

submittals and will require a second payment of the processing fee.

3. Fees for processing fingerprint checks are due upon application. The licensee shall submit payment of the processing fees electronically. To be able to submit secure electronic payments, licensees will need to establish an account with Pay.Gov (<https://www.pay.gov>). To request an account, the licensee shall send an e-mail to [det@nrc.gov](mailto:det@nrc.gov). The e-mail must include the licensee's company name, address, point of contact (POC), POC e-mail address, and phone number. The NRC will forward the request to Pay.Gov, who will contact the licensee with a password and user ID. Once the licensee has established an account and submitted payment to Pay.Gov, they shall obtain a receipt. The licensee shall submit the receipt from Pay.Gov to the NRC along with fingerprint cards. For additional guidance on making electronic payments, contact the Facilities Security Branch, Division of Facilities and Security, at (301) 415-7739. Combined payment for multiple applications is acceptable. The application fee (currently \$36) is the sum of the user fee charged by the FBI for each fingerprint card or other fingerprint record submitted by the NRC on behalf of a licensee, and an NRC processing fee, which covers administrative costs associated with NRC handling of licensee fingerprint submissions. The Commission will directly notify licensees who are subject to this regulation of any fee changes.

4. The Commission will forward to the submitting licensee all data received from the FBI as a result of the licensee's application(s) for CHRCs, including the FBI fingerprint record.

#### *F. Right To Correct and Complete Information*

1. Prior to any final adverse determination, the licensee shall make available to the individual the contents of any criminal history records obtained from the FBI for the purpose of assuring correct and complete information. Written confirmation by the individual of receipt of this notification must be maintained by the licensee for a period of one (1) year from the date of notification.

2. If, after reviewing the record, an individual believes that it is incorrect or incomplete in any respect and wishes to change, correct, or update the alleged deficiency, or to explain any matter in the record, the individual may initiate challenge procedures. These procedures include either direct application by the individual challenging the record to the agency (*i.e.*, law enforcement agency) that contributed the questioned information, or direct challenge as to the accuracy or completeness of any entry on the criminal history record to the Assistant Director, Federal Bureau of Investigation Identification Division, Washington, DC 20537-9700 (as set forth in 28 CFR 16.30 through 16.34). In the latter case, the FBI forwards the challenge to the agency that submitted the data and requests that agency to verify or correct the challenged entry. Upon receipt of an official communication directly from the agency that contributed the original information, the FBI Identification Division makes any changes

necessary in accordance with the information supplied by that agency. The licensee must provide at least 10 days for an individual to initiate an action challenging the results of a FBI CHRC after the record is made available for his/her review. The licensee may make a final access determination based on the criminal history record only upon receipt of the FBI's ultimate confirmation or correction of the record. Upon a final adverse determination on access to an ISFSI, the licensee shall provide the individual its documented basis for denial. Access to an ISFSI shall not be granted to an individual during the review process.

#### *G. Protection of Information*

1. The licensee shall develop, implement, and maintain a system for personnel information management with appropriate procedures for the protection of personal, confidential information. This system shall be designed to prohibit unauthorized access to sensitive information and to prohibit modification of the information without authorization.

2. Each licensee who obtains a criminal history record on an individual pursuant to this Order shall establish and maintain a system of files and procedures, for protecting the record and the personal information from unauthorized disclosure.

3. The licensee may not disclose the record or personal information collected and maintained to persons other than the subject individual, his/her representative, or to those who have a need to access the information in performing assigned duties in the process of determining suitability for unescorted access to the protected area of an ISFSI. No individual authorized to have access to the information may re-disseminate the information to any other individual who does not have the appropriate need to know.

4. The personal information obtained on an individual from a CHRC may be transferred to another licensee if the gaining licensee receives the individual's written request to re-disseminate the information contained in his/her file, and the gaining licensee verifies information such as the individual's name, date of birth, social security number, sex, and other applicable physical characteristics for identification purposes.

5. The licensee shall make criminal history records, obtained under this section, available for examination by an authorized representative of the NRC to determine compliance with the regulations and laws.

[FR Doc. 2010-10680 Filed 5-5-10; 8:45 am]

**BILLING CODE 7590-01-P**

## **SECURITIES AND EXCHANGE COMMISSION**

[Release No. IC-29263]

### **Notice of Applications for Deregistration Under Section 8(f) of the Investment Company Act of 1940**

April 30, 2010.

