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[FR Doc. 2013-12828 Filed 5-29-13; 8:45 am]

BILLING CODE 4153-01-P

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Office of the Secretary

#### Food and Drug Administration Safety and Innovation Act (FDASIA): Request for Comments on the Development of a Risk-Based Regulatory Framework and Strategy for Health Information Technology

**AGENCY:** Office of the National  
Coordinator for Health Information  
Technology, Department of Health and  
Human Services.

**ACTION:** Notice of public meeting and  
request for comments.

**SUMMARY:** The Food and Drug  
Administration (FDA), Office of the  
National Coordinator for Health  
Information Technology (ONC), and  
Federal Communication Commission  
(FCC) seek broad input from  
stakeholders and experts on the  
elements we should consider as we  
develop a report that contains a  
proposed strategy and recommendations  
on an appropriate, risk-based regulatory  
framework for health IT, including  
mobile medical applications, that  
promotes innovation, protects patient  
safety, and avoids regulatory  
duplication. To that end, we are  
requesting comments on the topics  
identified in Section III.

**DATES:** This Docket on *regulations.gov*  
will remain open for public comments  
until 11:59pm Eastern Time, August 31,  
2013.

**FOR FURTHER INFORMATION CONTACT:**  
Steven Posnack, Director, Federal Policy  
Division, Office of Policy and Planning,  
Office of the National Coordinator for  
Health IT, 202-690-7151.

#### SUPPLEMENTARY INFORMATION:

#### I. The Food and Drug Administration Safety and Innovation Act Workgroup Under ONC's HIT Policy Committee

Section 618(a) of the Food and Drug  
Administration Safety and Innovation  
Act (FDASIA) of 2012 (Pub. L. 112-144)  
directs the Secretary of the Department  
of Health and Human Services (HHS),  
acting through the Commissioner of the  
Food and Drug Administration (FDA),  
and in consultation with the HHS Office  
of the National Coordinator for Health  
Information Technology (ONC) and the  
Chairman of the Federal

Communications Commission (FCC), to  
publish a report that will offer a  
proposed strategy and recommendations  
for an appropriate risk-based Health IT  
regulatory framework that would  
include mobile medical applications  
and promotes innovation, protects  
patient safety, and avoids regulatory  
duplication.

To assist the agencies' efforts in  
developing this report, the FDA in  
collaboration with ONC and FCC  
formed a new workgroup, referred to as  
the FDASIA Workgroup, under ONC's  
HIT Policy Committee to help the HIT  
Policy Committee provide appropriate  
input and recommendations to FDA,  
ONC, and FCC as suggested by section  
618(b) of FDASIA. Accordingly, the  
FDASIA Workgroup is charged with  
providing input on issues relevant to the  
report FDA, ONC, and FCC will  
develop, which include:

- Types of risk that may be posed by  
health IT that impact patient safety, the  
likelihood that these risks will be  
realized, and the impact of these  
considerations on a risk-based  
approach;
- Factors or approaches that could be  
included in a risk-based regulatory  
approach for health IT that also promote  
innovation and protect patient safety;  
and
- Approaches to avoid duplicative or  
overlapping regulatory requirements.

The workgroup's membership  
includes agency officials and  
representatives from a wide range of  
stakeholders, including patients,  
consumers, health care providers,  
startup companies, health plans and  
other third-party payers, venture capital  
investors, information technology  
vendors, health information technology  
vendors, small businesses, purchasers,  
and employers.

Through this request for comments,  
FDA, ONC, and FCC would like to  
provide an opportunity for broad public  
input on section 618 of FDASIA. Timely  
submitted written comments will  
inform the new FDASIA Workgroup's  
deliberations on the input it will  
provide to the HIT Policy Committee  
regarding the report required by section  
618 of FDASIA. We seek input on a  
number of specific topics identified in  
Section III, but welcome any other  
pertinent information stakeholders wish  
to share. *For commenters that wish to  
have their comments considered by the  
FDASIA Workgroup, we encourage you  
to submit your comments as early as  
possible and preferably before June 30,  
2013.*

#### FDASIA Workgroup In-Person Meeting

On May 30 and 31, 2013, in  
Washington, DC, the FDASIA  
Workgroup will hold an in-person  
meeting which will also be Webcast.  
Persons interested in attending the in-  
person meeting or viewing the Webcast  
can access information about doing so at  
this URL: [http://www.healthit.gov/  
policy-researchers-implementers/policy-  
fdasia-1](http://www.healthit.gov/policy-researchers-implementers/policy-fdasia-1).

