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Part XIII

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Semiannual Regulatory Agenda

**DEPARTMENT OF TRANSPORTATION****Office of the Secretary**

14 CFR Chs. I–III

23 CFR Chs. I–III

33 CFR Chs. I and IV

46 CFR Chs. I–III

48 CFR Ch. 12

49 CFR Subtitle A, Chs. I–VI, and Chs. X–XII

[OST Docket 99–5129]

**Department Regulatory Agenda; Semiannual Summary****AGENCY:** Office of the Secretary, DOT.**ACTION:** Semiannual regulatory agenda.

**SUMMARY:** The Regulatory Agenda is a semiannual summary of all current and projected rulemakings, reviews of existing regulations, and completed actions of the Department. The intent of the Agenda is to provide the public with information about the Department of Transportation's regulatory activity planned for the next 12 months. It is expected that this information will enable the public to be more aware of and allow it to more effectively participate in the Department's regulatory activity. The public is also invited to submit comments on any aspect of this Agenda.

**FOR FURTHER INFORMATION CONTACT:****General**

You should direct all comments and inquiries on the Agenda in general to Brett Jortland, Acting Assistant General Counsel for Regulation and Enforcement, Department of Transportation, 1200 New Jersey Avenue SE., Washington, DC 20590; 202 366–4723.

**Specific**

You should direct all comments and inquiries on particular items in the Agenda to the individual listed for the regulation or the general rulemaking contact person for the operating administration in appendix B. Individuals who use a telecommunications device for the deaf (TDD) may call 202 755–7687.

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**SUPPLEMENTARY INFORMATION:****Background**

Improvement of our regulations is a prime goal of the Department of Transportation (Department or DOT). Our regulations should be clear, simple, timely, fair, reasonable, and necessary. They should not be issued without appropriate involvement of the public; once issued, they should be periodically reviewed and revised, as needed, to assure that they continue to meet the needs for which they originally were designed. To view additional information about the Department of Transportation's regulatory activities online, go to <http://www.dot.gov/regulations>. Among other things, this Web site provides a report, updated monthly, on the status of the DOT significant rulemakings listed in the semiannual regulatory agenda.

To help the Department achieve these goals and in accordance with Executive Order (EO) 12866, "Regulatory Planning and Review," (58 FR 51735; Oct. 4, 1993) and the Department's Regulatory Policies and Procedures (44 FR 11034; Feb. 26, 1979), the Department prepares a semiannual regulatory agenda. It summarizes all current and projected rulemaking, reviews of existing regulations, and completed actions of the Department. These are matters on which action has begun or is projected during the succeeding 12 months or such longer period as may be anticipated or for which action has been completed since the last Agenda.

The Agendas are based on reports submitted by the offices initiating the rulemaking and are reviewed by the Department Regulations Council.

The Internet is the basic means for disseminating the Unified Agenda. The complete Unified Agenda is available online at [www.reginfo.gov](http://www.reginfo.gov), in a format that offers users a greatly enhanced ability to obtain information from the Agenda database.

Because publication in the **Federal Register** is mandated for the regulatory flexibility agendas required by the Regulatory Flexibility Act (5 U.S.C. 602), DOT's printed Agenda entries include only:

1. The agency's Agenda preamble;
2. Rules that are in the agency's regulatory flexibility agenda, in accordance with the Regulatory

Flexibility Act, because they are likely to have a significant economic impact on a substantial number of small entities; and

3. Any rules that the agency has identified for periodic review under section 610 of the Regulatory Flexibility Act.

Printing of these entries is limited to fields that contain information required by the Regulatory Flexibility Act's Agenda requirements. These elements are: Sequence Number; Title; Section 610 Review, if applicable; Legal Authority; Abstract; Timetable; Regulatory Flexibility Analysis Required; Agency Contact; and Regulation Identifier Number (RIN). Additional information (for detailed list see section heading "Explanation of Information on the Agenda") on these entries is available in the Unified Agenda published on the Internet.

**Significant/Priority Rulemakings**

The Agenda covers all rules and regulations of the Department. We have classified rules as a DOT agency priority in the Agenda if they are, essentially, very costly, beneficial, controversial, or of substantial public interest under our Regulatory Policies and Procedures. All DOT agency priority rulemaking documents are subject to review by the Secretary of Transportation. If the Office of Management and Budget (OMB) decides a rule is subject to its review under Executive Order 12866, we have classified it as significant in the Agenda.

**Explanation of Information on the Agenda**

An Office of Management and Budget memorandum, dated March 28, 2013, requires the format for this Agenda.

First, the Agenda is divided by initiating offices. Then, the Agenda is divided into five categories: (1) Prerule stage, (2) proposed rule stage, (3) final rule stage, (4) long-term actions, and (5) completed actions. For each entry, the Agenda provides the following information: (1) its "significance"; (2) a short, descriptive title; (3) its legal basis; (4) the related regulatory citation in the Code of Federal Regulations; (5) any legal deadline and, if so, for what action (e.g., NPRM, final rule); (6) an abstract; (7) a timetable, including the earliest expected date for a decision on whether to take the action; (8) whether the rulemaking will affect small entities and/or levels of government and, if so, which categories; (9) whether a Regulatory Flexibility Act (RFA) analysis is required (for rules that would have a significant economic impact on a substantial number of small entities); (10) a listing of any analyses an office

will prepare or has prepared for the action (with minor exceptions, DOT requires an economic analysis for all its rulemakings); (11) an agency contact office or official who can provide further information; (12) a Regulation Identifier Number (RIN) assigned to identify an individual rulemaking in the Agenda and facilitate tracing further action on the issue; (13) whether the action is subject to the Unfunded Mandates Reform Act; (14) whether the action is subject to the Energy Act; and (15) whether the action is major under the congressional review provisions of the Small Business Regulatory Enforcement Fairness Act. If there is information that does not fit in the other categories, it will be included under a separate heading entitled "Additional Information." One such example of this is the letters "SB," "IC," "SLT." These refer to information used as part of our required reports on Retrospective Review of DOT rulemakings. A "Y" or an "N," for yes and no, respectively, follow the letters to indicate whether or not a particular rulemaking would have effects on: small businesses (SB); information collections (IC); or State, local, or tribal (SLT) governments.

For non-significant regulations issued routinely and frequently as a part of an established body of technical requirements (such as the Federal Aviation Administration's Airspace Rules), to keep those requirements operationally current, we only include the general category of the regulations, the identity of a contact office or official, and an indication of the expected number of regulations; we do not list individual regulations.

In the "Timetable" column, we use abbreviations to indicate the particular documents being considered. ANPRM stands for Advance Notice of Proposed Rulemaking, SNPRM for Supplemental Notice of Proposed Rulemaking, and NPRM for Notice of Proposed Rulemaking. Listing a future date in this column does not mean we have made a decision to issue a document; it is the earliest date on which we expect to make a decision on whether to issue it. In addition, these dates are based on current schedules. Information received subsequent to the issuance of this Agenda could result in a decision not to take regulatory action or in changes to proposed publication dates. For example, the need for further evaluation could result in a later publication date; evidence of a greater need for the regulation could result in an earlier publication date.

Finally, a dot (•) preceding an entry indicates that the entry appears in the Agenda for the first time.

## Request for Comments

### General

Our agenda is intended primarily for the use of the public. Since its inception, we have made modifications and refinements that we believe provide the public with more helpful information, as well as make the Agenda easier to use. We would like you, the public, to make suggestions or comments on how the Agenda could be further improved.

### Reviews

We also seek your suggestions on which of our existing regulations you believe need to be reviewed to determine whether they should be revised or revoked. We particularly draw your attention to the Department's review plan in appendix D. In response to Executive Order 13563 "Retrospective Review and Analysis of Existing Rules," we have prepared a retrospective review plan providing more detail on the process we use to conduct reviews of existing rules, including changes in response to Executive Order 13563. We provided the public opportunities to comment at [www.regulations.gov](http://www.regulations.gov) and IdeaScale on both our process and any existing DOT rules the public thought needed review. The plan and the results of our review can be found at <http://www.dot.gov/regulations>.

### Regulatory Flexibility Act

The Department is especially interested in obtaining information on requirements that have a "significant economic impact on a substantial number of small entities" and, therefore, must be reviewed under the Regulatory Flexibility Act. If you have any suggested regulations, please submit them to us, along with your explanation of why they should be reviewed.

In accordance with the Regulatory Flexibility Act, comments are specifically invited on regulations that we have targeted for review under section 610 of the Act. The phrase (sec. 610 Review) appears at the end of the title for these reviews. Please see appendix D for the Department's section 610 review plans.

### Consultation With State, Local, and Tribal Governments

Executive Orders 13132 and 13175 require us to develop an accountable process to ensure "meaningful and timely input" by State, local, and tribal officials in the development of regulatory policies that have federalism or tribal implications. These policies are defined in the Executive orders to

include regulations that have "substantial direct effects" on States or Indian tribes, on the relationship between the Federal Government and them, or on the distribution of power and responsibilities between the Federal Government and various levels of government or Indian tribes. Therefore, we encourage State and local governments or Indian tribes to provide us with information about how the Department's rulemakings impact them.

### Purpose

The Department is publishing this regulatory Agenda in the **Federal Register** to share with interested members of the public the Department's preliminary expectations regarding its future regulatory actions. This should enable the public to be more aware of the Department's regulatory activity and should result in more effective public participation. This publication in the **Federal Register** does not impose any binding obligation on the Department or any of the offices within the Department with regard to any specific item on the Agenda. Regulatory action, in addition to the items listed, is not precluded.

Dated: April 29, 2013.

**Ray LaHood**,  
*Secretary of Transportation.*

### Appendix A—Instructions for Obtaining Copies of Regulatory Documents

To obtain a copy of a specific regulatory document in the Agenda, you should communicate directly with the contact person listed with the regulation at the address below. We note that most, if not all, such documents, including the Semiannual Regulatory Agenda, are available through the Internet at <http://www.regulations.gov>. See appendix C for more information.

(Name of contact person), (Name of the DOT agency), 1200 New Jersey Avenue SE., Washington, DC 20590. (For the Federal Aviation Administration, substitute the following address: Office of Rulemaking, ARM-1, 800 Independence Avenue SW., Washington, DC 20591).

### Appendix B—General Rulemaking Contact Persons

The following is a list of persons who can be contacted within the Department for general information concerning the rulemaking process within the various operating administrations.

FAA—Mark Bury, Acting Chief Counsel, International Law, Legislation and Regulations Division, 800 Independence Avenue SW., Room

915A, Washington, DC 20591; telephone (202) 267-3110.

