

DHGS60075 Special Assistant to the Director, Center for Faith Based and Community Initiatives. Effective July 20, 2009.

DHGS60511 Special Assistant to the Deputy Assistant Secretary and Inter-Departmental Liaison for Early Childhood Development. Effective July 21, 2009.

Department of Education

DBGS00222 Confidential Assistant to the Director, Race to the Top. Effective July 1, 2009.

DBGS00683 Special Assistant to the Deputy Secretary of Education. Effective July 1, 2009.

DBGS00197 Confidential Assistant to the Special Assistant, Office of Legislation and Congressional Affairs. Effective July 2, 2009.

DBGS00435 Special Assistant to the Assistant Secretary, Office of Communications and Outreach. Effective July 2, 2009.

DBGS00682 Deputy General Counsel. Effective July 7, 2009.

DBGS00609 Special Assistant to the Under Secretary. Effective July 9, 2009.

DBGS00103 Special Assistant to the Assistant Secretary for Planning, Evaluation, and Policy Development. Effective July 20, 2009.

DBGS00231 Confidential Assistant to the Deputy Under Secretary. Effective July 20, 2009.

DBGS00509 Director of the White House Initiative on Historically Black Colleges and Universities. Effective July 20, 2009.

DBGS00680 Deputy Assistant Secretary for Planning, Evaluation, and Policy Development. Effective July 20, 2009.

DBGS00428 Confidential Assistant to the Special Assistant, Office of Communication and Outreach. Effective July 23, 2009.

DBGS00684 Special Assistant to the Deputy Secretary of Education. Effective July 23, 2009.

DBGS00004 Senior Advisor on Early Learning for the Chief of Staff. Effective July 31, 2009.

Environmental Protection Agency

EPGS06019 Director, Office of the Executive Secretariat. Effective July 16, 2009.

EPGS09010 Special Assistant to the Associate Administrator for Public Affairs. Effective July 24, 2009.

Federal Communications Commission

FCGS90147 Legislative Analyst for the Director, Office of Legislative Affairs. Effective July 21, 2009.

FCGS90148 Legislative Analyst for the Director, Office of Legislative Affairs. Effective July 21, 2009.

Department of Energy

DEGS00764 Special Assistant to the General Counsel. Effective July 7, 2009.

DEGS00762 Special Assistant to the Chief of Staff. Effective July 22, 2009.

DEGS00765 Special Assistant to the Assistant Secretary (Energy Efficiency and Renewable Energy). Effective July 22, 2009.

Small Business Administration

SBGS00540 Assistant Administrator for Faith-Based and Community Initiatives. Effective July 9, 2009.

SBGS00653 Deputy General Counsel. Effective July 9, 2009.

SBGS00685 Special Assistant to the Chief of Staff. Effective July 24, 2009.

SBGS00686 Senior Advisor to the Chief Operating Officer. Effective July 30, 2009.

SBGS00622 Assistant Administrator for Native American Affairs for Entrepreneurial Development. Effective July 31, 2009.

General Services Administration

GSGS60095 White House Liaison. Effective July 1, 2009.

GSGS60069 Press Secretary for Communications and Marketing. Effective July 17, 2009.

GSGS00015 Special Assistant to the Chief of Staff. Effective July 22, 2009.

GSGS00090 Special Assistant to the White House Liaison. Effective July 22, 2009.

GSGS00095 Congressional Relations Specialist for Congressional and Intergovernmental Affairs. Effective July 22, 2009.

GSGS00168 Special Assistant to the White House Liaison. Effective July 22, 2009.

GSGS00190 Congressional Relations Specialist for Congressional and Intergovernmental Affairs. Effective July 22, 2009.

GSGS60126 Deputy Associate Administrator for Communications and Marketing for Citizen Services and Communications. Effective July 22, 2009.

Export-Import Bank

EBSL45019 Senior Vice President and General Counsel to the President and Chairman. Effective July 1, 2009.