Interested parties may submit  
electronic comments to [http://  
www.regulations.gov](http://www.regulations.gov). Submit written  
comments to Office of the National  
Coordinator for Health Information  
Technology, Attention: FDASIA Report  
Hubert H. Humphrey Building, Suite  
729D, 200 Independence Ave. SW.,  
Washington, DC 20201.

#### II. Background

Health IT is being rapidly adopted by  
the health care industry and there is a  
growing need for the Federal  
government to develop a coordinated  
approach to its oversight of health IT  
that promotes innovation, protects  
patient safety, and avoids regulatory  
duplication. FDA, FCC, and ONC each  
have important roles with respect to the  
development and use of health IT that  
significantly impacts public health and  
welfare. Congress recognized the  
importance of a coordinated regulatory  
approach and through FDASIA,  
specifically tasked the FDA, ONC, and  
FCC with creating a report that includes  
a proposed strategy and  
recommendations for an appropriate,  
risk-based regulatory framework for  
health IT. To inform the report required  
by FDASIA, FDA, ONC, and FCC, in  
addition to receiving input from the HIT  
Policy Committee, intend to provide  
multiple opportunities, as appropriate,  
for input from other stakeholders at  
different stages throughout the report's  
development, including, if feasible,  
feedback on the draft framework prior to  
finalizing the report.

#### III. Topics for Discussion

Public comment is sought on any or  
all of the following topics below.

##### 1. Taxonomy

a. What types of health IT should be  
addressed by the report developed by  
FDA, ONC, and FCC?

##### 2. Risk and Innovation

a. What are the risks to patient safety  
posed by health IT and what is the  
likelihood of these risks?

b. What factors or approaches could  
be included in a risk-based regulatory  
approach for health IT to promote  
innovation and protect patient safety?

## 3. Regulation

a. Are there current areas of regulatory overlap among FDA, ONC, and/or FCC and if so, what are they? Please be specific if possible.

b. If there are areas of regulatory overlap, what, if any, actions should the agencies take to minimize this overlap? How can further duplication be avoided?

Dated: May 23, 2013.

**Jodi Daniel,**

*Director, Office of Policy and Planning, Office of the National Coordinator for Health IT.*

[FR Doc. 2013-12817 Filed 5-29-13; 8:45 am]

**BILLING CODE 4150-45-P**

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Meeting of the 2015 Dietary Guidelines Advisory Committee

**AGENCY:** Office of the Assistant Secretary for Health, Office of the Secretary, Department of Health and Human Services.

**ACTION:** Notice.

**SUMMARY:** As stipulated by the Federal Advisory Committee Act, the U.S. Department of Health and Human Services (HHS), in collaboration with the U.S. Department of Agriculture (USDA), are hereby giving notice that a meeting of the 2015 Dietary Guidelines Advisory Committee (DGAC) will be held. This meeting will be open to the public.

**DATES:** The meeting will be held on June 13, 2013 from 8:30 a.m.–11:30 a.m. e.d.t. and June 14, 2013 from 8:30 a.m.–3:45 p.m. e.d.t.

**ADDRESSES:** The meeting will be accessible by webcast on the Internet or by attendance in-person. For in-person participants, on June 13, 2013, the meeting will take place in the National Institutes of Health (NIH) Masur Auditorium. On June 14, 2013, the meeting will be held in the NIH Foundation for Advanced Education in the Sciences (FAES) Academic Center. Both facilities are located at the NIH Clinical Center, Building 10, 10 Center Drive, 9000 Rockville Pike, Bethesda, MD 20892.