FHWA—Jennifer Outhouse, Office of Chief Counsel, 1200 New Jersey Avenue SE., Washington, DC 20590; telephone (202) 366-0761.

FMCSA—Steven J. LaFreniere, Regulatory Ombudsman, 1200 New Jersey Avenue SE., Washington, DC 20590; telephone (202) 366-0596.

NHTSA—Steve Wood, Office of Chief Counsel, 1200 New Jersey Avenue SE., Washington, DC 20590; telephone (202) 366-2992.

FRA—Kathryn Shelton, Office of Chief Counsel, 1200 New Jersey Avenue SE., Room W31-214, Washington, DC 20590; telephone (202) 493-6063.

FTA—Richard Wong, Office of Chief Counsel, 1200 New Jersey Avenue SE., Room E56-308, Washington, DC 20590; telephone (202) 366-0675.

SLSDC—Carrie Mann Lavigne, Chief Counsel, 180 Andrews Street, Massena, NY 13662; telephone (315) 764-3200.

PHMSA—Patricia Burke, Office of Chief Counsel, 1200 New Jersey Avenue SE., Washington, DC 20590; telephone (202) 366-4400.

MARAD—Christine Gurland, Office of Chief Counsel, Maritime Administration, 1200 New Jersey Avenue SE., Washington, DC 20590; telephone (202) 366-5157.

RITA—Robert Monniere, Office of Chief Counsel, 1200 New Jersey Avenue SE., Washington, DC 20590; telephone (202) 366-5498.

OST—Brett Jortland, Office of Regulation and Enforcement, 1200 New Jersey Avenue SE., Washington, DC 20590; telephone (202) 366-4723.

### Appendix C—Public Rulemaking Dockets

All comments via the Internet are submitted through the Federal Docket Management System (FDMS) at the following address: <http://www.regulations.gov>. The FDMS allows the public to search, view, download, and comment on all Federal agency rulemaking documents in one central online system. The above referenced Internet address also allows the public to sign up to receive notification when certain documents are placed in the dockets.

The public also may review regulatory dockets at, or deliver comments on proposed rulemakings to, the Dockets Office at 1200 New Jersey Avenue SE., Room W12-140, Washington, DC 20590, 1-800-647-5527. Working Hours: 9-5.

### Appendix D—Review Plans for Section 610 and Other Requirements

#### Part I—The Plan

##### General

The Department of Transportation has long recognized the importance of regularly reviewing its existing regulations to determine whether they need to be revised or revoked. Our 1979 Regulatory Policies and Procedures require such reviews. We also have responsibilities under Executive Order 12866, “Regulatory Planning and Review,” and section 610 of the Regulatory Flexibility Act to conduct such reviews. This includes the use of plain language techniques in new rules and considering its use in existing rules when we have the opportunity and resources to permit its use. We are committed to continuing our reviews of existing rules and, if needed, will initiate rulemaking actions based on these reviews.

In accordance with Executive Order 13563, “Improving Regulation and Regulatory Review,” issued by the President on January 18, 2011, the Department has added other elements to its review plan. The Department has decided to improve its plan by adding special oversight processes within the Department; encouraging effective and timely reviews, including providing additional guidance on particular problems that warrant review; and expanding opportunities for public participation. These new actions are in addition to the other steps described in this appendix.

##### Section 610 Review Plan

Section 610 requires that we conduct reviews of rules that: (1) Have been published within the last 10 years, and (2) have a “significant economic impact on a substantial number of small entities” (SEIOSNOSE). It also requires that we publish in the **Federal Register** each year a list of any such rules that we will review during the next year. The Office of the Secretary and each of the Department’s Operating Administrations have a 10-year review plan. These reviews comply with section 610 of the Regulatory Flexibility Act.

##### Changes to the Review Plan

Some reviews may be conducted earlier than scheduled. For example, to the extent resources permit, the plain language reviews will be conducted more quickly. Other events, such as accidents, may result in the need to conduct earlier reviews of some rules. Other factors may also result in the need to make changes; for example, we may

make changes in response to public comment on this plan or in response to a presidentially-mandated review. If there is any change to the review plan, we will note the change in the following Agenda. For any section 610 review, we will provide the required notice prior to the review.

#### Part II—The Review Process

##### The Analysis

Generally, the agencies have divided their rules into 10 different groups and plan to analyze one group each year. For purposes of these reviews, a year will coincide with the fall-to-fall schedule for publication of the Agenda. Thus, Year 1 (2008) begins in the fall of 2008 and ends in the fall of 2009; Year 2 (2009) begins in the fall of 2009 and ends in the fall of 2010, and so on. We request public comment on the timing of the reviews. For example, is there a reason for scheduling an analysis and review for a particular rule earlier than we have? Any comments concerning the plan or particular analyses should be submitted to the regulatory contacts listed in Appendix B, General Rulemaking Contact Persons.

##### Section 610 Review

The agency will analyze each of the rules in a given year’s group to determine whether any rule has a SEIOSNOSE and, thus, requires review in accordance with section 610 of the Regulatory Flexibility Act. The level of analysis will, of course, depend on the nature of the rule and its applicability. Publication of agencies’ section 610 analyses listed each fall in this Agenda provides the public with notice and an opportunity to comment consistent with the requirements of the Regulatory Flexibility Act. We request that public comments be submitted to us early in the analysis year concerning the small entity impact of the rules to help us in making our determinations.

In each fall Agenda, the agency will publish the results of the analyses it has completed during the previous year. For rules that had a negative finding on SEIOSNOSE, we will give a short explanation (e.g., “these rules only establish petition processes that have no cost impact” or “these rules do not apply to any small entities”). For parts, subparts, or other discrete sections of rules that do have a SEIOSNOSE, we will announce that we will be conducting a formal section 610 review during the following 12 months. At this stage, we will add an entry to the Agenda in the prerulemaking section describing the review in more detail. We also will seek public comment on how

best to lessen the impact of these rules and provide a name or docket to which public comments can be submitted. In some cases, the section 610 review may be part of another unrelated review of the rule. In such a case, we plan to clearly indicate which parts of the review are being conducted under section 610.

**Other Reviews**

The agency will also examine the specified rules to determine whether

any other reasons exist for revising or revoking the rule or for rewriting the rule in plain language. In each fall Agenda, the agency will also publish information on the results of the examinations completed during the previous year.

**Part III—List of Pending Section 610 Reviews**

The Agenda identifies the pending DOT section 610 Reviews by inserting “(Section 610 Review),” after the title

for the specific entry. For further information on the pending reviews, see the Agenda entries at [www.reginfo.gov](http://www.reginfo.gov). For example, to obtain a list of all entries that is section 610 Reviews under the Regulatory Flexibility Act, a user would select the desired responses on the search screen (by selecting “advanced search”) and, in effect, generate the desired “index” of reviews.

OFFICE OF THE SECRETARY  
SECTION 610 AND OTHER REVIEWS

Year	Regulations to be reviewed	Analysis year	Review year
1 .....	49 CFR parts 91 through 99 and 14 CFR parts 200 through 212 .....	2008	2009
2 .....	48 CFR parts 1201 through 1253 and new parts and subparts .....	2009	2010
3 .....	14 CFR parts 213 through 232 .....	2010	2011
4 .....	14 CFR parts 234 through 254 .....	2011	2012
5 .....	14 CFR parts 255 through 298 and 49 CFR part 40 .....	2012	2013
6 .....	14 CFR parts 300 through 373 .....	2013	2014
7 .....	14 CFR parts 374 through 398 .....	2014	2015
8 .....	14 CFR part 399 and 49 CFR parts 1 through 11 .....	2015	2016
9 .....	49 CFR parts 17 through 28 .....	2016	2017
10 .....	49 CFR parts 29 through 39 and parts 41 through 89 .....	2017	2018

**Year 1 (fall 2008) List of rules analyzed and summary of results**

- 49 CFR part 95—Advisory Committees
  - Section 610: The agency has determined that the rule does not have a significant economic impact on a substantial number of small entities.
  - General: The agency plans to remove part 95 because it has been made obsolete by other laws, regulations, and agency procedures. Removal of these regulations would be cost effective and impose no burdens. Since the regulations will be removed, a review for plain language is not necessary.

**Year 1 (fall 2008) List of rules with ongoing analysis**

- 49 CFR part 91—International Air Transportation Fair Competitive Practices
- 49 CFR part 92—Recovering Debts to the United States by Salary Offset
- 49 CFR part 98—Enforcement of Restrictions on Post-Employment Activities
- 49 CFR part 99—Employee Responsibilities and Conduct
- 14 CFR part 200—Definitions and Instructions
- 14 CFR part 201—Air Carrier Authority Under Subtitle VII of Title 49 of the United States Code [Amended]
- 14 CFR part 203—Waiver of Warsaw Convention Liability Limits and Defenses

- 14 CFR part 204—Data To Support Fitness Determinations
- 14 CFR part 205—Aircraft Accident Liability Insurance
- 14 CFR part 206—Certificates of Public Convenience and Necessity: Special Authorizations and Exemptions
- 14 CFR part 207—Charter Trips by U.S. Scheduled Air Carriers
- 14 CFR part 208—Charter Trips by U.S. Charter Air Carriers
- 14 CFR part 211—Applications for Permits to Foreign Air Carriers
- 14 CFR part 212—Charter Rules for U.S. and Foreign Direct Air Carriers

**Year 3 (fall 2010) List of rules with ongoing analysis**

- 14 CFR part 213—Terms, Conditions, and Limitations of Foreign Air Carrier Permits
- 14 CFR part 214—Terms, Conditions, and Limitations of Foreign Air Carrier Permits Authorizing Charter Transportation Only
- 14 CFR part 215—Use and Change of Names of Air Carriers, Foreign Air Carriers, and Commuter Air Carriers
- 14 CFR part 216—Comingling of Blind Sector Traffic by Foreign Air Carriers
- 14 CFR part 217—Reporting Traffic Statistics by Foreign Air Carriers in Civilian Scheduled, Charter, and Nonscheduled Services
- 14 CFR part 218—Lease by Foreign Air Carrier or Other Foreign Person of Aircraft With Crew
- 14 CFR part 221—Tariffs

- 14 CFR part 222—Intermodal Cargo Services by Foreign Air Carriers
- 14 CFR part 223—Free and Reduced-Rate Transportation
- 14 CFR part 232—Transportation of Mail, Review of Orders of Postmaster General

