

STANS will be applied, but each class of CDBOs and CDOs will be treated as a separate portfolio and will not be included within the entire portfolio of a particular account. An exception to this will be in the case where a firm has a net long position in CDBO or CDO contracts that are not required to be segregated and the risk computed under this methodology is less than 100% of the premium value of the net long position, the excess long value will be used to cover requirements associated with other cleared contracts. This margin methodology will result in a more conservative risk estimate than if the contracts were fully integrated in STANS since offsets in the risk calculation between these products and others will not be recognized except to the extent of any excess long value. Ultimately, CDBOs will be incorporated into the STANS system and will be valued and margined on a risk basis.

OCC does not propose to accept escrow deposits in lieu of clearing margin for CDBOs. Therefore, Rule 1506 states that Rule 610, which otherwise would permit such deposits, does not apply to CDBOs.

#### 7. Acceleration of Expiration Date—Rule 1507

This provision would accelerate the expiration date of a single payout CDBO when the option is deemed to have been automatically exercised on any day prior to the expiration date and to accelerate the expiration date of a multiple payout CDBO when the option is deemed to have been automatically exercised with respect to every reference entity underlying such option prior to the expiration date.

The proposed changes to OCC's By-Laws and Rules are consistent with the purposes and requirements of Section 17A of the Act, as amended, because they are designed to promote the prompt and accurate clearance and settlement of transactions in, including exercises of, credit default basket options, to foster cooperation and coordination with persons engaged in the clearance and settlement of such transactions, to remove impediments to and perfect the mechanism of a national system for the prompt and accurate clearance and settlement of such transactions, and in general to protect investors and the public interest. They accomplish these purposes by applying substantially the same rules and procedures to these transactions as OCC applies to similar transactions in other cash-settled options except to the extent that special rules and procedures are required in order to accommodate unique features of CDBOs. Other than as

described in this Item II, the proposed rule change is not inconsistent with the existing rules of OCC, including rules proposed to be amended.

#### B. Self-Regulatory Organization's Statement on Burden on Competition

OCC does not believe that the proposed rule change would impose any burden on competition.

#### C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others

Written comments were not and are not intended to be solicited with respect to the proposed rule change, and none have been received.

### III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

Within thirty-five days of the date of publication of this notice in the **Federal Register** or within such longer period:

(i) As the Commission may designate up to ninety days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the self-regulatory organization consents, the Commission will:

(A) By order approve such proposed rule change or

(B) Institute proceedings to determine whether the proposed rule change should be disapproved.

### IV. Solicitation of Comments

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

#### Electronic Comments

- Use the Commission's Internet comment form (<http://www.sec.gov/rules/sro.shtml>) or
- Send an e-mail to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File Number SR-OCC-2007-06 on the subject line.

#### Paper Comments

- Send paper comments in triplicate to Nancy M. Morris, Secretary, Securities and Exchange Commission, 100 F Street, NE., Washington, DC 20549-1090.

All submissions should refer to File Number SR-OCC-2007-06. This file number should be included on the subject line if e-mail is used. To help the Commission process and review your comments more efficiently, please use

only one method. The Commission will post all comments on the Commission's Internet Web site (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for inspection and copying in the Commission's Public Reference Room, 100 F Street, NE., Washington, DC 20549, on official business days between the hours of 10 a.m. and 3 p.m. Copies of such filing also will be available for inspection and copying at the principal office of OCC and on OCC's Web site at [http://www.theocc.com/publications/rules/proposed\\_changes/sr\\_occ\\_07\\_06.pdf](http://www.theocc.com/publications/rules/proposed_changes/sr_occ_07_06.pdf). All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-OCC-2007-06 and should be submitted on or before July 12, 2007.