**FOR FURTHER INFORMATION CONTACT:** Designated Federal Officer (DFO), 2015 DGAC, Richard D. Olson, M.D., M.P.H.; Alternate DFO, 2015 DGAC, Kellie (O'Connell) Casavale, Ph.D., R.D., Nutrition Advisor; Office of Disease Prevention and Health Promotion, OASH/HHS; 1101 Wootton Parkway, Suite LL100 Tower Building; Rockville, MD 20852; Telephone: (240) 453-8280;

Fax: (240) 453-8281; Lead USDA Co-Executive Secretary, Colette I. Rihane, M.S., R.D., Director, Nutrition Guidance and Analysis Division, Center for Nutrition Policy and Promotion, USDA; 3101 Park Center Drive, Room 1034; Alexandria, VA 22302; Telephone: (703) 305-7600; Fax: (703) 305-3300; and/or USDA Co-Executive Secretary, Shanthi A. Bowman, Ph.D., Nutritionist, Food Surveys Research Group, Beltsville Human Nutrition Research Center, Agricultural Research Service, USDA; 10300 Baltimore Avenue, BARC-West Bldg 005, Room 125; Beltsville, MD 20705-2350; Telephone: (301) 504-0619. Additional information about the 2015 DGAC is available on the Internet at [www.DietaryGuidelines.gov](http://www.DietaryGuidelines.gov).

**SUPPLEMENTARY INFORMATION:** Under Section 301 of Public Law 101-445 (7 U.S.C. 5341, the National Nutrition Monitoring and Related Research Act of 1990, Title III) the Secretaries of Health and Human Services (HHS) and Agriculture (USDA) are directed to issue at least every five years a report titled *Dietary Guidelines for Americans*. The law instructs that this publication shall contain nutritional and dietary information and guidelines for the general public, shall be based on the preponderance of scientific and medical knowledge current at the time of publication, and shall be promoted by each federal agency in carrying out any federal food, nutrition, or health program. The *Dietary Guidelines for Americans* was issued voluntarily by HHS and USDA in 1980, 1985, and 1990; the 1995 edition was the first statutorily mandated report, followed by subsequent editions at the appropriate intervals. To assist with satisfying the mandate, a discretionary federal advisory committee is established every five years to provide independent, science-based advice and recommendations. The DGAC consists of a panel of experts who are selected from the public/private sector. Individuals who are selected to serve on the Committee must have current scientific knowledge in the field of human nutrition and chronic disease.

**Appointed Committee Members:** As outlined (stipulated) in the charter, the 2015 DGAC will be composed of not more than 17 members, with the minimum number being 13. Individuals are appointed to serve on the Committee who are jointly agreed upon by the Secretaries of HHS and USDA. The Secretaries of HHS and USDA recently appointed 15 individuals to serve as members of the 2015 DGAC. Information on the DGAC membership

will be available at [www.DietaryGuidelines.gov](http://www.DietaryGuidelines.gov).

**Authority:** The 2015 DGAC is authorized under 42 U.S.C. 217a, Section 222 of the Public Health Service Act, as amended.

**Committee's Task:** The work of the DGAC will be solely advisory in nature and time-limited. The Committee will develop recommendations based on the preponderance of current scientific and medical knowledge using a systematic review approach. The DGAC will examine the current *Dietary Guidelines for Americans*, take into consideration new scientific evidence and current resource documents, and develop a report to the Secretaries of HHS and USDA that outlines its science-based recommendations and rationale which will serve as the basis for developing the eighth edition of the *Dietary Guidelines for Americans*. The Committee will hold approximately five public meetings to review and discuss recommendations. Meeting dates, times, locations, and other relevant information will be announced at least 15 days in advance of each meeting via **Federal Register** notice. As stipulated in the charter, the Committee will be terminated after delivery of its final report to the Secretaries of HHS and USDA or two years from the date the charter was filed, whichever comes first.

**Purpose of the Meeting:** In accordance with FACA and to promote transparency of the process, deliberations of the Committee will occur in a public forum. At this meeting, the Committee will be oriented to the *Dietary Guidelines* revision process and begin its deliberations.

**Meeting Agenda:** The meeting agenda will include (a) review of operations for the Committee members, (b) presentations on the history of the *Dietary Guidelines* and how they are used, (c) presentation on USDA's Nutrition Evidence Library, and (d) plans for future Committee work.

**Meeting Registration:** The meeting is open to the public. The meeting will be accessible by webcast or by attendance in-person. Pre-registration is required for both web viewing and in-person attendance. To pre-register, please go to [www.DietaryGuidelines.gov](http://www.DietaryGuidelines.gov) and click on the link for "Meeting Registration." To register by phone or to request a sign language interpreter or other special accommodations, please call for registration and logistics assistance through National Capitol Contracting, Laura Walters at (703) 243-9696 by 5:00 p.m. E.D.T., June 10, 2013. Pre-registration must include name, affiliation, phone number or email, days