**Year 4 (fall 2011) List of rules analyzed and summary of results**

- 14 CFR part 234—Airline Service Quality Performance Reports
  - Section 610: The agency has determined that the existing rule does not have a significant effect on a substantial number of small entities.
  - General: The Department is anticipating proposing changes to the existing rule to expand the on-time performance “reporting carrier” pool to include smaller carriers to enable the Department to obtain and provide to the flying public a more complete picture of the performance of scheduled passenger service in general. Also, in July 2011, the Department proposed to change the way the Department computes mishandled baggage rates from mishandled baggage reports per domestic enplanement to mishandled bags per checked bags as the Department believes that the current matrix for comparing airline mishandled baggage information is outdated. OST’s plain language review indicates no need for substantial

- revision.
- 14 CFR part 250—Oversales
- Section 610: Certain elements of this existing rule impose requirements on certain small air carriers but the Department has determined that the economic impact is not significant.
  - General: The Department made some changes to this part in April 2008 and April 2011. No additional changes are needed. These regulations are cost effective and impose the least burden as all air carriers have control over the extent to which the rule impacts them because they control their own overbooking rates. OST's plain language review indicates no need for substantial revision.
- 14 CFR part 252—Smoking Aboard Aircraft
- Section 610: The agency has determined that the existing rule does not have a significant effect on a substantial number of small entities.
  - General: In September 2011, the Department proposed to change the existing rule to explicitly ban the smoking of electronic cigarettes on air carriers and foreign air carrier flights in scheduled intrastate, interstate, and foreign air transportation. The Department is also considering banning smoking on charter flights with 19 or more passenger seats in part out of concern about the health effects of secondhand smoke on flight attendants aboard such flights. Carriers that provide air transportation exclusively with aircraft that seat no more than 60 passenger seats are considered to be small entities. OST's plain language review indicates no need for substantial revision.
- 14 CFR part 253—Notice of Terms of Contract of Carriage
- Section 610: The agency has determined that the existing rule does not have a significant effect on a substantial number of small entities.
  - General: A minor change to a provision in this part regarding retroactive changes to contracts of carriage was finalized in 2009. No additional changes are needed. These regulations are cost effective. OST's plain language review indicates no need for substantial revision.
- 14 CFR part 254—Domestic Baggage Liability
- Section 610: The agency has determined that the existing rule does not have a significant effect on
- a substantial number of small entities.
- General: The Department periodically raises the minimum limit on domestic baggage liability applicable to air carriers to reflect inflation. The Department anticipates adjusting the minimum limit of liability from the current amount of \$3,300 announced by the Department in November 2008 to \$3,400, to take into account the changes in consumer prices since the prior revision. This revision would affect only flight segments operated with large aircraft and other flight segments appearing on the same ticket as a large-aircraft segment. As a result, many operations of small entities, such as air taxis and many commuter air carriers, would not be covered by the rule. OST's plain language review indicates no need for substantial revision.
- Year 4 (fall 2011) List of rules with ongoing analysis**
- 14 CFR part 240—Inspection of Accounts and Property
- 14 CFR part 241—Uniform System of Accounts and Reports for Large Certificated Air Carriers
- 14 CFR part 243—Passenger Manifest Information
- 14 CFR part 247—Direct Airport-to-Airport Mileage Records
- 14 CFR part 248—Submission of Audit Reports
- 14 CFR part 249—Preservation of Air Carrier Records
- Year 5 (fall 2012) List of rules to be analyzed during the next year**
- 14 CFR part 255—Airline Computer Reservations Systems
- 14 CFR part 256—[Reserved]
- 14 CFR part 271—Guidelines for Subsidizing Air Carriers Providing Essential Air Transportation
- 14 CFR part 272—Essential Air Service to the Freely Associated States
- 14 CFR part 291—Cargo Operations in Interstate Air Transportation
- 14 CFR part 292—International Cargo Transportation
- 14 CFR part 293—International Passenger Transportation
- 14 CFR part 294—Canadian Charter Air Taxi Operators
- 14 CFR part 296—Indirect Air Transportation of Property
- 14 CFR part 297—Foreign Air Freight Forwarders and Foreign Cooperative Shippers Associations
- 14 CFR part 298—Exemptions for Air Taxi and Commuter Air Carrier Operations
- Year 5 (fall 2012) List of rules analyzed and a summary of results**
- 14 CFR part 257—Disclosure of Code-Sharing Arrangements and Long-Term Wet Leases
- Section 610: The agency has determined that the existing rule does not have a significant effect on a substantial number of small entities.
  - General: The Department is anticipating proposing changes to the existing rule to codify the requirements in a statute (49 U.S.C. 41712(c)) and the Department's enforcement policy with respect to Web site disclosure of code-share and long-term wet lease arrangements. OST's plain language review indicates no need for substantial revision.
- 14 CFR part 258—Disclosure of Change-of-Gauge Services
- Section 610: The agency has determined that the rule does not have a significant effect on a substantial number of small entities.
  - General: No changes are needed. These regulations are cost effective and impose the least burden. OST's plain language review indicates no need for substantial revision.
- 14 CFR part 259—Enhanced Protections for Airline Passengers
- Section 610: This rule imposes requirements on small air carriers but the Department believes that the economic impact will not be significant.
  - General: The Department is anticipating proposing changes to the existing rule to require comfortable cabin temperatures when there is a lengthy tarmac delay and to require a marketing carrier provide assistance to its code-share partner when a flight operated by the code-share partner experiences a lengthy tarmac delay. OST's plain language review indicates no need for substantial revision.
- Federal Aviation Administration**
- Section 610 Review Plan*
- The FAA has elected to use the two-step, 2-year process used by most DOT modes in past plans. As such, the FAA has divided its rules into 10 groups as displayed in the table below. During the first year (the “*analysis year*”), all rules published during the previous 10 years within a 10 percent block of the regulations will be *analyzed* to identify those with a SEIOSNOSE. During the second year (the “*review year*”), each rule identified in the analysis year as

having a SEIONOSE will be reviewed in accordance with section 610(b) to determine if it should be continued without change or changed to minimize impact on small entities. Results of those reviews will be published in the DOT Semiannual Regulatory Agenda.

Year	Regulations to be reviewed	Analysis year	Review year
1	14 CFR parts 119 through 129 and parts 150 through 156	2008	2009
2	14 CFR parts 133 through 139 and parts 157 through 169	2009	2010
3	14 CFR parts 141 through 147 and parts 170 through 187	2010	2011
4	14 CFR parts 189 through 198 and parts 1 through 16	2011	2012
5	14 CFR parts 17 through 33	2012	2013
6	14 CFR parts 34 through 39 and parts 400 through 405	2013	2014
7	14 CFR parts 43 through 49 and parts 406 through 415	2014	2015
8	14 CFR parts 60 through 77	2015	2016
9	14 CFR parts 91 through 105	2016	2017
10	14 CFR parts 417 through 460	2017	2018

**Year 5 (2012) List of rules analyzed and summary of results**

- 14 CFR part 17—Procedures for Protests and Contract Disputes
  - Section 610: The agency conducted a section 610 review of this part and found no SEIOSNOSE.
  - General: No changes are needed. These regulations are cost effective and impose the least burden. FAA’s plain language review of these rules indicates no need for substantial revision.
- 14 CFR part 21—Certification Procedures for Products and Parts
  - Section 610: The agency conducted a section 610 review of this part and found no SEIOSNOSE.
  - General: No changes are needed. These regulations are cost effective and impose the least burden. FAA’s plain language review of these rules indicates no need for substantial revision.
- 14 CFR part 23—Airworthiness Standards: Normal, Utility, Acrobatic, and Commuter Category Airplanes
  - Section 610: The agency conducted a section 610 review of this part and found no SEIOSNOSE.
  - General: No changes are needed. These regulations are cost effective and impose the least burden. FAA’s plain language review of these rules indicates no need for substantial revision.
- 14 CFR part 25—Airworthiness Standards: Transport Category Airplanes
  - Section 610: The agency conducted a section 610 review of this part and found that the SEIOSNOSE no

- longer exists.
  - General: No changes are needed. These regulations are cost effective and impose the least burden. FAA’s plain language review of these rules indicates no need for substantial revision.
- 14 CFR part 26—Continued Airworthiness and Safety Improvements for Transport Category Airplanes
  - Section 610: The agency conducted a section 610 review of this part and found no SEIOSNOSE.
  - General: No changes are needed. These regulations are cost effective and impose the least burden. FAA’s plain language review of these rules indicates no need for substantial revision.
- 14 CFR part 27—Airworthiness Standards: Normal Category Rotorcraft
  - Section 610: The agency conducted a section 610 review of this part and found no SEIOSNOSE.
  - General: No changes are needed. These regulations are cost effective and impose the least burden. FAA’s plain language review of these rules indicates no need for substantial revision.
- 14 CFR part 29—Airworthiness Standards: Transport Category Rotorcraft
  - Section 610: The agency conducted a section 610 review of this part and found no SEIOSNOSE.
  - General: No changes are needed. These regulations are cost effective and impose the least burden. FAA’s plain language review of these rules indicates no need for substantial revision.

- 14 CFR part 31—Airworthiness Standards: Manned Free Balloons
  - Section 610: The agency conducted a section 610 review of this part and found no SEIOSNOSE.
  - General: No changes are needed. These regulations are cost effective and impose the least burden. FAA’s plain language review of these rules indicates no need for substantial revision.
- 14 CFR part 33—Airworthiness Standards: Aircraft Engines
  - Section 610: The agency conducted a section 610 review of this part and found no SEIOSNOSE.
  - General: No changes are needed. These regulations are cost effective and impose the least burden. FAA’s plain language review of these rules indicates no need for substantial revision.

**Year 6 (2013) List of rules to be analyzed during the next year**

- 14 CFR part 34—Fuel Venting and Exhaust Emission Requirements for Turbine Engine Powered Airplanes
- 14 CFR part 35—Airworthiness Standards: Propellers
- 14 CFR part 36—Noise Standards: Aircraft Type and Airworthiness Certification
- 14 CFR part 39—Airworthiness Directives
- 14 CFR part 400—Basis and Scope
- 14 CFR part 401—Organization and Definitions
- 14 CFR part 404—Regulations and Licensing Requirements
- 14 CFR part 405—Investigations and Enforcement

FEDERAL HIGHWAY ADMINISTRATION  
SECTION 610 AND OTHER REVIEWS

Year	Regulations to be reviewed	Analysis year	Review year
1	None	2008	2009
2	23 CFR parts 1 to 260	2009	2010

FEDERAL HIGHWAY ADMINISTRATION—Continued  
SECTION 610 AND OTHER REVIEWS

Year	Regulations to be reviewed	Analysis year	Review year
3	23 CFR parts 420 to 470	2010	2011
4	23 CFR part 500	2011	2012
5	23 CFR parts 620 to 637	2012	2013
6	23 CFR parts 645 to 669	2013	2014
7	23 CFR parts 710 to 924	2014	2015
8	23 CFR parts 940 to 973	2015	2016
9	23 CFR parts 1200 to 1252	2016	2017
10	New parts and subparts	2017	2018

*Federal-Aid Highway Program*

The FHWA has adopted regulations in title 23 of the CFR, chapter I, related to the Federal-Aid Highway Program. These regulations implement and carry out the provisions of Federal law relating to the administration of Federal aid for highways. The primary law authorizing Federal aid for highways is chapter I of title 23 of the U.S.C. section 145 of title 23 expressly provides for a federally assisted State program. For this reason, the regulations adopted by the FHWA in title 23 of the CFR primarily relate to the requirements that States must meet to receive Federal funds for the construction and other work related to highways. Because the regulations in title 23 primarily relate to

States, which are not defined as small entities under the Regulatory Flexibility Act, the FHWA believes that its regulations in title 23 do not have a significant economic impact on a substantial number of small entities. The FHWA solicits public comment on this preliminary conclusion.

