

Airspace Designations and Reporting Points, dated August 8, 2012, and

effective September 15, 2012, is amended as follows:

Paragraph 2006 United States Area Navigation Routes  
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|  |        |  |
|--|--------|--|
| <b>Q68 Charleston, WV (HVQ) to OTTTO, VA [New]</b> |        |  |
| Charleston, WV (HVQ)                               | VORTAC | (Lat. 38°20'59" N., long. 081°46'12" W.) |
| TOMCA, WV  | WP     | (Lat. 38°34'42" N., long. 080°36'41" W.) |
| RONZZ, WV  | WP     | (Lat. 38°33'16" N., long. 080°07'57" W.) |
| HHOLZ, WV  | WP     | (Lat. 38°38'02" N., long. 079°41'33" W.) |
| HAMME, WV  | WP     | (Lat. 38°42'30" N., long. 079°14'39" W.) |
| CAPOE, VA  | WP     | (Lat. 38°51'13" N., long. 078°22'27" W.) |
| OTTTO, VA  | WP     | (Lat. 38°51'16" N., long. 078°12'20" W.) |
| <b>Q72 HACKS, WV to RAMAY, VA [New]</b>            |        |  |
| HACKS, WV  | FIX    | (Lat. 39°07'46" N., long. 081°05'35" W.) |
| GEQUE, WV  | WP     | (Lat. 39°05'19" N., long. 080°17'58" W.) |
| BENSH, WV  | WP     | (Lat. 39°01'10" N., long. 079°10'29" W.) |
| RAMAY, VA  | WP     | (Lat. 38°57'39" N., long. 078°12'59" W.) |
| <b>Q80 FAREV, KY to OTTTO, VA [New]</b>            |        |  |
| FAREV, KY  | WP     | (Lat. 37°12'28" N., long. 085°07'21" W.) |
| JEDER, KY  | WP     | (Lat. 37°19'31" N., long. 084°45'14" W.) |
| ENGRA, KY  | WP     | (Lat. 37°29'02" N., long. 084°15'02" W.) |
| DEWAK, KY  | WP     | (Lat. 37°46'38" N., long. 083°14'58" W.) |
| CEGMA, KY  | WP     | (Lat. 37°54'00" N., long. 082°50'32" W.) |
| JONEN, KY  | WP     | (Lat. 37°59'09" N., long. 082°32'46" W.) |
| BULVE, WV  | WP     | (Lat. 38°13'20" N., long. 081°42'43" W.) |
| WISTA, WV  | WP     | (Lat. 38°17'01" N., long. 081°27'47" W.) |
| LEVII, WV  | WP     | (Lat. 38°22'20" N., long. 081°05'52" W.) |
| RONZZ, WV  | WP     | (Lat. 38°33'16" N., long. 080°07'57" W.) |
| HHOLZ, WV  | WP     | (Lat. 38°38'02" N., long. 079°41'33" W.) |
| HAMME, WV  | WP     | (Lat. 38°42'30" N., long. 079°14'39" W.) |
| CAPOE, VA  | WP     | (Lat. 38°51'13" N., long. 078°22'27" W.) |
| OTTTO, VA  | WP     | (Lat. 38°51'16" N., long. 078°12'20" W.) |

Paragraph 6011 United States Area Navigation Routes  
\* \* \* \*

|  |        |  |
|--|--------|--|
| <b>T-291 LOUIE, MD to Harrisburg (HAR), PA [New]</b> |        |  |
| LOUIE, MD  | Fix    | (Lat. 38°36'44" N., long. 076°18'04" W.) |
| MORTY, MD  | WP     | (Lat. 39°19'51" N., long. 076°24'41" W.) |
| Harrisburg, PA (HAR)                                 | VORTAC | (Lat. 40°18'08" N., long. 077°04'10" W.) |
| <b>T-295 LOUIE, MD to Lancaster (LRP), PA [New]</b>  |        |  |
| LOUIE, MD  | Fix    | (Lat. 38°36'44" N., long. 076°18'04" W.) |
| MORTY, MD  | WP     | (Lat. 39°19'51" N., long. 076°24'41" W.) |
| Lancaster, PA (LRP)                                  | VORTAC | (Lat. 40°07'12" N., long. 076°17'29" W.) |

Issued In Washington, DC, on May 14, 2013.