The following is a notice of applications for deregistration under

section 8(f) of the Investment Company Act of 1940 for the month of April, 2010. A copy of each application may be obtained via the Commission's Web site by searching for the file number, or an applicant using the Company name box, at <http://www.sec.gov/search/search.htm> or by calling (202)551-8090. An order granting each application will be issued unless the SEC orders a hearing. Interested persons may request a hearing on any application by writing to the SEC's Secretary at the address below and serving the relevant applicant with a copy of the request, personally or by mail. Hearing requests should be received by the SEC by 5:30 p.m. on May 25, 2010, and should be accompanied by proof of service on the applicant, in the form of an affidavit or, for lawyers, a certificate of service. Hearing requests should state the nature of the writer's interest, the reason for the request, and the issues contested. Persons who wish to be notified of a hearing may request notification by writing to the Secretary, U.S. Securities and Exchange Commission, 100 F Street, NE., Washington, DC 20549-1090.

**FOR FURTHER INFORMATION CONTACT:** Diane L. Titus at (202) 551-6810, SEC, Division of Investment Management, Office of Investment Company Regulation, 100 F Street, NE., Washington, DC 20549-4041.

#### **Dreyfus/KLS National Municipal Fund**

[File No. 811-22262]

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. Applicant has never made a public offering of its securities and does not propose to make a public offering or engage in business of any kind.

*Filing Dates:* The application was filed on September 25, 2009, and amended on March 25, 2010.

*Applicant's Address:* c/o The Dreyfus Corporation, 200 Park Ave., New York, NY 10166.

#### **Nuveen Floating Rate Fund**

[File No. 811-9553]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. On May 28, 2003, applicant made a liquidating distribution to its shareholders, based on net asset value. Applicant incurred no expenses in connection with the liquidation.

*Filing Dates:* The application was filed on January 27, 2010, and amended on April 20, 2010.

*Applicant's Address:* 333 West Wacker Dr., Chicago, IL 60606.

#### **Edward Jones Tax-Free Money Market Fund**

[File No. 811-10291]

#### **Cash Trust Series**

[File No. 811-10583]

*Summary:* Each applicant seeks an order declaring that it has ceased to be an investment company. Applicants have never made a public offering of their securities and do not propose to make a public offering or engage in business of any kind.

*Filing Dates:* The applications were filed on December 21, 2009, and amended on April 28, 2010.

*Applicants' Address:* Federated Investors Funds, 4000 Ericsson Dr., Warrendale, PA 15086-7561.

#### **Excelsior Venture Investors III, LLC**

[File No. 811-9973]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. On December 31, 2009, applicant made a final liquidating distribution to its shareholders, based on net asset value. Expenses of \$66,231 incurred in connection with the liquidation were paid by applicant.

*Filing Dates:* The application was filed on January 11, 2010 and amended on March 22, 2010.

*Applicant's Address:* 225 High Ridge Rd., Stamford, CT 06905.

#### **Columbia Funds Institutional Trust**

[File No. 811-5857]

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. On November 23, 2009, applicant transferred its assets to CMG Ultra Short Term Bond Fund, a series of Columbia Funds Series Trust I, based on net asset value. Expenses of \$20,000 incurred in connection with the reorganization were paid by Columbia Management Advisors, LLC, applicant's investment adviser.

*Filing Date:* The application was filed on April 14, 2010.

*Applicant's Address:* One Financial Center, Boston, MA 02111.

#### **ACM Managed Dollar Income Fund, Inc.**

[File No. 811-7964]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. On September 25, 2009, applicant transferred its assets to AllianceBernstein Global High Income Fund, Inc. and each holder of

applicant's shares received shares of the surviving fund having an aggregate net asset value equal to the net asset value of the holder's shares in applicant. Expenses of \$251,004 incurred in connection with the reorganization were paid by applicant and AllianceBernstein L.P., applicant's investment adviser.

*Filing Date:* The application was filed on March 18, 2010.

*Applicant's Address:* 1345 Avenue of the Americas, New York, NY 10105.

#### **Dreyfus Premier Equity Funds, Inc.**

[File No. 811-2488]

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. On January 9, 2009, applicant made a liquidating distribution to its shareholders, based on net asset value. Expenses of \$4,500 incurred in connection with the liquidation were paid by The Dreyfus Corporation, applicant's investment adviser.

*Filing Date:* The application was filed on March 30, 2010.

