2,185,265	2.1103	Need	Need	2,185,265	2,185,265	14,387,068
Washington	23	1.60	12,201,803	7,274,362	NA	NA	4,927,441	3,166,764	NA	NA	9,035,039
West Virginia	17	1.18	9,018,724	6,550,585	NA	NA	2,468,139	1,586,221	NA	NA	7,432,503
Wisconsin	22	1.53	11,671,290	5,416,651	NA	NA	6,254,639	3,8817	NA	NA	7,651,564
Wyoming	3	0.21	1,591,540	810,736	NA	NA	780,803	501,806	NA	NA	1,089,734
Total	1,442	100.00	765,000,000	707,425,105	103,554,487	100.0000	161,129,382	103,554,487	103,554,487	103,554,487	765,000,000

Footnotes:  
 /1 FY 2013 Estimates from July 2012 CMS Survey of States; Estimates Are For Months October 2012 Through September 2013.  
 /2 For Need States, Preliminary FY 2013 QI Allotment is equal to Initial QI Allotment in Column D increased by amount in Column K.  
 /3 For Non-Need States, Preliminary FY 2013 QI Allotment is equal to Initial QI Allotment in Column D reduced by amount in Column J.  
 /3 Three-year average (2009–2011) of number (000) of Medicare beneficiaries in State who are not enrolled in Medicaid but whose incomes are at least 120% but less than 135% of federal poverty level.  
 Source: Census Bureau Annual Social and Economic Supplement (ASEC) to the 2012 Current Population Survey (CPS).

The following describes the information contained in the columns of Chart 1 and Chart 2:

**Column A—State.** Column A shows the name of each state. Columns B through D show the determination of an Initial QI Allotment for FY 2012 (Chart 1) or FY 2013 (Chart 2) for each state, based only on the indicated Census Bureau data.

**Column B—Number of Individuals.** Column B contains the estimated average number of Medicare beneficiaries for each state that are not covered by Medicaid whose family income is at least 120 but less than 135 percent of the federal poverty level. With respect to the *final FY 2012 QI allotment (Chart 1)*, Column B contains the number of such individuals for the years 2008 through 2010, as obtained from the Census Bureau's Annual Social and Economic Supplement to the 2011 Current Population Survey. With respect to the *preliminary FY 2013 QI allotment (Chart 2)*, Column B contains the number of such individuals for the years 2009 through 2012, as obtained from the Census Bureau's Annual Social and Economic Supplement to the Current Population Survey.

**Column C—Percentage of Total.** Column C provides the percentage of the total number of individuals for each state, that is, the Number of Individuals for the state in Column B divided by the sum total of the Number of Individuals for all States in Column B.

**Column D—Initial QI Allotment.** Column D contains each state's Initial QI Allotment for FY 2012 (Chart 1) or FY 2013 (Chart 2), calculated as the state's Percentage of Total in Column C multiplied by the total amount available nationally for QI allotments for the fiscal year. The total amount available nationally for QI allotments each fiscal year is \$730,000,000 for FY 2012 (Chart 1) and \$765,000,000 for FY 2013 (Chart 2).

Columns E through L show the determination of the States' Final QI Allotments for FY 2012 (Chart 1) or Preliminary QI Allotments for FY 2013 (Chart 2).

**Column E—FY 2012 or FY 2013 Estimated QI Expenditures.** Column E contains the states' estimates of their total QI expenditures for FY 2012 (Chart 1) or FY 2013 (Chart 2) based on information obtained from states in the summer of 2012 and as updated.

**Column F—Need (Difference).** Column F contains the additional amount of QI allotment needed for those states whose estimated expenditures in Column E exceeded their Initial QI allotments in Column D for FY 2012 (Chart 1) or for FY 2013 (Chart 2) for

such states, Column F shows the amount in Column E minus the amount in Column D. For other "Non-Need" States, Column F shows "NA".

