

Applications.” The Exchange also requires disclosure regarding the business of its members.⁵ In addition, the Exchange also has rules regarding disclosure of other ownership and financial information.⁶ The Exchange therefore proposes to eliminate Exchange Rule 795.

2. Statutory Basis

The Exchange believes that its proposal is consistent with Section 6(b) of the Act⁷ in general, and furthers the objectives of Section 6(b)(5) of the Act⁸ in particular, in that it is designed to promote just and equitable principles of trade, to remove impediments to and perfect the mechanism of a free and open market and a national market system, and, in general to protect investors and the public interest, by requiring its members to comply with By-Laws and Rules which further a specific business or regulatory purpose for the Exchange.

Exchange Rule 795 requires members to provide information to the Exchange which is available on the Form U4 and also requires a member to obtain the Exchange’s permission to even indirectly own a substantial interest in a securities business. The Exchange believes it is unnecessary to require a member who is an officer or director of a corporation engaged in a securities business or a member who owns or controls, directly or indirectly, a corporation engaged in a securities business to obtain the written permission of the Exchange or to report ownership information which is already available to the Exchange. In addition, the Exchange believes that the requirement to obtain permission is unnecessary for the Exchange to carry out its regulatory functions.

As previously stated herein, the Exchange has not utilized this Rule in a long time and is unable to locate such a rule at other exchanges. Additionally, the Exchange is able to ascertain other business relationships of a member from disclosures made by members on their Form U4. Finally, the Exchange does not believe it is necessary to require a

member who is an officer or director of a corporation engaged in a securities business or a member who owns or controls, directly or indirectly, a substantial interest in a corporation engaged in a securities business to obtain the written permission of the Exchange or to report ownership information in order to protect investors or the public interest.

B. Self-Regulatory Organization’s Statement on Burden on Competition

The Exchange does not believe that the proposed rule change will impose any burden on competition not necessary or appropriate in furtherance of the purposes of the Act.

C. Self-Regulatory Organization’s Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others

No written comments were either solicited or received.

III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

Within 45 days of the date of publication of this notice in the **Federal Register** or within such longer period (i) As the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the Exchange consents, the Commission shall:

- (a) By order approve or disapprove such proposed rule change, or
- (b) Institute proceedings to determine whether the proposed rule change should be disapproved.

IV. Solicitation of Comments

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

Electronic Comments

- Use the Commission’s Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or
- Send an email to rule-comments@sec.gov. Please include File Number SR-Phlx-2011-149 on the subject line.

Paper Comments

- Send paper comments in triplicate to Elizabeth M. Murphy, Secretary, Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549-1090.

All submissions should refer to File Number SR-Phlx-2011-149. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission’s Internet Web site (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for Web site viewing and printing in the Commission’s Public Reference Room, 100 F Street NE., Washington, DC 20549, on official business days between the hours of 10 a.m. and 3 p.m. Copies of such filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-Phlx-2011-149 and should be submitted on or before December 12, 2011.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.⁹

Elizabeth M. Murphy,
Secretary.

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BILLING CODE 8011-01-P

SMALL BUSINESS ADMINISTRATION

Reporting and Recordkeeping Requirements Under OMB Review

AGENCY: Small Business Administration.
ACTION: Notice of Reporting Requirements Submitted for OMB Review.

SUMMARY: Under the provisions of the Paperwork Reduction Act (44 U.S.C. Chapter 35), agencies are required to submit proposed reporting and recordkeeping requirements to OMB for review and approval, and to publish a notice in the **Federal Register** notifying the public that the agency has made such a submission.

⁹ 17 CFR 200.30-3(a)(12).

⁵ See Exchange Rules 602 (status), 610 (Change in Business), 704 (Assignment of Partnerships), 792 (Control of Voting Stock), 794 (Assignment of Holdings), 902 (Admission to Partnership—Partnership Arrangements) and 906 (Notice of Change in Partnership), 907 (Partners and Officers).

⁶ See Exchange Rules 750 (Speculative Transactions for Employees of Certain Employers), 751 (Accounts of Employees of Member Organizations), 756 (Accounts of General Partners), 771 (Excessive Trading of Members), 772 (Trading for Joint Account), 773 (Participation in Joint Accounts), 783 (Report of Financial Arrangements) and 784 (Report of Options).

⁷ 15 U.S.C. 78f(b).

⁸ 15 U.S.C. 78f(b)(5).

DATES: Submit comments on or before December 21, 2011. If you intend to comment but cannot prepare comments promptly, please advise the OMB Reviewer and the Agency Clearance Officer before the deadline.

Copies: Request for clearance (OMB 83-1), supporting statement, and other documents submitted to OMB for review may be obtained from the Agency Clearance Officer.

ADDRESSES: Address all comments concerning this notice to: *Agency Clearance Officer*, Jacqueline White, Small Business Administration, 409 3rd Street SW., 5th Floor, Washington, DC 20416; and *OMB Reviewer*, Office of Information and Regulatory Affairs, Office of Management and Budget, New Executive Office Building, Washington, DC 20503.

FOR FURTHER INFORMATION CONTACT: Jacqueline White, Agency Clearance Officer, (202) 205-7044.