**Year 4 (fall 2011) List of rules analyzed and a summary of results**

23 CFR part 500—Management and Monitoring Systems

- Section 610: No SEIOSNOSE. No small entities are affected.
- General: No changes are needed. These regulations are cost effective and impose the least burden. FHWA’s plain language review of these rules indicates no need for

substantial revision.

**Year 5 (fall 2012) List of rules that will be analyzed during the next year**

23 CFR part 620—Engineering  
 23 CFR part 625—Design Standards for Highways  
 23 CFR part 626—Pavement Policy  
 23 CFR part 627—Value Engineering  
 23 CFR part 630—Preconstruction Procedures  
 23 CFR part 633—Required Contract Provisions  
 23 CFR part 635—Construction and Maintenance  
 23 CFR part 636—Design-build Contracting  
 23 CFR part 637—Construction Inspection and Approval

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION  
SECTION 610 AND OTHER REVIEWS

Year	Regulations to be reviewed	Analysis year	Review year
1	49 CFR part 372, subpart A	2008	2009
2	49 CFR part 386	2009	2010
3	49 CFR parts 325 and 390 (General)	2010	2011
4	49 CFR parts 390 (Small Passenger-Carrying Vehicles), 391 to 393 and 396 to 399	2011	2012
5	49 CFR part 387	2012	2013
6	49 CFR parts 356, 367, 369 to 371, 372 (subparts B and C)	2013	2014
7	49 CFR parts 373, 374, 376, and 379	2014	2015
8	49 CFR parts 360, 365, 366, and 368	2015	2016
9	49 CFR parts 377, 378	2016	2017
10	49 CFR part 395	2017	2018

**Year 1 (fall 2008) List of rules analyzed and a summary of results**

49 CFR Part 372—Exemptions From the Operating Authority Regulations Applicable to For-Hire Motor Carriers

- Section 610: There is no SEIOSNOSE. No small entities are affected.
- General: No changes are needed. These regulations provide exemptions from the requirements for certain for-hire motor carriers to apply for operating authority from FMCSA. The regulations reduce the regulatory burden on small businesses by enabling certain for-

hire carriers to conduct business without being required to apply for operating authority. The regulations are cost effective and impose the least burden. FMCSA’s plain language review of these rules indicates no need for substantive revision.

**Year 2 (fall 2009) List of rules analyzed and a summary of results**

49 CFR part 386—Rules of Practice for Motor Carrier, Broker, Freight Forwarder, and Hazardous Materials Proceedings

- Section 610: There is a SEIOSNOSE, as a significant

number of small entities may be affected by legal fees and safety consultants’ fees associated with preparing an adequate response to FMCSA notices of claims and notices of violations and the submission of corrective action plans following an unsuccessful new entrant audit or compliance review. It was found that the cost for legal representation and other costs for a formal hearing to appeal a decision may have a significant impact on small firms. However, these proceedings would only come about if the regulated entity failed to comply with applicable Federal



regulations and FMCSA initiated enforcement action as a result of non-compliance.

Subpart D, “General Rules and Hearings,” addresses rules and procedures for the conduct of formal hearings. The principal economic impact of part 386 is the cost to a small firm of defending itself under these procedures. However, as noted above, carriers that achieve compliance with FMCSA’s commercial and safety regulations would not be subject to enforcement actions and therefore would not undergo such procedures.

- General: The Agency considered whether the rules of practice impose unnecessary burdens on small businesses that undergo enforcement actions as a result of non-compliance with the Agency’s

commercial and safety regulations. The Agency concluded that the rules of practice do not impose unnecessary burdens on such businesses when they achieve compliance with the applicable safety and hazardous materials regulations.

**Year 3 (fall 2010) List of rules with ongoing analysis**

- 49 CFR part 325—Compliance with Interstate Motor Carrier Noise Emission
- 49 CFR part 390 — Federal Motor Carrier Safety Regulations, General

- *This rule was moved up from Year 4 as a result of the Department’s Retrospective Regulatory Review.*

**Year 4 (fall 2011) List of rules with ongoing analysis**

- 49 CFR part 391—Driver Qualifications
- 49 CFR part 392—Driving of Commercial Motor Vehicles
- 49 CFR part 393—Parts and Accessories Necessary for Safe Operation
- 49 CFR part 396—Inspection, Repair and Maintenance of Commercial Motor Vehicles
- 49 CFR part 397—Transportation of Hazardous Materials; Driving and Parking Rules
- 49 CFR part 398—Transportation of Migrant Workers
- 49 CFR part 399—Employee Safety and Health Standards

**Year 5 (fall 2012) List of rule(s) that will be analyzed this year**

- 49 CFR Part 387—Minimum Levels of Financial Responsibility for Motor Carriers

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION  
SECTION 610 AND OTHER REVIEWS

Year	Regulations to be reviewed	Analysis year	Review year
1 .....	49 CFR parts 571.223 through 571.500, and parts 575 and 579 .....	2008	2009
2 .....	23 CFR parts 1200 through 1300 .....	2009	2010
3 .....	49 CFR parts 501 through 526 and 571.213 .....	2010	2011
4 .....	49 CFR parts 571.131, 571.217, 571.220, 571.221, and 571.222 .....	2011	2012
5 .....	49 CFR parts 571.101 through 571.110, and 571.135, 571.138, and 571.139 .....	2012	2013
6 .....	49 CFR parts 529 through 578, except parts 571 and 575 .....	2013	2014
7 .....	49 CFR parts 571.111 through 571.129 and parts 580 through 588 .....	2014	2015
8 .....	49 CFR parts 571.201 through 571.212 .....	2015	2016
9 .....	49 CFR parts 571.214 through 571.219, except 571.217 .....	2016	2017
10 .....	49 CFR parts 591 through 595 and new parts and subparts .....	2017	2018

**Year 4 (fall 2011) List of rules analyzed and a summary of the results**

- 49 CFR Part 571.131—School Bus Pedestrian Safety Devices
  - Section 610: There is no SEIOSNOSE.
  - General: No changes are needed. These regulations are cost effective and impose the least burden. NHTSA’s plain language review of these rules indicates no need for substantial revision.
- 49 CFR part 571.217—Bus Emergency Exits and Window Retention and Release
  - Section 610: There is no SEIOSNOSE.
  - General: No changes are needed. These regulations are cost effective and impose the least burden. NHTSA’s plain language review of these rules indicates no need for substantial revision.
- 49 CFR part 571.220—School Bus Rollover Protection
  - Section 610: There is no SEIOSNOSE.
  - General: No changes are needed. These regulations are cost effective and impose the least burden.

- NHTSA’s plain language review of these rules indicates no need for substantial revision.
- 49 CFR part 571.221—School Bus Body Joint Strength
  - Section 610: There is no SEIOSNOSE.
  - General: No changes are needed. These regulations are cost effective and impose the least burden. NHTSA’s plain language review of these rules indicates no need for substantial revision.
- 49 CFR part 571.222—School Bus Passenger Seating and Crash Protection
  - Section 610: There is no SEIOSNOSE.
  - General: No changes are needed. These regulations are cost effective and impose the least burden. NHTSA’s plain language review of these rules indicates no need for substantial revision.

**Year 5 (fall 2012) List of rules that will be analyzed during the next year**

- 49 CFR part 571.101—Controls and Displays

- 49 CFR part 571.102—Transmission Shift Position Sequence, Starter Interlock, and Transmission Braking Effect
- 49 CFR part 571.103—Windshield Defrosting and Defogging Systems
- 49 CFR part 571.104—Windshield Wiping and Washing Systems
- 49 CFR part 571.105—Hydraulic and Electric Brake Systems
- 49 CFR part 571.106—Brake Hoses
- 49 CFR part 571.107—[Reserved]
- 49 CFR part 571.108—Lamps, Reflective Devices, and Associated Equipment
- 49 CFR part 571.109—New Pneumatic and Certain Specialty Tires
- 49 CFR part 571.110—Tire Selection and Rims and Motor Home/ Recreation Vehicle Trailer Load Carrying Capacity Information for Motor Vehicles with a GVWR of 4,536 Kilograms (10,000 Pounds) or Less
- 49 CFR part 571.135—Light Vehicle Brake Systems

49 CFR part 571.138—Tire Pressure Monitoring Systems

49 CFR part 571.139—New Pneumatic Radial Tires for Light Vehicles

FEDERAL RAILROAD ADMINISTRATION  
SECTION 610 AND OTHER REVIEWS

Year	Regulations to be reviewed	Analysis year	Review year
1	49 CFR parts 200 and 201	2008	2009
2	49 CFR parts 207, 209, 211, 215, 238, and 256	2009	2010
3	49 CFR parts 210, 212, 214, 217, and 268	2010	2011
4	49 CFR part 219	2011	2012
5	49 CFR parts 218, 221, 241, and 244	2012	2013
6	49 CFR parts 216, 228, and 229	2013	2014
7	49 CFR parts 223 and 233	2014	2015
8	49 CFR parts 224, 225, 231, and 234	2015	2016
9	49 CFR parts 222, 227, 235, 236, 250, 260, and 266	2016	2017
10	49 CFR parts 213, 220, 230, 232, 239, 240, and 265	2017	2018

**Year 4 (fall 2011) List of rules analyzed and a summary of results**

49 CFR part 219—Control of Alcohol and Drug Use

- Section 610: There is no SEIOSNOSE.
- General: No changes are needed. This rule is cost effective and imposes the least burden. FRA’s plain language review of this rule

indicates no need for substantial revision.