Gary A. Norek,  
Manager, Airspace Policy and ATC  
Procedures Group.

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SECURITIES AND EXCHANGE  
COMMISSION

17 CFR Part 232

[Release Nos. 33-9403; 34-69568; 39-2490;  
IC-30515]

Adoption of Updated EDGAR Filer  
Manual

AGENCY: Securities and Exchange  
Commission.

ACTION: Final rule.

SUMMARY: The Securities and Exchange  
Commission (the Commission) is  
adopting revisions to the Electronic Data

Gathering, Analysis, and Retrieval  
System (EDGAR) Filer Manual and  
related rules to reflect updates to the  
EDGAR system. The revisions are being  
made primarily to implement the new  
Form 13F online application and to  
support US GAAP 2013 Taxonomy. The  
EDGAR system is scheduled to be  
upgraded to support this functionality  
on May 20, 2013.

DATES: Effective May 21, 2013. The  
incorporation by reference of the  
EDGAR Filer Manual is approved by the  
Director of the Federal Register as of  
May 21, 2013.

FOR FURTHER INFORMATION CONTACT: In  
the Division of Investment Management,  
for questions concerning Form 13F  
contact Judith Gechter at (202) 551-  
6860; in the Division of Risk, Strategy,  
and Financial Innovation for questions  
concerning XBRL Taxonomies contact  
Matthew Carruth at (202) 551-2033; and  
in the Office of Information Technology,

contact Vanessa Anderson at (202) 551-  
8800.

SUPPLEMENTARY INFORMATION: We are  
adopting an updated EDGAR Filer  
Manual, Volume I, Volume II, and  
Volume III. The Filer Manual describes  
the technical formatting requirements  
for the preparation and submission of  
electronic filings through the EDGAR  
system.<sup>1</sup> It also describes the  
requirements for filing using  
EDGARLink Online and the Online  
Forms/XML Web site.

The revisions to the Filer Manual  
reflect changes within Volume I entitled  
EDGAR Filer Manual, Volume I:  
“General Information,” Version 15 (May  
2013), Volume II entitled EDGAR Filer  
Manual, Volume II: “EDGAR Filing,”  
Version 23 (May 2013), and Volume III

<sup>1</sup> We originally adopted the Filer Manual on April  
1, 1993, with an effective date of April 26, 1993.  
Release No. 33-6986 (April 1, 1993) [58 FR 18638].  
We implemented the most recent update to the Filer  
Manual on January 14, 2013. See Release No. 33-  
9382 (January 23, 2013) [77 FR 54806].

entitled EDGAR Filer Manual Volume III: “N–SAR Supplement” Version 2 (August 2011).<sup>2</sup> The updated manual will be incorporated by reference into the Code of Federal Regulations.

The Filer Manual contains all the technical specifications for filers to submit filings using the EDGAR system. Filers must comply with the applicable provisions of the Filer Manual in order to assure the timely acceptance and processing of filings made in electronic format.<sup>3</sup> Filers may consult the Filer Manual in conjunction with our rules governing mandated electronic filing when preparing documents for electronic submission.<sup>4</sup>

The EDGAR system will be upgraded to Release 13.1 on May 20, 2013 and will introduce the following changes: EDGAR will be updated to implement the new Form 13F online application. Form 13F will no longer be available on the EDGARLink Online application. Form 13F may only be filed using the new online version of the form available on the EDGAR Filing Web site or constructed by filers according to the new ‘EDGAR Form 13F XML Technical Specification’ document. The submission form types 13F–HR, 13–HR/A, 13F–NT, and 13–NT/A will be accessible by selecting the ‘File Form 13F’ link on the EDGAR Filing Web site.