*Applicant's Address:* The Dreyfus Corporation, 200 Park Ave, New York, NY 10166.

#### **Dreyfus Premier Value Equity Funds**

[File No. 811-4688]

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. On March 21, 2007, applicant transferred its assets to Dreyfus Premier Strategic Value Fund, a series of Advantage Funds, Inc., based on net asset value. Expenses of \$81,000 incurred in connection with the reorganization were paid by The Dreyfus Corporation, applicant's investment adviser.

*Filing Date:* The application was filed on March 30, 2010.

*Applicant's Address:* c/o The Dreyfus Corporation, 200 Park Ave., New York, NY 10166.

#### **Dreyfus Intermediate Municipal Income Fund**

[File No. 811-21536]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant has never made a public offering of its securities and does not propose to make a public offering or engage in business of any kind.

*Filing Date:* The application was filed on March 12, 2010.

*Applicant's Address:* c/o The Dreyfus Corporation, 200 Park Ave., New York, NY 10166.

#### **Federated High Yield Municipal Income Fund**

[File No. 811-21505]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant has never made a public offering of its securities and does not propose to make a public offering or engage in business of any kind.

*Filing Date:* The application was filed on March 25, 2010.

*Applicant's Address:* Federated Investors Funds, 4000 Ericsson Dr., Warrendale, PA 15086-7561.

#### **Lou Holland Trust**

[File No. 811-7533]

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. On January 29, 2010, applicant transferred its assets to Lou Holland Growth Fund, a series of Forum Funds, based on net asset value. Expenses of \$80,281 incurred in connection with the reorganization were paid by Holland Capital Management LLC, applicant's investment adviser, and Atlantic Fund Management, LLC, the administrator of the surviving fund.

*Filing Date:* The application was filed on April 14, 2010.

*Applicant's Address:* One North Wacker Drive, Suite 700, Chicago, IL 60606.

#### **Premier Strategic Growth Fund**

[File No. 811-5001]

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. On December 27, 1996, applicant transferred its assets to Dreyfus Premier Aggressive Growth Fund, a series of Dreyfus Premier Equity Funds, Inc., based on net asset value. Expenses of \$48,500 incurred in connection with the reorganization were paid by applicant and the surviving fund.

*Filing Date:* The application was filed on March 30, 2010.

*Applicant's Address:* c/o The Dreyfus Corporation, 200 Park Ave., New York, NY 10166.

#### **Credit Suisse Alternative Capital Multi-Strategy Master Fund, LLC**

[File No. 811-21737]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant made a private offering of its securities from April 2005 until November 2009, at which time its board of managers determined to cease such offer. Applicant serves as a master fund for

two feeder funds, each of which is solely owned by an affiliate of applicant's investment adviser. Applicant's business activities consist solely of holding investments that cannot be immediately liquidated. Applicant is not presently making an offer of securities and does not propose to make any offering of securities. Applicant will continue to operate in reliance of section 3(c)(1) of the Act.

*Filing Date:* The application was filed on February 24, 2010.

*Applicant's Address:* 11 Madison Ave., 13th Floor, New York, NY 10010.

#### **Credit Suisse Alternative Capital Long/Short Equity Master Fund, LLC**

[File No. 811-21739]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant made a private offering of its securities from April 2005 until November 2009, at which time its board of managers determined to cease such offer.

Applicant serves as a master fund for two feeder funds, each of which is solely owned by an affiliate of applicant's investment adviser. Applicant's business activities consist solely of holding investments that cannot be immediately liquidated. Applicant is not presently making an offer of securities and does not propose to make any offering of securities. Applicant will continue to operate in reliance of section 3(c)(1) of the Act.

*Filing Date:* The application was filed on February 24, 2010.

*Applicant's Address:* 11 Madison Ave., 13th Floor, New York, NY 10010.

#### **Separate Account VA WM**

[File No. 811-21961]

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. Applicant requests deregistration based on abandonment of registration. Applicant is not now engaged, or intending to engage, in any business activities other than those necessary for winding up its affairs.

*Filing Date:* The application was filed on February 25, 2010.

*Applicant's Address:* 4333 Edgewood Road NE, Cedar Rapids, IA 52499-0001.

#### **Separate Account VA Z**

[File No. 811-22063]

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. Applicant requests deregistration based on abandonment of registration. Applicant is not now engaged, or intending to

engage, in any business activities other than those necessary for winding up its affairs.