For the Commission by the Division of Market Regulation, pursuant to delegated authority.<sup>6</sup>

**Florence E. Harmon,**

*Deputy Secretary.*

[FR Doc. E7-12425 Filed 6-26-07; 8:45 am]

**BILLING CODE 8010-01-P**

## SOCIAL SECURITY ADMINISTRATION

### Agency Information Collection Activities: Proposed Request and Comment Request

The Social Security Administration (SSA) publishes a list of information collection packages that will require clearance by the Office of Management and Budget (OMB) in compliance with Pub. L. 104-13, the Paperwork Reduction Act of 1995, effective October 1, 1995. The information collection packages that may be included in this notice are for new information collections, approval of existing information collections, revisions to OMB-approved information collections, and extensions (no change) of OMB-approved information collections.

SSA is soliciting comments on the accuracy of the agency's burden

<sup>6</sup> 17 CFR 200.30-3(a)(12).

estimate; the need for the information; its practical utility; ways to enhance its quality, utility, and clarity; and on ways to minimize burden on respondents, including the use of automated collection techniques or other forms of information technology. Written comments and recommendations regarding the information collection(s) should be submitted to the OMB Desk Officer and the SSA Reports Clearance Officer. The information can be mailed, faxed or e-mailed to the individuals at the addresses and fax numbers listed below:

(OMB)  
Office of Management and Budget, Attn: Desk Officer for SSA, Fax: 202-395-6974, E-mail address: [OIRA\\_Submission@omb.eop.gov](mailto:OIRA_Submission@omb.eop.gov).

(SSA)  
Social Security Administration, DCBFBM, Attn: Reports Clearance Officer, 1333 Annex Building, 6401 Security Blvd., Baltimore, MD 21235, Fax: 410-965-6400, E-mail address: [OPLM.RCO@ssa.gov](mailto:OPLM.RCO@ssa.gov).

I. The information collections listed below are pending at SSA and will be submitted to OMB within 60 days from the date of this notice. Therefore, your comments should be submitted to SSA within 60 days from the date of this publication. You can obtain copies of the collection instruments by calling the SSA Reports Clearance Officer at 410-965-0454 or by writing to the address listed above.

*Missing and Discrepant Wage Reports Letter and Questionnaire—26 CFR 31.6051-2-0960-0432.* Each year

employers report the wage amounts they paid their employees to the IRS for tax purposes, and separately to SSA for retirement and disability coverage purposes. These reported amounts should equal each other; however, each year some of the employer wage reports that SSA receives are less than the wage amounts reported to the IRS. SSA attempts to ensure that employees receive full credit for the wages that they have earned through the use of the forms SSA-L93-SM; SSA-L94-SM; SSA-95-SM and SSA-97-SM. Respondents are employers who reported less wage amounts to SSA than they reported to the IRS.

*Type of Request:* Revision of an OMB-approved information collection.

*Number of Respondents:* 360,000.

*Frequency of Response:* 1.

*Average Burden per Response:* 30 minutes.

*Estimated Annual Burden:* 180,000 hours.

II. The information collections listed below have been submitted to OMB for clearance. Your comments on the information collections would be most useful if received by OMB and SSA within 30 days from the date of this publication. You can obtain a copy of the OMB clearance packages by calling the SSA Reports Clearance Officer at 410-965-0454, or by writing to the address listed above.

1. *Certificate of Responsibility for Welfare and Care of Child Not in Applicant's Custody—20 CFR 404.330, 404.339-341 and 404.348-404.349-0960-0019.* SSA uses the information to determine if a non-custodial parent who

is filing for Spouse's or Mother's and Father's benefits based on having a child in care meets the in-care requirements. Respondents are applicants for Spouse and/or Mother's and Father's benefits.

*Type of Request:* Extension of an OMB-approved information collection.

*Number of Respondents:* 14,000.

*Frequency of Response:* 1.

*Average Burden per Response:* 10 minutes.

*Estimated Annual Burden:* 2,333 hours.

