

views on whether proposals affecting market dominant rates and classifications for international postal products and services exchanged among postal administrations, to be negotiated this fall at the 25th Congress of the Universal Postal Union (UPU), are consistent with the standards and criteria for modern rate regulation established by the Commission under 39 U.S.C. 3622.

The Commission invites public comment on the principles that should guide the development of its views.

## II. Background

*The role of the State Department.* The U.S. Department of State is responsible for formulating, coordinating, and overseeing foreign policy related to international postal services and other international delivery services, and has the power to conclude postal treaties, conventions, and amendments, subject to a condition related to competitive products. 39 U.S.C. 407(b)(1). In carrying out these responsibilities, the Secretary of State exercises primary authority for the conduct of foreign policy with respect to international postal services and other international delivery services, including the determination of United States positions and the conduct of United States participation in negotiations with foreign governments and international bodies. *Id.* at 407(b)(2). In exercising this authority, the Secretary of State is, among other things, to coordinate with other agencies as appropriate, and in particular, to give full consideration to the authority vested by law or Executive Order in certain Federal entities, including the Postal Regulatory Commission. *Id.* at 407(b)(2)(A).

*The role of the Commission.* Before concluding any treaty, convention, or amendment that establishes a rate or classification for a product subject to subchapter I of chapter 36 [provisions relating to market dominant products], the Secretary of State is to request that the Commission submit its views on whether such rate or classification is consistent with the standards and criteria established by the Commission under section 3622. *Id.* at 407(c)(1).<sup>1</sup> The Secretary must then ensure that each treaty, convention, or amendment concluded under section 407(b) is consistent with the views submitted by the Commission except if, or to the extent the Secretary determines, in writing, that ensuring such consistency is not in the foreign policy or national

security interest of the United States. *Id.* at 407(c)(2).

*The role of the UPU.* The UPU is a specialized agency of the United Nations. It is the primary forum for cooperation between governments, posts, regulators and other postal-sector stakeholders. UPU Biennial Report 2009–2010 at 2. In terms of UPU organization and terminology the United States is a member country, the Department of State is the ministry, the Commission is the regulator, and the Postal Service is the operator.

Every 4 years, the UPU Congress meets “to define the future world postal strategy, the Union’s 4-year roadmap, and lay down standards and regulations which facilitate and increase the security of international exchanges of mail and parcels, as well as the delivery of a broad range of secure and affordable electronic and financial services.”<sup>2</sup> The UPU will hold its 25th Congress in Doha, Qatar this fall (from September 24 to October 15, 2012). As matters that affect “postal treaties, conventions, and amendments” will be considered in Doha, section 407 requirements come into play.

In anticipation of receipt of the Secretary of State’s request under section 407(c)(1), the Commission invites public comment on the principles that should guide development of its views on the consistency of proposals for “rates and classification of products subject to subchapter I of chapter 36” with the standards and criteria of 39 U.S.C. 3622.

Comments are to be submitted via the Commission’s *Filing Online* system at <http://www.prc.gov> unless a request for waiver is approved. For assistance with filing, contact Joyce Taylor at 202–789–6846 or [dockets@prc.gov](mailto:dockets@prc.gov).

*Public Representative.* Section 505 of title 39 requires the designation of an officer of the Commission (public representative) to represent the interests of the general public in all public proceedings. The Commission hereby designates Emmett Rand Costich as Public Representative in this proceeding.

## III. Ordering Paragraphs

*It is ordered:*

1. The Commission establishes Docket No. PI2012–1 for the purpose of receiving comments on the principles that should guide the development of its section 407 views on rate and classification proposals subject to subchapter I of chapter 36 of title 39 of

the U.S. Code [provisions relating to market dominant products].

2. Comments are due by August 20, 2012.

3. Emmett Rand Costich is designated as the Public Representative to represent the interests of the general public in this docket.

4. The Secretary shall arrange for publication of this Notice in the **Federal Register**.

**Shoshana M. Grove,**  
*Secretary.*

[FR Doc. 2012–19131 Filed 8–3–12; 8:45 am]

BILLING CODE 7710–FW–P

---



---

## SECURITIES AND EXCHANGE COMMISSION

### Proposed Collection; Comment Request

*Upon Written Request, Copies Available From:* Securities and Exchange Commission, Office of Investor Education and Advocacy, Washington, DC 20549–0213.

#### *Extension:*

Interactive Data; OMB Control No. 3235–0645 ; SEC File No. 270–330.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (“Commission”) is soliciting comments on the collection of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget for extension and approval.

The “Interactive Data” collection of information requires issuers filing registration statements under the Securities Act of 1933 (15 U.S.C. 77a *et seq.*) and reports under the Securities Exchange Act of 1934 (15 U.S.C. 78a *et seq.*) to submit specified financial information to the Commission and post it on their corporate Web sites, if any, in interactive data format using eXtensible Business Reporting Language (XBRL). This collection of information is located primarily in registration statement and report exhibit provisions, which require interactive data, and Rule 405 of Regulation S–T (17 CFR 232.405), which specifies how to submit and post interactive data. The exhibit provisions are in Item 601(b)(101) of Regulation S–K (17 CFR 229.601(b)(101)), Forms F–9 and F–10 under the Securities Act (17 CFR 239.39 and 17 CFR 239.40) and Forms 20–F, 40–F and 6–K under the Exchange Act (17 CFR 249.220f, 17 CFR 249.240f and 17 CFR 249.306).