**Column G—Percent of Total Need States.** For states whose projected QI expenditures in Column E are greater than their initial QI allotment in Column D for FY 2012 (Chart 1) or FY 2013 (Chart 2), respectively, Column G shows the percentage of total need, determined as the amount for each Need State in Column F divided by the sum of the amounts for all states in Column F. For Non-Need States, the entry in Column G is "NA".

**Column H—Reduction Pool for Non-Need States.** Column H shows the amount of the pool of surplus QI allotments for FY 2012 (Chart 1) or FY 2013 (Chart 2), respectively, for those states that project QI expenditures for the fiscal year that are less than the Initial QI allotment for the fiscal year (referred to as non-need States). For states for which the estimates in Column E of QI expenditures for FY 2012 or FY 2013, respectively, are equal to or less than their Initial QI allotments in Column D for FY 2012 or FY 2013, respectively, Column H shows the amount in Column D minus the amount in Column E. For the states with a need, Column H shows "Need". The reduction pool of excess QI allotments is equal to the sum of the amounts in Column H.

**Column I—Percent of Total Non-Need States.** For states whose projected QI expenditures in Column E are less than their Initial QI allotment in Column D for FY 2012 (Chart 1) or FY 2013 (Chart 2), Column I shows the percentage of the total reduction pool in Column H, determined as the amount for each Non-Need State in Column H divided by the sum of the amounts for all States in Column H. For Need States, the entry in Column I is "Need".

**Column J—Reduction Adjustment for Non-Need States.** Column J shows the amount of adjustment needed to reduce the Initial QI allotments in Column D for FY 2012 (Chart 1) or FY 2013 (Chart 2) for Non-Need States in order to address the total need shown in Column F. The amount in Column J is determined as the percentage in column I for Non-Need States multiplied by the lesser of the total need in Column F (equal to the sum of Needs in Column F) or the total Reduction Pool in Column H (equal to the sum of the Non-Need amounts in Column H). For Need States, the entry in Column J is "Need".

**Column K—Increase Adjustment for Need States.** Column K shows the amount of adjustment to increase the Initial QI Allotment in Column D for FY

2012 (Chart 1) or FY 2013 (Chart 2) for Need States in order to address the total need shown for the fiscal year in Column F. The amount in Column K is determined as the percentage in Column G for Need States multiplied by the lesser of the total need in Column F (equal to the sum of Needs in Column F) or the total Reduction Pool in Column H (equal to the sum of the Non-Need amounts in Column H). For Non-Need States, the entry in Column K is "NA".

**Column L—Final FY 2012 QI Allotment (Chart 1) or Preliminary FY 2013 QI Allotment (Chart 2).** Column L contains the Final QI Allotment for each state for FY 2012 (Chart 1) or the Preliminary QI Allotment for FY 2013 (Chart 2). For states that need additional QI allotment amounts for the fiscal year based on Estimated QI Expenditures in Column E as compared to their Initial QI allotments in Column D for the fiscal year (states with a projected need amount are shown in Column F), Column L is equal to the Initial QI allotment in Column D for FY 2012 (Chart 1) or FY 2013 (Chart 2) plus the amount determined in Column K for Need States. For Non-Need States (states with a projected surplus in Column H), Column L is equal to the QI Allotment in Column D reduced by the Reduction Adjustment amount in Column J.

### III. Collection of Information Requirements

This notice does not impose any information collection or recordkeeping requirements. Consequently, it does not need Office of Management and Budget review under the authority of the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*).

**Authority:** (Section 1102 of the Social Security Act (42 U.S.C. 1302))

(Catalog of Federal Domestic Assistance Program No. 93.778, Medical Assistance Program)

Dated: May 15, 2013.

**Marilyn Tavenner,**

Administrator, Centers for Medicare & Medicaid Services.

Dated: June 28, 2013.

**Kathleen Sebelius,**

Secretary, Department of Health and Human Services.

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