2. *Request for Waiver of Overpayment Recovery or Change in Repayment Notice—20 CFR 404.502-513, 404.515 and 20 CFR 416.550-570, 416.572-0960-0037.* The SSA-632-BK is used by a beneficiary/claimant to request a waiver of recovery of an overpayment by explaining why they feel they are without fault in causing the overpayment and to provide financial circumstances so that SSA can determine whether recovery would cause financial hardship. It is also used to request a different rate of recovery. In those cases the financial information must be provided for SSA to determine how much the overpaid person can afford to repay each month.

Respondents are overpaid beneficiaries or claimants who are requesting a waiver of recovery for overpayment or a lesser rate of withholding.

*Type of Request:* Extension of an OMB-approved information collection.

*Number of Respondents:* 500,000.

*Estimated Annual Burden:* 875,000 hours.

Reason for completing form	Number of respondents	Frequency of response	Average burden per response	Total annual burden
Request Waiver .....	400,000	1	2 hours .....	800,000
Request Change .....	100,000	1	45 minutes ..	75,000
Totals .....	500,000	.....	.....	875,000

3. *Supplemental Statement Regarding Farming Activities of Person Living Outside the U.S.A.—0960-0103.* Form SSA-7163A is used whenever a beneficiary or claimant reports work on a farm outside the United States (U.S.). It is designed to obtain sufficient information to determine whether or not foreign work deductions are applicable to the claimant's benefits. Respondents are beneficiaries or claimants for Social Security benefits who are engaged in farming activity outside the U.S.

*Type of Request:* Extension of an OMB-approved information collection.

*Number of Respondents:* 1,000.

*Frequency of Response:* 1.

*Average Burden per Response:* 1 hour.

*Estimated Annual Burden:* 1,000 hours.

4. *Disability Report-Appeal—20 CFR 404.1512, 416.912, 404.916(c), 416.1416(c), 405 Subpart C, 422.140-0960-0144.* The SSA-3441-BK is used to secure updated medical and other information since the claimant's last disability determination from claimants who are appealing an unfavorable disability determination. This information may be used for reconsideration or request for federal reviewing official review of initial

disability determinations and continuing disability reviews as well as a request for a hearing. This information assists the State Disability Determination Services, federal reviewing officials, and administrative law judges in preparing for appeals and hearings and in issuing a decision. Respondents are individuals who appeal denial of Social Security disability income and Supplemental Security Income (SSI) benefits, cessation of benefits, or who are requesting a hearing.

*Type of Request:* Revision of an OMB-approved information collection.

Estimated Annual Burden: 1,296,190 hours.

Collection method	Number of respondents	Frequency of response	Average burden per response (min)	Estimated annual burden hours
SSA-3441 (Paper Form) .....	21,282	1	45	15,962
Electronic Disability Collect System (EDCS) .....	1,284,019	1	45	963,014
I3441 (Internet Form) .....	158,607	1	120	317,214
Totals .....	1,463,908	.....	.....	1,296,190

5. *Request for Hearing by Administrative Law Judge—20 CFR 404.929, 404.933, 416.1429, 404.1433, 405.722, 418.1350—0960-0269.* The information collected on Form HA-501-U5 is used by SSA to document and initiate the Administrative Law Judge (ALJ) hearing process for determining eligibility or entitlement to Social Security benefits (Title II), Supplemental Security Income payments (Title XVI), Special Veterans

Benefits (Title VIII), Medicare (Title XVIII), and of initial determinations regarding Medicare Part B income-related premium subsidy reductions. The methods for filing a request for an ALJ hearing are being expanded to include the internet. If an individual receives a notice of denial of his/her disability claim and the notice provides rights to an ALJ hearing, he/she will have the option of filing for the ALJ hearing over the internet. The

individual will complete the appropriate appeal screens and submit the appeal to SSA for processing. The respondents are individuals filing for an ALJ hearing.

*Type of Request:* Revision of an OMB-approved information collection.

*Number of Respondents:* 669,469.