Instructions to file the online version of Form 13F are included in two new sections of Chapter 9 (Preparing and Transmitting Online Submissions) of the “EDGAR Filer Manual, Volume II: EDGAR Filing” to guide filers through the filing process. These sections will replace Appendix G, Form 13F Special Electronic Filing Instructions.

EDGAR will be upgraded to support the US GAAP 2013 Taxonomy. In addition EDGAR will no longer provide support for the US GAAP 2011 Taxonomy. Please see a complete listing of supported standard taxonomies on the Commission’s public Web site (<http://www.sec.gov/info/edgar/edgartaxonomies.shtml>).

The Commission is no longer pursuing its existing project to modify the method of filing Form N–SAR from a DOS-based application to an online

(XML) application. The draft Form N–SAR XML Technical Specification document will be removed from the Web site and the references to the new application will be removed from the EDGAR Filer Manual. Filers should continue to use the DOS-based application to create Form N–SAR submissions and the EDGAR Filer Manual, Volume III: “N–SAR Supplement” as comprehensive enhancements to the form are being considered. Please see “Notice to EDGAR Form N–SAR Filers” posted on the Commission’s public Web site’s “Information for EDGAR Filers” page (<http://www.sec.gov/info/edgar.shtml>) for additional information.

Along with the adoption of the Filer Manual, we are amending Rule 301 of Regulation S–T to provide for the incorporation by reference into the Code of Federal Regulations of today’s revisions. This incorporation by reference was approved by the Director of the Federal Register in accordance with 5 U.S.C. 552(a) and 1 CFR part 51.

You may obtain paper copies of the updated Filer Manual at the following address: Public Reference Room, U.S. Securities and Exchange Commission, 100 F Street NE., Room 1543, Washington DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. We will post electronic format copies on the Commission’s Web site; the address for the Filer Manual is <http://www.sec.gov/info/edgar.shtml>.

Since the Filer Manual and the corresponding rule changes relate solely to agency procedures or practice, publication for notice and comment is not required under the Administrative Procedure Act (APA).<sup>5</sup> It follows that the requirements of the Regulatory Flexibility Act<sup>6</sup> do not apply.

The effective date for the updated Filer Manual and the rule amendments is May 21, 2013. In accordance with the APA,<sup>7</sup> we find that there is good cause to establish an effective date less than 30 days after publication of these rules. The EDGAR system upgrade to Release 13.1 is scheduled to become available on May 20, 2013. The Commission believes that establishing an effective date less than 30 days after publication of these rules is necessary to coordinate the effectiveness of the updated Filer Manual with the system upgrade.

#### Statutory Basis

We are adopting the amendments to Regulation S–T under Sections 6, 7, 8,

10, and 19(a) of the Securities Act of 1933,<sup>8</sup> Sections 3, 12, 13, 14, 15, 23, and 35A of the Securities Exchange Act of 1934,<sup>9</sup> Section 319 of the Trust Indenture Act of 1939,<sup>10</sup> and Sections 8, 30, 31, and 38 of the Investment Company Act of 1940.<sup>11</sup>

#### List of Subjects in 17 CFR Part 232

Incorporation by reference, Reporting and recordkeeping requirements, Securities.

#### Text of the Amendment

In accordance with the foregoing, Title 17, Chapter II of the Code of Federal Regulations is amended as follows:

#### PART 232—REGULATION S–T—GENERAL RULES AND REGULATIONS FOR ELECTRONIC FILINGS

■ 1. The authority citation for part 232 continues to read in part as follows:

**Authority:** 15 U.S.C. 77f, 77g, 77h, 77j, 77s(a), 77z–3, 77sss(a), 78c(b), 78l, 78m, 78n, 78o(d), 78w(a), 78ll, 80a–6(c), 80a–8, 80a–29, 80a–30, 80a–37, and 7201 *et seq.*; and 18 U.S.C. 1350.