EBGS44809 Senior Advisor and Executive Secretary to the President and Chairman. Effective July 13, 2009.

EBGS42989 Senior Advisor to the President and Chairman. Effective July 21, 2009.

Occupational Safety and Health Review Commission

SHGS00016 Confidential Assistant to the Commission Member (Chairman). Effective July 9, 2009.

Consumer Product Safety Commission

PSGS00055 Chief of Staff to the Chairman, Consumer Product Safety Commission. Effective July 9, 2009.

Commodity Futures Trading Commission

CTOT00056 Special Assistant to the Commissioner. Effective July 21, 2009.

CTOT00088 Deputy Chief of Staff for the Chairperson. Effective July 31, 2009.

National Endowment for the Arts

NAGS00052 Executive Assistant for the Chairman, National Endowment for the Arts. Effective July 6, 2009.

NAGS00025 Arts Education Counselor to the Senior Deputy Chairman. Effective July 29, 2009.

Department of Housing and Urban Development

DUGS60512 Special Assistant to the Deputy Secretary, Housing and Urban Development. Effective July 6, 2009.

DUGS60701 Special Assistant to the Chief Financial Officer. Effective July 6, 2009.

DUGS60702 Special Assistant to the Chief Financial Officer. Effective July 6, 2009.

Department of Transportation

DTGS60054 Associate Director for Governmental Affairs. Effective July 13, 2009.

DTGS60139 Special Assistant to the Deputy Secretary. Effective July 13, 2009.

Authority: 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR 1954–1958 Comp., p. 218.

Office of Personnel Management.

John Berry,

Director.

[FR Doc. E9–21038 Filed 8–31–09; 8:45 am]

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SMALL BUSINESS ADMINISTRATION

Reporting and Recordkeeping Requirements Under OMB Review

AGENCY: Small Business Administration.

ACTION: Notice of Reporting Requirements Submitted for OMB Review.

SUMMARY: Under the provisions of the Paperwork Reduction Act (44 U.S.C.

Chapter 35), agencies are required to submit proposed reporting and recordkeeping requirements to OMB for review and approval, and to publish a notice in the **Federal Register** notifying the public that the agency has made such a submission.

DATES: Submit comments on or before October 1, 2009. If you intend to comment but cannot prepare comments promptly, please advise the OMB Reviewer and the Agency Clearance Officer before the deadline.

Copies: Request for clearance (OMB 83-1), supporting statement, and other documents submitted to OMB for review may be obtained from the Agency Clearance Officer.

ADDRESSES: Address all comments concerning this notice to: *Agency Clearance Officer*, Jacqueline White, Small Business Administration, 409 3rd Street, SW., 5th Floor, Washington, DC 20416; and *OMB Reviewer*, Office of Information and Regulatory Affairs, Office of Management and Budget, New Executive Office Building, Washington, DC 20503.

FOR FURTHER INFORMATION CONTACT: Jacqueline White, Agency Clearance Officer, (202) 205-7044.

SUPPLEMENTARY INFORMATION:

Title: Request for Information Concerning Portfolio Financing.

SBA Form Number: 857.

Frequency: On Occasion.

Description of Respondents: SBIC Investment Companies.

Responses: 2,160.

Annual Burden: 2,160.

Title: Financial Institution Confirmation form.

SBA Form Number: 860.

Frequency: On Occasion.

Description of Respondents: SBIC Investment Companies.

Responses: 1,500.

Annual Burden: 750.

Title: SBA Counseling Evaluation.

SBA Form Number: 1419.

Frequency: On Occasion.

Description of Respondents: Small Business Clients.

Responses: 15,000.

Annual Burden: 2,550.

Jacqueline White,

Chief, Administrative Information Branch.

[FR Doc. E9-20993 Filed 8-31-09; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

Proposed Collection; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange

Commission, Office of Investor Education and Advocacy, Washington, DC 20549-0213.