*Estimated Annual Burden:* 178,525 hours.

Collection method	Number of respondents	Frequency of response	Estimated completion time (min)	Total burden hours
Paper & Modernized Claims System .....	334,735	1	10	55,789
i501 .....	334,734	1	22	122,736
Totals .....	669,469	.....	.....	178,525

6. *Request for Earnings and Benefit Estimate Statement—20 CFR 404.810-0960-0466.* SSA uses the information the requestor provides on Form SSA-7004 to identify his or her Social Security earnings record, extract posted earnings information, calculate potential benefit estimates, produce the resulting Social Security Statement and mail it to the requestor. Respondents are Social Security number holders requesting information about their Social Security earnings records and estimates of their potential benefits.

*Type of Request:* Extension of an OMB-approved information collection.  
*Number of Respondents:* 545,000.  
*Frequency of Response:* 1.  
*Average Burden per Response:* 5 minutes.

*Estimated Average Burden:* 45,417 hours.

7. *Employer Verification of Earnings After Death—20 CFR 404.821 and 404.822-0960-0472.* The information collected on Form SSAL4112 is used by SSA to determine whether wages reported by an employer are correct and should be credited to the employee's Social Security number when SSA records indicate that the wage earner is deceased. The respondents are

employers who report wages for a deceased employee.

*Type of Request:* Extension of an OMB-approved information collection.

*Number of Respondents:* 50,000.

*Frequency of Response:* 1.

*Average Burden per Response:* 10 minutes.

*Estimated Annual Burden:* 8,333 hours.

8. *Appointment of Representative—20 CFR 404.1707, 404.1720, 404.1725, 410.684 and 416.1507-0960-0527.* A person claiming a right or benefit under the Social Security Act must notify SSA in writing if he or she appoints an individual to represent him or her in dealing with SSA. The information collected by SSA on form SSA-1696-U4 is used to verify the applicant's appointment of a representative. It allows SSA to inform the representative of items which affect the applicant's claim, and it also allows the claimant to give permission to their appointed representative to designate a person to copy claims files. Respondents are applicants who notify SSA that they have appointed a person to represent them in their dealings with SSA when claiming a right to benefits and

representatives of claimants for Social Security benefits.

*Type of Request:* Revision of an OMB-approved information collection.

*Number of Respondents:* 551,520.

*Frequency of Response:* 1.

*Average Burden per Response:* 10 minutes.  
*Estimated Annual Burden:* 91,920 hours.

9. *Request for Reconsideration—20 CFR 404.907-404.921, 416.1407-416.1421, 408.1009-0960-0622.* The information collected on Form SSA-561-U2 is used by SSA to document and initiate the reconsideration process for determining eligibility or entitlement to Social Security benefits (Title II), Supplemental Security Income payments (Title XVI), Special Veterans Benefits (Title VIII), Medicare (Title XVIII), and of initial determinations regarding Medicare Part B income-related premium subsidy reductions. The methods for filing a request for reconsideration are being expanded to include the internet. If an individual receives a notice of denial of his/her disability claim and the notice provides the right to reconsideration, he/she will have the option of filing for the reconsideration over the internet. The

individual will complete the appropriate appeal screens and submit the appeal to SSA for processing. The

respondents are individuals filing for reconsideration.  
*Type of Request:* Revision of an OMB-approved information collection.

*Number of Respondents:* 1,461,700.  
*Estimated Annual Burden:* 341,064 hours.