**Year 5 (fall 2012) List of rule(s) that will be analyzed during next year**

49 CFR part 218—Control of Alcohol and Drug Use  
49 CFR part 221—Rear End Marking Device Passenger, Commuter, and Freight Trains

49 CFR part 241—United States Locational Requirement for Dispatching of United States Rail Operations

49 CFR part 244—Regulations on Safety Integration Plans Governing Railroad Consolidations, Mergers, and Acquisitions of Control

FEDERAL TRANSIT ADMINISTRATION  
SECTION 610 AND OTHER REVIEWS

Year	Regulations to be reviewed	Analysis year	Review year
1	49 CFR parts 604, 605, and 633	2008	2009
2	49 CFR parts 661 and 665	2009	2010
3	49 CFR part 633	2010	2011
4	49 CFR parts 609 and 611	2011	2012
5	49 CFR parts 613 and 614	2012	2013
6	49 CFR part 622	2013	2014
7	49 CFR part 630	2014	2015
8	49 CFR part 639	2015	2016
9	49 CFR parts 659 and 663	2016	2017
10	49 CFR part 665	2017	2018

**Year 3 (fall 2010) List of rules analyzed and summary of results**

49 CFR part 605—School Bus Operations

- Section 610: The agency has determined that the rule does not have a significant effect on a substantial number of small entities.

- General: No changes are needed. These regulations are cost effective and impose the least burden. FTA’s plain language review indicates no need for substantial revision.

**Year 4 (fall 2011) List of rules with ongoing analysis**

49 CFR part 609—Transportation for Elderly and Handicapped Persons

**Year 5 (fall 2012) List of rule(s) that will be analyzed during the next year**

49 CFR part 613—Planning Assistance and Standards

49 CFR part 614—Transportation Infrastructure Management

MARITIME ADMINISTRATION  
SECTION 610 AND OTHER REVIEWS

Year	Regulations to be reviewed	Analysis year	Review year
1	46 CFR parts 201 through 205	2008	2009
2	46 CFR parts 221 through 232	2009	2010
3	46 CFR parts 249 through 296	2010	2011
4	46 CFR parts 221, 298, 308, and 309	2011	2012
5	46 CFR parts 307 through 309	2012	2013
6	46 CFR part 310	2013	2014

MARITIME ADMINISTRATION—Continued  
SECTION 610 AND OTHER REVIEWS

Year	Regulations to be reviewed	Analysis year	Review year
7 .....	46 CFR parts 315 through 340 .....	2014	2015
8 .....	46 CFR parts 345 through 381 .....	2015	2016
9 .....	46 CFR parts 382 through 389 .....	2016	2017
10 .....	46 CFR parts 390 through 393 .....	2017	2018

**Year 4 (fall 2011) List of rules with ongoing analysis**

- 46 CFR part 381—Cargo Preference—U.S.-Flag Vessels
- 46 CFR part 383—Cargo Preference—Compromise, Assessment, Mitigation, Settlement & Collection of Civil Penalties
- 46 CFR part 221—Foreign Transfer Regulations

- 46 CFR part 249—Approval of Underwriters for Marine Hull Insurance
- 46 CFR part 272—Requirements and Procedures for Conducting Condition Surveys and Administering Maintenance and Repair Subsidy
- 46 CFR part 287—Establishment of Construction Reserve Funds
- 46 CFR part 295—Maritime Security Program (MSP)

- 46 CFR part 296—Maritime Security Program (MSP)

**Year 5 (2012) List of rules that will be analyzed during the next year**

- 46 CFR part 307—Mandatory Position Report System for Vessels
- 46 CFR part 308—War Risk Insurance
- 46 CFR part 309—War Risk Ship Valuation

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION (PHMSA)  
SECTION 610 AND OTHER REVIEWS

Year	Regulations to be reviewed	Analysis year	Review year
1 .....	49 CFR part 178 .....	2008	2009
2 .....	49 CFR parts 178 through 180 .....	2009	2010
3 .....	49 CFR parts 172 and 175 .....	2010	2011
4 .....	49 CFR part 171, sections 171.15 and 171.16 .....	2011	2012
5 .....	49 CFR parts 106, 107, 171, 190, and 195 .....	2012	2013
6 .....	49 CFR parts 174, 177, 191, and 192 .....	2013	2014
7 .....	49 CFR parts 176 and 199 .....	2014	2015
8 .....	49 CFR parts 172 through 178 .....	2015	2016
9 .....	49 CFR parts 172, 173, 174, 176, 177, and 193 .....	2016	2017
10 .....	49 CFR parts 173 and 194 .....	2017	2018

**Year 4 (fall 2011) List of rules analyzed and a summary of results**

- 49 CFR section 171.15—Immediate Notice of Certain Hazardous Materials Incidents
  - Section 610: There is no SEIOSNOSE. Annually fewer than 100 small entities are required to file a report telephonic report. Therefore, though some small entities may be affected the economic impact on small entities will not be significant.
  - General: This rule prescribes requirements for the immediate notice of certain hazardous materials incidents by telephone to the National Response Center (NRC). The primary function of the National Response Center is to serve as the sole national point of contact for reporting all oil, chemical, radiological, biological, and etiological discharges into the environment anywhere in the United States and its territories. In addition to gathering and distributing spill data for Federal

- On-Scene Coordinators and serving as the communications and operations center for the National Response Team, the NRC maintains agreements with a variety of Federal entities to make additional notifications regarding incidents meeting established trigger criteria. Section 171.15(b) establishes the trigger criteria for a reportable hazardous materials incident. PHMSA’s plain language review of this rule indicates no need for substantial revision.
- 49 CFR section 171.16—Detailed Hazardous Materials Incident Reports
  - Section 610: There is no SEIOSNOSE. Based on a review of detailed incident reports PHMSA found that only 3 percent of the nearly 15,000 incidents reports submitted in FY2011 were filed by small entities. Therefore, though some small entities may be affected the economic impact on small entities will not be significant.
  - General: This rule prescribes requirements for detailed hazardous

materials incident reports. PHMSA relies on this data and information to evaluate the effectiveness of the existing regulations; determine the need for regulatory changes to cover changing transportation safety problems; and identify major problem areas that should receive priority attention. In addition, both government and industry use this information to chart trends, identify problems and training inadequacies, evaluate packaging, and assess ways to reduce hazardous materials releases. In FY2011 PHMSA accepted two petitions for rulemaking (P–1562; PHMSA–2010–0207 and P–1566; PHMSA–2010–0225) that request revisions to the incident reporting requirements. As a result of these petitions, PHMSA is currently conducting research to evaluate the effectiveness of the requirements for detailed hazardous materials incident reporting in section 171.16. PHMSA concluded this study in FY2012. Based on the

results of the study PHMSA is considering regulatory action to address its findings, the petitions, and simplify the incident reporting process. PHMSA's plain language review of this rule indicates no need for substantial revision.

**Year 5 (fall 2012) List of rules that will be analyzed during the next year**

- 49 CFR part 106—Rulemaking Procedures
- 49 CFR part 107—Hazardous Materials Program Procedures

- 49 CFR part 171—General Information, Regulations, and Definitions
- 49 CFR part 190—Pipeline Safety Programs and Rulemaking Procedures
- 49 CFR part 195—Transportation of Hazardous Liquids by Pipeline

**RESEARCH AND INNOVATIVE TECHNOLOGY ADMINISTRATION (RITA)  
SECTION 610 AND OTHER REVIEWS**

Year	Regulations to be reviewed	Analysis year	Review year
1 .....	14 CFR part 241, form 41 .....	2008	2009
2 .....	14 CFR part 241, schedule T-100, and part 217 .....	2009	2010
3 .....	14 CFR part 298 .....	2010	2011
4 .....	14 CFR part 241, section 19-7 .....	2011	2012
5 .....	14 CFR part 291 .....	2012	2013
6 .....	14 CFR part 234 .....	2013	2014
7 .....	14 CFR part 249 .....	2014	2015
8 .....	14 CFR part 248 .....	2015	2016
9 .....	14 CFR part 250 .....	2016	2017
10 .....	14 CFR part 374a, ICAO .....	2017	2018

**Year 1 (fall 2008) List of rules with ongoing analysis**

14 CFR part 241—Uniform System of Accounts and Reports for Large Certificated Air Carriers, Form 41

**Year 3 (fall 2010) List of rules with ongoing analysis**

14 CFR part 298, subpart f—Exemptions for Air Taxi and Commuter Air

Carrier Operations—Reporting Requirements

**Year 4 (fall 2011) List of rules with ongoing analysis**

14 CFR part 241, section 19-7—Passenger Origin-Destination Survey

**Year 5 (fall 2012) List of rules that will be analyzed during the next year**

14 CFR part 291—Cargo Operations in Interstate Air Transportation

**SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION  
SECTION 610 AND OTHER REVIEWS**

Year	Regulations to be reviewed	Analysis year	Review year
1 .....	33 CFR parts 401 through 403 .....	2008	2009

**Year 1 (fall 2008) List of rules with ongoing analysis**

33 CFR part 401—Seaway Regulations and Rules

33 CFR part 402—Tariff of Tolls  
33 CFR part 403—Rules of Procedure of the Joint Tolls Review Board

**OFFICE OF THE SECRETARY—PROPOSED RULE STAGE**

Sequence No.	Title	Regulation Identifier No.
45 .....	+Enhancing Airline Passenger Protections III .....	2105-AE11

+ DOT-designated significant regulation.

**OFFICE OF THE SECRETARY—FINAL RULE STAGE**

Sequence No.	Title	Regulation Identifier No.
46 .....	+Use of the Seat-Strapping Method for Carrying a Wheelchair on an Aircraft .....	2105-AD87

+ DOT-designated significant regulation.

## FEDERAL AVIATION ADMINISTRATION—PROPOSED RULE STAGE

Sequence No.	Title	Regulation Identifier No.
47 .....	+Operation and Certification of Small Unmanned Aircraft Systems (sUAS) .....	2120-AJ60
48 .....	+Flight Crewmember Mentoring, Leadership and Professional Development (HR 5900) .....	2120-AJ87

+ DOT-designated significant regulation.

## FEDERAL AVIATION ADMINISTRATION—FINAL RULE STAGE

Sequence No.	Title	Regulation Identifier No.
49 .....	+Pilot Certification and Qualification Requirements (Formerly First Officer Qualification Requirements) (HR 5900).	2120-AJ67
50 .....	+Safety Management Systems for Certificate Holders ( <b>Section 610 Review</b> ) .....	2120-AJ86

+ DOT-designated significant regulation.