Extension:

Rule 154, SEC File No. 270-438, OMB Control No. 3235-0495.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520), the Securities and Exchange Commission ("Commission") is soliciting comments on the collection of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget for extension and approval.

The Federal securities laws generally prohibit an issuer, underwriter, or dealer from delivering a security for sale unless a prospectus meeting certain requirements accompanies or precedes the security. Rule 154 (17 CFR 230.154) under the Securities Act of 1933 (15 U.S.C. 77a) (the "Securities Act") permits, under certain circumstances, delivery of a single prospectus to investors who purchase securities from the same issuer and share the same address ("householding") to satisfy the applicable prospectus delivery requirements.¹ The purpose of rule 154 is to reduce the amount of duplicative prospectuses delivered to investors sharing the same address.

Under rule 154, a prospectus is considered delivered to all investors at a shared address, for purposes of the Federal securities laws, if the person relying on the rule delivers the prospectus to the shared address and the investors consent to the delivery of a single prospectus. The rule applies to prospectuses and prospectus supplements. Currently, the rule permits householding of all prospectuses by an issuer, underwriter, or dealer relying on the rule if, in addition to the other conditions set forth in the rule, the issuer, underwriter, or dealer has obtained from each investor written or implied consent to householding.² The rule requires

issuers, underwriters, or dealers that wish to household prospectuses with implied consent to send a notice to each investor stating that the investors in the household will receive one prospectus in the future unless the investors provide contrary instructions. In addition, at least once a year, issuers, underwriters, or dealers relying on rule 154 for the householding of prospectuses relating to open-end management investment companies that are registered under the Investment Company Act of 1940 ("mutual funds") must explain to investors who have provided written or implied consent how they can revoke their consent. Preparing and sending the notice and the annual explanation of the right to revoke are collections of information.

The rule allows issuers, underwriters, or dealers to household prospectuses if certain conditions are met. Among the conditions with which a person relying on the rule must comply are providing notice to each investor that only one prospectus will be sent to the household and, in the case of issuers that are mutual funds, providing to each investor who consents to householding an annual explanation of the right to revoke consent to the delivery of a single prospectus to multiple investors sharing an address. The purpose of the notice and annual explanation requirements of the rule is to ensure that investors who wish to receive individual copies of prospectuses are able to do so.

Although rule 154 is not limited to mutual funds, the Commission believes that it is used mainly by mutual funds and by broker-dealers that deliver prospectuses for mutual funds. The Commission is unable to estimate the number of issuers other than mutual funds that rely on the rule.

The Commission estimates that, as of December 2008, there are approximately 1,960 mutual funds, approximately 150 of which engage in direct marketing and therefore deliver their own prospectuses. The Commission estimates that each direct-marketed mutual fund will spend an average of 20 hours per year complying with the notice requirement of the rule, for a total of 3,000 hours. The Commission estimates that each direct-marketed fund will also spend 1 hour complying with the explanation of the right to revoke requirement of the rule, for a total of 150 hours. The Commission estimates that there are approximately 320 broker-dealers that carry customer accounts and, therefore, may be required to deliver mutual fund prospectuses. The Commission estimates that each affected broker-

¹ The Securities Act requires the delivery of prospectuses to investors who buy securities from an issuer or from underwriters or dealers who participate in a registered distribution of securities. See Securities Act sections 2(a)(10), 4(1), 4(3), 5(b) [15 U.S.C. 77b(a)(10), 77d(1), 77d(3), 77e(b)]; see also rule 174 under the Securities Act (17 CFR 230.174) (regarding the prospectus delivery obligation of dealers); rule 15c2-8 under the Securities Exchange Act of 1934 (17 CFR 240.15c2-8) (prospectus delivery obligations of brokers and dealers).

² Rule 154 permits the householding of prospectuses that are delivered electronically to investors only if delivery is made to a shared electronic address and the investors give written consent to householding. Implied consent is not permitted in such a situation. See rule 154(b)(4).