Collection method	Number of respondents	Frequency of response	Estimated completion time (min)	Total burden hours
Paper & Modernized Claims System .....	730,850	1	8	97,447
i561 .....	730,850	1	20	243,617
<b>Totals</b> .....	<b>1,461,700</b>	.....	.....	<b>341,064</b>

10. *Statement for Determining Continuing Eligibility for Supplemental Security Income Payments—Adult, Form SSA-3988; Statement for Determining Continuing Eligibility for Supplemental Security Income Payments—Child, Form SSA-3989—20 CFR Subpart B—416.204-0960-NEW.* Forms SSA-3988 and SSA-3989 will be

used to determine whether SSI recipients have met and continue to meet all statutory and regulatory nonmedical requirements for SSI eligibility, and whether they have been and are still receiving the correct payment amount. The SSA-3988 and SSA-3989 are designed as self-help forms that will be mailed to recipients

or to their representative payees for completion and return to SSA. The respondents are recipients of SSI payments or their representatives.  
*Type of Request:* Revision of an OMB-approved information collection.  
*Number of Respondents:* 60,000.  
*Estimated Annual Burden:* 26,000 hours.

Collection instrument	Respondents	Frequency of response	Average burden per response (min)	Estimated annual burden (hours)
SSA-3988 .....	30,000	1	26	13,000
SSA-3989 .....	30,000	1	26	13,000
<b>Totals</b> .....	<b>60,000</b>	.....	.....	<b>26,000</b>

11. *Request for Program Consultation—20 CFR 404.1601-1661-0960 New.*

The Disability Determination Services (DDS) offices are staffed by State employees who perform disability determinations for applicants for Social Security disability benefits under Title II and Title XVI of the Social Security Act. SSA's federal regional quality assurance office has the authority to review DDS determinations, to assess errors, and to return cases for corrective action by the DDS.

The information collected on the Request for Program Consultation (RPC) will be used by the DDS's that request a review of the regional quality assurance evaluations. The DDS's use the RPC to present their rationale that supports their determinations. The information collected includes a short rationale and policy citations supporting their rebuttal. The RPC team will use the information to reassess their initial determination. The respondents are DDS's who request a review of the regional quality assurance determination.

*Type of Request:* Request for a new information collection.  
*Number of Respondents:* 4,500.  
*Frequency of Response:* 1.

*Average Burden per Response:* 30 minutes.  
*Estimated Annual Burden:* 2,250 hours.\*

\*SSA inadvertently cited an incorrect burden hour in the first FRN dated April 23, 2007 and the second FRN dated June 13, 2007. This notice serves as a correction.

Dated: June 21, 2007.  
**Elizabeth A. Davidson,**  
*Reports Clearance Officer, Social Security Administration.*  
 [FR Doc. E7-12357 Filed 6-26-07; 8:45 am]  
**BILLING CODE 4191-02-P**

**DEPARTMENT OF STATE**

[Public Notice: 5851]

**30-Day Notice of Proposed Information Collection: DS-2031, Shrimp Exporter's/Importer's Declaration, OMB Control Number 1405-0095**

**ACTION:** Notice of request for public comment and submission to OMB of proposed collection of information.

**SUMMARY:** The Department of State has submitted the following information collection request to the Office of Management and Budget (OMB) for

approval in accordance with the Paperwork Reduction Act of 1995.

- *Title of Information Collection:* Shrimp Exporter's/Importer's Declaration.
- *OMB Control Number:* 1405-0095.
- *Type of Request:* Extension of a Currently Approved Collection.
- *Originating Office:* Bureau of Oceans and International Environmental and Scientific Affairs, Office of Marine Conservation (OES/OMC).
- *Form Number:* DS-2031.
- *Respondents:* Business or other for-profit.
- *Estimated Number of Respondents:* 3,000.
- *Estimated Number of Responses:* 10,000.
- *Average Hours per Response:* 10 min.
- *Total Estimated Burden:* 1,666.
- *Frequency:* On Occasion.
- *Obligation to Respond:* Mandatory.

**DATES:** Submit comments to the Office of Management and Budget (OMB) up to July 27, 2007.

**ADDRESSES:** Direct comments and questions to Katherine Astrich, the Department of State Desk Officer in the Office of Information and Regulatory Affairs at the Office of Management and Budget (OMB), who may be reached at