SUPPLEMENTARY INFORMATION:

Title: "Governor's Request for Disaster Declaration".

Frequency: On Occasion.

SBA Form Number: N/A.

Description of Respondents: Presidential Declared Disaster.

Responses: 60.

Annual Burden: 1,200.

Title: "Disaster Home Loan Application".

Frequency: On Occasion.

SBA Form Number: 5C.

Description of Respondents: Applicants requesting Disaster Home Loan.

Responses: 46,462.

Annual Burden: 69,693.

Title: "Disaster Business Loan Application."

Frequency: On Occasion.

SBA Form Number's: 5, 1368.

Description of Respondents: Applicants requesting Disaster Business Loan.

Responses: 8,014.

Annual Burden: 18,709.

Jacqueline White,

Chief, Administrative Information Branch.

[FR Doc. 2011-29992 Filed 11-18-11; 8:45 am]

BILLING CODE P

SMALL BUSINESS ADMINISTRATION

[Disaster Declaration #12911 and #12912]

Missouri Disaster #MO-00051

AGENCY: U.S. Small Business Administration.

ACTION: Notice.

SUMMARY: This is a notice of an Administrative declaration of a disaster

for the State of Missouri dated 11/09/2011.

Incident: Severe storms, straight line winds, tornadoes and flooding.

Incident Period: 06/26/2011 through 06/27/2011.

Effective Date: 11/09/2011.

Physical Loan Application Deadline Date: 01/09/2012.

Economic Injury (EIDL) Loan Application Deadline Date: 08/09/2012.

ADDRESSES: Submit completed loan applications to: U.S. Small Business Administration, Processing and Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

FOR FURTHER INFORMATION CONTACT: A. Escobar, Office of Disaster Assistance, U.S. Small Business Administration, 409 3rd Street SW., Suite 6050, Washington, DC 20416.

SUPPLEMENTARY INFORMATION: Notice is hereby given that as a result of the Administrator's disaster declaration, applications for disaster loans may be filed at the address listed above or other locally announced locations.

The following areas have been determined to be adversely affected by the disaster:

Primary Counties: Saint Charles.

Contiguous Counties:

Missouri: Franklin, Lincoln, Saint Louis, Warren.

Illinois: Calhoun, Jersey, Madison.

The Interest Rates are:

	Percent
<i>For Physical Damage:</i>	
Homeowners With Credit Available Elsewhere	5.375
Homeowners Without Credit Available Elsewhere	2.688
Businesses With Credit Available Elsewhere	6.000
Businesses Without Credit Available Elsewhere	4.000
Non-Profit Organizations With Credit Available Elsewhere ...	3.250
Non-Profit Organizations Without Credit Available Elsewhere	3.000
<i>For Economic Injury:</i>	
Businesses & Small Agricultural Cooperatives Without Credit Available Elsewhere	4.000
Non-Profit Organizations Without Credit Available Elsewhere	3.000

The number assigned to this disaster for physical damage is 12911 B and for economic injury is 12912 O.

The States which received an EIDL Declaration # are Missouri, Illinois.

(Catalog of Federal Domestic Assistance Numbers 59002 and 59008)

Dated: November 9, 2011.

Karen G. Mills,

Administrator.

[FR Doc. 2011-29954 Filed 11-18-11; 8:45 am]

BILLING CODE 8025-01-P

SMALL BUSINESS ADMINISTRATION

[Disaster Declaration #12917 and #12918]

Virginia Disaster #VA-00039

AGENCY: U.S. Small Business Administration.

ACTION: Notice.

SUMMARY: This is a notice of an Administrative declaration of a disaster for the Commonwealth of Virginia dated 11/14/2011.

Incident: Tropical Storm Lee.

Incident Period: 09/08/2011 Through 09/09/2011.

Effective Date: 11/14/2011.

Physical Loan Application Deadline Date: 01/13/2012.

Economic Injury (EIDL) Loan Application Deadline Date: 08/14/2012.

ADDRESSES: Submit completed loan applications to: U.S. Small Business Administration, Processing and Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

FOR FURTHER INFORMATION CONTACT: A. Escobar, Office of Disaster Assistance, U.S. Small Business Administration, 409 3rd Street SW., Suite 6050, Washington, DC 20416.

SUPPLEMENTARY INFORMATION: Notice is hereby given that as a result of the Administrator's disaster declaration, applications for disaster loans may be filed at the address listed above or other locally announced locations.

The following areas have been determined to be adversely affected by the disaster:

Primary Counties: Fairfax, Prince William.

Contiguous Counties:

Virginia: Alexandria (City), Arlington, Fairfax City, Falls Church (City), Fauquier, Loudoun, Manassas City, Manassas Park City, Stafford.

District of Columbia. Maryland: Charles, Montgomery, Prince George's.

The Interest Rates are:

	Percent
<i>For Physical Damage:</i>	
Homeowners With Credit Available Elsewhere	5.000
Homeowners Without Credit Available Elsewhere	2.500
Businesses With Credit Available Elsewhere	6.000
Businesses Without Credit Available Elsewhere	4.000