

*Paper Comments*

- Send paper comments in triplicate to Elizabeth M. Murphy, Secretary, Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549-1090.

All submissions should refer to File Number SR-FICC-2008-01. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's Internet Web site (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for Web site viewing and printing in the Commission's Public Reference Room, 100 F Street NE., Washington, DC 20549, on official business days between the hours of 10 a.m. and 3 p.m. Copies of such filing also will be available for inspection and copying at the principal office of FICC and on FICC's Web site at [http://dtcc.com/downloads/legal/rule\\_filings/2008/ficc/2008-01\\_Amendment\\_No\\_1.pdf](http://dtcc.com/downloads/legal/rule_filings/2008/ficc/2008-01_Amendment_No_1.pdf). All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly.

All submissions should refer to File Number File Number SR-FICC-2008-01 and should be submitted on or before January 3, 2012.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>46</sup>

**Kevin M. O'Neill,**  
Deputy Secretary.

[FR Doc. 2011-31762 Filed 12-9-11; 8:45 am]

**BILLING CODE 8011-01-P**

**SMALL BUSINESS ADMINISTRATION****Data Collection Available for Public Comments and Recommendations**

**AGENCY:** Small Business Administration.

**ACTION:** 60 Day Notice and request for comments. 8(a) Business Development Program.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, this notice announces the Small Business Administration's intentions to request approval on a new and/or currently approved information collection.

**DATES:** Submit comments on or before February 10, 2012.

**ADDRESSES:** Send all comments regarding whether these information collections are necessary for the proper performance of the function of the agency, whether the burden estimates are accurate, and if there are ways to minimize the estimated burden and enhance the quality of the collections, to Joan Elliston, Program Analyst, Office of Business Development, Small Business Administration, 409 3rd Street, 8th Floor, Washington, DC 20416.

**FOR FURTHER INFORMATION CONTACT:** Joan Elliston, Program Analyst, (202) 205-7190 [joan.elliston@sba.gov](mailto:joan.elliston@sba.gov) Curtis B. Rich, Management Analyst, (202) 205-7030 [curtis.rich@sba.gov](mailto:curtis.rich@sba.gov).

**SUPPLEMENTARY INFORMATION:** In accordance with Title 13 of the Code of Federal Regulations, Section 124.403, each 8(a) participant must annually review its business plan with its assigned business development specialist and modify the plan, as appropriate within 30 days after the close of each program year. The participant must also submit a statement describing its current contract performance capabilities as part of its updated business plan. SBA uses the information collected to access the participants financial condition and continued eligibility.

*Title:* "8(a) Annual Update".

*Description of Respondents:* 8(a)

Program Participants.

*Form Number:* 1450.

*Annual Responses:* 6,763.

*Annual Burden:* 13,526.

**SUPPLEMENTARY INFORMATION:** All 8(a) participants are required to provide semiannual information on any agents, representatives, attorneys, and accounts receiving compensation to assist in obtaining a Federal contract for the participant. The information addresses the amount of compensation received and description of the activities performed in return for such compensation. The information is used to ensure that participants do not engage in any improper or illegal activity in connection with obtaining a contract.

*Title:* "Representatives Used and Compensation Paid for Services in Connection with obtaining Federal Contracts".

*Description of Respondents:* 8(a) Program Participants.

*Form Number:* 1790.

*Annual Responses:* 15,810.

*Annual Burden:* 3,953.

**ADDRESSES:** Send all comments regarding whether this information collection is necessary for the proper performance of the function of the agency, whether the burden estimates are accurate, and if there are ways to minimize the estimated burden and enhance the quality of the collection, to Edsel Brown, Assistant Administrator, Office of Technology, Small Business Administration, 409 3rd Street, 6th Floor, Washington, DC 20416.

**FOR FURTHER INFORMATION CONTACT:** Edsel Brown, Assistant Administrator, (202) 205-7343 [edsel.brown@sba.gov](mailto:edsel.brown@sba.gov) Curtis B. Rich, Management Analyst, (202) 205-7030 [curtis.rich@sba.gov](mailto:curtis.rich@sba.gov).