\* \* \* \* \*

■ 2. Section 232.301 is revised to read as follows:

#### § 232.301 EDGAR Filer Manual.

Filers must prepare electronic filings in the manner prescribed by the EDGAR Filer Manual, promulgated by the Commission, which sets out the technical formatting requirements for electronic submissions. The requirements for becoming an EDGAR Filer and updating company data are set forth in the updated EDGAR Filer Manual, Volume I: “General Information,” Version 15 (May 2013). The requirements for filing on EDGAR are set forth in the updated EDGAR Filer Manual, Volume II: “EDGAR Filing,” Version 23 (May 2013). Additional provisions applicable to Form N–SAR filers are set forth in the EDGAR Filer Manual, Volume III: “N–SAR Supplement,” Version 2 (August 2011). All of these provisions have been incorporated by reference into the Code of Federal Regulations, which action was approved by the Director of the Federal Register in accordance with 5 U.S.C. 552(a) and 1 CFR Part 51. You must comply with these requirements in order for documents to be timely received and accepted. You can obtain paper copies of the EDGAR Filer Manual from the following address:

<sup>8</sup> 15 U.S.C. 77f, 77g, 77h, 77j, and 77s(a).

<sup>9</sup> 15 U.S.C. 78c, 78l, 78m, 78n, 78o, 78w, and 78ll.

<sup>10</sup> 15 U.S.C. 77sss.

<sup>11</sup> 15 U.S.C. 80a–8, 80a–29, 80a–30, and 80a–37.

<sup>2</sup> EDGAR Filer Manual Volume III: “N–SAR Supplement” Version 2 (August 2011) was previously removed in preparation of conversion of the DOS based Form N–SAR application to an online application. See Release No 33–9303. The Commission is no longer pursuing this conversion as comprehensive enhancements to the form are being considered.

<sup>3</sup> See Rule 301 of Regulation S–T (17 CFR 232.301).

<sup>4</sup> See Release No. 33–9382 (January 23, 2013) [78 FR 4766] in which we implemented EDGAR Release 13.0. For additional history of Filer Manual rules, please see the cites therein.

<sup>5</sup> 5 U.S.C. 553(b).

<sup>6</sup> 5 U.S.C. 601–612.

<sup>7</sup> 5 U.S.C. 553(d)(3).

Public Reference Room, U.S. Securities and Exchange Commission, 100 F Street NE., Room 1543, Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. Electronic copies are available on the Commission's Web site. The address for the Filer Manual is <http://www.sec.gov/info/edgar.shtml>. You can also inspect the document at the National Archives and Records Administration (NARA). For information on the availability of this material at NARA, call 202-741-6030, or go to: [http://www.archives.gov/federal-register/code-of-federal-regulations/ibr\\_locations.html](http://www.archives.gov/federal-register/code-of-federal-regulations/ibr_locations.html).

By the Commission.

Dated: May 14, 2013.

**Elizabeth M. Murphy,**  
Secretary.

[FR Doc. 2013-11921 Filed 5-20-13; 8:45 am]

**BILLING CODE 8011-01-P**

## INTERNATIONAL TRADE COMMISSION

### 19 CFR Part 210

#### Rules of Adjudication and Enforcement

**AGENCY:** International Trade Commission.

**ACTION:** Final rule.

**SUMMARY:** The United States International Trade Commission ("Commission") amends its Rules of Practice and Procedure concerning adjudication and enforcement. The amendments address concerns that have arisen about the scope of discovery in Commission proceedings under section 337 of the Tariff Act of 1930. The intended effect of the amendments is to reduce expensive, inefficient, unjustified, or unnecessary discovery practices in agency proceedings while preserving the opportunity for fair and efficient discovery for all parties.

**DATES:** *Effective Date:* June 20, 2013.

*Applicability Date:* This regulation is applicable to investigations instituted after June 20, 2013.

**FOR FURTHER INFORMATION CONTACT:**

Cathy Chen, telephone 202-205-2392, or Clark S. Cheney, telephone 202-205-2661, Office of the General Counsel, United States International Trade Commission. Hearing-impaired individuals are advised that information on this matter can be obtained by contacting the Commission's TDD terminal at 202-205-1810. General information concerning the Commission

may also be obtained by accessing its Internet server at <http://www.usitc.gov>.