## FEDERAL AVIATION ADMINISTRATION—LONG-TERM ACTIONS

Sequence No.	Title	Regulation Identifier No.
51 .....	+Air Carrier Maintenance Training Program ( <b>Section 610 Review</b> ) .....	2120-AJ79

+ DOT-designated significant regulation.

## FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION—PROPOSED RULE STAGE

Sequence No.	Title	Regulation Identifier No.
52 .....	+Commercial Driver's License Drug and Alcohol Clearinghouse (MAP-21) .....	2126-AB18
53 .....	+Electronic Logging Devices and Hours of Service Supporting Documents (MAP-21) .....	2126-AB20
54 .....	+Inspection, Repair, and Maintenance; Driver-Vehicle Inspection Report (RRR) .....	2126-AB46

+ DOT-designated significant regulation.

## FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION—FINAL RULE STAGE

Sequence No.	Title	Regulation Identifier No.
55 .....	+Unified Registration System .....	2126-AA22

+ DOT-designated significant regulation.

## FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION—COMPLETED ACTIONS

Sequence No.	Title	Regulation Identifier No.
56 .....	Self Reporting of Out-of-State Convictions (RRR) ( <b>Section 610 Review</b> ) .....	2126-AB43

## FEDERAL RAILROAD ADMINISTRATION—PROPOSED RULE STAGE

Sequence No.	Title	Regulation Identifier No.
57 .....	+Risk Reduction Program .....	2130-AC11

+ DOT-designated significant regulation.

## PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION—PROPOSED RULE STAGE

Sequence No.	Title	Regulation Identifier No.
58 .....	+Pipeline Safety: Safety of On-Shore Liquid Hazardous Pipelines .....	2137-AE66
59 .....	Pipeline Safety: Issues Related To the Use of Plastic Pipe in Gas Pipeline Industry .....	2137-AE93
60 .....	Pipeline Safety: Miscellaneous Amendments Related to Reauthorization and Petitions for Rulemaking (RRR).	2137-AE94

+ DOT-designated significant regulation.

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION—FINAL RULE STAGE

Sequence No.	Title	Regulation Identifier No.
61 .....	+Hazardous Materials: Revisions to Requirements for the Transportation of Lithium Batteries .....	2137-AE44
+ DOT-designated significant regulation.		

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION—COMPLETED ACTIONS

Sequence No.	Title	Regulation Identifier No.
62 .....	Hazardous Materials: Miscellaneous Amendments (RRR) ( <b>Completion of a Section 610 Review</b> ) .....	2137-AE78

MARITIME ADMINISTRATION—LONG-TERM ACTIONS

Sequence No.	Title	Regulation Identifier No.
63 .....	+ Regulations To Be Followed by All Departments, Agencies, and Shippers Having Responsibility To Provide a Preference for U.S.-Flag Vessels in the Shipment of Cargoes on Ocean Vessels.	2133-AB74
+ DOT-designated significant regulation.		

**DEPARTMENT OF TRANSPORTATION (DOT)**

Office of the Secretary (OST)

Proposed Rule Stage

**45. + Enhancing Airline Passenger Protections III**

*Legal Authority:* 49 U.S.C. 41712; 49 U.S.C. 40101; 49 U.S.C. 41702

*Abstract:* This rulemaking would address the following issues: (1) whether the Department should require a marketing carrier to provide assistance to its code-share partner when a flight operated by the code-share partner experiences a lengthy tarmac delay; (2) whether the Department should enhance disclosure requirements on code-share operations, including requiring on-time performance data, reporting of certain data code-share operations, and codifying the statutory amendment of 49 U.S.C. 41712(c) regarding Web site schedule disclosure of code-share operations; (3) whether the Department should expand the on-time performance “reporting carrier” pool to include smaller carriers; (4) whether the Department should require travel agents to adopt minimum customer service standards in relation to the sale of air transportation; (5) whether the Department should require ticket agents to disclose the carriers whose tickets they sell or do not sell and information regarding any incentive payments they receive in connection with the sale of air transportation; (6) whether the Department should require ticket agents to disclose any preferential display of individual fares or carriers in the ticket agent’s Internet displays; (7) whether the Department should require

additional or special disclosures regarding certain substantial fees, e.g., oversize or overweight baggage fees; (8) whether the Department should prohibit post-purchase price increase for all services and products not purchased with the ticket or whether it is sufficient to prohibit post-purchase price increases for baggage charges that traditionally have been included in the ticket price; and (9) whether the Department should require that ancillary fees be displayed through all sale channels.

*Timetable:*

Action	Date	FR Cite
NPRM .....	08/00/13	

*Regulatory Flexibility Analysis Required:* Yes.

*Agency Contact:* Blane A. Workie, Attorney, Department of Transportation, Office of the Secretary, 1200 New Jersey Avenue SE, Washington, DC 20590, *Phone:* 202-366-9342, *TDD Phone:* 202-755-7687, *Fax:* 202 366-7152, *Email:* [blane.workie@ost.dot.gov](mailto:blane.workie@ost.dot.gov). *RIN:* 2105-AE11

**DEPARTMENT OF TRANSPORTATION (DOT)**

Office of the Secretary (OST)

Final Rule Stage

**46. + Use of the Seat-Strapping Method for Carrying A Wheelchair on an Aircraft**

*Legal Authority:* 49 U.S.C. 41705  
*Abstract:* This rulemaking would address whether carriers should be

allowed to utilize the seat-strapping method to stow a passenger’s wheelchair in the aircraft cabin.

*Timetable:*

Action	Date	FR Cite
NPRM .....	06/03/11	76 FR 32107
NPRM Comment Period End.	08/02/11	
Final Rule .....	07/00/13	

*Regulatory Flexibility Analysis Required:* Yes.

*Agency Contact:* Blane A. Workie, Attorney, Department of Transportation, Office of the Secretary, 1200 New Jersey Avenue SE., Washington, DC 20590, *Phone:* 202-366-9342, *TDD Phone:* 202 755-7687, *Fax:* 202 366-7152, *Email:* [blane.workie@ost.dot.gov](mailto:blane.workie@ost.dot.gov). *RIN:* 2105-AD87.

**BILLING CODE 4910-9X-P**

**DEPARTMENT OF TRANSPORTATION (DOT)**

Federal Aviation Administration (FAA)

Proposed Rule Stage

**47. +Operation and Certification of Small Unmanned Aircraft Systems (SUAS)**

*Legal Authority:* 49 U.S.C. 44701; P.L. 112-95

*Abstract:* This rulemaking would adopt specific rules for the operation of small unmanned aircraft systems in the National Airspace System (NAS). These changes would address the classification of small unmanned aircraft, certification of their pilots and visual observers, registration, approval

of operations, and operational limits in order to maintain the safety and efficiency of the NAS. This proposal addresses model aircraft operations as well. This rulemaking would require regular collection of safety data from the user community to enable the FAA to assess the effectiveness of these regulations and to integrate unmanned aircraft systems into the NAS in the future.

*Timetable:*

Action	Date	FR Cite
NPRM .....	10/00/13	

*Regulatory Flexibility Analysis Required: Yes.*

*Agency Contact:* Stephen A Glowacki, Department of Transportation, Federal Aviation Administration, 800 Independence Avenue SW., Washington, DC 20591, *Phone:* 202 385-4898, *Email:* [stephen.a.glowacki@faa.gov](mailto:stephen.a.glowacki@faa.gov).

*RIN:* 2120-AJ60

**48. +Flight Crewmember Mentoring, Leadership and Professional Development (HR 5900)**

*Legal Authority:* 49 U.S.C. 44701(a)(5); Pub. L. 111-216, sec. 206

*Abstract:* This rulemaking would amend the regulations for air carrier training programs under part 121. The action is necessary to ensure that air carriers establish or modify training programs that address mentoring, leadership, and professional development of flight crewmembers in part 121 operations. The amendments are intended to contribute significantly to airline safety by reducing aviation accidents and respond to the mandate in Public Law 111-216.

*Timetable:*

Action	Date	FR Cite
NPRM .....	10/00/13	

*Regulatory Flexibility Analysis Required: Yes.*

*Agency Contact:* Deke Abbott, Department of Transportation, Federal Aviation Administration, 800 Independence Avenue SW., Washington, DC 20591, *Phone:* 202 267-8266, *Email:* [deke.abbott@faa.gov](mailto:deke.abbott@faa.gov).

*RIN:* 2120-AJ87

**DEPARTMENT OF TRANSPORTATION (DOT)**

*Federal Aviation Administration (FAA)*  
Final Rule Stage

**49. +Pilot Certification and Qualification Requirements (Formerly First Officer Qualification Requirements) (HR 5900)**

*Legal Authority:* 49 U.S.C. 106(g); 49 U.S.C. 35301 to 45302; 49 U.S.C. 40113; 49 U.S.C. 40119; 49 U.S.C. 41706; 49 U.S.C. 44101; 49 U.S.C. 44701(a)(5); 49 U.S.C. 44701 to 44703; 49 U.S.C. 44705; 49 U.S.C. 44707; 49 U.S.C. 44709 to 44711; 49 U.S.C. 44713; 49 U.S.C. 44716; 49 U.S.C. 44722; 49 U.S.C. 45102 to 45103; 49 U.S.C. 46105; 49 U.S.C. 44717; Pub. L. 111-216

*Abstract:* This rulemaking would amend the eligibility and qualification requirements for pilots engaged in part 121 air carrier operations. Additionally, it would modify the requirements for an airline transport pilot certificate. These actions are necessary because recent airline accidents and incidents have brought considerable attention to the experience level and training of air carrier flight crews. This rulemaking is a result of requirements in Public Law 111-216.

*Timetable:*

Action	Date	FR Cite
ANPRM .....	02/08/10	75 FR 6164
ANPRM Comment Period End.	04/09/10	
NPRM .....	02/29/12	77 FR 12374
NPRM Comment Period End.	04/30/12	
Final Rule .....	07/00/13	

*Regulatory Flexibility Analysis Required: Yes.*

*Agency Contact:* Barbara Adams, Department of Transportation, Federal Aviation Administration, 800 Independence Ave. SW., Washington, DC 20591, *Phone:* 202 267-8166, *Email:* [barbara.adams@faa.gov](mailto:barbara.adams@faa.gov).  
*RIN:* 2120-AJ67

**50. +Safety Management Systems for Certificate Holders (Section 610 Review)**

*Legal Authority:* 49 U.S.C. 106(g); 49 U.S.C. 40113; 49 U.S.C. 40119; 49 U.S.C. 41706; 49 U.S.C. 44101; 49 U.S.C. 44701; 49 U.S.C. 44702; 49 U.S.C. 44705; 49 U.S.C. 44709 to 44711; 49 U.S.C. 44713; 49 U.S.C. 44716; 49 U.S.C. 44717; 49 U.S.C. 44722; 49 U.S.C. 46105; Pub. L. 111-216, sec. 215

*Abstract:* This rulemaking would require each certificate holder operating under 14 CFR part 121 to develop and implement a safety management system

(SMS) to improve the safety of its aviation related activities. A safety management system is a comprehensive, process-oriented approach to managing safety throughout an organization. An SMS includes an organization-wide safety policy; formal methods for identifying hazards, controlling, and continually assessing risk and safety performance; and promotion of a safety culture. SMS stresses not only compliance with technical standards but increased emphasis on the overall safety performance of the organization. This rulemaking is required under Public Law 111-216, sec. 215.