*Filing Date:* The application was filed on February 25, 2010.

*Applicant's Address:* 4333 Edgewood Road NE, Cedar Rapids, IA 52499-0001.

#### **Separate Account VA GNY**

[File No. 811-22064]

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. Applicant requests deregistration based on abandonment of registration. Applicant is not now engaged, or intending to engage, in any business activities other than those necessary for winding up its affairs.

*Filing Date:* The application was filed on February 25, 2010.

*Applicant's Address:* 4 Manhattanville Road, Purchase, NY 10577.

For the Commission, by the Division of Investment Management, pursuant to delegated authority.

**Florence E. Harmon,**

*Deputy Secretary.*

[FR Doc. 2010-10651 Filed 5-5-10; 8:45 am]

**BILLING CODE 8011-01-P**

### **SECURITIES AND EXCHANGE COMMISSION**

[Release No. 34-62000; File No. 4-596]

#### **Program for Allocation of Regulatory Responsibilities Pursuant to Rule 17d-2; Order Approving and Declaring Effective a Plan for the Allocation of Regulatory Responsibilities Between the International Securities Exchange, LLC and the Financial Industry Regulatory Authority, Inc. Concerning Ballista Securities LLC**

April 29, 2010.

Notice is hereby given that the Securities and Exchange Commission ("Commission") has issued an Order, pursuant to Sections 17(d) <sup>1</sup> and 11A(a)(3)(B) <sup>2</sup> of the Securities Exchange Act of 1934 ("Act"), approving and declaring effective a plan for the allocation of regulatory responsibilities ("17d-2 Plan") that was filed pursuant to Rule 17d-2 <sup>3</sup> under the Act by the International Securities Exchange, LLC ("ISE") and the Financial Industry Regulatory Authority, Inc. ("FINRA") (together with ISE, the "Parties").

Accordingly, FINRA shall assume, in addition to the regulatory responsibility

it has under the Act, the regulatory responsibilities allocated to it under the Plan. At the same time, ISE is relieved of those regulatory responsibilities allocated to FINRA under the Plan.

#### **I. Introduction**

Section 19(g)(1) <sup>4</sup> of the Act, among other things, requires every self-regulatory organization ("SRO") registered as either a national securities exchange or registered securities association to examine for, and enforce compliance by, its members and persons associated with its members with the Act, the rules and regulations thereunder, and the SRO's own rules, unless the SRO is relieved of this responsibility pursuant to Section 17(d) <sup>5</sup> or 19(g)(2) <sup>6</sup> of the Act. Section 17(d)(1) <sup>7</sup> of the Act was intended, in part, to eliminate unnecessary multiple examinations and regulatory duplication for those broker-dealers that maintain memberships in more than one SRO ("common members"). With respect to a common member, Section 17(d)(1) authorizes the Commission, by rule or order, to relieve an SRO of the responsibility to receive regulatory reports, to examine for and enforce compliance with applicable statutes, rules, and regulations, or to perform other specified regulatory functions.

To implement Section 17(d)(1), the Commission adopted two rules: Rule 17d-1 and Rule 17d-2 under the Act. Rule 17d-2 <sup>8</sup> permits SROs to propose joint plans for the allocation of regulatory responsibilities, other than financial responsibility rules, with respect to their common members. Under paragraph (c) of Rule 17d-2, the Commission may declare such a plan effective if, after providing for notice and comment, it determines that the plan is necessary or appropriate in the public interest and for the protection of investors, to foster cooperation and coordination among the SROs, to remove impediments to, and foster the development of, a national market system and a national clearance and settlement system, and is in conformity with the factors set forth in Section 17(d) of the Act. Upon effectiveness of a plan filed pursuant to Rule 17d-2, an SRO is relieved of those regulatory responsibilities for common members that are allocated by the plan to another SRO.

<sup>4</sup> 15 U.S.C. 78s(g)(1).

<sup>5</sup> 15 U.S.C. 78q(d).

<sup>6</sup> 15 U.S.C. 78s(g)(2).

<sup>7</sup> 15 U.S.C. 78q(d)(1).

<sup>8</sup> 17 CFR 240.17d-1 and 17 CFR 240.17d-2, respectively.

<sup>1</sup> 15 U.S.C. 78q(d).

<sup>2</sup> 15 U.S.C. 78k-1(a)(3)(B).

<sup>3</sup> 17 CFR 240.17d-2.