**SUPPLEMENTARY INFORMATION:**

**Background**

Section 335 of the Tariff Act of 1930 (19 U.S.C. 1335) authorizes the Commission to adopt such reasonable procedures, rules, and regulations as it deems necessary to carry out its functions and duties. This rulemaking was undertaken to address concerns that have arisen about the scope of discovery in Commission proceedings under section 337 of the Tariff Act of 1930 (19 U.S.C. 1337) ("section 337"). The Commission is amending its rules governing investigations under section 337 in order to increase the efficiency of its section 337 investigations.

For some time, the Commission has been considering proposals to improve procedures relating to discovery in proceedings under section 337 generally and to improve procedures relating to the discovery of electronically stored information ("e-discovery") specifically. On July 19, 2011, The George Washington University Law School hosted a forum on e-discovery in section 337 investigations. Presenters at the forum stated that parties to section 337 investigations often search and produce large volumes of information stored in electronic format to satisfy discovery obligations in section 337 proceedings but that only a small fraction of that information is admitted into the investigation record. Presenters questioned whether the potential benefit of discovered materials outweighs the costs associated with current discovery obligations. Presenters also compared e-discovery procedures in various district courts with discovery procedures at the Commission and made various proposals for improving the Commission's procedures.

The Commission has considered, *inter alia*, e-discovery proposals from the International Trade Commission Trial Lawyers Association; a draft proposal on e-discovery from the International Trade Commission Committee of the American Bar Association Intellectual Property section; a model e-discovery order prepared by the Federal Circuit Advisory Council; e-discovery provisions in a pilot program underway in the U.S. District Court for the Southern District of New York; e-discovery standards promulgated by the U.S. District Court for the District of Delaware; a model order regarding e-discovery in patent cases issued by the U.S. District Court for the Eastern District of Texas; ground rules promulgated by administrative law judges at the Commission; and

analogous portions of the Federal Rules of Civil Procedure that concern limitations on discovery and that concern e-discovery.

Some of the materials considered by the Commission describe a risk of inadvertent disclosure of privileged information or attorney work product during the production of electronically stored information. Accordingly, the Commission has also considered provisions in the Federal Rules of Civil Procedure and the Federal Rules of Evidence concerning the discovery of privileged or protected information.

After reviewing the foregoing materials and other information, the Commission published a notice of proposed rulemaking (NPR) in the **Federal Register** at 77 FR 60952 (Oct. 5, 2012), proposing to amend the Commission's Rules of Practice and Procedure to adopt certain rules relating to discovery generally, to e-discovery specifically, and to the discovery of privileged information and attorney work product.

Although the Commission considered the proposed rules to be procedural rules which are excepted from notice-and-comment under 5 U.S.C. 553(b), the Commission invited the public to comment on all of the proposed rules. The NPR requested public comment on the proposed rules within 60 days of publication of the NPR. The Commission received a total of eight (8) sets of comments, one each from the American Bar Association, Section of Intellectual Property Law ("ABA"); the American Intellectual Property Law Association ("AIPLA"); Aderant; the law firm of Adduci, Mastriani & Schaumberg LLP ("AMS"); the law firm of Weil, Gotshal & Manges LLP on behalf of Cisco Systems, Inc., Dell Inc., Ford Motor Company, Hewlett-Packard Company, Intel Corporation, Micron Technology, Inc., and Toyota Motor Corporation and its U.S. subsidiary Toyota Motor Sales, U.S.A., Inc. (collectively, "the Submitting Companies"); the Association of Corporate Counsel ("ACC"); Ms. Rosa Concepcion; and the ITC Trial Lawyers Association ("ITC TLA").

The Commission carefully considered all comments that it received. The Commission's response is provided below in a section-by-section analysis. The Commission appreciates the time and effort the commentators devoted to providing comments on the NPR.

#### Regulatory Analysis of the Amendments to the Commission's Rules

The Commission has determined that the final rules do not meet the criteria described in section 3(f) of Executive