*Timetable:*

Action	Date	FR Cite
NPRM .....	11/05/10	75 FR 68224
NPRM Comment Period Extended.	01/31/11	76 FR 5296
NPRM Comment Period End.	02/03/11	
Comment Period Extended.	03/07/11	
Final Rule .....	10/00/13	

*Regulatory Flexibility Analysis Required: Yes.*

*Agency Contact:* Scott VanBuren, Department of Transportation, Federal Aviation Administration, 800 Independence Avenue SW., Washington, DC 20591, *Phone:* 202 494-8417, *Email:* [scott.vanburen@faa.gov](mailto:scott.vanburen@faa.gov).  
*RIN:* 2120-AJ86

**DEPARTMENT OF TRANSPORTATION (DOT)**

*Federal Aviation Administration (FAA)*  
Long-Term Actions

**51. +Air Carrier Maintenance Training Program (Section 610 Review)**

*Legal Authority:* 49 U.S.C. 44101; 49 U.S.C. 106(g); 49 U.S.C. 40113; 49 U.S.C. 40119; 49 U.S.C. 41706; 49 U.S.C. 44701; 49 U.S.C. 44702; 49 U.S.C. 44705; 49 U.S.C. 44709 to 47111; 49 U.S.C. 44713; 49 U.S.C. 44715; 49 U.S.C. 44716; 49 U.S.C. 44717; 49 U.S.C. 44722; 49 U.S.C. 46105

*Abstract:* This rulemaking would require FAA approval of maintenance training programs of air carriers that operate aircraft type certificated for a passenger seating configuration of 10 seats or more (excluding any pilot seat). The intent of this rulemaking is to reduce the number of accidents and incidents caused by human error, improper maintenance, inspection, or repair practices.

*Timetable:* Next Action Undetermined.

*Regulatory Flexibility Analysis Required:* No.

*Agency Contact:* John J Hiles, Flight Standards Service, Department of Transportation, Federal Aviation Administration, 950 L'Enfant Plaza North SW., Washington, DC 20591, *Phone:* 202 385-6421, *Email:* john.j.hiles@faa.gov.  
*RIN:* 2120-AJ79

**BILLING CODE 4910-13-P**

**DEPARTMENT OF TRANSPORTATION (DOT)**

*Federal Motor Carrier Safety Administration (FMCSA)*

Proposed Rule Stage

**52. +Commercial Driver's License Drug and Alcohol Clearinghouse (MAP-21)**

*Legal Authority:* 49 U.S.C. 31306  
*Abstract:* This rulemaking would create a central database for verified positive controlled substances and alcohol test results for commercial driver's license (CDL) holders and refusals by such drivers to submit to testing. This rulemaking would require employers of CDL holders and service agents to report positive test results and refusals to test into the Clearinghouse. Prospective employers, acting on an application for a CDL driver position with the applicant's written consent to access the Clearinghouse, would query the Clearinghouse to determine if any specific information about the driver applicant is in the Clearinghouse before allowing the applicant to be hired and to drive CMVs. This rulemaking is intended to increase highway safety by ensuring CDL holders, who have tested positive or have refused to submit to testing, have completed the U.S. DOT's return-to-duty process before driving CMVs in interstate or intrastate commerce. It is also intended to ensure that employers are meeting their drug and alcohol testing responsibilities. Additionally, provisions in this rulemaking would also be responsive to requirements of the Moving Ahead for Progress in the 21st Century (MAP-21) Act. MAP-21 requires creation of the Clearinghouse by 10/1/14.

*Timetable:*

Action	Date	FR Cite
NPRM .....	07/00/13	

*Regulatory Flexibility Analysis Required:* Yes.

*Agency Contact:* Deborah Snider, Chief, Commercial Enforcement (MC-ECC), Department of Transportation, Federal Motor Carrier Safety

Administration, 1200 New Jersey Avenue SE., Washington, DC 20590, *Phone:* 202 366-0916, *Email:* deborah.snider@fmcsa.dot.gov.  
*RIN:* 2126-AB18

**53. +Electronic Logging Devices and Hours of Service Supporting Documents (MAP-21)**

*Legal Authority:* 49 U.S.C. 31502; 31136(a); Pub. L. 103.311; 49 U.S.C. 31137(a)

*Abstract:* This SNPRM would establish: (1) minimum performance standards for electronic logging devices (ELDs); (2) requirements for the mandatory use of the devices by drivers required to prepare handwritten records of duty status (RODS); (3) requirements concerning HOS supporting documents; and (4) measures to ensure that the mandatory use of ELDs will not result in harassment of drivers by motor carriers and enforcement officials. This rulemaking supplements the Agency's February 1, 2011, Notice of Proposed Rulemaking (NPRM) and addresses issues raised by the U.S. Court of Appeals for the Seventh Circuit Court in its 2011 decision vacating the Agency's April 5, 2010, final rule concerning ELDs. The requirements for ELDs would improve compliance with the hours-of-service (HOS) rules and thereby decrease the risk of fatigue-related crashes attributable to non-compliance with the applicable HOS requirements.

*Timetable:*

Action	Date	FR Cite
NPRM .....	02/01/11	76 FR 5537
NPRM Comment Period End.	02/28/11	
Comment Period Extended.	03/10/11	76 FR 13121
Extended Comment Period End.	05/23/11	
Supplemental NPRM.	11/00/13	

*Regulatory Flexibility Analysis Required:* Yes.

*Agency Contact:* Deborah M Freund, Senior Transportation Specialist, Department of Transportation, Federal Motor Carrier Safety Administration, 1200 New Jersey Avenue SE., Washington, DC 20590, *Phone:* 202 366-5370, *Email:* deborah.freund@dot.gov.  
*RIN:* 2126-AB20

**54. +Inspection, Repair, and Maintenance; Driver-Vehicle Inspection Report (RRR)**

*Legal Authority:* 49 U.S.C. 31502(b)  
*Abstract:* This rulemaking would rescind the requirement that commercial motor vehicle (CMV)

drivers operating in interstate commerce submit, and motor carriers retain, driver-vehicle inspection reports when the driver has neither found nor been made aware of any vehicle defects or deficiencies. Specifically, this rulemaking would remove a significant information collection burden without adversely impacting safety. This rulemaking responds in part to the President's January 2012 Regulatory Review and Reform initiative.

*Timetable:*

Action	Date	FR Cite
NPRM .....	09/00/13	

*Regulatory Flexibility Analysis Required:* Yes.

*Agency Contact:* Sean Gallagher, MC-PRR, Department of Transportation, Federal Motor Carrier Safety Administration, 1200 New Jersey Avenue SE., Washington, DC 20590, *Phone:* 202 366-3740, *Email:* sean.gallagher@dot.gov.  
*RIN:* 2126-AB46

**DEPARTMENT OF TRANSPORTATION (DOT)**

*Federal Motor Carrier Safety Administration (FMCSA)*

Final Rule Stage

**55. +Unified Registration System**

*Legal Authority:* Pub. L. 104-88; 109 Stat 803, 888 (1995); 49 U.S.C. 13908; Pub. L. 109-159, sec 4304

*Abstract:* This rule would establish a new Unified Registration System (URS) to replace four legacy systems in support of FMCSA's safety and commercial oversight responsibilities. It would require all entities subject to FMCSA jurisdiction to comply with a new URS registration and biennial update requirement and, disclose the cumulative registration information collected by URS. It would and provides a cross-reference to all regulatory requirements necessary to obtain permanent registration. It implements statutory provisions in the ICC Termination Act and SAFTEA-LU. URS would serve as a clearinghouse and depository of information on, and identification of, motor carriers, brokers, freight forwarders, and others required to register with the Department of Transportation. The agency has determined the total net societal benefits of the rule to be \$19.5 million and the total societal costs to be \$26.5 million.

*Timetable:*



Action	Date	FR Cite
ANPRM .....	08/26/96	61 FR 43816
ANPRM Comment Period End.	10/25/96	
NPRM .....	05/19/05	70 FR 28990
NPRM Comment Period End.	08/17/05	
Supplemental NPRM.	10/26/11	76 FR 66506
SNPRM Comment Period End.	12/27/11	
Final Rule .....	07/00/13	

*Regulatory Flexibility Analysis Required: Yes.*

*Agency Contact:* Genevieve Sapir, Management Analyst, Department of Transportation, Federal Motor Carrier Safety Administration, Office of Policy (MC-CCR), 1200 New Jersey Avenue SE., Washington, DC 20590, *Phone:* 202 366-7056, *Email:* genevieve.sapir@dot.gov. *RIN:* 2126-AA22

**DEPARTMENT OF TRANSPORTATION (DOT)**

*Federal Motor Carrier Safety Administration (FMCSA)*

Completed Actions

**56. Self Reporting of Out-of-State Convictions (RRR) (Section 610 Review)**

*Legal Authority:* Commercial Motor Vehicle Safety Act of 1986  
*Abstract:* This rulemaking would clarify the requirement for holders of commercial drivers licenses (CDL) convicted of violating traffic laws in a State other than the State that issued their CDL, to notify the State of issuance about those violations under part 383.31 of FMCSA's Commercial Drivers License Standards; and clarify the requirement for the licensing agency from the jurisdiction in which the conviction takes place to notify the State licensing Agency that issued the CDL under part 384.209 State Compliance with Commercial Drivers License Program. This rulemaking would also ensure that notifications required in sections 383.31 and 384.209 take place within 30 days of the conviction.

*Timetable:*

Action	Date	FR Cite
NPRM .....	08/02/12	77 FR 46010
NPRM Comment Period End.	10/01/12	
Final Rule .....	04/26/13	78 FR 24684
Final Rule Effective.	05/28/13	

*Regulatory Flexibility Analysis Required: No.*

*Agency Contact:* Robert Redmond, Senior Transportation Specialist, Department of Transportation, Federal Motor Carrier Safety Administration, 1200 New Jersey Avenue SE., Washington, DC 20590, *Phone:* 202 366-5014, *Email:* robert.redmond@dot.gov.