<sup>1</sup> The Commission established a modern system of regulation in Order No. 43 (issued October 29, 2007).

<sup>2</sup> <http://www.upu.int/the-upu/congress/about-congress.html>.

In interactive data format, financial statement information could be downloaded directly into spreadsheets and analyzed in a variety of ways using commercial off-the-shelf software. The specified financial information already is and will continue to be required to be submitted to the Commission in traditional format under existing requirements. The purpose of the interactive data requirement is to make financial information easier for investors to analyze and assist issuers in automating regulatory filings and business information processing. We estimate that 10,229 respondents per year will each submit an average of 4.5 responses per year for an estimated total of 46,031 responses. We further estimate an internal burden of 59 hours per response for a total annual internal burden of 2,715,829 hours (59 hours per response × 46,031 responses).

Written comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; (b) the accuracy of the agency's estimate of the burden imposed by the collection of information; (c) ways to enhance the quality, utility, and clarity of the information collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted in writing within 60 days of this publication.

Please direct your written comments to Thomas Bayer, Director/Chief Information Officer, Securities and Exchange Commission, c/o Remi Pavlik-Simon, 6432 General Green Way, Alexandria, Virginia 22312; or send an email to: [PRA\\_Mailbox@sec.gov](mailto:PRA_Mailbox@sec.gov).

Dated: July 31, 2012.

**Kevin M. O'Neill,**  
Deputy Secretary.

[FR Doc. 2012-19075 Filed 8-3-12; 8:45 am]

BILLING CODE 8011-01-P

## SECURITIES AND EXCHANGE COMMISSION

### Proposed Collection; Comment Request

*Upon Written Request, Copies Available From:* Securities and Exchange Commission, Office of Investor Education and Advocacy, Washington, DC 20549-0213.

*Extension:*

Rule 30e-1, SEC File No. 270-21,

OMB Control No. 3235-0025.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*) ("Paperwork Reduction Act"), the Securities and Exchange Commission (the "Commission") is soliciting comments on the collection of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget ("OMB") for extension and approval.

Rule 30e-1 (17 CFR 270.30e-1) under the Investment Company Act of 1940 (15 U.S.C. 80a-1 *et seq.*) ("Investment Company Act") generally requires a registered investment company ("fund") to transmit to its shareholders, at least semi-annually, reports containing the information that is required to be included in such reports by the fund's registration statement form under the Investment Company Act. The purpose of the collection of information required by rule 30e-1 is to provide fund shareholders with current information about the operation of their funds in accordance with Section 30 of the Investment Company Act.

Approximately 2,490 funds, with a total of approximately 10,750 portfolios, respond to rule 30e-1 annually. Based on conversations with fund representatives, we estimate that it takes approximately 84 hours to comply with the collection of information associated with rule 30e-1 per portfolio. This time is spent, for example, preparing, reviewing, and certifying the reports. Accordingly, we calculate the total estimated annual internal burden of responding to rule 30e-1 to be approximately 903,000 hours (84 hours × 10,750 portfolios). In addition to the burden hours, based on conversations with fund representatives, we estimate that the total cost burden of compliance with the information collection requirements of rule 30e-1 is approximately \$31,061 per portfolio. This includes, for example, the costs for funds to prepare, print, and mail the reports. Accordingly, we calculate the total external cost burden associated with rule 30e-1 to be approximately \$333,905,750.

Estimates of average burden hours are made solely for the purposes of the Paperwork Reduction Act and are not derived from a comprehensive or even representative survey or study of the costs of Commission rules and forms. The collection of information under rule 30e-1 is mandatory. The information provided under rule 30e-1 will not be kept confidential. An agency may not conduct or sponsor, and a person is not

required to respond to, a collection of information unless it displays a currently valid OMB control number.

Written comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted in writing within 60 days of this publication.

Please direct your written comments to Thomas Bayer, Director/Chief Information Officer, Securities and Exchange Commission, C/O Remi Pavlik-Simon, 6432 General Green Way, Alexandria, VA 22312; or send an email to: [PRA\\_Mailbox@sec.gov](mailto:PRA_Mailbox@sec.gov).

Dated: July 31, 2012.

**Kevin M. O'Neill,**  
Deputy Secretary.

[FR Doc. 2012-19083 Filed 8-3-12; 8:45 am]

BILLING CODE 8011-01-P

## SECURITIES AND EXCHANGE COMMISSION

### Proposed Collection; Comment Request

*Upon Written Request, Copy Available From:* Securities and Exchange Commission, Office of Investor Education and Advocacy, Washington, DC 20549-0213.

*Extension:*

Form N-5, SEC File No. 270-172, OMB Control No. 3235-0169.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (the "Commission") is soliciting comments on the collection of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget for extension and approval.

Form N-5 (17 CFR 239.24 and 274.5)—Registration Statement of Small Business Investment Companies Under the Securities Act of 1933 (15 U.S.C. 77a *et seq.*) and the Investment Company Act of 1940 (15 U.S.C. 80a-1 *et seq.*) Form N-5 is the integrated registration