**SUPPLEMENTARY INFORMATION:** The SBA needs this data to satisfy program requirements in the Small Business Act including new requirements established in the reauthorization legislation's, Public Law 106-554 and Public Law 107-50. This data will be used by SBA to maintain information about the SBIR and STTR awards issued through the two programs. The data will be provided by each SBIR/STTR participating agency based on information collected from program awardees. The data will be used to report annually to the Congress on awards issued. Further, the data will be used by Congress, GAO, SBA and participating agencies.

*Title:* "Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) TechNet Database".

*Description of Respondents:* All Firms or Individuals applying for a Phase I or Phase II award from the SBIR or STTR programs.

*Form Number:* N/A.

*Annual Responses:* 37,000.

*Annual Burden:* 20,000.

**ADDRESSES:** Send all comments regarding whether this information collection is necessary for the proper performance of the function of the agency, whether the burden estimates are accurate, and if there are ways to minimize the estimated burden and enhance the quality of the collection, to Donald Romek, Division Manager, Denver Finance Center, Small Business Administration, 721 19th Street, 3rd Floor, Denver, CO 80202.

**FOR FURTHER INFORMATION CONTACT:** Donald Romek, Division Manager, (303) 844-3603 [donald.romek@sba.gov](mailto:donald.romek@sba.gov) Curtis B. Rich, Management Analyst, (202) 205-7030 [curtis.rich@sba.gov](mailto:curtis.rich@sba.gov).

**SUPPLEMENTARY INFORMATION:** SBA Form 172 is used by Lenders to report loan

<sup>46</sup> 17 CFR 200.30-3(a)(12).

payment data to SBA on a monthly basis. the purpose of this reporting is to (1) Show the remittance due SBA on a loan serviced by participating lending institutions; (2) update the loan receivable balances.

*Title:* "Transaction Report on Loans Serviced by Lenders".

*Description of Respondents:* Small Business Administration Participating Lenders.

*Form Number:* 172.

*Annual Responses:* 24,779.

*Annual Burden:* 4,130.

**ADDRESSES:** Send all comments regarding whether this information collection is necessary for the proper performance of the function of the agency, whether the burden estimates are accurate, and if there are ways to minimize the estimated burden and enhance the quality of the collection, to Rachel Newman-Karton, Program Analyst, Office of Small Business Development Centers, Small Business Administration, 409 3rd Street, 6th Floor, Washington, DC 20416.

**FOR FURTHER INFORMATION CONTACT:**

Rachel Newman-Karton, Program Analyst, (202) 619-1816

*Rachel.newman@sba.gov* Curtis B. Rich, Management Analyst, (202) 205-7030 *curtis.rich@sba.gov*.

**SUPPLEMENTARY INFORMATION:** Each form is used to notify recipients of grant awards and cooperative agreement awards. Form 1222 is used also to document logistical and budgetary information gathered from the awardees application and proposal. Awardees/ Respondents are universities, colleges, state and local government, for-profit and non-profit organizations. Form 1224 is used to certify the cost sharing by the recipient.

*Title:* "Notice of Award & Grant/ Cooperative Agreement Cost Sharing Proposal".

*Description of Respondents:* SBA Grant Applicants and Recipients.

*Form Numbers:* 1222, 1224.

*Annual Responses:* 477.

*Annual Burden:* 34,191.

**ADDRESSES:** Send all comments regarding whether this information collection is necessary for the proper performance of the function of the agency, whether the burden estimates are accurate, and if there are ways to minimize the estimated burden and enhance the quality of the collection, to Carol Fendler, System Accountant, Office of Investment, Small Business Administration, 409 3rd Street, 6th Floor, Washington, DC 20416.

**FOR FURTHER INFORMATION CONTACT:**

Carol Fendler, System Accountant, (202)

205-7559 *carol.fendler@sba.gov* Curtis B. Rich, Management Analyst, (202) 205-7030 *curtis.rich@sba.gov*.