*RIN:* 2126-AB43

**BILLING CODE 4910-EX-P**

**DEPARTMENT OF TRANSPORTATION (DOT)**

*Federal Railroad Administration (FRA)*

Proposed Rule Stage

**57. +Risk Reduction Program**

*Legal Authority:* Pub. L. no 110-432, Div A, 122 Stat 4848 *et seq.*; Rail Safety Improvement Act of 2008; sec 103, 49 U.S.C. 20156 "Railroad Safety Risk Reduction Program"

*Abstract:* This rulemaking would consider appropriate contents or require each Class I railroad and each railroad with inadequate safety performance to develop and implement a Risk Reduction Program (RRP) and how they should be implemented and reviewed by FRA. Program (RRP) to improve the safety of their operations. Each RRP would be required to include a risk analysis, a technology implementation plan, and a fatigue management plan. Railroads would be required to conduct annual internal assessments of their RRP's, which could also be externally audited by FRA.

*Timetable:*

Action	Date	FR Cite
ANPRM .....	12/08/10	75 FR 76345
ANPRM Comment Period End.	02/07/11	
NPRM .....	09/00/13	

*Regulatory Flexibility Analysis Required: Yes.*

*Agency Contact:* Kathryn Shelton, Trial Attorney, Department of Transportation, Federal Railroad Administration, 1200 New Jersey Avenue SE., Washington, DC 20590, *Phone:* 202 493-6063, *Email:* kathryn.shelton@fra.dot.gov. *RIN:* 2130-AC11

**BILLING CODE 4910-EX-P**

**DEPARTMENT OF TRANSPORTATION (DOT)**

*Pipeline and Hazardous Materials Safety Administration (PHMSA)*

Proposed Rule Stage

**58. +Pipeline Safety: Safety of On-Shore Liquid Hazardous Pipelines**

*Legal Authority:* 49 U.S.C. 60101 *et seq.*

*Abstract:* This rulemaking would address effective procedures that hazardous liquid operators can use to improve the protection of High Consequence Areas (HCA) and other vulnerable areas along their hazardous liquid onshore pipelines. PHMSA is considering whether changes are needed to the regulations covering hazardous liquid onshore pipelines, whether other areas should be included as HCAs for integrity management (IM) protections, what the repair timeframes should be for areas outside the HCAs that are assessed as part of the IM program, whether leak detection standards are necessary, valve spacing requirements are needed on new construction or existing pipelines, and PHMSA should extend regulation to certain pipelines currently exempt from regulation. The agency would also address the public safety and environmental aspects any new requirements, as well as the cost implications and regulatory burden.

*Timetable:*

Action	Date	FR Cite
ANPRM .....	10/18/10	75 FR 63774
ANPRM Comment Period End.	01/18/11	
ANPRM Comment Period Extended.	01/04/11	76 FR 303
ANPRM Extended Comment Period End.	02/18/11	
NPRM .....	10/00/13	

*Regulatory Flexibility Analysis Required: Yes.*

*Agency Contact:* John A Gale, Transportation Regulations Specialist, Department of Transportation, Pipeline and Hazardous Materials Safety Administration, 1200 New Jersey Avenue SE., Washington, DC 20590, *Phone:* 202 366-0434, *Email:* john.gale@dot.gov. *RIN:* 2137-AE66

**59. Pipeline Safety: Issues Related to the Use of Plastic Pipe in Gas Pipeline Industry**

*Legal Authority:* 49 U.S.C. 60101 *et seq.*

*Abstract:* This rulemaking would address a number of issues related to the

use of plastic pipe in the gas pipeline industry. These issues include composite pipe petitions, plastic issues on gas lines, authorized use of PA12 at higher pressures, 50 year markings, increasing design factor from 0.32 to 0.40 for polyethylene pipe, characterization of “plastic pipe” to “non-metallic pipe,” leak repair revisions, incorporation by reference certain ANSI standards and enhanced tracking and traceability of lines.

*Timetable:*

Action	Date	FR Cite
NPRM .....	04/00/14	

*Regulatory Flexibility Analysis Required: Yes.*

*Agency Contact:* Cameron H Satterthwaite, Transportation Regulations Specialist, Department of Transportation, Pipeline and Hazardous Materials Safety Administration, 1200 New Jersey Avenue SE., Washington, DC 20590, *Phone:* 202 366–8553, *Email:* cameron.satterthwaite@dot.gov.

*RIN:* 2137–AE93

**60. Pipeline Safety: Miscellaneous Amendments Related to Reauthorization and Petitions for Rulemaking (RRR)**

*Legal Authority:* 49 U.S.C. 60101 *et seq.*

*Abstract:* This rulemaking will address miscellaneous issues that have been raised because of the reauthorization of the pipeline safety program in 2012 and petitions for rulemaking from many affected stakeholders. Some of the issues that this rulemaking would address include, renewal process for special permits, cost recovery for design reviews and incident reporting.

*Timetable:*

Action	Date	FR Cite
NPRM .....	01/00/14	

*Regulatory Flexibility Analysis Required: Yes.*

*Agency Contact:* John A Gale, Transportation Regulations Specialist, Department of Transportation, Pipeline and Hazardous Materials Safety Administration, 1200 New Jersey Avenue SE., Washington, DC 20590, *Phone:* 202 366–0434, *Email:* john.gale@dot.gov.

*RIN:* 2137–AE94

**DEPARTMENT OF TRANSPORTATION (DOT)**

*Pipeline and Hazardous Materials Safety Administration (PHMSA)*

Final Rule Stage

**61. +Hazardous Materials: Revisions to Requirements for the Transportation of Lithium Batteries**

*Legal Authority:* 49 U.S.C. 5101 *et seq.*

*Abstract:* This rulemaking would amend the Hazardous Materials Regulations to comprehensively address the safe transportation of lithium cells and batteries. The intent of the rulemaking is to strengthen the current regulatory framework by imposing more effective safeguards, including design testing to address risks related to internal short circuits, and enhanced packaging, hazard communication, and operational measures for various types and sizes of lithium batteries in specific transportation contexts. The rulemaking would respond to several recommendations issued by the National Transportation Safety Board.

*Timetable:*

Action	Date	FR Cite
NPRM .....	01/11/10	75 FR 1302
NPRM Comment Period End.	03/12/10	
Notice .....	04/11/12	77 FR 21714
Notice Comment Period End.	05/11/12	
NPRM Comment Period Extended.	01/07/13	78 FR 1119
NPRM Comment Period Extended End.	03/08/13	
Final Rule .....	11/00/13	

*Regulatory Flexibility Analysis Required: Yes.*

*Agency Contact:* Kevin Leary, Transportation Specialist, Department of Transportation, Pipeline and Hazardous Materials Safety Administration, 1200 New Jersey Avenue SE., Washington, DC 20590, *Phone:* 202 366–8553, *Email:* kevin.leary@dot.gov.

*RIN:* 2137–AE44

**DEPARTMENT OF TRANSPORTATION (DOT)**

*Pipeline and Hazardous Materials Safety Administration (PHMSA)*

Completed Actions

**62. Hazardous Materials: Miscellaneous Amendments (RRR) (Completion of a Section 610 Review)**

*Legal Authority:* 49 U.S.C. 5101 *et seq.*

*Abstract:* This rulemaking would update and clarify existing requirements by incorporating changes into the Hazardous Materials Regulations (HMR) based on PHMSA’s own initiatives through an extensive review of the HMR and previously issued letters of interpretation. Specifically, among other provisions, PHMSA would provide for the continued use of approvals until final administrative action is taken, when a correct and completed application for approval renewal was received 60 days prior to expiration date; update various entries in the hazardous materials table and the corresponding special provisions; clarify the lab pack requirements for temperature controlled materials; correct an error in the HMR with regard to the inspection of cargo tank motor vehicles containing corrosive materials; and revise the training requirements to require that hazardous materials employers ensure their hazardous materials employee training records are available upon request to an authorized official of the Department of Transportation or the Department of Homeland Security.

*Timetable:*

Action	Date	FR Cite
NPRM .....	04/26/12	77 FR 24885
NPRM Comment Period End.	06/25/12	
Final Rule .....	03/11/13	78 FR 15303
Final Rule Effective.	05/10/13	

*Regulatory Flexibility Analysis Required: No.*

*Agency Contact:* Robert Benedict, Transportation Regulations Specialist, Department of Transportation, Pipeline and Hazardous Materials Safety Administration, 1200 New Jersey Avenue SE., Washington, DC 20590, *Phone:* 202 366–8553, *Email:* robert.benedict@dot.gov.

*RIN:* 2137–AE78

**BILLING CODE 4910–60–P**

**DEPARTMENT OF TRANSPORTATION (DOT)**

*Maritime Administration (MARAD)*

Long-Term Actions

**63. +Regulations To Be Followed by all Departments, Agencies, and Shippers Having Responsibility To Provide a Preference for U.S.-Flag Vessels in the Shipment of Cargoes on Ocean Vessels**

*Legal Authority:* 49 CFR 1.66; 46 app U.S.C. 1101; 46 app U.S.C. 1241; 46 U.S.C. 2302 (e)(1); Pub. L. 91–469

*Abstract:* This rulemaking would revise and clarify the Cargo Preference rules that have not been revised substantially since 1971. Revisions would include an updated purpose and definitions section along with the removal of obsolete provisions. This rulemaking also would establish a new Part 383 to implement the Cargo Preference regulations. This rulemaking would cover Public Law 110–417, section 3511, National Defense Authorization Act for FY2009 changes to the cargo preference rules. The rulemaking would also provide for

compromise, assessment, mitigation, settlement, and collection of civil penalties. Originally the agency had two separate rulemakings in process under RIN 2133–AB74 and 2133–AB75. RIN 2133–AB74 would have revised existing regulations and RIN 2133–AB75 would have established a new part 383: Guidance and Civil Penalties and implement Public Law 110–417, section 3511, National Defense Authorization Act for FY 2009. MARAD has decided it would be more efficient to merge both efforts under one; RIN 2133–AB75 has been merged with this action.

*Timetable:* Next Action Undetermined.

*Regulatory Flexibility Analysis Required:* Yes.

*Agency Contact:* Dennis Brennan, Department of Transportation, Maritime Administration, 1200 New Jersey Avenue SE., Washington, DC 20590, *Phone:* 202 366–1029, *Email:* [dennis.brennan@dot.gov](mailto:dennis.brennan@dot.gov).

*RIN:* 2133–AB74

[FR Doc. 2013–17066 Filed 7–22–13; 8:45 am]

**BILLING CODE 4910–81–P**