**SUPPLEMENTARY INFORMATION:** SBA Forms 856 and 856A are used by SBA examiners as part of their examination of licensed small business investment companies (SBICs). This information collection obtains representations from an SBIC's management regarding certain obligations, transactions and relationships of the SBIC and helps SBA to evaluate the SBIC's financial condition and compliance with applicable laws and regulations.

*Title:* "Disclosure Statement, Leveraged Licensees & Disclosure Statement, Non-Leveraged Licensees".

*Description of Respondents:* Small Business Investment Companies.

*Form Numbers:* 856, 856A.

*Annual Responses:* 400.

*Annual Burden:* 400.

**Jacqueline White,**

*Chief, Administrative Information Branch.*

[FR Doc. 2011-31804 Filed 12-9-11; 8:45 am]

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**SMALL BUSINESS ADMINISTRATION**

**[Disaster Declaration #12815 and #12816]**

**Texas Disaster Number TX-00381**

**AGENCY:** U.S. Small Business Administration.

**ACTION:** Amendment 8.

**SUMMARY:** This is an amendment of the Presidential declaration of a major disaster for the State of Texas (FEMA-4029-DR), dated 09/09/2011.

*Incident:* Wildfires.

*Incident Period:* 08/30/2011 and continuing.

*Effective Date:* 12/05/2011.

*Physical Loan Application Deadline Date:* 01/06/2012.

*EIDL Loan Application Deadline Date:* 06/06/2012.

**ADDRESSES:** Submit completed loan applications to: U.S. Small Business Administration, Processing and Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

**FOR FURTHER INFORMATION CONTACT:** A. Escobar, Office of Disaster Assistance, U.S. Small Business Administration, 409 3rd Street SW., Suite 6050, Washington, DC 20416.

**SUPPLEMENTARY INFORMATION:** The notice of the President's major disaster declaration for the State of Texas, dated 09/09/2011 is hereby amended to extend the deadline for filing

applications for physical damages as a result of this disaster to 01/06/2012.

All other information in the original declaration remains unchanged.

(Catalog of Federal Domestic Assistance Numbers 59002 and 59008)

**James E. Rivera,**

*Associate Administrator for Disaster Assistance.*

[FR Doc. 2011-31810 Filed 12-9-11; 8:45 am]

**BILLING CODE 8025-01-P**

**SMALL BUSINESS ADMINISTRATION**

**[License No. 09/79-0454]**

**Emergence Capital Partners SBIC, L.P.; Notice Seeking Exemption Under Section 312 of the Small Business Investment Act, Conflicts of Interest**

Notice is hereby given that Ironwood Mezzanine Fund II, L.P., 55 Nod Rd., Avon, CT 06001, a Federal Licensee under the Small Business Investment Act of 1958, as amended ("the Act"), in connection with the financing of a small concern, has sought an exemption under Section 312 of the Act and Section 107.730, Financings which Constitute Conflicts of Interest of the Small Business Administration ("SBA") Rules and Regulations (13 CFR 107.730). Ironwood Mezzanine Fund II, L.P. proposes to provide debt financing to Action Environmental Group, Inc., 451 Frelinghuysen Avenue, Newark, NJ 07114 ("Action Carting"). The proceeds will be used to finance a single-stream material recovery facility.

The financing is brought within the purview of § 107.730(a)(1) of the Regulations because Ironwood Equity Fund L.P., an Associate of Ironwood Mezzanine Fund II, L.P., owns more than ten percent of Action Carting. Therefore, Action Carting is considered an Associate of the Licensee and this transaction is considered *Financing an Associate*, requiring prior SBA approval.

Notice is hereby given that any interested person may submit written comments on the transaction within 15 days of the date of this publication to the Associate Administrator for Investment, U.S. Small Business Administration, 409 Third Street SW., Washington, DC 20416.

Dated: November 25, 2011.

**Sean J. Greene,**

*Associate Administrator for Investment.*

[FR Doc. 2011-31825 Filed 12-9-11; 8:45 am]

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